Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CHUNG, JULIE J

Ambassador to the Democratic Socialist Republic of Sri Lanka, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Principal Deputy Assistant Secretary of State, US Department of State (11/2018 - 1/2021)

Acting Assistant Secretary, US Department of State (1/2021 - 8/2021)

Ambassador to the Democratic Socialist Republic of Sri Lanka (12/2021 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CHUNG, JULIE J [electronically signed on 02/10/2022 by CHUNG, JULIE J in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Walker, Denise, Certifying Official [electronically signed on 02/15/2022 by Walker, Denise in Integrity.gov]

Other review conducted by

Data Revised 02/15/2022

Data Revised 02/14/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Eaton Vance Tax MGD DIV EQU FD (EXG)	Yes	$1,001 - $15,000		None (or less than $201)
2	First Trust LRG CAP VALUE AL (FTA)	Yes	$1,001 - $15,000		None (or less than $201)
3	ISHARES S&P MIDCAP 400 INDEX (IJH)	Yes	$1,001 - $15,000		None (or less than $201)
4	ISHARES S&P MID CAP 400 G ETF (IJK)	Yes	$1,001 - $15,000		None (or less than $201)
5	ISHARES MORNINGSTAR SMALL-CA (ISCV)	Yes	$1,001 - $15,000		None (or less than $201)
6	ABBEY CPTL FUTURES STRATEGY I (ABYIX)	Yes	$1,001 - $15,000		None (or less than $201)
7	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Yes	$1,001 - $15,000		None (or less than $201)
8	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Yes	$1,001 - $15,000		None (or less than $201)
9	FRANKLIN K2 ALTRNTV STRTGS ADV (FABZX)	Yes	$1,001 - $15,000		None (or less than $201)
10	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	JANUS HENDERSON EURO FOCUS I (HFEIX)	Yes	$1,001 - $15,000		None (or less than $201)
12	HARTFORD GROWTH OPPORT I (HGOIX)	Yes	$1,001 - $15,000		$201 - $1,000
13	VIRTUS VONTOBEL EMRG MKT OPP I (HIEMX)	Yes	$1,001 - $15,000		None (or less than $201)
14	DELAWARE IVY HIGH INCOME I (IVHIX)	Yes	$1,001 - $15,000		None (or less than $201)
15	NEUBERGER BERMAN EQ INC INS (NBHIX)	Yes	$1,001 - $15,000		$201 - $1,000
16	NEUBERGER BERMAN LG SH INST (NLSIX)	Yes	$1,001 - $15,000		None (or less than $201)
17	PGIM SHORT TERM CORP BOND Z (PIFZX)	Yes	$1,001 - $15,000		None (or less than $201)
18	VIRTUS NWFLT MLTSEC SHTM BD I (PIMSX)	Yes	$1,001 - $15,000		None (or less than $201)
19	INVESCO EQUAL WGHTD S&P 500 Y (VADDX)	Yes	$1,001 - $15,000		$201 - $1,000
20	PIMCO COMM REAL RET STRAT I2 (PCRPX)	Yes	None (or less than $1,001)		$201 - $1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Neuberger Intrinsic Value INST (NINLX)	Yes	$1,001 - $15,000		None (or less than $201)
2	PGIM SHRT DUR HGH YLD INC Z (HYSZX)	Yes	$1,001 - $15,000		None (or less than $201)
3	BNY MELLON International Stock Fund I (DISRX)	Yes	$1,001 - $15,000		None (or less than $201)
4	Clearbridge Small Cap GWTH I (SBPYX)	Yes	$1,001 - $15,000		$201 - $1,000
5	Doubleline Total Return Bond Fund Class I (DBLTX)	Yes	$1,001 - $15,000		None (or less than $201)
6	GQG Partners EMRG MKTS EQ INS (GQGIX)	Yes	$1,001 - $15,000		$201 - $1,000
7	Nuance Mid Cap Value Fund Institutional Class (NMVLX)	Yes	$1,001 - $15,000		$201 - $1,000
8	PIMCO Commodity Real Return Strategy Fund Class I-2 (PCRPX)	Yes	$1,001 - $15,000		$201 - $1,000
9	PIMCO LOW DURATION INCOME FUND I-2 (PFTPX)	Yes	$15,001 - $50,000		$201 - $1,000
10	VIRTUS KAR SM-CAP VALUE I (PXQSX)	Yes	$1,001 - $15,000		None (or less than $201)
11	Hartford Schroder EM MKT EQ I (SEMNX)	Yes	$1,001 - $15,000		None (or less than $201)
12	MFS Mid Cap Growth I (OTCIX)	Yes	$1,001 - $15,000		None (or less than $201)
13	PGIM High Yield Z (PHYZX)	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Hartford Dividend & Growth I (HDGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
15	Amer Beacon AHL Managed Fut Str (AHLYX)	Yes	$1,001 - $15,000		$201 - $1,000
16	Wisdomtree US MidCap (DON)	Yes	$1,001 - $15,000		None (or less than $201)
17	American EuroPacific Growth F2 (AEPFX)	Yes	$1,001 - $15,000		$201 - $1,000
18	WisdomTree U.S. SmallCap Dividend Fund (DES)	Yes	$1,001 - $15,000		None (or less than $201)
19	Blackrock Multi-Asset Income (BIICX)	Yes	$1,001 - $15,000		None (or less than $201)
20	AQR Managed Futures Strat (AQMIX)	Yes	$1,001 - $15,000		None (or less than $201)
21	Cullen Intl High Div (CIHIX)	Yes	$1,001 - $15,000		None (or less than $201)
22	Calamos Market Neutral Income (CMNIX)	Yes	$1,001 - $15,000		None (or less than $201)
23	Blackstone Alt Mult-Srat (BXMIX)	Yes	$1,001 - $15,000		$201 - $1,000
24	Edgewood Growth Instl (EGFIX)	Yes	$1,001 - $15,000		$201 - $1,000
25	Hartford Growth Oppty (HGOIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
26	John Hancock Seaport Long/Short Fund Class I (JSFDX)	Yes	$1,001 - $15,000		$201 - $1,000
27	JP Morgan Value Advantage (JVASX)	Yes	$15,001 - $50,000		$1,001 - $2,500
28	Lazard Int'l Strat Eq (LISIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
29	Loomis Growth (LSGRX)	Yes	$1,001 - $15,000		$201 - $1,000
30	Lyrical US Value Equity (LYRIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	PGIM Short Term Corp Bond (PIFZX)	Yes	$1,001 - $15,000		None (or less than $201)
32	Neuberger Berman Eq Income (NBHIX)	Yes	$1,001 - $15,000		$201 - $1,000
33	Virtus Vontobel Emerging Markets Opp (HIEMX)	Yes	$1,001 - $15,000		$201 - $1,000
34	Pear Tree Polaris Fgn Value (QFVIX)	Yes	$15,001 - $50,000		$201 - $1,000
35	Western Asset Core Plus Bnd (WACPX)	Yes	$15,001 - $50,000		$201 - $1,000
36	Virtus Newfleet Multi Sector Short Term Bnd (PIMSX)	Yes	$1,001 - $15,000		None (or less than $201)
37	iShares S&P Mid-Cap 400 Index (IJH)	Yes	$1,001 - $15,000		None (or less than $201)
38	iShares S&P Small-Cap 600 Index (IJR)	Yes	$1,001 - $15,000		None (or less than $201)
39	COHEN & STEERS GLB RLTY FOC I (CSSPX)	Yes	$1,001 - $15,000		None (or less than $201)
40	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Yes	$1,001 - $15,000		None (or less than $201)
41	BLACKROCK TOTAL RET I (MAHQX)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ABBEY CPTL FUTURES STRATEGY I (ABYIX)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	CREDIT SUISSE Floating Rate High Income Fund Institutional Class (CSHIX)	Yes	$15,001 - $50,000		$201 - $1,000
3	FRANKLIN MUTUAL EUROPEAN Z (MEURX)	Yes	$15,001 - $50,000		$201 - $1,000
4	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
5	Neuberger Berman LG SH INST (NLSIX)	Yes	$1,001 - $15,000		None (or less than $201)
6	PGIM HIGH YIELD Z (PHYZX)	Yes	$15,001 - $50,000		$1,001 - $2,500
7	Tortoise MLP & Pipeline INST (TORIX)	Yes	None (or less than $1,001)		$1,001 - $2,500
8	iShares S&P Mid Cap 400 Growth Index (IJK)	Yes	$15,001 - $50,000		None (or less than $201)
9	First Trust Emerging Markets (FEM)	Yes	$15,001 - $50,000		$201 - $1,000
10	Eaton Vance Tax Managed Div Equity Fund (EXG)	Yes	$15,001 - $50,000		$1,001 - $2,500
11	Invesco S&P 500 Equal Weight (RSP)	Yes	$100,001 - $250,000		$1,001 - $2,500
12	iShares TIPS Bond (TIP)	Yes	$15,001 - $50,000		$1,001 - $2,500
13	Vanguard FTSE Emerging Markets (VWO)	Yes	$15,001 - $50,000		$201 - $1,000
14	PIMCO Active Bond ETF (BOND)	Yes	$50,001 - $100,000		$1,001 - $2,500
15	iShares S&P Mid Cap 400 Index (IJH)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Vanguard Small Cap Growth ETF (VBK)	Yes	$15,001 - $50,000		None (or less than $201)
17	American EuroPacific Growth Fund (AEPFX)	Yes	$15,001 - $50,000		$1,001 - $2,500
18	AQR Managed Futures Strategy Fund (AQMIX)	Yes	$1,001 - $15,000		$201 - $1,000
19	BNY Mellon Global Fixed Income (SDGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
20	Doubleline Total Return Bond Fund Class I (DBLTX)	Yes	$50,001 - $100,000		$2,501 - $5,000
21	Frnklin K 2 Alternative Strategies (FABZX)	Yes	$15,001 - $50,000		$1,001 - $2,500
22	Hartford Growth Opportunities (HGOIX)	Yes	$100,001 - $250,000		$15,001 - $50,000
23	Lord Abbett Floating Rate Fund (LFRFX)	Yes	$1,001 - $15,000		None (or less than $201)
24	T Rowe Price Japan Fund (PRJPX)	Yes	None (or less than $1,001)		$1,001 - $2,500
25	Neuberger Berman Eq Inc (NBHIX)	Yes	$50,001 - $100,000		$5,001 - $15,000
26	PGIM Short Term Corp Bond Z (PIFZX)	Yes	$50,001 - $100,000		$1,001 - $2,500
27	Virtus Newfleet Multi-Sector Short Term Bond Fund (PIMSX)	Yes	$50,001 - $100,000		$1,001 - $2,500
28	Vanguard 500 Index Adm (VFIAX)	Yes	$50,001 - $100,000		$201 - $1,000
29	Western Ast Short Dur Muni (SMDYX)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	MSILF Treasury Securities (MSUXX)	Yes	$100,001 - $250,000		None (or less than $201)
31	First Trust Large Cap Value (FTA)	Yes	$50,001 - $100,000		$1,001 - $2,500
32	American 529 COLL 2030 A (CTHAX)	Yes	$100,001 - $250,000		$15,001 - $50,000
33	ISHARES MORNINGSTAR SMALL CA (ISCV)	Yes	$15,001 - $50,000		$201 - $1,000
34	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Yes	$1,001 - $15,000		None (or less than $201)
35	LYRICAL US VALUE EQUITY INSTL (LYRIX)	Yes	$15,001 - $50,000		None (or less than $201)
36	PIMCO COMM REAL RET STRAT I2 (PCRPX)	Yes	$15,001 - $50,000		None (or less than $201)
37	Charles Schwab	No			
37.1	Schwab International Index Fund (SWISX)	Yes	$1,001 - $15,000		None (or less than $201)
37.2	Schwab S&P 500 Index Fund (SWPPX)	Yes	$15,001 - $50,000		None (or less than $201)
37.3	Schwab Small-Cap Index Fund (SWSSX)	Yes	$15,001 - $50,000		None (or less than $201)
37.4	Schwab US Aggregate Bond Index Fund (SWAGX)	Yes	$1,001 - $15,000		None (or less than $201)
37.5	U.S. bank cash sweep	N/A	$500,001 - $1,000,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	MSILF TREASURY SECURITIES INST (MSUXX)	Sale	02/10/2021	$15,001 - $50,000
2	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	02/03/2021	$1,001 - $15,000
3	INVESCO S&P 500 EQUAL WEIGHT E (RSP)	Purchase	02/03/2021	$1,001 - $15,000
4	FIRST TRUST LRG CAP VALUE AL (FTA)	Purchase	02/03/2021	$1,001 - $15,000
5	PGIM SHORT TERM CORP BOND Z (PIFZX)	Purchase	02/03/2021	$1,001 - $15,000
6	NEUBERGER BERMAN EQ INC INS (NBHIX)	Purchase	02/03/2021	$1,001 - $15,000
7	VIRTUS NWFLT MLTSEC SHTM BD I (PIMSX)	Purchase	02/03/2021	$1,001 - $15,000
8	FRANKLIN MUTUAL EUROPEAN Z (MEURX)	Purchase	02/03/2021	$1,001 - $15,000
9	T ROWE PRICE JAPAN FUND (PRJPX)	Purchase	02/03/2021	$1,001 - $15,000
10	DOUBLE LINE TOTAL RETURN I (DBLTX)	Purchase	02/03/2021	$1,001 - $15,000
11	VANGUARD FTSE EMERGING MARKETS (VWO)	Purchase	02/03/2021	$1,001 - $15,000
12	ISHARES TIPS BOND ETF (TIP)	Purchase	02/03/2021	$1,001 - $15,000
13	JANUS HENDERSON EURO FOCUS I (HFEIX)	Purchase	02/03/2021	$1,001 - $15,000
14	EATON VANCE TAX MGD DIV EQU FD (EXG)	Purchase	02/03/2021	$1,001 - $15,000
15	PGIM HIGH YIELD Z (PHYZX)	Purchase	02/03/2021	$1,001 - $15,000
16	NEUBERGER BERMAN LG SH INST (NLSIX)	Purchase	02/03/2021	$1,001 - $15,000
17	FRANKLIN K2 ALTRNTV STRTGS ADV (FABZX)	Purchase	02/03/2021	$1,001 - $15,000
18	ISHARES S&P GSCI COMM INDX TRS (GSG)	Purchase	02/03/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
19	TORTOISE NRTH AMER PIPELINE FD (TPYP)	Purchase	02/03/2021	$1,001 - $15,000
20	ISHARES S&P MID CAP 400 G ETF (IJK)	Purchase	02/03/2021	$1,001 - $15,000
21	ISHARES MORNINGSTAR SML CP VAL (JKL)	Purchase	02/03/2021	$1,001 - $15,000
22	PIMCO ACTIVE BOND ETF (BOND)	Purchase	02/03/2021	$1,001 - $15,000
23	ISHARES S&P MIDCAP 400 INDEX (IJH)	Purchase	02/03/2021	$1,001 - $15,000
24	VANGUARD SM CAP GROWTH ETF (VBK)	Purchase	02/03/2021	$1,001 - $15,000
25	PIMCO LOW DURATION INC I2 (PFTPX)	Purchase	02/03/2021	$1,001 - $15,000
26	HARTFORD GROWTH OPPORT I (HGOIX)	Sale	02/03/2021	$1,001 - $15,000
27	AMER BEACON AHL MNGD FUT STR Y (AHLYX)	Purchase	02/03/2021	$1,001 - $15,000
28	WESTERN ASSET CORE PLUS BD I (WACPX)	Purchase	02/03/2021	$1,001 - $15,000
29	LORD ABBETT FLT RT F (LFRFX)	Purchase	02/03/2021	$1,001 - $15,000
30	AQR MNGD FUTURES STRAT I (AQMIX)	Purchase	02/03/2021	$1,001 - $15,000
31	ABBEY C[T; FITIRES STRATEGY I (ABYIX)	Purchase	02/03/2021	$1,001 - $15,000
32	PEAR TREE POLARIS FGN VL I (QFVIX)	Sale	04/09/2021	$1,001 - $15,000
33	JPMORGAN VALUE ADVANTAGE I (JVASX)	Sale	04/09/2021	$1,001 - $15,000
34	WESTERN ASSET CORE PLUS BD I (WACPX)	Purchase	06/04/2021	$1,001 - $15,000
35	METROPOLITAN WEST TOT RET BD I (MWTIX)	Purchase	06/04/2021	$1,001 - $15,000
36	E V INCOME FUND OF BOSTON I (EIBIX)	Sale	06/04/2021	$1,001 - $15,000
37	COHEN & STEERS GLB RLTY FOC I (CSSPX)	Purchase	06/04/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	MFS MID CAP GROWTH I (OTCIX)	Sale	06/04/2021	$1,001 - $15,000
39	DWS ENHANCED COMM STRAT INST (SKIRX)	Sale	06/04/2021	$1,001 - $15,000
40	PIMCO COMM REAL RET STRAT I2(PCRPX)	Sale	06/04/2021	$1,001 - $15,000
41	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Sale	06/04/2021	$1,001 - $15,000
42	PGIM HIGH YIELD Z (PHYZX)	Sale	06/04/2021	$1,001 - $15,000
43	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	07/29/2021	$15,001 - $50,000
44	INVESCO S&P 500 EQUAL WEIGHT E (RSP)	Purchase	07/29/2021	$15,001 - $50,000
45	FIRST TRUST LRG CAP VALUE AL (FTA)	Purchase	07/29/2021	$1,001 - $15,000
46	FRANKLIN MUTUAL EUROPEAN Z (MEURX)	Purchase	07/29/2021	$1,001 - $15,000
47	NEUBERGER BERMAN EQ INC INS (NBHIX)	Purchase	07/29/2021	$1,001 - $15,000
48	VIRTUS NWFLT MLTSEC SHTM BD I (PIMSX)		07/29/2021	$1,001 - $15,000
49	PGIM SHORT TERM CORP BOND Z (PIFZX)	Purchase	07/29/2021	$1,001 - $15,000
50	T ROWE PRICE JAPAN FUND (PRJPX)	Purchase	07/29/2021	$1,001 - $15,000
51	DOUBLELINE TOTAL RETURN I (DBLTX)	Purchase	07/29/2021	$1,001 - $15,000
52	VANGUARD FTSE EMERGING MARKETS (VWO)	Purchase	07/29/2021	$1,001 - $15,000
53	iShares TIPS Bond ETF (TIP)	Purchase	07/29/2021	$1,001 - $15,000
54	PGIM HIGH YIELD Z (PHYZX)	Purchase	07/29/2021	$1,001 - $15,000
55	FRANKLIN K2 ALTRNTV STRTGS ADV (FABZX)	Purchase	07/29/2021	$1,001 - $15,000
56	NEUBERGER BERMAN LG SH INST (NLSIX)	Purchase	07/29/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	TORTOISE NRTH AMER PIPELINE FD (TPYP)	Purchase	07/29/2021	$1,001 - $15,000
58	EATON VANCE TAX MGD DIV EQU FD (EXG)	Purchase	07/29/2021	$1,001 - $15,000
59	INVESCO OPTIMUM YIELD DIVERSIF (PDBC)	Purchase	07/29/2021	$1,001 - $15,000
60	ISHARES MORNINGSTAR SMALL CA (ISCV)	Purchase	07/29/2021	$1,001 - $15,000
61	PIMCO ACTIVE BOND ETF (BOND)	Purchase	07/29/2021	$1,001 - $15,000
62	ISHARES S&P MID CAP 400 G ETF (IJK)	Purchase	07/29/2021	$1,001 - $15,000
63	VANGUARD SM CAP GROWTH ETF (VBK)	Purchase	07/29/2021	$1,001 - $15,000
64	ISHARES S&P MIDCAP 400 INDEX (IJH)	Purchase	07/29/2021	$1,001 - $15,000
65	LORD ABBETT FLT RT F (LFRFX)	Purchase	07/29/2021	$1,001 - $15,000
66	AQR MNGD FUTURES STRAT I (AQMIX)	Purchase	07/29/2021	$1,001 - $15,000
67	JPMORGAN VALUE ADVANTAGE I (JVASX)	Purchase	07/29/2021	$1,001 - $15,000
68	WESTERN ASSET CORE PLUS BD I (WACPX)	Purchase	07/29/2021	$1,001 - $15,000
69	PEAR TREE POLARIS FGN VL I (QFVIX)	Purchase	07/29/2021	$1,001 - $15,000
70	HARTFORD DIVIDEND & GROWTH I (HDGIX)	Purchase	07/29/2021	$1,001 - $15,000
71	LAZARD INTL STRAT EQ PTF INST (LISIX)	Purchase	07/29/2021	$1,001 - $15,000
72	BNY MELLON GLB FIXED INC I (SDGIX)	Purchase	07/29/2021	$1,001 - $15,000
73	BNY MELLON GLB FIXED INC I (SDGIX)	Purchase	07/29/2021	$1,001 - $15,000
74	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Purchase	07/29/2021	$1,001 - $15,000
75	HARTFORD SCHRODER EM MKT EQ I (SEMNX)	Purchase	07/29/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	PIMCO LOW DURATION INC I2(PFTPX)	Purchase	07/29/2021	$1,001 - $15,000
77	METROPOLITAN WEST TOT RET BD I (MWTIX)	Purchase	07/29/2021	$1,001 - $15,000
78	LOOMIS GROWTH Y (LSGRX)	Purchase	07/29/2021	$1,001 - $15,000
79	BLACKSTONE ALT MULT STRAT INST (BXMIX)	Purchase	07/29/2021	$1,001 - $15,000
80	JHF SEATPOR LONG/SHORT FUND I (JSFDX)	Purchase	07/29/2021	$1,001 - $15,000
81	NUANCE MID CAP VALUE INSTL (NMVLX)	Purchase	07/29/2021	$1,001 - $15,000
82	AMER BEACON AHL MNGD FUT STR Y (AHLYX)	Purchase	07/29/2021	$1,001 - $15,000
83	PIMCO LOW DURATION INC I2(PFTPX)	Purchase	09/17/2021	$1,001 - $15,000
84	BLACKSTONE ALT MULT STRAT INST (BXMIX)	Purchase	09/17/2021	$1,001 - $15,000
85	NUANCE MID CAP VALUE INSTNL (NMVLX)	Sale	09/17/2021	$1,001 - $15,000
86	HARTFORD DIVIDEN & GROWTH I (HDGIX)	Sale	09/17/2021	$1,001 - $15,000
87	BNY MELLON INTL STK I (DISRX)	Sale	09/17/2021	$1,001 - $15,000
88	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Sale	09/17/2021	$1,001 - $15,000
89	LOOMIS GROWTH Y (LSGRX)	Sale	09/17/2021	$1,001 - $15,000
90	JPMORGAN VALUE ADVANTAGE I (JVASX)	Sale	09/17/2021	$1,001 - $15,000
91	EDGEWOOD GROWTH INSTL (EGFIX)	Sale	09/17/2021	$1,001 - $15,000
92	LAZARD INTL STRAT EQ PTF INST (LISIX)	Sale	09/17/2021	$1,001 - $15,000
93	HARTFORD SCHRODER EM MKT EQ I (SEMNX)	Sale	09/17/2021	$1,001 - $15,000
94	BLACKROCK TOTAL RET I (MAHQX)	Purchase	10/06/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
95	METROPOLITAN WEST TOT RET BD I (MWTIX)	Sale	10/06/2021	$1,001 - $15,000
96	WESTERN ASSET CORE PUS BD I (WACPX)	Sale	10/06/2021	$1,001 - $15,000
97	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	12/13/2021	$1,001 - $15,000
98	HARTFORD GROWTH OPPORT I (HGOIX)		12/13/2021	$1,001 - $15,000
99	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	12/13/2021	$1,001 - $15,000
100	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	12/13/2021	$1,001 - $15,000
101	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	12/13/2021	$1,001 - $15,000
102	HARTFORD GROWTH OPPORT I (HGOIX)	Purchase	12/13/2021	$1,001 - $15,000
103	JPMORGAN VALUE ADVANTAGE I (JVASX)	Purchase	12/15/2021	$1,001 - $15,000
104	NEUBERGER BERMAN EQ INC INS (NBHIX)	Purchase	12/16/2021	$1,001 - $15,000
105	NEUBERGER BERMAN EQ INC INS (NBHIX)	Purchase	12/16/2021	$1,001 - $15,000
106	T ROWE PRICE JAPAN FUND (PRJPX)	Purchase	12/17/2021	$1,001 - $15,000
107	PIMCO COMM REAL RET STRAT I2(PCRPX)	Purchase	12/22/2021	$15,001 - $50,000
108	TORTOISE MLP & PIPELINE INST (TORIX)	Sale	12/22/2021	$15,001 - $50,000
109	T ROWE PRICE JAPAN FUND (PRJPX)	Sale	12/22/2021	$15,001 - $50,000
110	ISHARES S&P GSCI COMM INDX TRS (GSG)	Sale	12/22/2021	$15,001 - $50,000
111	HARTFORD GROWTH OPPORT I (HGOIX)	Sale	12/22/2021	$15,001 - $50,000
112	LYRICAL US VALUE EQUITY INSTL (LYRIX)	Purchase	12/22/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
113	DOUBLELINE TOTAL RETURN I (DBLTX)	Sale	12/22/2021	$1,001 - $15,000
114	CREDIT SUISSE FLT RT HI INC I (CSHIX)	Purchase	12/22/2021	$1,001 - $15,000
115	EATON VANCE TAX MGD DIV EQU FD (EXG)	Purchase	12/22/2021	$1,001 - $15,000
116	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Purchase	12/22/2021	$1,001 - $15,000
117	T ROWE PRICE JAPAN FUND (PRJPX)	Sale	12/22/2021	$1,001 - $15,000
118	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Purchase	12/22/2021	$1,001 - $15,000
119	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Purchase	12/22/2021	$1,001 - $15,000
120	ISHARES S&P GSCI COMM INDX TRS (GSG)	Sale	12/22/2021	$1,001 - $15,000
121	VIRTUS NWFLT MLTSEC SHTM BD I (PIMSX)	Purchase	12/22/2021	$1,001 - $15,000
122	PGIM SHORT TERM CORP BOND Z (PIFZX)	Purchase	12/22/2021	$1,001 - $15,000
123	FIRST TRUST EMERGING MARKETS (FEM)	Purchase	12/22/2021	$1,001 - $15,000
124	WESTERN AST SHT DUR MUNI INC I (SMDYX)	Purchase	12/22/2021	$1,001 - $15,000
125	GQG PARTNERS EMRG MKTS EQ INS (GQGIX)	Purchase	12/22/2021	$1,001 - $15,000
126	LYRICAL US VALUE EQUITY INSTL (LYRIX)	Purchase	12/22/2021	$1,001 - $15,000
127	T ROWE PRICE JAPAN FUND (PRJPX)	Sale	12/22/2021	$1,001 - $15,000
128	WESTERN AST SHT DUR MUNI INC I (SMDYX)	Purchase	12/22/2021	$1,001 - $15,000
129	PGIM SHORT TERM CORP BOND Z (PIFZX)	Purchase	12/22/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
130	VIRTUS NWFLT MLTSEC SHTM BD I (PIMSX)	Purchase	12/22/2021	$1,001 - $15,000
131	TORTOISE NRTH AMER PIPELINE FD (TPYP)	Sale	12/22/2021	$1,001 - $15,000
132	TORTOISE MLP & PIPELINE INST (TORIX)	Sale	12/22/2021	$1,001 - $15,000
133	NEUBERGER BERMAN EQ INC INS (NBHIX)	Purchase	12/22/2021	$1,001 - $15,000
134	INVESCO S&P 500 EQUAL WEIGHT E (RSP)	Sale	12/22/2021	$1,001 - $15,000
135	FIRST TRUST EMERGING MARKETS (FEM)	Purchase	12/22/2021	$1,001 - $15,000
136	BNY MELLON GLB FIXED INC I (SDGIX)	Purchase	12/22/2021	$1,001 - $15,000
137	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Purchase	12/22/2021	$1,001 - $15,000
138	PGIM HIGH YIELD Z (PHYZX)	Purchase	12/22/2021	$1,001 - $15,000
139	ISHARES S&P GSCI COMM INDX TRS (GSG)	Sale	12/22/2021	$1,001 - $15,000
140	TORTOISE NRTH AMER PIPELINE FD (TPYP)	Sale	12/22/2021	$1,001 - $15,000
141	T Rowe Price Japan Fund (PRJPX)	Sale	12/22/2021	$1,001 - $15,000
142	ISHARES TIPS BOND ETF (TIP)	Purchase	12/22/2021	$1,001 - $15,000
143	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Purchase	12/22/2021	$1,001 - $15,000
144	FRANKLIN MUTUALEUROPEAN Z (MEURX)	Purchase	12/22/2021	$1,001 - $15,000
145	FRANKLIN K2 ALTRNTV STRTGS ADV (FABZX)	Purchase	12/22/2021	$1,001 - $15,000
146	AMERICAN 529 COLL 2030 A (CTHAX)	Purchase	12/23/2021	$1,001 - $15,000
147	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Purchase	12/23/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
148	AMERICAN 529 COLL 2030 A (CTHAX)	Purchase	12/23/2021	$1,001 - $15,000
149	T Rowe Price Japan Fund (PRJPX)	Sale	12/23/2021	$1,001 - $15,000
150	JANUS HENDERSON EURO FOCUS I (HFEIX)	Sale	12/23/2021	$1,001 - $15,000
151	PIMCO COMM REAL RET STRAT I2 (PCRPX)	Sale	12/23/2021	$1,001 - $15,000
152	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Purchase	12/23/2021	$1,001 - $15,000
153	ISHARE S&P GSCI COMM INDX TRS (GSG)	Sale	12/28/2021	$1,001 - $15,000
154	EV PARAMETRIC COMMOD STRAT I (EIPCX)	Purchase	12/28/2021	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
