

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

**Missal, Michael**

Inspector General, Department of Veterans Affairs

Report Year: 2018

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Missal, Michael [electronically signed on 05/08/2018 by Missal, Michael in Integrity.gov]**

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Jaynes, Mark, Certifying Official [electronically signed on 06/06/2018 by Jaynes, Mark in Integrity.gov]**

---

Other review conducted by

**/s/ Britt, Christopher, Ethics Official [electronically signed on 06/06/2018 by Britt, Christopher in Integrity.gov]**

---

U.S. Office of Government Ethics Certification

Data Revised 08/06/2018

Data Revised 07/21/2018

Data Revised 07/19/2018

Data Revised 07/18/2018

Data Revised 07/17/2018

Data Revised 06/06/2018

---

## 1. Filer's Positions Held Outside United States Government

None

---

## 2. Filer's Employment Assets & Income and Retirement Accounts

None

---

## 3. Filer's Employment Agreements and Arrangements

None

---

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

---

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Montgomery County, Maryland	N/A		salary	

---

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ConocoPhillips	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2	Energy Transfer Equity	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3	Enterprise Products Partners LTD	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4	Genesis Energy LTD	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
5	Mplx Ip	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
6	Phillips	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Compass Minerals Intl	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	MFC Industrials	N/A	None (or less than \$1,001)		None (or less than \$201)
9	Royal Gold, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	Wd-40	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
11	MSG Network	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Madison Square Garden Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Corning Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Chatham Lodging TR	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
15	Vanguard Emerging Markets Stock Index Admiral Shares	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Vanguard High Dividend Yield Index	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17	First Eagle Global Fund	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
18	Baltimore MD Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19	Beverly Hills Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
20	Calvert County Maryland Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
21	Carroll County Maryland Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22	Cecil County MD Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
23	Harford County MD Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24	Local Government Infrastructure Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25	Maryland State Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	Montgomery County MD Muni Bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
27	New York City Muni Bonds	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
28	Washington County MD Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
29	Washington Suburban Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30	Aberdeen Emerging Markets	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	Amana Growth Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
32	Euro Pacific Growth 5 Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
33	Fidelity Blue Chip Growth	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
34	Fidelity Contra Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000
35	Fidelity Growth Strategies	Yes	\$1,001 - \$15,000		\$201 - \$1,000
36	Fidelity Select Financial Services	Yes	\$1,001 - \$15,000		\$201 - \$1,000
37	Fidelity Select Gold	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38	Fidelity US Bond Index	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
39	FMI Large Cap	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
40	Harbor International	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
41	Invesco American Franchise	Yes	\$1,001 - \$15,000		\$201 - \$1,000
42	MFS Emerging Market Debt	Yes	\$1,001 - \$15,000		\$201 - \$1,000
43	Moderate Model Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
44	Pimco Total Return Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
45	Vanguard FTSE All World Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
46	Vanguard Institutional Index	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	Vanguard Midcap IDX Institutional	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
48	VOYA Mid-Cap Opportunities	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
49	Blended Income Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
50	Fidelity Cash Reserves	Yes	\$1,001 - \$15,000		
51	Vanguard Mid-Cap IDX ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
52	Vanguard Russell 3000 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
53	Vanguard Total Stock ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
54	Vanguard Total World Stock IDX	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
55	Vanguard Capital Opportunity	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
56	Vanguard Dividend Growth	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
57	Vanguard Explorer Admiral	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
58	Vanguard Extended Market Index Admiral Shares	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
59	Vanguard FI Short Term Cp	Yes	\$50,001 - \$100,000		\$201 - \$1,000
60	Vanguard Growth Index Admiral Shares	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
61	Vanguard PRIMECAP	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	Vanguard Small Cap Value	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
63	Vanguard Total Bond Market Index	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
64	Vanguard Wellington	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
65	Vanguard Windsor II	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
66	Cimarex Energy	N/A	\$1,001 - \$15,000		None (or less than \$201)
67	Halliburton Co Hldg Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	Altius Minerals	N/A	\$15,001 - \$50,000		None (or less than \$201)
69	Applied Minerals	N/A	None (or less than \$1,001)		None (or less than \$201)
70	PPG Industries Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
71	Bed Bath & Beyond Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
72	IPG Photonics Corporation - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
73	Corning Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
74	Felcor Lodging Trust	N/A	\$1,001 - \$15,000		\$201 - \$1,000
75	Ryman Hospitality	N/A	\$1,001 - \$15,000		\$201 - \$1,000
76	Vanguard REIT ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
77	Vanguard Emerging Market	Yes	\$1,001 - \$15,000		\$201 - \$1,000
78	Wisdomtree Europe ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
79	Scwab Advisor Cash Reserves	Yes	\$500,001 - \$1,000,000		
80	AG Energy Credit Opportunity Fund	Yes	\$50,001 - \$100,000		\$50,001 - \$100,000
81	Alpha Keys Total Alternative Solutions 2016 LLC	Yes	\$50,001 - \$100,000		
82	Alpha Keys Strategic Partners VII	Yes	\$100,001 - \$250,000		
83	Alpha Keys Real Estate Op V	Yes	\$250,001 - \$500,000		None (or less than \$201)
84	ARES European RE IV LP	Yes	\$250,001 - \$500,000		
85	Alpha Keys Double Black Diamond	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
86	UBS Cash Fund	Yes	\$100,001 - \$250,000		
87	AIG Annuities Variable Polaris Choice IV	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
88	Vangaurd Total Stock Market ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
89	UBS Cash Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
90	Oppenheimer Developing Markets	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
91	Vanguard Growth Index Admiral Shares	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
92	Florida State Turnpike Muni Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
93	North Dakota Public Finance Muni Bonds	N/A	\$15,001 - \$50,000		\$201 - \$1,000
94	Georgia State Muni Bonds	N/A	\$15,001 - \$50,000		\$201 - \$1,000
95	Lakewood Ohio City School Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
96	Wisconsin State Health and Education Bonds	N/A	\$15,001 - \$50,000		\$201 - \$1,000
97	King County WA bonds	N/A	\$15,001 - \$50,000		\$201 - \$1,000
98	Northwest, TX Independent School Bonds	N/A	\$15,001 - \$50,000		\$201 - \$1,000
99	North Carolina State Muni Bonds	N/A	\$50,001 - \$100,000		\$2,501 - \$5,000
100	Pinal County AZ Community College Bonds	N/A	\$100,001 - \$250,000		\$5,001 - \$15,000
101	New York State Environmental Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
102	Tennessee State Bonds	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
103	NY State Environmental FACS	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
104	Eagle Mtn and Saginaw TX bonds	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
105	Houston TX Utility Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
106	Richardson TX bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
107	Ohio State SPL Obligation bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
108	Columbus GA Water and Sewer bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
109	Wisconsin State FOR Issues	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
110	Carrollton TX bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
111	Pierce Co WA bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
112	Sandy Springs GA Public FAC bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
113	Bexar County TX FOR bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
114	Cincinnati OH bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
115	Baltimore County MD bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
116	Tarrant Regional Water District bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
117	Phoenix, AZ Civic bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
118	UBS Cash Fund	Yes	\$1,000,001 - \$5,000,000		
119	Vereit Inc	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
120	Vanguard Prime Money Market Fund	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
121	AG Energy Partners II	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
122	Alpha Keys Asian Fund III	Yes	\$15,001 - \$50,000		
123	VIA Met Tran Auth TX	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
124	Hennepin County MN Sales bonds	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
125	Mesa AZ Utility Revenue bonds	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
126	Austin TX Indep School bonds	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
127	Wake County, NC bonds	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
128	Ohio State Water Development bonds	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
129	Vanguard Wellington Global	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
130	RLJ Lodging Trust	No	\$1,001 - \$15,000		None (or less than \$201)
131	iShares Currency Hedged Japan ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
132	iShares Europe Development Real Estate ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
133	iShares MSCI Europe Financials ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
134	Nuveen HG Income December 2019	Yes	\$15,001 - \$50,000		\$201 - \$1,000
135	Nuveen High Income December 2	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
136	Schwab Fundamental Emerging Markets		Yes	\$15,001 - \$50,000		\$201 - \$1,000
137	SPDR Euro Stock 50 ETF		Yes	\$1,001 - \$15,000		\$201 - \$1,000
138	Sproutt Resource Holding	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
139	Vanguard FTSE Emerging Markets ETF	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
140	Vanguard Real Estate ETF	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
141	Vanguard Total Institutional Stock ETF	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
142	WisdomTree Emerging Markets HG DIV ETF	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
143	Corona Norco CA Unified School District Bonds		No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AG Energy Partners II	Purchase	9/20/2017	\$250,001 - \$500,000
2	VIA Met Tran Auth TX	Purchase	2/1/2017	\$15,001 - \$50,000
3	Vanguard Wellington Global	Purchase	11/21/2017	\$100,001 - \$250,000
4	iShares Currency Hedged Japan ETF	Purchase	1/13/2017	\$15,001 - \$50,000
5	Nuveen HG Income December 2019	Purchase	11/30/2017	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
6	Alpha Keys Asian Fund III	Purchase	2/13/2017	\$15,001 - \$50,000
7	RLJ Lodging Trust	Purchase	9/1/2017	\$1,001 - \$15,000
8	iShares Europe Development Real Estate ETF	Purchase	6/14/2017	\$15,001 - \$50,000
9	iShares MSCI Europe Financials ETF	Purchase	4/26/2017	\$1,001 - \$15,000
10	Nuveen High Income December 2	Purchase	12/1/2017	\$15,001 - \$50,000
11	Schwab Fundamental Emerging Markets	Purchase	9/17/2017	\$15,001 - \$50,000
12	SPDR Euro Stock 50 ETF	Purchase	5/10/2017	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
6.	138	inadvertently omitted from previous reports
6.	139	inadvertently omitted from previous reports

PART	#	ENDNOTE
6.	140	inadvertently omitted from previous reports
6.	141	inadvertently omitted from previous report
6.	142	inadvertently omitted from previous reports

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)



#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---