

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Cisneros, Gilbert R

Under Secretary for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.  
/s/ Cisneros, Gilbert R [electronically signed on 09/08/2023 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 15 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).  
/s/ Raab, Dean, Certifying Official [electronically signed on 10/12/2023 by Raab, Dean in Integrity.gov]

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Other review conducted by  
/s/ Raab, Dean, Ethics Official [electronically signed on 10/12/2023 by Raab, Dean in Integrity.gov]  
/s/ Raab, Dean, Screener [electronically signed on 10/12/2023 by Raab, Dean in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 10/12/2023

## Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Los Angeles County Met Transportation Authority Bonds		Purchase	08/08/2023	No	\$250,001 - \$500,000
2	San Francisco CA Public Utilities Commission Water Revenue Bonds		Purchase	08/09/2023	No	\$250,001 - \$500,000
3	Put PayPal Holdings, Inc. (PYPL)	See Endnote	Purchase	08/15/2023	No	\$1,001 - \$15,000
4	Put PayPal Holdings, Inc. (PYPL)	See Endnote		08/15/2023	No	\$1,001 - \$15,000
5	Put iShares Russell 2000 ETF (IWM)	See Endnote		08/18/2023	No	\$1,001 - \$15,000
6	Put iShares Russell 2000 ETF (IWM)	See Endnote	Purchase	08/18/2023	No	\$1,001 - \$15,000
7	Put Tesla, Inc. (TSLA)	See Endnote	Purchase	08/18/2023	No	\$15,001 - \$50,000
8	Put Tesla, Inc. (TSLA)	See Endnote		08/18/2023	No	\$15,001 - \$50,000
9	Put Goldman Sachs Group Inc (GS)	See Endnote	Purchase	08/18/2023	No	\$1,001 - \$15,000
10	Put Goldman Sachs Group Inc (GS)	See Endnote		08/18/2023	No	\$1,001 - \$15,000
11	Apple, Inc. (AAPL)		Purchase	08/18/2023	No	\$250,001 - \$500,000
12	Block, Inc. (SQ)		Purchase	08/18/2023	No	\$500,001 - \$1,000,000
13	Apple, Inc. (AAPL)		Sale	08/22/2023	No	\$250,001 - \$500,000
14	Call NVIDIA Corp. (NVDA)	See Endnote		08/21/2023	No	\$1,001 - \$15,000
15	Call Amazon.com, Inc. (AMZN)	See Endnote		08/29/2023	No	\$1,001 - \$15,000
16	Call Alphabet, Inc. (GOOG)	See Endnote		08/29/2023	No	\$1,001 - \$15,000
17	Call Schlumberger NV (SLB)	See Endnote		08/29/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	Advanced Micro Devices, Inc. (AMD)	Purchase	08/04/2023	No	\$1,001 - \$15,000
19	Fox Factory Holding Corp. (FOXF)	Purchase	08/14/2023	No	\$1,001 - \$15,000
20	Shoals Technologies Group Inc. Class A Common Stock (SHLS)	Purchase	08/14/2023	No	\$1,001 - \$15,000
21	Alliant Energy Corp. (LNT)	Purchase	08/15/2023	No	\$1,001 - \$15,000
22	Corteva, Inc. (CTVA)	Purchase	08/15/2023	No	\$1,001 - \$15,000
23	Revvity Inc.	Purchase	08/15/2023	No	\$1,001 - \$15,000
24	Teledyne Technologies, Inc. (TDY)	Purchase	08/15/2023	No	\$1,001 - \$15,000
25	Silgan Holdings, Inc. (SLGN)	Purchase	08/17/2023	No	\$1,001 - \$15,000
26	Alliant Energy Corp. (LNT)	Purchase	08/30/2023	No	\$1,001 - \$15,000
27	W.R. Berkley Corp. (WRB)	Purchase	08/30/2023	No	\$1,001 - \$15,000
28	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	08/31/2023	No	\$100,001 - \$250,000
29	AT&T Inc. (T)	Purchase	08/31/2023	No	\$1,001 - \$15,000
30	AbbVie, Inc. (ABBV)	Purchase	08/31/2023	No	\$1,001 - \$15,000
31	Alexandria Real Estate Equities, Inc. (ARE)	Purchase	08/31/2023	No	\$1,001 - \$15,000
32	American Water Works Co., Inc. (AWK)	Purchase	08/31/2023	No	\$1,001 - \$15,000
33	Amgen Inc. (AMGN)	Purchase	08/31/2023	No	\$1,001 - \$15,000
34	Becton, Dickinson and Company (BDX)	Purchase	08/31/2023	No	\$1,001 - \$15,000
35	Boston Properties, Inc. (BXP)	Purchase	08/31/2023	No	\$1,001 - \$15,000
36	CoStar Group, Inc. (CSGP)	Purchase	08/31/2023	No	\$1,001 - \$15,000
37	Danaher Corp. (DHR)	Purchase	08/31/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
38	Dominion Energy, Inc. (D)	Purchase	08/31/2023	No	\$1,001 - \$15,000
39	EPAM Systems, Inc. (EPAM)	Purchase	08/31/2023	No	\$1,001 - \$15,000
40	Fidelity National Information Services, Inc. (FIS)	Purchase	08/31/2023	No	\$1,001 - \$15,000
41	Incyte Corp. (INCY)	Purchase	08/31/2023	No	\$1,001 - \$15,000
42	Intuitive Surgical, Inc. (ISRG)	Purchase	08/31/2023	No	\$1,001 - \$15,000
43	Laboratory Corp. of America Holdings (LH)	Purchase	08/31/2023	No	\$1,001 - \$15,000
44	Molina Healthcare, Inc. (MOH)	Purchase	08/31/2023	No	\$15,001 - \$50,000
45	Palo Alto Networks, Inc. (PANW)	Purchase	08/31/2023	No	\$1,001 - \$15,000
46	T-Mobile US, Inc. (TMUS)	Purchase	08/31/2023	No	\$1,001 - \$15,000
47	Southwest Airlines Co. (LUV)	Sale	08/04/2023	No	\$1,001 - \$15,000
48	HealthEquity, Inc. (HQY)	Sale	08/14/2023	No	\$1,001 - \$15,000
49	SPX Corp. (SPXC)	Sale	08/14/2023	No	\$1,001 - \$15,000
50	ON Semiconductor Corp. (ON)	Sale	08/15/2023	No	\$1,001 - \$15,000
51	Sealed Air Corp. (SEE)	Sale	08/15/2023	No	\$1,001 - \$15,000
52	Liberty Media Corp. (FWONK)	Sale	08/17/2023	No	\$1,001 - \$15,000
53	Wolverine World Wide, Inc. (WWW)	Sale	08/17/2023	No	\$1,001 - \$15,000
54	KLA Corp. (KLAC)	Sale	08/30/2023	No	\$1,001 - \$15,000
55	Johnson Controls International Plc (JCI)	Sale	08/31/2023	No	\$1,001 - \$15,000
56	Altria Group, Inc. (MO)	Sale	08/31/2023	No	\$1,001 - \$15,000
57	Ameren Corp. (AEE)	Sale	08/31/2023	No	\$1,001 - \$15,000
58	Biogen Inc. (BIIB)	Sale	08/31/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
59	Bristol-Myers Squibb (BMY)	Sale	08/31/2023	No	\$1,001 - \$15,000
60	CMS Energy Corp. (CMS)	Sale	08/31/2023	No	\$1,001 - \$15,000
61	CVS Health Corp. (CVS)	Sale	08/31/2023	No	\$15,001 - \$50,000
62	CenterPoint Energy, Inc. (CNP)	Sale	08/31/2023	No	\$1,001 - \$15,000
63	Consolidated Edison, Inc. (ED)	Sale	08/31/2023	No	\$1,001 - \$15,000
64	Crown Castle International Corp. (CCI)	Sale	08/31/2023	No	\$1,001 - \$15,000
65	DexCom, Inc. (DXCM)	Sale	08/31/2023	No	\$1,001 - \$15,000
66	The Walt Disney Co. (DIS)	Sale	08/31/2023	No	\$1,001 - \$15,000
67	Electronic Arts, Inc. (EA)	Sale	08/31/2023	No	\$1,001 - \$15,000
68	Fortinet, Inc. (FTNT)	Sale	08/31/2023	No	\$1,001 - \$15,000
69	General Mills, Inc. (GIS)	Sale	08/31/2023	No	\$1,001 - \$15,000
70	Illumina, Inc. (ILMN)	Sale	08/31/2023	No	\$1,001 - \$15,000
71	Mid-America Apartment Communities, Inc. (MAA)	Sale	08/31/2023	No	\$1,001 - \$15,000
72	Paycom Software, Inc. (PAYC)	Sale	08/31/2023	No	\$1,001 - \$15,000
73	Public Storage (PSA)	Sale	08/31/2023	No	\$1,001 - \$15,000
74	Realty Income Corp. (O)	Sale	08/31/2023	No	\$1,001 - \$15,000
75	ResMed, Inc. (RMD)	Sale	08/31/2023	No	\$1,001 - \$15,000
76	The Travelers Cos., Inc. (TRV)	Sale	08/31/2023	No	\$1,001 - \$15,000

## Endnotes

PART	#	ENDNOTE
Transactions	3	strike price \$62, exp. 8/18/2023
Transactions	4	opened written put position, strike price \$57.5, exp. 10/20/2023
Transactions	5	opened written put position, strike price \$175, exp. 9/15/2023
Transactions	6	strike price \$185, exp. 8/18/2023
Transactions	7	opened written put position, strike price \$230, exp. 8/18/2023
Transactions	8	opened written put position, strike price \$210, exp. 10/20/2023
Transactions	9	strike price \$332.50, exp. 8/18/2023
Transactions	10	opened written put position, strike price \$315, exp. 9/29/2023
Transactions	14	opened written call position, strike price \$575, exp. 9/15/2023
Transactions	15	opened written call position, strike price \$150, exp. 10/20/2023
Transactions	16	opened written call position, strike price \$150, exp. 10/20/2023
Transactions	17	opened written call position, strike price \$65, exp. 11/17/2023

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of

violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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