

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Jones-Albertus, Rebecca

Acting Deputy Assistant Secretary for Renewable Energy, Department of Energy

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jones-Albertus, Rebecca [electronically signed on 05/13/2024 by Jones-Albertus, Rebecca in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ BOKHARI, ANIQUA, Certifying Official [electronically signed on 06/18/2024 by BOKHARI, ANIQUA in Integrity.gov]

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Other review conducted by

/s/ BOKHARI, ANIQUA, Ethics Official [electronically signed on 06/18/2024 by BOKHARI, ANIQUA in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 06/18/2024

## Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	11/16/2023	No	\$1,001 - \$15,000
2	FlexShares Core Select Bond Fund (BNDC)	Sale	11/16/2023	No	\$1,001 - \$15,000
3	Parnassus Endeavor Fund Investor Class Shares (PARWX)	Purchase	11/16/2023	No	\$1,001 - \$15,000
4	SPDR Portfolio Mortgage Backed Bond ETF (SPMB)	Purchase	11/16/2023	No	\$1,001 - \$15,000
5	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Sale	11/16/2023	No	\$1,001 - \$15,000
6	Artisan Developing World Fund Advisor Class Shares (APDYX)	Sale	06/21/2023	No	\$1,001 - \$15,000
7	Fidelity Advisor Small Cap Value Fund Class I Shares (FCVIX)	Purchase	06/21/2023	No	\$1,001 - \$15,000
8	Nuveen ESG Small-Cap ETF (NUSC)	Purchase	06/21/2023	No	\$1,001 - \$15,000
9	Parnassus Endeavor Fund Investor Class Shares (PARWX)	Sale	06/21/2023	No	\$1,001 - \$15,000
10	iShares MSCI USA ESG Select ETF (SUSA)	Purchase	06/21/2023	No	\$1,001 - \$15,000
11	SPDR S&P 500 ETF Trust (SPY)	Sale	06/21/2023	No	\$1,001 - \$15,000
12	FlexShares Core Select Bond Fund (BNDC)	Purchase	06/21/2023	No	\$1,001 - \$15,000
13	iShares Floating Rate Bond ETF (FLOT)	Sale	06/21/2023	No	\$1,001 - \$15,000
14	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Purchase	06/21/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
15	Nuveen ESG Small-Cap ETF (NUSC)	Purchase	05/23/2023	No	\$1,001 - \$15,000
16	iShares MSCI USA ESG Select ETF (SUSA)	Purchase	05/23/2023	No	\$1,001 - \$15,000
17	Franklin LibertyQ US Mid Cap Equity ETF (FLQM)	Purchase	05/23/2023	No	\$1,001 - \$15,000
18	Nuveen ESG Small-Cap ETF (NUSC)	Purchase	05/16/2023	No	\$1,001 - \$15,000
19	iShares MSCI USA ESG Select ETF (SUSA)	Purchase	05/16/2023	No	\$1,001 - \$15,000
20	Franklin LibertyQ US Mid Cap Equity ETF (FLQM)	Purchase	05/16/2023	No	\$1,001 - \$15,000
21	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	05/09/2023	No	\$1,001 - \$15,000
22	Etho Climate Leadership US ETF (ETHO)	Purchase	05/09/2023	No	\$1,001 - \$15,000
23	iShares Edge MSCI Intl Quality Factor ETF (IQLT)	Purchase	05/02/2023	No	\$1,001 - \$15,000
24	Fidelity Advisor Small Cap Value Fund Class I Shares (FCVIX)	Purchase	05/02/2023	No	\$1,001 - \$15,000
25	Parnassus Endeavor Fund Investor Class Shares (PARWX)	Purchase	04/24/2023	No	\$1,001 - \$15,000
26	Morgan Stanley Institutional Fund Trust International Advantage Portfolio Class I Shares (MFAIX)	Purchase	04/24/2023	No	\$1,001 - \$15,000
27	FlexShares Core Select Bond Fund (BNDC)	Purchase	04/24/2023	No	\$1,001 - \$15,000
28	Artisan Developing World Fund Advisor Class Shares (APDYX)	Purchase	04/24/2023	No	\$1,001 - \$15,000
29	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	04/18/2023	No	\$1,001 - \$15,000
30	Morgan Stanley Institutional Fund Trust International Advantage Portfolio Class I Shares (MFAIX)	Purchase	04/18/2023	No	\$1,001 - \$15,000
31	iShares MSCI USA ESG Select ETF (SUSA)	Purchase	04/18/2023	No	\$1,001 - \$15,000
32	Etho Climate Leadership US ETF (ETHO)	Purchase	04/18/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
33	FlexShares Core Select Bond Fund (BNDC)	Purchase	04/10/2023	No	\$1,001 - \$15,000
34	FlexShares Core Select Bond Fund (BNDC)	Purchase	04/10/2023	No	\$1,001 - \$15,000
35	Franklin Liberty High Yield Corporate ETF (FLHY)	Purchase	04/10/2023	No	\$1,001 - \$15,000
36	Parnassus Endeavor Fund Investor Class Shares (PARWX)	Purchase	04/10/2023	No	\$1,001 - \$15,000
37	Northern Trust Collective Russell 1000 Growth Index Fund DC Non-Lending Tier Four	Sale	09/01/2023	No	\$1,001 - \$15,000
38	JPMorgan Small Cap Equity Fund	Sale	09/01/2023	No	\$15,001 - \$50,000
39	T. Rowe Price Structured Research Common Trust Fund Class D	Sale	05/15/2023	No	\$1,001 - \$15,000
40	NORTHERN TRUST COLLECTIVE S&P 500 INDEX FUND - DC - NON LENDING - TIER 4	Sale	05/15/2023	No	\$1,001 - \$15,000
41	JPMorgan Small Cap Equity Fund	Sale	05/15/2023	No	\$1,001 - \$15,000
42	T. Rowe Price Structured Research Common Trust Fund Class D	Sale	02/01/2023	No	\$1,001 - \$15,000
43	Northern Trust EAFE Index Fund - DC - Non-Lending - Tier Four	Sale	02/01/2023	No	\$1,001 - \$15,000
44	Northern Trust Custom Emerging Markets Fund	Sale	02/01/2023	No	\$1,001 - \$15,000
45	Acadian All Country World ex US Equity CIT F	Purchase	10/23/2023	No	\$1,001 - \$15,000
46	Prudential Core Plus Bond Fund Class 5	Purchase	10/23/2023	No	\$1,001 - \$15,000
47	Eaton Vance Atlanta Capital SMID-Cap Fund Class R6	Purchase	10/20/2023	No	\$1,001 - \$15,000
48	Eaton Vance Atlanta Capital SMID-Cap Fund Class R6	Purchase	10/23/2023	No	\$1,001 - \$15,000
49	Northern Trust Collective Russell 1000 Growth Index Fund DC Non-Lending Tier Four	Purchase	10/23/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
50	Northern Trust Collective Extended Equity Market Index Fund - DC - Non-Lending - Tier Four	Purchase	10/23/2023	No	\$1,001 - \$15,000
51	Northern Trust Collective Extended Equity Market Index Fund - DC - Non-Lending - Tier Four	Purchase	09/01/2023	No	\$15,001 - \$50,000
52	T. Rowe Price Structured Research Common Trust Fund Class D	Purchase	09/01/2023	No	\$1,001 - \$15,000
53	NORTHERN TRUST COLLECTIVE S&P 500 INDEX FUND - DC - NON LENDING - TIER 4	Purchase	09/01/2023	No	\$1,001 - \$15,000
54	Northern Trust Aggregate Bond Index Fund - Non-Lending - Tier Four	Purchase	09/01/2023	No	\$1,001 - \$15,000
55	Northern Trust EAFE Index Fund - DC - Non-Lending - Tier Four	Purchase	09/01/2023	No	\$1,001 - \$15,000
56	Northern Trust Collective Russell 1000 Growth Index Fund DC Non-Lending Tier Four	Purchase	05/15/2023	No	\$1,001 - \$15,000
57	Northern Trust Aggregate Bond Index Fund - Non-Lending - Tier Four	Purchase	05/15/2023	No	\$1,001 - \$15,000
58	Northern Trust Aggregate Bond Index Fund - Non-Lending - Tier Four	Purchase	02/01/2023	No	\$1,001 - \$15,000
59	JPMorgan Small Cap Equity Fund Class R5 Shares (JSERX)	Purchase	02/01/2023	No	\$1,001 - \$15,000
60	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	12/28/2023	No	\$1,001 - \$15,000
61	Fidelity Blue Chip Growth Fund (FBGRX)	Sale	12/26/2023	No	\$1,001 - \$15,000
62	Fidelity Blue Chip Growth Fund (FBGRX)	Sale	12/15/2023	No	\$1,001 - \$15,000
63	Fidelity Blue Chip Growth Fund (FBGRX)	Sale	12/11/2023	No	\$1,001 - \$15,000
64	Fidelity Blue Chip Growth Fund (FBGRX)	Sale	11/29/2023	No	\$1,001 - \$15,000
65	Fidelity Blue Chip Growth Fund (FBGRX)	Sale	11/20/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
66	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	12/15/2023	No	\$1,001 - \$15,000
67	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	12/01/2023	No	\$1,001 - \$15,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5. U.S.C § 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate

agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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