

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Runkel, Christopher M

Senior Counsel and DAEO, National Archives and Records Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Runkel, Christopher M [electronically signed on 07/26/2017 by Runkel, Christopher M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Klein, Jennifer A, Certifying Official [electronically signed on 08/25/2017 by Klein, Jennifer A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 08/30/2017 by Skalla, Daniel L in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT	
1	Columbia Mid Cap Value R Fund CMVRX	See Endnote	Sale	06/19/17	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
2	Royce Small Cap Value R Fund R VVRX	See Endnote	Sale	06/19/17	No	\$15,001 - \$50,000
3	Victory Small Company Opportunity Fund R Class GOGFX	See Endnote	Sale	06/19/17	No	\$1,001 - \$15,000
4	American Funds Fundamental Investors R1 Fund RFNAX	See Endnote	Sale	06/19/17	No	\$1,001 - \$15,000
5	Invesco Small Cap Growth Fund R Class GTSRX	See Endnote	Sale	06/19/17	No	\$1,001 - \$15,000
6	Lord Abbott Growth Opportunities R3 Fund LGORX	See Endnote	Sale	06/19/17	No	\$1,001 - \$15,000
7	MassMutual RetireSMART 2030 R3 Fund MRYNX	See Endnote	Sale	06/19/17	No	\$15,001 - \$50,000
8	Oppenheimer Developing Markets R Fund ODVNX	See Endnote	Sale	06/19/17	No	\$1,001 - \$15,000
9	HIMCO Variable Insurance Trust (VIT) Index Fund IB HVIX	See Endnote	Sale	06/19/17	No	\$1,001 - \$15,000
10	Artisan International Value Fund Investor Class ARTKX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
11	Columbia Convertible Securities Fund Class R5 COCRX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
12	Wells Fargo Adjustable Rate Govt Fund Inst Class EKIZX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
13	Goldman Sachs Global Income Fund Instl Class GSGLX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
14	JPMorgan Mortgage-Backed Securities Fund CI R6 JMBUX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
15	Metropolitan West Total Return Bond Fund CI I MWTIX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
16	JPMorgan Equity Income Fund Class R6 OIEJX	See Endnote	Purchase	06/28/17	No	\$15,001 - \$50,000
17	Principal MidCap Fund Institutional Class PCBIX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
18	Principal Real Estate Securities Inst Fund PIREX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000
19	American Funds New World Fund Class R6 RNWGX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
20	ClearBridge Large Cap Growth Fund Class I SBLYX	See Endnote	Purchase	06/28/17	No	\$15,001 - \$50,000
21	Vanguard Small Cap Index Fund Adm Shares VSMAX	See Endnote	Purchase	06/28/17	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	1	All sold. Income earned >\$201 prior to sale.
Transactions	2	All sold. Income <\$200 prior to sale. Listed on 2017 annual form as "Royce Value R Fund." Ticker symbols are the same.
Transactions	3	All sold. Income <\$200 prior to sale. Fund also listed as Victory Sycamore Small Company Opportunity Fund, R Class. Ticker symbol is same.
Transactions	4	All sold. Income earned >\$201 prior to sale.
Transactions	5	All sold. Income <\$200 prior to sale.
Transactions	6	All sold. Income <\$200 prior to sale.
Transactions	7	All sold. Income <\$200 prior to sale.
Transactions	8	All sold. Income <\$200 prior to sale.
Transactions	9	All sold. Income <\$200 prior to sale.
Transactions	10	New.
Transactions	11	New.
Transactions	12	New.
Transactions	13	New.
Transactions	14	New.
Transactions	15	New.
Transactions	16	New.

PART	#	ENDNOTE
Transactions	17	New.
Transactions	18	New.
Transactions	19	New.
Transactions	20	New.
Transactions	21	New.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.