

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

Kim, Jeffrey J

Director, Federal Program Finance, Department of the Treasury

Date of Appointment: 02/26/2023

---

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Policy Advisor, US Department of the Treasury (11/2020 - 2/2023)

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kim, Jeffrey J [electronically signed on 04/10/2023 by Kim, Jeffrey J in Integrity.gov] - Filer received a 14 day filing extension.

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Vetter, Mark, Certifying Official [electronically signed on 05/22/2023 by Vetter, Mark in Integrity.gov]

---

Other review conducted by

/s/ Furey, Christian, Ethics Official [electronically signed on 05/19/2023 by Furey, Christian in Integrity.gov]

---

U.S. Office of Government Ethics Certification

---

Data Revised 05/22/2023

Data Revised 05/19/2023

Data Revised 05/05/2023

Data Revised 04/21/2023

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Treasury Department Federal Credit Union	Washington, District of Columbia	Non-Profit	Director, Board of Directors	4/2015	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA Merrill Lynch	No			
1.1	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.2	iShares Floating Rate Bond ETF (FLOT)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	IRA Merrill Lynch	No			
2.1	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	iShares Floating Rate Bond ETF (FLOT)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	JPMorgan Chase & Co., defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Credit Agricole Corporate and Investment Bank, Defined Contribution Plan	No			
4.1	ILP40GNL BlackRock Life Path 2040	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	MSVFM BNYM Insight Stable Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Credit Agricole Corporate and Investment Bank, Deferred Compensation	N/A	\$15,001 - \$50,000	Deferred compensation plan from former employer; final year 2023	\$66,269

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Credit Agricole Corporate and Investment Bank	New York, New York	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2011
2	JPMorgan Chase & Co.	New York, New York	I will continue to participate in this defined benefit plan.	8/1993
3	Credit Agricole Corporate and Investment Bank	New York, New York	I will continue to participate in this deferred compensation plan. The last of my payments will be received in 2023.	8/1993

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Marika Meyer Textiles LLC (Textile design and production company)	N/A		salary	
2	Minority ownership in Marika Meyer Textiles LLC (value not readily ascertainable)	N/A			None (or less than \$201)
3	IRA Fidelity	No			
3.1	Fidelity 500 Index Fund (FXAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Fidelity Treasury Money Market Fund Fidelity Treasury Money Market (FZFXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	IRA JPMorgan	No			
4.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	Vanguard Dividend Appreciation Index Fund Admiral Class Shares (VDADX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Roth IRA JPMorgan	No			
5.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Vanguard Dividend Appreciation Index Fund Admiral Class Shares (VDADX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Individual 401K: Rebecca Kim	No			
6.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.2	Fidelity Treasury Money Market Fund Fidelity Treasury Money Market (FZFXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Deutsche Bank 401K	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	State Street S&P500 Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	State Street Russel Small / Mid Cap Index II fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	State Street Target Retirement 2045 Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	JPMorgan 401K	No			
8.1	JPMorgan Equity Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.2	S&P 400 Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Government Inflation Protected Bond Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.4	Large Cap Growth Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.5	JPMorgan Large Cap Growth Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
9	JPMorgan Chase & Co., defined benefit plan	N/A	\$100,001 - \$250,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Apple, Inc. (AAPL)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
2	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	NVIDIA Corp. (NVDA)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
4	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
5	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
6	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8	JPMorgan 100% US Treasury Securities Money Market Fund Premier Shares (VHPXX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
9	JPMorgan Tax Aware Equity Fund Class I Shares (JPDEX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
11	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Vanguard Russell 3000 Index Fund ETF Shares (VTHR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	iShares Floating Rate Bond ETF (FLOT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
16	First Trust Dow Jones Internet Index Fund (FDN)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	iShares Expanded Tech-Software ETF (IGV)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
18	NVIDIA Corp. (NVDA)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
19	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
20	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
21	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
22	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
23	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
24	Vanguard Growth Index Fund Admiral Class Shares (VIGAX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
25	US bank account #1 (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	US bank account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
27	US bank account #3 (cash)	N/A	\$1,001 - \$15,000		
28	Maryland 529 Plan	No			
28.1	Equity Portfolio, T Rowe Price	Yes	\$15,001 - \$50,000		None (or less than \$201)
28.2	Global Equity Market Index, T Rowe	Yes	\$1,001 - \$15,000		None (or less than \$201)
28.3	Inflation Focused Bond Portfolio, T Rowe Price	Yes	\$15,001 - \$50,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	New York 529 Plan	No			
29.1	Conservative Age: Income Portfolio; Vanguard	Yes	\$100,001 - \$250,000		None (or less than \$201)
29.2	Interest Accumulation Portfolio, Vanguard	Yes	\$100,001 - \$250,000		None (or less than \$201)
30	Private College 529 Plan	No	\$15,001 - \$50,000		None (or less than \$201)
31	Guardian Whole Life Insurance	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
32	GUARDIAN SEPARATE ACCOUNT R Guardian Investor II Variable Annuity	No			
32.1	Guardian Integrated Research VIP Fund Guardian Integrated Research VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.2	Guardian Large Cap Disciplined Growth VIP Fund Guardian Large Cap Disciplined Growth VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.3	Guardian Large Cap Disciplined Value VIP Fund Guardian Large Cap Disciplined Value VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.4	Guardian Large Cap Fundamental Growth VIP Fund Guardian Large Cap Fundamental Growth VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.5	Guardian Mid Cap Relative Value VIP Fund Guardian Mid Cap Relative Value VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.6	Guardian Mid Cap Traditional Growth VIP Fund Guardian Mid Cap Traditional Growth VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.7	Guardian Small Cap Core VIP Fund Guardian Small Cap Core VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.8	Guardian Small-Mid Cap Core VIP Fund Guardian Small-Mid Cap Core VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.9	Guardian Strategic Large Cap Core VIP Fund Guardian Strategic Large Cap Core VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.10	MFS Blended Research Core Equity Portfolio Service Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.11	Guardian International Equity VIP Fund (formerly, Guardian International Value VIP Fund) Guardian International Equity VIP Fund (formerly, Guardian International Value VIP Fund)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.12	Guardian International Growth VIP Fund Guardian International Growth VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.13	Guardian Core Fixed Income VIP Fund Guardian Core Fixed Income VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.14	Guardian Core Plus Fixed Income VIP Fund Guardian Core Plus Fixed Income VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.15	Guardian Multi-Sector Bond VIP Fund Guardian Multi-Sector Bond VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.16	Guardian Short Duration Bond VIP Fund Guardian Short Duration Bond VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.17	Guardian Total Return Bond VIP Fund Guardian Total Return Bond VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.18	Guardian U.S. Government Securities VIP Fund Guardian U.S. Government Securities VIP Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32.19	Pioneer Bond VCT Portfolio Pioneer Bond VCT Portfolio: Class II	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	Guardian Whole Life	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	Guardian SecureFuture Income Fixed Annuity	N/A	\$15,001 - \$50,000		None (or less than \$201)
35	PruCo Life of New Jersey PruLife Return of Premium Life Insurance Policy	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	K4 Capital Partners, venture capital fund of funds	No		Capital Gains	\$5,001 - \$15,000
36.1	Founders Fund II, technology venture capital fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
36.2	Mantis Capital Fund II, technology venture capital fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.3	A Star Fund, technology venture capital fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.4	Goldcrest Fund 2, technology venture capital fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.5	Goldcrest Fund 3, technology venture capital fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.6	Carrick Capital Partners Fund 3, technology venture capital fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.7	Speyside Equity Fund 1, technology venture capital fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.8	Radian Capital Fund 1, technology venture capital fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.9	LeafLogix, private technology company	N/A	\$100,001 - \$250,000		None (or less than \$201)
36.10	OpenAI, private technology company	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	TIAA-CREF (Inherited Account, RMD required)	No		RMD	\$101,692

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
37.1	Equity Index Account Class R3 (QCEQIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
37.2	Money Market Account Class R3 (QCMMIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
37.3	Stock Account Class R3 (QCSTIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.4	Core Bond Account Class R3 (QCBMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.5	Growth Account Class R3 (QCGRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.6	Inflation-Linked Bond Account Class R3 (QCILIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.7	TIAA Traditional Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
38	SAND Cryptocurrency	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
39	GUSD Gemini Dollar	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
40	FTM Cryptocurrency	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
41	US Treasury Bill	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
42	US Savings I Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
43	US Savings I Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
44	US Savings I Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

---

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	JPMorgan Chase	Brokerage Line of Credit	\$250,001 - \$500,000	2010	SOFR + 1.90%	Revolving

---

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

---

## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---