

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

---

Monaco, Lisa O

Deputy Attorney General, Department of Justice -- Simple

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Monaco, Lisa O [electronically signed on 07/26/2021 by Monaco, Lisa O in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Shaw, Cynthia K, Certifying Official [electronically signed on 07/26/2021 by Shaw, Cynthia K in Integrity.gov]

---

Other review conducted by

/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 07/26/2021 by Shaw, Cynthia K in Integrity.gov]

---

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/04/2021 by Granahan, Megan in Integrity.gov]

---

Data Revised 07/26/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(07/26/2021, Shaw, Cynthia K): Twenty-nine transactions were valued at less than \$1,001. These are not required to be and are not reported in this report.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Abbott Laboratories (ABT)	Sale	07/15/2021	No	\$1,001 - \$15,000
2	AbbVie, Inc. (ABBV)	Sale	07/15/2021	No	\$1,001 - \$15,000
3	Air Products and Chemicals, Inc. (APD)	Sale	07/15/2021	No	\$1,001 - \$15,000
4	Alibaba Group Holding LT (BABA)	Sale	07/15/2021	No	\$1,001 - \$15,000
5	Alphabet, Inc. (GOOG)	Sale	07/15/2021	No	\$1,001 - \$15,000
6	Alphabet, Inc. (GOOGL)	Sale	07/15/2021	No	\$1,001 - \$15,000
7	Amazon.com, Inc. (AMZN)	Sale	07/15/2021	No	\$15,001 - \$50,000
8	American Express Co. (AXP)	Sale	07/15/2021	No	\$1,001 - \$15,000
9	Amgen Inc. (AMGN)	Sale	07/15/2021	No	\$1,001 - \$15,000
10	Analog Devices, Inc. (ADI)	Sale	07/15/2021	No	\$1,001 - \$15,000
11	Apple, Inc. (AAPL)	Sale	07/15/2021	No	\$1,001 - \$15,000
12	Arthur J. Gallagher & Co. (AJG)	Sale	07/15/2021	No	\$1,001 - \$15,000
13	Autodesk, Inc. (ADSK)	Sale	07/15/2021	No	\$1,001 - \$15,000
14	Automatic Data Processing, Inc. (ADP)	Sale	07/15/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
15	Becton, Dickinson and Company (BDX)	Sale	07/15/2021	No	\$1,001 - \$15,000
16	BlackRock, Inc. (BLK)	Sale	07/15/2021	No	\$1,001 - \$15,000
17	Boeing Co (BA)	Sale	07/15/2021	No	\$1,001 - \$15,000
18	Bristol-Myers Squibb (BMY)	Sale	07/15/2021	No	\$1,001 - \$15,000
19	Broadcom, Inc. (AVGO)	Sale	07/15/2021	No	\$1,001 - \$15,000
20	Cerner Corp. (CERN)	Sale	07/15/2021	No	\$1,001 - \$15,000
21	Chevron Corp. (CVX)	Sale	07/15/2021	No	\$1,001 - \$15,000
22	Cisco Systems, Inc. (CSCO)	Sale	07/15/2021	No	\$1,001 - \$15,000
23	CME Group, Inc. (CME)	Sale	07/15/2021	No	\$1,001 - \$15,000
24	CMS Energy Corp. (CMS)	Sale	07/15/2021	No	\$1,001 - \$15,000
25	Colgate-Palmolive Co. (CL)	Sale	07/15/2021	No	\$1,001 - \$15,000
26	Comcast Corp. (CMCSA)	Sale	07/15/2021	No	\$1,001 - \$15,000
27	Communication Services Select Sector SPDR Fund (XLC)	Sale	07/15/2021	No	\$15,001 - \$50,000
28	ConocoPhillips (COP)	Sale	07/15/2021	No	\$1,001 - \$15,000
29	Crown Castle International Corp. (CCI)	Sale	07/15/2021	No	\$1,001 - \$15,000
30	CVS Health Corp. (CVS)	Sale	07/15/2021	No	\$1,001 - \$15,000
31	Deere & Co. (DE)	Sale	07/15/2021	No	\$1,001 - \$15,000
32	Dover Corp. (DOV)	Sale	07/15/2021	No	\$1,001 - \$15,000
33	Eaton Corp. Plc (ETN)	Sale	07/15/2021	No	\$1,001 - \$15,000
34	Eli Lilly & Co. (LLY)	Sale	07/15/2021	No	\$1,001 - \$15,000
35	Energy Select Sector SPDR Fund (XLE)	Sale	07/15/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
36	Expeditors International of Washington, Inc. (EXPD)	Sale	07/15/2021	No	\$1,001 - \$15,000
37	Facebook, Inc. (FB)	Sale	07/15/2021	No	\$15,001 - \$50,000
38	FactSet Research Systems, Inc. (FDS)	Sale	07/15/2021	No	\$1,001 - \$15,000
39	Fidelity National Information Services, Inc. (FIS)	Sale	07/15/2021	No	\$1,001 - \$15,000
40	Financial Select Sector SPDR Fund (XLF)	Sale	07/15/2021	No	\$1,001 - \$15,000
41	First Trust Cloud Computing ETF (SKYY)	Sale	07/15/2021	No	\$15,001 - \$50,000
42	General Dynamics Corp. (GD)	Sale	07/15/2021	No	\$1,001 - \$15,000
43	The Hartford Financial Services Group, Inc. (HIG)	Sale	07/15/2021	No	\$1,001 - \$15,000
44	Hasbro, Inc. (HAS)	Sale	07/15/2021	No	\$1,001 - \$15,000
45	Health Care Select Sector SPDR Fund (XLV)	Sale	07/15/2021	No	\$15,001 - \$50,000
46	Honeywell International, Inc. (HON)	Sale	07/15/2021	No	\$1,001 - \$15,000
47	Illinois Tool Works, Inc. (ITW)	Sale	07/15/2021	No	\$1,001 - \$15,000
48	Illumina, Inc. (ILMN)	Sale	07/15/2021	No	\$1,001 - \$15,000
49	Intuitive Surgical, Inc. (ISRG)	Sale	07/15/2021	No	\$1,001 - \$15,000
50	iShares Nasdaq Biotechnology ETF (IBB)	Sale	07/15/2021	No	\$1,001 - \$15,000
51	Johnson & Johnson (JNJ)	Sale	07/15/2021	No	\$1,001 - \$15,000
52	JPMorgan Chase & Co. (JPM)	Sale	07/15/2021	No	\$1,001 - \$15,000
53	Lockheed Martin Corp. (LMT)	Sale	07/15/2021	No	\$1,001 - \$15,000
54	Marsh & McLennan Cos., Inc. (MMC)	Sale	07/15/2021	No	\$1,001 - \$15,000
55	McDonald's Corp. (MCD)	Sale	07/15/2021	No	\$1,001 - \$15,000
56	Medtronic Plc (MDT)	Sale	07/15/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
57	Merck & Co., Inc. (MRK)	Sale	07/15/2021	No	\$1,001 - \$15,000
58	MetLife, Inc. (MET)	Sale	07/15/2021	No	\$1,001 - \$15,000
59	Microsoft Corp. (MSFT)	Sale	07/15/2021	No	\$1,001 - \$15,000
60	Mondelez International, Inc. (MDLZ)	Sale	07/15/2021	No	\$1,001 - \$15,000
61	Monster Beverage Corp. (MNST)	Sale	07/15/2021	No	\$1,001 - \$15,000
62	Morgan Stanley (MS)	Sale	07/15/2021	No	\$1,001 - \$15,000
63	NextEra Energy, Inc. (NEE)	Sale	07/15/2021	No	\$1,001 - \$15,000
64	Norfolk Southern Corp. (NSC)	Sale	07/15/2021	No	\$1,001 - \$15,000
65	Novartis ADR (NDS)	Sale	07/15/2021	No	\$1,001 - \$15,000
66	Novo Nordisk A S ADR (NVO)	Sale	07/15/2021	No	\$1,001 - \$15,000
67	NVIDIA Corp. (NVDA)	Sale	07/15/2021	No	\$15,001 - \$50,000
68	Oracle Corp. (ORCL)	Sale	07/15/2021	No	\$1,001 - \$15,000
69	Parker-Hannifin Corp. (PH)	Sale	07/15/2021	No	\$1,001 - \$15,000
70	Paychex, Inc. (PAYX)	Sale	07/15/2021	No	\$1,001 - \$15,000
71	PepsiCo, Inc. (PEP)	Sale	07/15/2021	No	\$1,001 - \$15,000
72	Philip Morris International, Inc. (PM)	Sale	07/15/2021	No	\$1,001 - \$15,000
73	PPG Industries, Inc. (PPG)	Sale	07/15/2021	No	\$1,001 - \$15,000
74	Procter & Gamble Co. (PG)	Sale	07/15/2021	No	\$1,001 - \$15,000
75	Progressive Corp. (PGR)	Sale	07/15/2021	No	\$1,001 - \$15,000
76	Prologis, Inc. (PLD)	Sale	07/15/2021	No	\$1,001 - \$15,000
77	QUALCOMM, Inc. (QCOM)	Sale	07/15/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
78	Raytheon Co. (RTN)	Sale	07/15/2021	No	\$1,001 - \$15,000
79	REALTY INCM CRP MD PV\$1	Sale	07/15/2021	No	\$1,001 - \$15,000
80	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	07/15/2021	No	\$1,001 - \$15,000
81	Republic Services, Inc. (RSG)	Sale	07/15/2021	No	\$1,001 - \$15,000
82	Roche Holdings Ltd SPN ADR (RHHBY)	Sale	07/15/2021	No	\$1,001 - \$15,000
83	salesforce.com, inc. (CRM)	Sale	07/15/2021	No	\$1,001 - \$15,000
84	Schlumberger NV (SLB)	Sale	07/15/2021	No	\$1,001 - \$15,000
85	SEI Investments Co. (SEIC)	Sale	07/15/2021	No	\$1,001 - \$15,000
86	Sempra Energy (SRE)	Sale	07/15/2021	No	\$1,001 - \$15,000
87	Starbucks Corp. (SBUX)	Sale	07/15/2021	No	\$1,001 - \$15,000
88	Sysco Corp. (SYY)	Sale	07/15/2021	No	\$1,001 - \$15,000
89	T. Rowe Price Group, Inc. (TROW)	Sale	07/15/2021	No	\$1,001 - \$15,000
90	Texas Instruments Incorporated (TXN)	Sale	07/15/2021	No	\$1,001 - \$15,000
91	The Coca-Cola Co. (KO)	Sale	07/15/2021	No	\$1,001 - \$15,000
92	The Home Depot, Inc. (HD)	Sale	07/15/2021	No	\$1,001 - \$15,000
93	The PNC Financial Services Group, Inc. (PNC)	Sale	07/15/2021	No	\$1,001 - \$15,000
94	The TJX Cos., Inc. (TJX)	Sale	07/15/2021	No	\$1,001 - \$15,000
95	The Walt Disney Co. (DIS)	Sale	07/15/2021	No	\$1,001 - \$15,000
96	Truist Financial Corp (TFC)	Sale	07/15/2021	No	\$1,001 - \$15,000
97	U.S. Bancorp (USB)	Sale	07/15/2021	No	\$1,001 - \$15,000
98	UnitedHealth Group, Inc. (UNH)	Sale	07/15/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
99	Varian Medical Systems, Inc. (VAR)	Sale	07/15/2021	No	\$1,001 - \$15,000
100	Verizon Communications, Inc. (VZ)	Sale	07/15/2021	No	\$1,001 - \$15,000
101	Visa, Inc. (V)	Sale	07/15/2021	No	\$1,001 - \$15,000
102	Walmart, Inc. (WMT)	Sale	07/15/2021	No	\$1,001 - \$15,000
103	WEC Energy Group, Inc. (WEC)	Sale	07/15/2021	No	\$1,001 - \$15,000
104	Workday, Inc. (WDAY)	Sale	07/15/2021	No	\$1,001 - \$15,000
105	Xcel Energy, Inc. (XEL)	Sale	07/15/2021	No	\$1,001 - \$15,000
106	Yum China Holdings Inc (YUM)	Sale	07/15/2021	No	\$1,001 - \$15,000
107	Yum! Brands, Inc. (YUM)	Sale	07/15/2021	No	\$1,001 - \$15,000
108	Chubb Ltd. (CB)	Sale	07/15/2021	No	\$1,001 - \$15,000
109	Lyft, Inc. (LYFT)	Sale	07/19/2021	No	\$50,001 - \$100,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the

requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

---