

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CORSIGLIA, NANCY

Chief Administrative Officer, Department of Housing and Urban Development

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CORSIGLIA, NANCY [electronically signed on 05/09/2021 by CORSIGLIA, NANCY in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 03/09/2022 by Baxter, Daniel in Integrity.gov]

Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 06/16/2021 by Slye, Brandi in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 02/27/2022

Data Revised 02/12/2022

Data Revised 02/11/2022

Data Revised 10/26/2021

Data Revised 09/27/2021

Data Revised 09/26/2021

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alliance Bernstein Private Credit Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	E-Trade Financial	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Fidelity Investments Magellan Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Hendry Energy Servicer LLC	No	\$1,001 - \$15,000	Rent or Royalties	\$1,001 - \$2,500
5	Brightwood Capital Fund (via Morgan Stanley)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	RiverSource Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Principal Financial Group Investment Funds	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8	Citibank Money Market Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	Citibank Checking Account	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
10	Bank of America Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Greenfield Cooperative Bank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	American Water Wrks	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
13	Merrill Lynch Bank of America	Yes	\$100,001 - \$250,000		None (or less than \$201)
14	Dominion Resources Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15	Edison Intl	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
16	Great Plains Energy	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
17	Infareit Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Portland Gen Elec Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
19	Merrill Lynch Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
20	Fidelity Investments Pace International Equity Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	Alliance Bernstein European Opportunities Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
22	Alliance Bernstein Private Real Estate Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
23	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	Fidelity Investments Wells Fargo Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	Freddie Mac	No	\$1,001 - \$15,000		None (or less than \$201)
26	Amn Elec Power Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
27	Adobe Systems Inc	No	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
28	Fannie Mae	No	\$15,001 - \$50,000		None (or less than \$201)
29	Federal National Mortgage Assn 8.25% PFS	No	\$50,001 - \$100,000		None (or less than \$201)
30	Freddie Mac 8.375% Pref	No	\$15,001 - \$50,000		None (or less than \$201)
31	MVC Capital	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Bank of America Corp	No	\$15,001 - \$50,000	Dividends Capital Gains Interest	\$201 - \$1,000
33	District of Columbia Ser 2007	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34	Alliant Energy Corp	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
35	NextEra Energy Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
36	Amazon Com Inc	No	\$100,001 - \$250,000	Capital Gains Dividends	None (or less than \$201)
37	Charter Communications Inc	No	\$100,001 - \$250,000	Capital Gains	None (or less than \$201)
38	Veeva Sys Inc	No	\$50,001 - \$100,000	Capital Gains	None (or less than \$201)
39	Paypal Holdings Inc - 8/13/20	No	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
40	ZoomVideo - 4/28/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
41	Servicenow Inc - 4/28/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
42	Inphi Corp - 3/27/20	No	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
43	Apple Inc - 6/19/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
44	BJs Whsl Club - 6/19/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
45	Ameren Corp - 7/13/20	No	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	DTE Energy - 7/13/20	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
47	Lowes Companies - 8/13/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
48	Monster Beverage - 8/13/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
49	Peleton Interactive - 8/13/20	No	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
50	United Parcel Svc - 10/16/20	No	\$15,001 - \$50,000	Dividends Capital Gains	\$15,001 - \$50,000
51	RH - 10/16/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
52	Synopsis Inc - 11/20/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
53	Idexx Lab Inc - 11/20/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
54	Hologic Inc - 8/13/20	No	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
55	NRG Energy Inc	No	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
56	Asbury Automotive	No	\$50,001 - \$100,000	Capital Gains Dividends	\$2,501 - \$5,000
57	Intuit Inc	No	\$100,001 - \$250,000	Capital Gains Dividends	\$2,501 - \$5,000
58	Asbury Automotive Group	No	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
59	Meritage Homes Corp	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
60	Twilio, Inc.	No	\$100,001 - \$250,000	Capital Gains Dividends	\$1,001 - \$2,500
61	Edwards Lifesciences Crp	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Paypal Holdings Inc	Sale	12/12/2019	\$15,001 - \$50,000
2	Amazon Inc	Purchase	03/27/2020	\$15,001 - \$50,000
3	Northrop Grumman Corp	Purchase	03/27/2020	\$15,001 - \$50,000
4	Hershey Co	Purchase	03/27/2020	\$15,001 - \$50,000
5	Honeywell Inc	Purchase	03/27/2020	\$15,001 - \$50,000
6	Bristol-Myers Squibb	Purchase	03/27/2020	\$100,001 - \$250,000
7	Ring Central Inc	Purchase	03/27/2020	\$1,001 - \$15,000
8	Mastercard Inc A (MA)	Purchase	03/27/2020	\$1,001 - \$15,000
9	LHC Group Inc	Purchase	03/27/2020	\$1,001 - \$15,000
10	Adobe Inc	Purchase	03/27/2020	\$1,001 - \$15,000
11	Ameisys Inc	Purchase	03/27/2020	\$1,001 - \$15,000
12	Charter Communications Inc	Purchase	03/27/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
13	First Energy Corp	Purchase	03/27/2020	\$1,001 - \$15,000
14	Fortinet, Inc	Purchase	03/27/2020	\$1,001 - \$15,000
15	Inphi Corp	Purchase	03/27/2020	\$1,001 - \$15,000
16	Intuit Inc	Purchase	03/27/2020	\$1,001 - \$15,000
17	lululemon athletica inc. - Common Stock (LULU)	Sale	03/27/2020	\$15,001 - \$50,000
18	CDW Corp	Sale	03/27/2020	\$15,001 - \$50,000
19	Edwards Lifesciences Crp	Sale	03/27/2020	\$15,001 - \$50,000
20	KLA Corp	Sale	03/27/2020	\$15,001 - \$50,000
21	Ross Stores Inc	Sale	03/27/2020	\$15,001 - \$50,000
22	Veeva Sys Inc	Sale	12/28/2020	\$15,001 - \$50,000
23	CDW Corp	Purchase	12/12/2019	\$15,001 - \$50,000
24	Charter Communications	Purchase	12/12/2019	\$50,001 - \$100,000
25	Comcast Corp	Sale	12/12/2019	\$50,001 - \$100,000
26	Amedisys Inc	Purchase	01/21/2020	\$50,001 - \$100,000
27	Generac Hldgs Inc	Purchase	01/21/2020	\$50,001 - \$100,000
28	KLA Corp	Purchase	01/21/2020	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
29	Asbury Automotive Group	Purchase	01/21/2020	\$50,001 - \$100,000
30	Inphi Corp	Purchase	01/23/2020	\$15,001 - \$50,000
31	Amazon Com Inc	Sale	01/21/2020	\$50,001 - \$100,000
32	Veeva Sys Inc	Sale	01/21/2020	\$50,001 - \$100,000
33	Edwards Lifesciences Crp	Purchase	02/24/2020	\$1,001 - \$15,000
34	INPHI Corp	Purchase	02/24/2020	\$50,001 - \$100,000
35	KLA corp	Purchase	02/24/2020	\$15,001 - \$50,000
36	Bright Horizons Family	Sale	02/19/2020	\$50,001 - \$100,000
37	LHC Group Inc	Purchase	02/24/2020	\$15,001 - \$50,000
38	Generac Hldgs Inc	Sale	02/19/2020	\$50,001 - \$100,000
39	VertexPharmctls Inc	Purchase	04/28/2000	\$50,001 - \$100,000
40	Dexcom Inc	Purchase	04/28/2000	\$50,001 - \$100,000
41	LHC Group Inc	Sale	04/28/2020	\$15,001 - \$50,000
42	Servicenow Inc	Purchase	04/28/2000	\$15,001 - \$50,000
43	Honeywell Intl Inc	Sale	04/28/2000	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	Zoom Video	Purchase	04/28/2000	\$15,001 - \$50,000
45	MasterCard Inc	Sale	04/28/2000	\$100,001 - \$250,000
46	First Energy Corp	Sale	05/29/2020	\$100,001 - \$250,000
47	Hershey Company	Sale	05/29/2020	\$50,001 - \$100,000
48	BJS WSL Club Hldgs Inc	Purchase	06/19/2020	\$50,001 - \$100,000
49	Element Solutions Inc	Purchase	06/19/2020	\$50,001 - \$100,000
50	Davita Inc	Purchase	06/19/2020	\$50,001 - \$100,000
51	FMC Corp	Purchase	06/19/2020	\$50,001 - \$100,000
52	Applied Material Inc	Purchase	06/19/2020	\$15,001 - \$50,000
53	Apple Inc	Purchase	06/19/2020	\$15,001 - \$50,000
54	Neurocrine Bioscience Inc	Purchase	06/19/2020	\$50,001 - \$100,000
55	Amedisys Inc	Sale	06/19/2020	\$50,001 - \$100,000
56	Northrop Grumman Corp	Sale	06/19/2020	\$15,001 - \$50,000
57	Intuit Inc	Sale	06/19/2020	\$15,001 - \$50,000
58	RingCentral, Inc.	Sale	06/19/2020	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
59	Ameren Corp.	Purchase	07/13/2020	\$1,001 - \$15,000
60	DTE Energy Co	Purchase	07/13/2020	\$1,001 - \$15,000
61	Dominion Energy Inc	Sale	07/13/2020	\$15,001 - \$50,000
62	NRG Energy Inc	Purchase	07/13/2020	\$1,001 - \$15,000
63	Lowe's Cos	Purchase	08/13/2020	\$50,001 - \$100,000
64	Monster Beverages SHS	Purchase	08/13/2020	\$50,001 - \$100,000
65	Bristol-Myers Squibb Co	Sale	08/13/2020	\$50,001 - \$100,000
66	Paypal Holdings Inc	Purchase	08/13/2020	\$50,001 - \$100,000
67	Twilio Inc	Purchase	08/13/2020	\$50,001 - \$100,000
68	Hologic Inc	Purchase	08/17/2020	\$50,001 - \$100,000
69	DTE Energy Co	Purchase	08/31/2020	\$15,001 - \$50,000
70	Peleton Interactive	Purchase	08/13/2020	\$50,001 - \$100,000
71	Davita Inc	Sale	08/14/2020	\$50,001 - \$100,000
72	Element SolutionsInc	Sale	08/13/2020	\$50,001 - \$100,000
73	Fortinet Inc	Sale	08/13/2020	\$100,001 - \$250,000
74	Vertex Pharmctls Inc	Sale	08/13/2020	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
75	Portland Gen Elec Co	Sale	08/31/2020	\$15,001 - \$50,000
76	Neurocrine Bioscience Inc	Sale	08/13/2020	\$50,001 - \$100,000
77	Adobe Inc	Sale	10/16/2020	\$50,001 - \$100,000
78	Applied Material Inc	Sale	10/16/2020	\$15,001 - \$50,000
79	Veeva Sys Inc	Purchase	10/16/2020	\$15,001 - \$50,000
80	RH	Purchase	10/16/2020	\$15,001 - \$50,000
81	FMC Corp	Sale	10/16/2020	\$50,001 - \$100,000
82	Dexcom Inc	Sale	10/16/2020	\$50,001 - \$100,000
83	Meritage Homes Corp	Purchase	10/16/2020	\$15,001 - \$50,000
84	United Parcel Svc	Purchase	10/16/2020	\$15,001 - \$50,000
85	IDEXX Lab Inc	Purchase	11/20/2020	\$50,001 - \$100,000
86	Synopsis Inc	Purchase	11/20/2020	\$50,001 - \$100,000
87	Twilio Inc	Sale	11/20/2020	\$100,001 - \$250,000
88	Meritage Corp	Sale	11/20/2020	\$15,001 - \$50,000
89	Alliance Bernstein Private Financial Institutions Fund	Sale	12/15/2020	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
90	Fidelity Investments Pace International Fixed Income Fund	Sale	12/15/2020	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
