

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Goldberg, Beth L

Director of Field Ecosystems, Office of Field Operations, SBA

Other Federal Government Positions Held During the Preceding 12 Months:

SES: (01/26/2015 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

[/s/ Beth Goldberg \[electronically signed by Beth Goldberg on 2024-05-14 13:38:22 in FDonline\]](#)

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

Other review conducted by:

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Auric Ventures, Ltd.	New York, NY, US	Consulting Firm	President	01/1988	Present
2	EKG Realty LLC	Marlboro, NJ, US	Real Estate	Partner	01/01/2009	Present
3	KF Finance	Marlboro, NJ, US	Real Estate	Partner	12/01/2009	Present
4	Irrevocable Trust by Sheldon Spring	Riverdale, NY, US	Family Trust	Trustee	01/01/1994	Present
5	Auric Properties Inc.	New York, NY, US	Real Estate Brokerage	President	05/25/1993	Present

2. Filer's Employment Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
1	(J) (Partnership) EKG Realty LLC Manalapan, NJ, US Rental Income	N /A			
1.1	(Cash)	No	\$50,001 - \$100,000	Rent or Royalties, Interest	\$15,001 - \$50,000
2	(J) (Partnership) KF Finance Marlboro, NJ, US Real Estate	N /A			
2.1	(Cash)	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	(Trade) Auric Ventures, Ltd New York, NY, US Consulting	N /A	\$250,001 - \$500,000		None (or less than \$201)
3.1	(Cash)	No	\$1,001 - \$15,000		None (or less than \$201)
3.2	(Other) Defined Contribution Plan - TD Ameritrade (2519 and 2813) Schwab (5258 and 5494)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500
4	(J) (IRE) Condominium Manalapan, NJ, US	N /A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Other Investment Vehicle) United Federation of Teachers Tax Deferred Annuity	N	/A		
1.1	(Other) owns units in Diversified Equity Fund	No	Over \$1,000,000*	Dividends, Interest, Capital Gains	None (or less than \$201)
2	(S) (Other) Daniel Meyer SEP Accounts TD(5913,6076)/ Schwab (7110, 8279)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
3	(S) (Pension) NYC Teachers Retirement System NYC Department of Education	N	value not readily ascertainable	Receives monthly pension annuity payments	\$0.00
4	(S) (Spouse EI) Private Practice Marlboro, NJ, US	N	/A		

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(IRA) TD Ameritrade (5907)/ Schwab (4509)		N/A		

1.1	(Cash)	No	value not readily ascertainable		None (or less than \$201)
2	(IRA) TD (5909) / Schwab (0946)	N/A			
2.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
3	(S) (IRA) TD Ameritrade (5912) / Schwab (8252)	N/A			
3.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
4	(IRA) TD Ameritrade 7704 / Schwab 5722	N/A			
4.1	(Cash)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
5	(IRA) TD Ameritrade 5768 / Schwab 2131	N/A			
5.1	(Cash)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6	(IRA) ML Inherited IRA - 48244 /Schwab 5722 and 2131	N/A			
6.1	(Cash)	No	value not readily ascertainable	Dividends, Capital Gains	None (or less than \$201)
7	(J) (Other) Beth Goldberg Meyer and Alan Goldberg (122 and 557)	No	value not readily ascertainable		None (or less than \$201)
8	(J) (Other) Irrevokable Trust of Sheldon Spring (ML415) Schwab (9994)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
9	(J) (Other) Beth Goldberg Meyer and Zachary G. Meyer TD 5905/Schwab 6424	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
10	(J) (Other) Beth Goldberg Meyer and Ilyssa R. Meyer TD 5904 /Schwab 5235	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
11	(S) (Other) Daniel A. Meyer TD 9902 and 6099 /Schwab 7118 and 3955	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$15,001 - \$50,000
12	(Other) Beth Goldberg Meyer TD 9898 / Schwab 4587	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
13	(J) (Other) Beth Goldberg Meyer & Daniel Meyer Jt TD 5135 /Schwab 6292	No	value not readily ascertainable	Dividends, Capital Gains	\$5,001 - \$15,000
14	(J) (Other) Beth Goldberg Meyer & Daniel A. Meyer TD 0421/ Schwab 1467	Yes	value not readily ascertainable		\$201 - \$1,000

15	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Stonetown 6 LLLP	Yes	value not readily ascertainable		\$2,501 - \$5,000
16	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Stonetown 7 LLLP	Yes	value not readily ascertainable		None (or less than \$201)
17	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Loci Capital	Yes	value not readily ascertainable		None (or less than \$201)
18	(S) (Other) Daniel Meyer (TD 0376) (Schwab 8117)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500
19	(Other) Beth Goldberg Meyer - HPI Fund	No	value not readily ascertainable	Capital Gains	None (or less than \$201)
20	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Peachtree Investment	No	value not readily ascertainable	Capital Gains	None (or less than \$201)
21	(Other) Beth Goldberg Meyer - Schwab 9994	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500
22	(S) (Bond) US Treasury	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
23	(Bond) US Treasury	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24	(J) (Cash Deposit/Savings) PNC Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
25	(J) (Cash Deposit/Savings) Investor's Savings Bank Marlboro, NJ, US checking accounts and liquid money markets	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	(J) (Cash Deposit/Savings) Chase Manhattan Bank New York, NY, US checking, savings accounts and annuity	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
27	(J) (Stock) NJR New Jersey Resource Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28	(J) (Stock) CFG Citizens Financial Group Inc/Ri	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AAPL - Apple Inc	purchase	04/18/2023	\$1,001 - \$15,000
2	ABBV - Abbvie Inc	sale	05/22/2023	\$1,001 - \$15,000

3	ABBV - Abbvie Inc	sale	02/28/2023	\$1,001 - \$15,000
4	ABC - Amerisourcebergen Corp	sale	05/22/2023	\$1,001 - \$15,000
5	ABT - Abbott Laboratories	purchase	11/15/2023	\$1,001 - \$15,000
6	ABT - Abbott Laboratories	sale	05/16/2023	\$1,001 - \$15,000
7	ABYIX - Abbey Capital Futures Strategy Fund- Class I Sha	sale	01/26/2023	\$15,001 - \$50,000
8	ACN - Accenture Plc	sale	12/13/2023	\$1,001 - \$15,000
9	ACN - Accenture Plc	purchase	06/06/2023	\$1,001 - \$15,000
10	ACN - Accenture Plc	purchase	05/22/2023	\$1,001 - \$15,000
11	ADAIX - Aqr Diversified Arbitrage Fund Class I	sale	08/03/2023	\$1,001 - \$15,000
12	ADAIX - Aqr Diversified Arbitrage Fund Class I	purchase	04/13/2023	\$1,001 - \$15,000
13	AJG - Arthur J. Gallagher & Company	purchase	03/17/2023	\$1,001 - \$15,000
14	ALL - Allstate Corp	sale	04/25/2023	\$1,001 - \$15,000
15	AMGN - Amgen Inc	purchase	04/25/2023	\$1,001 - \$15,000
16	AMZN - Amazon.com Inc	purchase	02/03/2023	\$1,001 - \$15,000
17	AMZN - Amazon.com Inc	sale	01/20/2023	\$1,001 - \$15,000
18	AON - AON Plc	purchase	04/25/2023	\$1,001 - \$15,000
19	ARES - Ares Management LP	purchase	03/17/2023	\$1,001 - \$15,000
20	ASHR - Xt Harvest CSI 300 China A-Shares ETF	sale	12/12/2023	\$1,001 - \$15,000
21	ATVI - Activision Blizzard	sale	09/22/2023	\$1,001 - \$15,000
22	ATVI - Activision Blizzard	purchase	03/17/2023	\$1,001 - \$15,000
23	ATVI - Activision Blizzard	sale	03/24/2023	\$1,001 - \$15,000
24	AUDC - Audiocodes Ltd	sale	11/02/2023	\$1,001 - \$15,000
25	AUDC - Audiocodes Ltd	purchase	08/01/2023	\$1,001 - \$15,000
26	AVGO - Broadcom Ltd	sale	05/16/2023	\$1,001 - \$15,000
27	AVGO - Broadcom Ltd	purchase	02/03/2023	\$1,001 - \$15,000
28	AVGO - Broadcom Ltd	sale	01/20/2023	\$1,001 - \$15,000
29	AXTA - Axalta Coating Systems Ltd	sale	07/17/2023	\$1,001 - \$15,000

30	AZO - Autozone	sale	05/22/2023	\$1,001 - \$15,000
31	AZO - Autozone	sale	01/20/2023	\$1,001 - \$15,000
32	BA - Boeing Company	purchase	11/15/2023	\$1,001 - \$15,000
33	BAC - Bank of America Corp	sale	07/17/2023	\$1,001 - \$15,000
34	BAC - Bank of America Corp	sale	05/22/2023	\$1,001 - \$15,000
35	BILPX - Blackrock Large Cap Srs Fds Blackrock Event Dri	sale	01/04/2023	\$1,001 - \$15,000
36	BILPX - Blackrock Large Cap Srs Fds Blackrock Event Dri	sale	01/04/2023	\$1,001 - \$15,000
37	BK - Bank of New York Mellon Corp	sale	05/08/2023	\$1,001 - \$15,000
38	BLK - Blackrock Inc	sale	05/08/2023	\$1,001 - \$15,000
39	BLPEX - American Funds Moderate Growth and Income Portfo	sale	05/30/2023	\$1,001 - \$15,000
40	BMY - Bristol-Myers Squibb Company	sale	05/18/2023	\$1,001 - \$15,000
41	BMY - Bristol-Myers Squibb Company	sale	03/24/2023	\$1,001 - \$15,000
42	BMY - Bristol-Myers Squibb Company	sale	02/03/2023	\$1,001 - \$15,000
43	BMY - Bristol-Myers Squibb Company	purchase	02/28/2023	\$1,001 - \$15,000
44	BP - BP Plc ADR	purchase	03/17/2023	\$1,001 - \$15,000
45	BPLEX - Boston Partners Long/Short Equity Fd Investor Cl	purchase	12/13/2023	\$1,001 - \$15,000
46	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	12/12/2023	\$1,001 - \$15,000
47	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	12/14/2023	\$1,001 - \$15,000
48	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	12/14/2023	\$1,001 - \$15,000
49	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	12/14/2023	\$1,001 - \$15,000
50	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	12/14/2023	\$1,001 - \$15,000
51	BPLSX - Boston Partners Long/Short Equity Fund Insti Cl	purchase	01/26/2023	\$15,001 - \$50,000
52	BRK.B - Berkshire Hathaway Cl B	sale	12/13/2023	\$1,001 - \$15,000
53	BRK.B - Berkshire Hathaway Cl B	sale	05/22/2023	\$1,001 - \$15,000
54	BRK.B - Berkshire Hathaway Cl B	sale	03/24/2023	\$1,001 - \$15,000
55	BRK.B - Berkshire Hathaway Cl B	sale	02/03/2023	\$1,001 - \$15,000
56	BTU - Peabody Energy Corp	purchase	02/28/2023	\$1,001 - \$15,000

57	C - Citigroup Inc	sale	05/16/2023	\$1,001 - \$15,000
58	CAT - Caterpillar Inc	sale	07/17/2023	\$1,001 - \$15,000
59	CAT - Caterpillar Inc	sale	07/26/2023	\$1,001 - \$15,000
60	CCI - Crown Castle Inc	sale	05/18/2023	\$1,001 - \$15,000
61	CE - Celanese Corp	sale	05/08/2023	\$1,001 - \$15,000
62	CI - Cigna Corp	sale	05/22/2023	\$1,001 - \$15,000
63	CI - The Cigna Group	sale	12/13/2023	\$1,001 - \$15,000
64	CMCSA - Comcast Corp A	sale	10/13/2023	\$1,001 - \$15,000
65	CMNIX - Calamos Market Neutral Fd CI I	purchase	12/12/2023	\$1,001 - \$15,000
66	CMNIX - Calamos Market Neutral Fd CI I	purchase	06/30/2023	\$1,001 - \$15,000
67	CNC - Centene Corp	sale	03/24/2023	\$1,001 - \$15,000
68	CNC - Centene Corp	sale	02/28/2023	\$1,001 - \$15,000
69	CNI - Canadian National Railway	sale	12/13/2023	\$1,001 - \$15,000
70	CNI - Canadian National Railway	purchase	05/22/2023	\$1,001 - \$15,000
71	CNQ - Canadian Natural Resources	sale	05/22/2023	\$1,001 - \$15,000
72	COP - Conocophillips	sale	03/24/2023	\$1,001 - \$15,000
73	COP - Conocophillips	sale	02/28/2023	\$1,001 - \$15,000
74	CRH - CRH Plc ADR	exchange	09/15/2023	\$1,001 - \$15,000
75	CRH - CRH Plc ADR	exchange	09/20/2023	\$1,001 - \$15,000
76	CRWD - CrowdStrike Holdings Inc	purchase	06/16/2023	\$1,001 - \$15,000
77	CSCO - Cisco Systems Inc	sale	12/13/2023	\$1,001 - \$15,000
78	CSCO - Cisco Systems Inc	sale	05/22/2023	\$1,001 - \$15,000
79	CSCO - Cisco Systems Inc	sale	03/24/2023	\$1,001 - \$15,000
80	CSX - CSX Corp	sale	05/08/2023	\$1,001 - \$15,000
81	CSX - CSX Corp	purchase	04/25/2023	\$1,001 - \$15,000
82	CTAS - Cintas Corp	purchase	12/07/2023	\$1,001 - \$15,000
83	CTVA - Corteva Inc	purchase	03/17/2023	\$1,001 - \$15,000

84	CVS - CVS Corp	sale	12/13/2023	\$50,001 - \$100,000
85	CVS - CVS Corp	sale	09/22/2023	\$1,001 - \$15,000
86	CVS - CVS Corp	sale	04/25/2023	\$1,001 - \$15,000
87	CVS - CVS Corp	sale	03/24/2023	\$1,001 - \$15,000
88	DBLTX - Doubleline Total Return Bond Fund Class I	purchase	02/06/2023	\$1,001 - \$15,000
89	DE - Deere & Company	sale	11/15/2023	\$1,001 - \$15,000
90	DELL - Dell Technologies Inc	purchase	04/25/2023	\$1,001 - \$15,000
91	DFS - Discover Financial Services	purchase	09/22/2023	\$1,001 - \$15,000
92	DFS - Discover Financial Services	purchase	02/28/2023	\$1,001 - \$15,000
93	DODFX - Dodge & Cox International Stock Fund	purchase	01/04/2023	\$1,001 - \$15,000
94	DODIX - Dodge & Cox Income Fund	sale	10/05/2023	\$1,001 - \$15,000
95	DODIX - Dodge & Cox Income Fund	sale	10/05/2023	\$1,001 - \$15,000
96	DODIX - Dodge & Cox Income Fund	purchase	04/13/2023	\$1,001 - \$15,000
97	DODIX - Dodge & Cox Income Fund	purchase	04/13/2023	\$1,001 - \$15,000
98	DOW - Dow Inc	sale	05/18/2023	\$1,001 - \$15,000
99	DOX - Amdocs Ltd Ord	sale	12/13/2023	\$1,001 - \$15,000
100	DOX - Amdocs Ltd Ord	purchase	05/22/2023	\$1,001 - \$15,000
101	DVN - Devon Energy Corp	sale	04/25/2023	\$1,001 - \$15,000
102	DVN - Devon Energy Corp	sale	03/17/2023	\$1,001 - \$15,000
103	DVY - Select Dividend Ishares ETF	sale	02/01/2023	\$1,001 - \$15,000
104	DVY - Select Dividend Ishares ETF	sale	02/01/2023	\$1,001 - \$15,000
105	DVY - Select Dividend Ishares ETF	sale	01/04/2023	\$1,001 - \$15,000
106	DVY - Select Dividend Ishares ETF	sale	01/18/2023	\$1,001 - \$15,000
107	DVY - Select Dividend Ishares ETF	sale	01/19/2023	\$1,001 - \$15,000
108	EFV - EAFE Value Ishares MSCI ETF	sale	07/25/2023	\$1,001 - \$15,000
109	EFV - EAFE Value Ishares MSCI ETF	purchase	01/04/2023	\$1,001 - \$15,000
110	EMN - Eastman Chemical Company	sale	05/08/2023	\$1,001 - \$15,000

111	EMR - Emerson Electric Company	sale	05/08/2023	\$1,001 - \$15,000
112	EOG - Eog Resources	sale	03/17/2023	\$1,001 - \$15,000
113	ESNT - Essent Group Ltd	sale	12/13/2023	\$1,001 - \$15,000
114	ESNT - Essent Group Ltd	purchase	11/02/2023	\$1,001 - \$15,000
115	ESNT - Essent Group Ltd	sale	08/01/2023	\$1,001 - \$15,000
116	ESNT - Essent Group Ltd	sale	06/06/2023	\$1,001 - \$15,000
117	ESNT - Essent Group Ltd	purchase	05/22/2023	\$1,001 - \$15,000
118	EVRG - Evergy Inc	sale	05/16/2023	\$1,001 - \$15,000
119	EWZ - Brazil Ishares MSCI ETF	purchase	07/25/2023	\$1,001 - \$15,000
120	FE - Firstenergy Corp	sale	05/16/2023	\$1,001 - \$15,000
121	FIS - Fidelity National Information Services	sale	03/17/2023	\$1,001 - \$15,000
122	FITB - Fifth Third Bancorp	sale	05/16/2023	\$1,001 - \$15,000
123	FNF - Fidelity National Financial	sale	05/16/2023	\$1,001 - \$15,000
124	GD - General Dynamics Corp	sale	05/16/2023	\$1,001 - \$15,000
125	GDX - Vaneck Gold Miners ETF	sale	12/13/2023	\$1,001 - \$15,000
126	GDX - Vaneck Gold Miners ETF	purchase	06/30/2023	\$1,001 - \$15,000
127	GDX - Vaneck Gold Miners ETF	purchase	03/01/2023	\$1,001 - \$15,000
128	GDX - Vaneck Gold Miners ETF	purchase	03/01/2023	\$1,001 - \$15,000
129	GDX - Vaneck Gold Miners ETF	sale	02/06/2023	\$1,001 - \$15,000
130	GDX - Vaneck Gold Miners ETF	sale	02/06/2023	\$1,001 - \$15,000
131	GDX - Vaneck Gold Miners ETF	sale	02/06/2023	\$1,001 - \$15,000
132	GDX - Vaneck Gold Miners ETF	sale	02/21/2023	\$1,001 - \$15,000
133	GLD - Gold SPDR	sale	12/13/2023	\$1,001 - \$15,000
134	GLD - Gold SPDR	sale	02/06/2023	\$1,001 - \$15,000
135	GLD - Gold SPDR	sale	01/11/2023	\$1,001 - \$15,000
136	GLPI - Gaming & Leisure	sale	05/16/2023	\$1,001 - \$15,000
137	GOOG - Alphabet Cl C	sale	03/24/2023	\$1,001 - \$15,000

138	GOOG - Alphabet Cl C	sale	02/03/2023	\$1,001 - \$15,000
139	GOOGL - Alphabet Cl A	sale	12/13/2023	\$1,001 - \$15,000
140	GOOGL - Alphabet Cl A	sale	07/17/2023	\$1,001 - \$15,000
141	GPN - Global Payments Inc	purchase	07/17/2023	\$1,001 - \$15,000
142	GPN - Global Payments Inc	sale	03/17/2023	\$1,001 - \$15,000
143	GPN - Global Payments Inc	sale	02/28/2023	\$1,001 - \$15,000
144	GRMN - Garmin Ltd	sale	12/13/2023	\$1,001 - \$15,000
145	GRMN - Garmin Ltd	purchase	05/22/2023	\$1,001 - \$15,000
146	HAL - Halliburton Company	sale	11/15/2023	\$1,001 - \$15,000
147	HAL - Halliburton Company	purchase	04/25/2023	\$1,001 - \$15,000
148	HAL - Halliburton Company	purchase	02/28/2023	\$1,001 - \$15,000
149	HBAN - Huntington Bcshs	sale	05/08/2023	\$1,001 - \$15,000
150	HD - Home Depot	sale	10/13/2023	\$1,001 - \$15,000
151	HPQ - Hp Inc	sale	05/18/2023	\$1,001 - \$15,000
152	HWM - Howmet Aerospace Inc	sale	05/22/2023	\$1,001 - \$15,000
153	IBB - Nasdaq Biotechnology Ishares ETF	sale	12/13/2023	\$1,001 - \$15,000
154	IBB - Nasdaq Biotechnology Ishares ETF	purchase	05/22/2023	\$1,001 - \$15,000
155	IBB - Nasdaq Biotechnology Ishares ETF	sale	03/10/2023	\$1,001 - \$15,000
156	IBB - Nasdaq Biotechnology Ishares ETF	sale	03/10/2023	\$1,001 - \$15,000
157	IBB - Nasdaq Biotechnology Ishares ETF	sale	03/15/2023	\$1,001 - \$15,000
158	IBB - Nasdaq Biotechnology Ishares ETF	purchase	01/04/2023	\$1,001 - \$15,000
159	IBM - International Business Machines	sale	05/16/2023	\$1,001 - \$15,000
160	IGV - North American Tech-Software Ishares ETF	sale	08/21/2023	\$1,001 - \$15,000
161	IGV - North American Tech-Software Ishares ETF	sale	08/21/2023	\$1,001 - \$15,000
162	IGV - North American Tech-Software Ishares ETF	purchase	06/15/2023	\$1,001 - \$15,000
163	IGV - North American Tech-Software Ishares ETF	purchase	06/15/2023	\$1,001 - \$15,000
164	IGV - North American Tech-Software Ishares ETF	sale	03/09/2023	\$15,001 - \$50,000

165	IGV - North American Tech-Software Ishares ETF	sale	03/10/2023	\$1,001 - \$15,000
166	IGV - North American Tech-Software Ishares ETF	purchase	01/27/2023	\$1,001 - \$15,000
167	IGV - North American Tech-Software Ishares ETF	purchase	01/27/2023	\$15,001 - \$50,000
168	IJJ - S&P Mid-Cap 400 Value Ishares ETF	sale	11/02/2023	\$1,001 - \$15,000
169	IJJ - S&P Mid-Cap 400 Value Ishares ETF	purchase	10/05/2023	\$1,001 - \$15,000
170	IJJ - S&P Mid-Cap 400 Value Ishares ETF	sale	07/03/2023	\$1,001 - \$15,000
171	IJJ - S&P Mid-Cap 400 Value Ishares ETF	sale	05/04/2023	\$1,001 - \$15,000
172	IJJ - S&P Mid-Cap 400 Value Ishares ETF	purchase	05/22/2023	\$1,001 - \$15,000
173	IJJ - S&P Mid-Cap 400 Value Ishares ETF	sale	04/06/2023	\$1,001 - \$15,000
174	IJJ - S&P Mid-Cap 400 Value Ishares ETF	sale	03/24/2023	\$1,001 - \$15,000
175	IJJ - S&P Mid-Cap 400 Value Ishares ETF	sale	03/24/2023	\$1,001 - \$15,000
176	IJJ - S&P Mid-Cap 400 Value Ishares ETF	purchase	02/01/2023	\$1,001 - \$15,000
177	IJJ - S&P Mid-Cap 400 Value Ishares ETF	purchase	02/01/2023	\$1,001 - \$15,000
178	IJK - S&P Mid-Cap 400 Growth Ishares ETF	sale	09/07/2023	\$1,001 - \$15,000
179	IJK - S&P Mid-Cap 400 Growth Ishares ETF	sale	09/07/2023	\$1,001 - \$15,000
180	IJK - S&P Mid-Cap 400 Growth Ishares ETF	purchase	07/03/2023	\$1,001 - \$15,000
181	IJK - S&P Mid-Cap 400 Growth Ishares ETF	sale	06/06/2023	\$1,001 - \$15,000
182	IJK - S&P Mid-Cap 400 Growth Ishares ETF	purchase	05/22/2023	\$1,001 - \$15,000
183	IJK - S&P Midcap 400 Growth Ishares ETF	purchase	12/04/2023	\$1,001 - \$15,000
184	IJK - S&P Midcap 400 Growth Ishares ETF	sale	12/13/2023	\$1,001 - \$15,000
185	IJR - S&P Small-Cap Ishares Core ETF	purchase	11/02/2023	\$1,001 - \$15,000
186	IJR - S&P Small-Cap Ishares Core ETF	sale	07/03/2023	\$1,001 - \$15,000
187	IJR - S&P Small-Cap Ishares Core ETF	purchase	06/06/2023	\$1,001 - \$15,000
188	IJR - S&P Smallcap Ishares Core ETF	sale	12/04/2023	\$1,001 - \$15,000
189	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	11/14/2023	\$1,001 - \$15,000
190	IJS - S&P Small-Cap 600 Value Ishares ETF	sale	09/11/2023	\$1,001 - \$15,000
191	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	10/05/2023	\$1,001 - \$15,000

192	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	06/12/2023	\$1,001 - \$15,000
193	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	01/04/2023	\$1,001 - \$15,000
194	IJS - S&P Small-Cap 600 Value Ishares ETF	purchase	01/18/2023	\$1,001 - \$15,000
195	IJS - S&P Smallcap 600 Value Ishares ETF	sale	12/13/2023	\$1,001 - \$15,000
196	INFY - Infosys Ltd ADR	sale	12/13/2023	\$1,001 - \$15,000
197	INFY - Infosys Ltd ADR	purchase	05/22/2023	\$1,001 - \$15,000
198	INTC - Intel Corp	sale	03/17/2023	\$1,001 - \$15,000
199	ITOT - S&P Total US Stock Market Ishares Core ETF	purchase	12/13/2023	\$1,001 - \$15,000
200	ITOT - S&P Total US Stock Market Ishares Core ETF	purchase	11/03/2023	\$15,001 - \$50,000
201	ITOT - S&P Total US Stock Market Ishares Core ETF	purchase	05/08/2023	\$15,001 - \$50,000
202	IVE - S&P 500 Value Ishares ETF	purchase	12/04/2023	\$1,001 - \$15,000
203	IVE - S&P 500 Value Ishares ETF	sale	12/13/2023	\$1,001 - \$15,000
204	IVE - S&P 500 Value Ishares ETF	sale	10/05/2023	\$1,001 - \$15,000
205	IVE - S&P 500 Value Ishares ETF	purchase	07/03/2023	\$1,001 - \$15,000
206	IWM - Russell 2000 Ishares ETF	sale	08/09/2023	\$1,001 - \$15,000
207	IWM - Russell 2000 Ishares ETF	sale	07/31/2023	\$1,001 - \$15,000
208	IWM - Russell 2000 Ishares ETF	purchase	06/13/2023	\$1,001 - \$15,000
209	IWM - Russell 2000 Ishares ETF	purchase	06/30/2023	\$1,001 - \$15,000
210	IWO - Russell 2000 Growth Ishares ETF	sale	10/13/2023	\$1,001 - \$15,000
211	IWO - Russell 2000 Growth Ishares ETF	sale	09/11/2023	\$1,001 - \$15,000
212	IWO - Russell 2000 Growth Ishares ETF	sale	08/03/2023	\$1,001 - \$15,000
213	IWO - Russell 2000 Growth Ishares ETF	sale	08/03/2023	\$1,001 - \$15,000
214	IWO - Russell 2000 Growth Ishares ETF	sale	08/15/2023	\$1,001 - \$15,000
215	IWO - Russell 2000 Growth Ishares ETF	sale	08/17/2023	\$1,001 - \$15,000
216	IWO - Russell 2000 Growth Ishares ETF	purchase	07/12/2023	\$1,001 - \$15,000
217	IWO - Russell 2000 Growth Ishares ETF	purchase	06/12/2023	\$1,001 - \$15,000
218	IWO - Russell 2000 Growth Ishares ETF	purchase	06/12/2023	\$1,001 - \$15,000

219	IWO - Russell 2000 Growth Ishares ETF	purchase	06/12/2023	\$1,001 - \$15,000
220	IWO - Russell 2000 Growth Ishares ETF	purchase	06/12/2023	\$1,001 - \$15,000
221	J - Jacobs Engineering Group Inc	purchase	12/13/2023	\$1,001 - \$15,000
222	JNJ - Johnson & Johnson	sale	12/13/2023	\$1,001 - \$15,000
223	JNJ - Johnson & Johnson	sale	09/22/2023	\$1,001 - \$15,000
224	JNJ - Johnson & Johnson	sale	05/22/2023	\$1,001 - \$15,000
225	JNJ - Johnson & Johnson	sale	04/25/2023	\$1,001 - \$15,000
226	JNJ - Johnson & Johnson	sale	03/24/2023	\$1,001 - \$15,000
227	JNJ - Johnson & Johnson	sale	02/03/2023	\$1,001 - \$15,000
228	JPM - JP Morgan Chase & Company	sale	05/22/2023	\$1,001 - \$15,000
229	JPM - JP Morgan Chase & Company	sale	03/24/2023	\$1,001 - \$15,000
230	JPM - JP Morgan Chase & Company	sale	02/03/2023	\$1,001 - \$15,000
231	JPM - JP Morgan Chase & Company	sale	02/28/2023	\$1,001 - \$15,000
232	KDP - Keurig Dr Pepper Inc	sale	12/13/2023	\$1,001 - \$15,000
233	KDP - Keurig Dr Pepper Inc	sale	03/24/2023	\$1,001 - \$15,000
234	KEY - Keycorp	sale	03/17/2023	\$1,001 - \$15,000
235	KGIX - Kopernik Global All-Cap Fund- Institutional Clas	purchase	12/28/2023	\$1,001 - \$15,000
236	KGIX - Kopernik Global All-Cap Fund- Institutional Clas	purchase	02/27/2023	\$1,001 - \$15,000
237	KGIX - Kopernik Global All-Cap Fund- Institutional Clas	purchase	02/27/2023	\$1,001 - \$15,000
238	KGIX - Kopernik Global All-Cap Fund- Institutional Clas	purchase	02/27/2023	\$15,001 - \$50,000
239	KGIX - Kopernik International Fund Class I	sale	02/27/2023	\$15,001 - \$50,000
240	KGIX - Kopernik International Fund Class I	sale	02/27/2023	\$1,001 - \$15,000
241	KGIX - Kopernik International Fund Class I	sale	02/27/2023	\$1,001 - \$15,000
242	KGIX - Kopernik International Fund Class I	purchase	01/04/2023	\$1,001 - \$15,000
243	KGIX - Kopernik International Fund Class I	purchase	01/20/2023	\$1,001 - \$15,000
244	KR - Kroger Company	sale	09/22/2023	\$1,001 - \$15,000
245	KR - Kroger Company	purchase	02/28/2023	\$1,001 - \$15,000

246	LAMR - Lamar Advertis A	sale	05/16/2023	\$1,001 - \$15,000
247	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	sale	10/19/2023	\$1,001 - \$15,000
248	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	sale	10/19/2023	\$1,001 - \$15,000
249	LFRIX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	sale	10/19/2023	\$1,001 - \$15,000
250	LFRIX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	sale	10/19/2023	\$1,001 - \$15,000
251	LISAX - Lord Abbett Intermediate Tax-Free Fund Class A	sale	11/27/2023	\$100,001 - \$250,000
252	LOGI - Logitech Int S.A.	sale	12/13/2023	\$1,001 - \$15,000
253	LOGI - Logitech Int S.A.	purchase	05/22/2023	\$1,001 - \$15,000
254	LRNZ - Truemark Technology Ai & Deep Learning ETF	purchase	11/15/2023	\$1,001 - \$15,000
255	LRNZ - Truemark Technology Ai & Deep Learning ETF	purchase	09/11/2023	\$1,001 - \$15,000
256	LRNZ - Truemark Technology Ai & Deep Learning ETF	purchase	09/28/2023	\$1,001 - \$15,000
257	LRNZ - Truemark Technology Ai & Deep Learning ETF	purchase	08/23/2023	\$1,001 - \$15,000
258	LSDAX - Lord Abbett Short Duration Tax Free Fund Class A	sale	11/27/2023	\$100,001 - \$250,000
259	LZEMX - Lazard Emerging Markets Equity Portfolio Institu	purchase	12/21/2023	\$1,001 - \$15,000
260	LZEMX - Lazard Emerging Markets Equity Portfolio Institu	purchase	01/04/2023	\$1,001 - \$15,000
261	LZEMX - Lazard Emerging Markets Equity Portfolio Institu	purchase	01/09/2023	\$15,001 - \$50,000
262	LZEMX - Lazard Emerging Markets Equity Portfolio Institu	purchase	01/20/2023	\$1,001 - \$15,000
263	MBXIX - Catalyst/Millburn Hedge Strategy Fund Class I	sale	06/30/2023	\$1,001 - \$15,000
264	MBXIX - Catalyst/Millburn Hedge Strategy Fund Class I	sale	06/30/2023	\$1,001 - \$15,000
265	MDT - Medtronic Inc	sale	05/18/2023	\$1,001 - \$15,000
266	MDY - S&P Midcap 400 SPDR	purchase	09/07/2023	\$1,001 - \$15,000
267	MDY - S&P Midcap 400 SPDR	purchase	09/07/2023	\$1,001 - \$15,000
268	MDY - S&P Midcap 400 SPDR	sale	10/05/2023	\$1,001 - \$15,000
269	MERIX - The Merger Fund Institutional CI	sale	04/13/2023	\$1,001 - \$15,000
270	MERIX - The Merger Fund Institutional CI	sale	03/20/2023	\$15,001 - \$50,000
271	MIXMX - Mainstay Short Term Bond Fund Simple Class	purchase	02/06/2023	\$1,001 - \$15,000
272	MO - Altria Group	sale	05/16/2023	\$1,001 - \$15,000

273	MPC - Marathon Petroleum Corp	sale	12/13/2023	\$1,001 - \$15,000
274	MPC - Marathon Petroleum Corp	sale	03/24/2023	\$1,001 - \$15,000
275	MPC - Marathon Petroleum Corp	sale	02/28/2023	\$1,001 - \$15,000
276	MS - Morgan Stanley	purchase	09/22/2023	\$1,001 - \$15,000
277	MSFT - Microsoft Corp	purchase	02/03/2023	\$1,001 - \$15,000
278	MSFT - Microsoft Corp	sale	01/20/2023	\$1,001 - \$15,000
279	NEFZX - Loomis Sayles Funds - Strategic Income Fund Clas	purchase	12/14/2023	\$1,001 - \$15,000
280	NFLX - Netflix Inc	purchase	02/03/2023	\$1,001 - \$15,000
281	NOW - Servicenow Inc	purchase	10/17/2023	\$1,001 - \$15,000
282	NVDA - Nvidia Corp	purchase	02/03/2023	\$1,001 - \$15,000
283	NVO - Novo Nordisk A/S ADR	purchase	05/22/2023	\$1,001 - \$15,000
284	NVS - Novartis Ag ADR	sale	12/13/2023	\$1,001 - \$15,000
285	NVS - Novartis Ag ADR	exchange	10/03/2023	\$1,001 - \$15,000
286	NVS - Novartis Ag ADR	exchange	10/03/2023	\$1,001 - \$15,000
287	NVS - Novartis Ag ADR	purchase	05/22/2023	\$1,001 - \$15,000
288	OAKIX - Oakmark International Fund Investor Class	purchase	01/23/2023	\$1,001 - \$15,000
289	OMC - Omnicom Group Inc	purchase	07/17/2023	\$1,001 - \$15,000
290	Orbotech Ltd	exchange	09/15/2023	\$1,001 - \$15,000
291	Orbotech Ltd	exchange	09/20/2023	\$1,001 - \$15,000
292	ORCL - Oracle Corp	purchase	12/13/2023	\$1,001 - \$15,000
293	OTIS - Otis Worldwide Corp	sale	02/28/2023	\$1,001 - \$15,000
294	PANW - Palo Alto Networks Inc	purchase	05/22/2023	\$1,001 - \$15,000
295	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	sale	02/06/2023	\$1,001 - \$15,000
296	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	sale	02/06/2023	\$1,001 - \$15,000
297	PEAK - Healthpeak Properties Inc	sale	05/08/2023	\$1,001 - \$15,000
298	PEG - Public Service Enterprise Group Inc	sale	05/16/2023	\$1,001 - \$15,000
299	PEP - Pepsico Inc	sale	12/13/2023	\$1,001 - \$15,000

300	PFE - Pfizer Inc	sale	10/13/2023	\$1,001 - \$15,000
301	PLD - Prologis Inc	sale	05/16/2023	\$1,001 - \$15,000
302	PM - Philip Morris International Inc	purchase	11/15/2023	\$1,001 - \$15,000
303	PM - Philip Morris International Inc	purchase	07/17/2023	\$1,001 - \$15,000
304	PNC - PNC Bank	sale	05/16/2023	\$1,001 - \$15,000
305	PNR - Pentair Ltd	sale	11/02/2023	\$1,001 - \$15,000
306	PNR - Pentair Ltd	purchase	05/22/2023	\$1,001 - \$15,000
307	PRU - Prudential Financial Inc	sale	05/22/2023	\$1,001 - \$15,000
308	PSHAX - Pimco Fds Short-Term CI A	purchase	12/14/2023	\$1,001 - \$15,000
309	PSHAX - Pimco Fds Short-Term CI A	purchase	12/14/2023	\$1,001 - \$15,000
310	PSX - Phillips 66	purchase	12/13/2023	\$1,001 - \$15,000
311	PUTIX - Pimco Strategic Bond Fund Class Instl	sale	04/13/2023	\$1,001 - \$15,000
312	PUTIX - Pimco Strategic Bond Fund Class Instl	sale	04/13/2023	\$1,001 - \$15,000
313	PXD - Pioneer Natural Resources Company	sale	12/13/2023	\$1,001 - \$15,000
314	PXD - Pioneer Natural Resources Company	sale	05/22/2023	\$1,001 - \$15,000
315	PXD - Pioneer Natural Resources Company	sale	02/28/2023	\$1,001 - \$15,000
316	PYPL - Paypal Holdings	sale	12/13/2023	\$1,001 - \$15,000
317	PYPL - Paypal Holdings	sale	10/13/2023	\$1,001 - \$15,000
318	PYPL - Paypal Holdings	purchase	06/15/2023	\$1,001 - \$15,000
319	PYPL - Paypal Holdings	purchase	06/15/2023	\$1,001 - \$15,000
320	QCOM - Qualcomm Inc	sale	03/24/2023	\$1,001 - \$15,000
321	QQEW - Nasdaq 100 EW Index Fund	sale	09/11/2023	\$1,001 - \$15,000
322	QQEW - Nasdaq 100 EW Index Fund	sale	10/06/2023	\$1,001 - \$15,000
323	QQEW - Nasdaq 100 EW Index Fund	sale	10/06/2023	\$1,001 - \$15,000
324	QQEW - Nasdaq 100 EW Index Fund	sale	08/03/2023	\$1,001 - \$15,000
325	QQEW - Nasdaq 100 EW Index Fund	sale	08/15/2023	\$1,001 - \$15,000
326	QQEW - Nasdaq 100 EW Index Fund	purchase	05/30/2023	\$1,001 - \$15,000

327	QQEW - Nasdaq 100 EW Index Fund	purchase	05/30/2023	\$1,001 - \$15,000
328	QQEW - Nasdaq 100 EW Index Fund	sale	02/28/2023	\$15,001 - \$50,000
329	QQEW - Nasdaq 100 EW Index Fund	sale	01/19/2023	\$1,001 - \$15,000
330	QQQE - Nasdaq 100 EW Index Direxion	purchase	12/13/2023	\$1,001 - \$15,000
331	QQQE - Nasdaq 100 EW Index Direxion	purchase	05/30/2023	\$1,001 - \$15,000
332	QRVO - Qorvo Inc	sale	02/28/2023	\$1,001 - \$15,000
333	RE - Everest Re Group	sale	03/17/2023	\$1,001 - \$15,000
334	RING - Ishares Global Gold Miners ETF	sale	06/15/2023	\$1,001 - \$15,000
335	RING - Ishares Global Gold Miners ETF	purchase	01/04/2023	\$1,001 - \$15,000
336	RSP - S&P 500 EW Invesco ETF	sale	12/04/2023	\$1,001 - \$15,000
337	RSP - S&P 500 EW Invesco ETF	purchase	12/04/2023	\$15,001 - \$50,000
338	RSP - S&P 500 EW Invesco ETF	purchase	12/13/2023	\$15,001 - \$50,000
339	RSP - S&P 500 EW Invesco ETF	purchase	12/13/2023	\$15,001 - \$50,000
340	RSP - S&P 500 EW Invesco ETF	purchase	12/13/2023	\$1,001 - \$15,000
341	RSP - S&P 500 EW Invesco ETF	sale	12/18/2023	\$1,001 - \$15,000
342	RSP - S&P 500 EW Invesco ETF	purchase	12/18/2023	\$1,001 - \$15,000
343	RSP - S&P 500 EW Invesco ETF	purchase	11/02/2023	\$1,001 - \$15,000
344	RSP - S&P 500 EW Invesco ETF	purchase	10/05/2023	\$1,001 - \$15,000
345	RSP - S&P 500 EW Invesco ETF	sale	09/18/2023	\$1,001 - \$15,000
346	RSP - S&P 500 EW Invesco ETF	sale	09/28/2023	\$1,001 - \$15,000
347	RSP - S&P 500 EW Invesco ETF	sale	09/28/2023	\$1,001 - \$15,000
348	RSP - S&P 500 EW Invesco ETF	sale	08/03/2023	\$1,001 - \$15,000
349	RSP - S&P 500 EW Invesco ETF	purchase	07/31/2023	\$1,001 - \$15,000
350	RSP - S&P 500 EW Invesco ETF	sale	06/12/2023	\$1,001 - \$15,000
351	RSP - S&P 500 EW Invesco ETF	purchase	05/08/2023	\$1,001 - \$15,000
352	RTX - Raytheon Technologies Corp	purchase	09/22/2023	\$1,001 - \$15,000
353	RTX - Raytheon Technologies Corp	sale	05/08/2023	\$1,001 - \$15,000

354	RYPFX - Royce Pennsylvania Mutual Fund Service Class	purchase	12/14/2023	\$1,001 - \$15,000
355	RYPFX - Royce Pennsylvania Mutual Fund Service Class	purchase	06/08/2023	\$1,001 - \$15,000
356	RYPFX - Royce Pennsylvania Mutual Fund Service Class	purchase	05/17/2023	\$1,001 - \$15,000
357	SCHW - The Charles Schwab Corp	sale	04/25/2023	\$1,001 - \$15,000
358	SCHW - The Charles Schwab Corp	sale	03/24/2023	\$1,001 - \$15,000
359	SCHW - The Charles Schwab Corp	sale	02/28/2023	\$1,001 - \$15,000
360	SDY - S&P Dividend SPDR	sale	03/24/2023	\$1,001 - \$15,000
361	SDZNY Sandoz Group AG Spons ADS	exchange	10/03/2023	\$1,001 - \$15,000
362	SGOV - Ishares 0-3 Month Treasury Bond ETF	purchase	12/12/2023	\$1,001 - \$15,000
363	SGOV - Ishares 0-3 Month Treasury Bond ETF	sale	12/14/2023	\$1,001 - \$15,000
364	SGOV - Ishares 0-3 Month Treasury Bond ETF	sale	12/14/2023	\$1,001 - \$15,000
365	SGOV - Ishares 0-3 Month Treasury Bond ETF	purchase	10/05/2023	\$1,001 - \$15,000
366	SGOV - Ishares 0-3 Month Treasury Bond ETF	purchase	10/05/2023	\$1,001 - \$15,000
367	SIL - Gx Silver Miners ETF	sale	06/15/2023	\$1,001 - \$15,000
368	SIL - Gx Silver Miners ETF	sale	02/01/2023	\$1,001 - \$15,000
369	SIL - Gx Silver Miners ETF	sale	02/06/2023	\$1,001 - \$15,000
370	SLB - Schlumberger N.V.	sale	02/28/2023	\$1,001 - \$15,000
371	SLV - Silver Trust Ishares	sale	09/18/2023	\$1,001 - \$15,000
372	SLV - Silver Trust Ishares	sale	10/02/2023	\$1,001 - \$15,000
373	SLV - Silver Trust Ishares	sale	06/30/2023	\$1,001 - \$15,000
374	SLV - Silver Trust Ishares	purchase	03/23/2023	\$1,001 - \$15,000
375	SLV - Silver Trust Ishares	purchase	03/23/2023	\$1,001 - \$15,000
376	SLV - Silver Trust Ishares	sale	02/06/2023	\$1,001 - \$15,000
377	SLV - Silver Trust Ishares	purchase	01/04/2023	\$1,001 - \$15,000
378	SLV - Silver Trust Ishares	purchase	01/04/2023	\$1,001 - \$15,000
379	SNY - Sanofi-Aventis S.A. ADR	sale	05/22/2023	\$1,001 - \$15,000
380	SNY - Sanofi-Aventis S.A. ADR	sale	03/24/2023	\$1,001 - \$15,000

381	SO - Southern Company	sale	06/15/2023	\$1,001 - \$15,000
382	SONY - Sony Group Corp ADR	sale	11/15/2023	\$1,001 - \$15,000
383	SPY - S&P 500 SPDR	purchase	12/13/2023	\$15,001 - \$50,000
384	SPY - S&P 500 SPDR	purchase	12/18/2023	\$1,001 - \$15,000
385	SPY - S&P 500 SPDR	purchase	11/07/2023	\$1,001 - \$15,000
386	SPY - S&P 500 SPDR	purchase	09/18/2023	\$1,001 - \$15,000
387	SPY - S&P 500 SPDR	purchase	09/28/2023	\$1,001 - \$15,000
388	SPY - S&P 500 SPDR	purchase	09/28/2023	\$1,001 - \$15,000
389	SPY - S&P 500 SPDR	purchase	07/13/2023	\$1,001 - \$15,000
390	SPY - S&P 500 SPDR	purchase	06/30/2023	\$1,001 - \$15,000
391	SPY - S&P 500 SPDR	sale	01/04/2023	\$1,001 - \$15,000
392	SPY - S&P 500 SPDR	sale	01/04/2023	\$1,001 - \$15,000
393	SPYD - SPDR S&P 500 High Dividend Portfolio ETF	sale	05/05/2023	\$1,001 - \$15,000
394	SVFFX - Smead Value Fund - Class I1	purchase	11/02/2023	\$1,001 - \$15,000
395	SVFFX - Smead Value Fund - Class I1	purchase	10/06/2023	\$1,001 - \$15,000
396	SVFFX - Smead Value Fund - Class I1	purchase	10/16/2023	\$1,001 - \$15,000
397	SVFFX - Smead Value Fund - Class I1	sale	05/26/2023	\$1,001 - \$15,000
398	SWVMM - Schwab Value Advantage Money Fund	sale	07/19/2023	\$15,001 - \$50,000
399	SWVMM - Schwab Value Advantage Money Fund	purchase	02/10/2023	\$1,001 - \$15,000
400	SWVXX - Schwab Value Advantage Money Market Fund	purchase	09/05/2023	\$50,001 - \$100,000
401	SWVXX - Schwab Value Advantage Money Market Fund	purchase	09/06/2023	\$15,001 - \$50,000
402	SWVXX - Schwab Value Advantage Money Market Fund	sale	03/13/2023	\$100,001 - \$250,000
403	SWVXX Schwab Advantage Money Fund	purchase	06/27/2023	\$15,001 - \$50,000
404	SWVXX Schwab Value Advantage Money Fund	purchase	09/08/2023	\$50,001 - \$100,000
405	SWVXX Schwab Value Advantage Money Fund	purchase	09/29/2023	\$1,001 - \$15,000
406	swvxx Schwab Value Advantage Money Fund	sale	08/01/2023	\$15,001 - \$50,000
407	SWVXX Schwab Value Advantage Money Fund	purchase	08/21/2023	\$15,001 - \$50,000

408	SWVXX Schwab Value Advantage Money Fund	sale	06/15/2023	\$15,001 - \$50,000
409	SWVXX Schwab Value Advantage Money Fund	purchase	03/24/2023	\$15,001 - \$50,000
410	SWVXX- Schwab Value Advantage Money Fund	sale	12/01/2023	\$1,001 - \$15,000
411	T - AT&T Inc	sale	10/16/2023	\$1,001 - \$15,000
412	T - AT&T Inc	sale	05/08/2023	\$1,001 - \$15,000
413	TEL - Te Connectivity Ltd	sale	12/13/2023	\$1,001 - \$15,000
414	TEL - Te Connectivity Ltd	purchase	05/22/2023	\$1,001 - \$15,000
415	TFC - Truist Financial Corp	sale	04/25/2023	\$1,001 - \$15,000
416	TFC - Truist Financial Corp	sale	03/17/2023	\$1,001 - \$15,000
417	TGBAX - Templeton Global Bond Fund Advisor Class	sale	10/06/2023	\$1,001 - \$15,000
418	TGBAX - Templeton Global Bond Fund Advisor Class	sale	10/06/2023	\$1,001 - \$15,000
419	TGBAX - Templeton Global Bond Fund Advisor Class	purchase	01/17/2023	\$1,001 - \$15,000
420	TGBAX - Templeton Global Bond Fund Advisor Class	purchase	01/17/2023	\$1,001 - \$15,000
421	TLT - 20+ Year Treas Bond Ishares ETF	sale	10/05/2023	\$1,001 - \$15,000
422	TLT - 20+ Year Treas Bond Ishares ETF	sale	10/05/2023	\$1,001 - \$15,000
423	TLT - 20+ Year Treas Bond Ishares ETF	purchase	05/30/2023	\$1,001 - \$15,000
424	TLT - 20+ Year Treas Bond Ishares ETF	purchase	05/30/2023	\$1,001 - \$15,000
425	TMUS - T-Mobile US	sale	02/28/2023	\$1,001 - \$15,000
426	TSN - Tyson Foods	sale	05/16/2023	\$1,001 - \$15,000
427	TT - Trane Technologies Plc	sale	12/13/2023	\$1,001 - \$15,000
428	TT - Trane Technologies Plc	purchase	11/02/2023	\$1,001 - \$15,000
429	UBER - Uber Technologies Inc	purchase	06/12/2023	\$1,001 - \$15,000
430	UBER - Uber Technologies Inc	purchase	05/22/2023	\$1,001 - \$15,000
431	UNH - Unitedhealth Group Inc	purchase	02/03/2023	\$1,001 - \$15,000
432	UNH - Unitedhealth Group Inc	sale	01/20/2023	\$1,001 - \$15,000
433	United States Treas Bills Zero Cpn 0.00% 11/14/2023	purchase	07/19/2023	\$15,001 - \$50,000
434	UNP - Union Pacific Corp	sale	04/25/2023	\$1,001 - \$15,000

435	UNP - Union Pacific Corp	sale	02/28/2023	\$1,001 - \$15,000
436	URI - United Rentals	sale	12/13/2023	\$1,001 - \$15,000
437	Us Treasur Nt 0.75% 11/15/2024	purchase	02/21/2023	\$15,001 - \$50,000
438	US Treasury Bill 0.00% 11/2/2023	sale	11/02/2023	\$15,001 - \$50,000
439	US Treasury Bill 0.00% 11/2/2023	purchase	07/19/2023	\$15,001 - \$50,000
440	US Treasury Bill 0.00% 9/7/2023	sale	09/07/2023	\$50,001 - \$100,000
441	US Treasury Bill 0.00% 9/7/2023	purchase	03/24/2023	\$50,001 - \$100,000
442	US Treasury Bill 5.432%	purchase	07/11/2023	\$15,001 - \$50,000
443	US Treasury Bill US T BILL DUE 9/5/2024	purchase	12/04/2023	\$15,001 - \$50,000
444	US Treasury Bill Zero Cpn 0.00% 11/14/2023	sale	11/14/2023	\$15,001 - \$50,000
445	US TREASURY BILL23 U S T BILL DUE 5/9/2023	sale	05/09/2023	\$50,001 - \$100,000
446	US TREASURY BILL23 U S T BILL DUE 5/9/2023	purchase	03/14/2023	\$50,001 - \$100,000
447	US Treasury Note 1.375% 9/30/2023	sale	10/02/2023	\$15,001 - \$50,000
448	US Treasury Note 1.875% 8/31/2024	purchase	11/28/2023	\$15,001 - \$50,000
449	US Treasury Note 2.00% 4/30/2024	purchase	08/01/2023	\$15,001 - \$50,000
450	US Treasury Nts 0.125% 1/15/2024	purchase	03/14/2023	\$50,001 - \$100,000
451	US Treasury Nts 0.125% 7/15/2023	sale	07/17/2023	\$15,001 - \$50,000
452	US Treasury Nts 0.125% 7/15/2023	purchase	03/27/2023	\$15,001 - \$50,000
453	US Treasury Nts 0.125% 7/31/2023	sale	07/31/2023	\$1,001 - \$15,000
454	US Treasury Nts 0.125% 8/31/2023	purchase	05/18/2023	\$50,001 - \$100,000
455	US Treasury Nts 1.375% 9/30/2023	purchase	03/14/2023	\$15,001 - \$50,000
456	US Treasury Nts 2.125% 2/29/2024	purchase	02/14/2023	\$15,001 - \$50,000
457	US Treasury Nts 3.25% 8/31/2024	purchase	02/28/2023	\$50,001 - \$100,000
458	US Treasury Nts 4.375% 10/31/2024	purchase	02/10/2023	\$15,001 - \$50,000
459	USB - U.S. Bancorp	sale	05/08/2023	\$1,001 - \$15,000
460	USB - U.S. Bancorp	sale	04/25/2023	\$1,001 - \$15,000
461	USB - U.S. Bancorp	purchase	02/28/2023	\$1,001 - \$15,000

462	USFD - US Foods Holding	sale	05/22/2023	\$1,001 - \$15,000
463	USFD - US Foods Holding	purchase	03/17/2023	\$1,001 - \$15,000
464	V - Visa Inc	purchase	02/03/2023	\$1,001 - \$15,000
465	V - Visa Inc	sale	01/20/2023	\$1,001 - \$15,000
466	VRTX - Vertex Pharmaceutic	purchase	02/03/2023	\$1,001 - \$15,000
467	VRTX - Vertex Pharmaceutic	sale	01/20/2023	\$1,001 - \$15,000
468	VSGDX - Vanguard Short-Term Federal Fd Admiral Shs	sale	02/10/2023	\$15,001 - \$50,000
469	VSGDX - Vanguard Short-Term Federal Fd Admiral Shs	purchase	01/05/2023	\$15,001 - \$50,000
470	VTV - Value ETF Vanguard	sale	12/18/2023	\$1,001 - \$15,000
471	VTV - Value ETF Vanguard	sale	07/13/2023	\$1,001 - \$15,000
472	VTV - Value ETF Vanguard	sale	06/30/2023	\$1,001 - \$15,000
473	VTV - Value ETF Vanguard	sale	01/27/2023	\$15,001 - \$50,000
474	VUG - Growth ETF Vanguard	purchase	12/14/2023	\$1,001 - \$15,000
475	VUG - Growth ETF Vanguard	purchase	11/02/2023	\$1,001 - \$15,000
476	VUG - Growth ETF Vanguard	purchase	09/11/2023	\$1,001 - \$15,000
477	VUG - Growth ETF Vanguard	purchase	09/11/2023	\$1,001 - \$15,000
478	VUG - Growth ETF Vanguard	purchase	09/18/2023	\$1,001 - \$15,000
479	VUG - Growth ETF Vanguard	purchase	10/06/2023	\$1,001 - \$15,000
480	VUG - Growth ETF Vanguard	purchase	10/06/2023	\$1,001 - \$15,000
481	VZ - Verizon Communications Inc	sale	05/08/2023	\$1,001 - \$15,000
482	WFC - Wells Fargo & Company	sale	11/15/2023	\$1,001 - \$15,000
483	WFC - Wells Fargo & Company	sale	05/22/2023	\$1,001 - \$15,000
484	WFC - Wells Fargo & Company	sale	03/14/2023	\$1,001 - \$15,000
485	WMT - Walmart Inc	sale	05/22/2023	\$1,001 - \$15,000
486	WMT - Walmart Inc	purchase	04/25/2023	\$1,001 - \$15,000
487	WPC - W.P. Carey & Co. Llc	purchase	06/16/2023	\$1,001 - \$15,000
488	XBI - S&P Biotech SPDR	purchase	07/11/2023	\$15,001 - \$50,000

489	XES - S&P Oil & Gas Eqpt & Services SPDR	sale	10/24/2023	\$15,001 - \$50,000
490	XES - S&P Oil & Gas Eqpt & Services SPDR	purchase	07/11/2023	\$15,001 - \$50,000
491	XLE - S&P 500 Energy Sector SPDR	sale	12/13/2023	\$1,001 - \$15,000
492	XLE - S&P 500 Energy Sector SPDR	purchase	05/22/2023	\$1,001 - \$15,000
493	XLI - S&P 500 Industrial Sector SPDR	sale	12/13/2023	\$1,001 - \$15,000
494	XLI - S&P 500 Industrial Sector SPDR	purchase	06/08/2023	\$1,001 - \$15,000
495	XME - S&P Metals & Mining SPDR	sale	05/30/2023	\$1,001 - \$15,000
496	XOM - Exxon Mobil Corp	sale	12/13/2023	\$1,001 - \$15,000
497	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	11/07/2023	\$1,001 - \$15,000
498	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	11/07/2023	\$1,001 - \$15,000
499	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	09/18/2023	\$1,001 - \$15,000
500	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	10/06/2023	\$1,001 - \$15,000
501	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	08/03/2023	\$1,001 - \$15,000
502	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	03/16/2023	\$1,001 - \$15,000
503	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	03/28/2023	\$1,001 - \$15,000
504	XSW - S&P Software & Services ETF SPDR	purchase	12/13/2023	\$15,001 - \$50,000
505	XSW - S&P Software & Services ETF SPDR	purchase	12/13/2023	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	Navient; Wilkes-Barre, PA, US	Student Loan	\$15,001 - \$50,000	2007	2.5	25
2	Navient; Wilkes-Barre, PA, US	Student Loan	\$15,001 - \$50,000	2008	5.0	25
3	American Education Services; Harrisburg, PA, US	Student Loan	\$15,001 - \$50,000	2011	Libor + 2.25	20
4	Stonetown 6, LLP; Denver, CO, US	Capital Commitment	\$10,001 - \$15,000	2019	N/A	On-Demand
5	Stonetown 7, LLP; Denver, CO, US	Capital Commitment	\$15,001 - \$50,000	2021	Not applicable	On Demand

6	Loci Capital Opportunistic Fund; Safety Harbor, FL, US	Capital Commitment	\$10,001 - \$15,000	2021	Not Applicable	On Demand
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9. Gifts and Reimbursements

None

Endnotes

PART	#	ENDNOTE
2	3.1	Chase Manhattan Business Banking Account
2	3.2	TD Acct # 2519 is now Schwab Acct # 6258 TD Acct # 2813 is now Schwab Acct # 5484
2	4	Title is held by EKG Realy, LLC My brother and I are the partners
5	1.1	Statement Provided
5	2	TD Acct # 5913 is now Schwab Acct # 7110 TD Acct # 6076 is now Schwab Acct # 8279
5	3	See Information Provided under separate cover by the NYC Teachers Retirement System
6	1	Ethel K Goldberg Beneficiary IRA TD Acct # 5907 is now Schwab Acct # 4509
6	1.1	TD Ameritrade Statement will be attached.
6	2	TD Acct # 5909 is now Schwab Acct # 0946
6	2.1	TD Ameritrade statement will be attached.
6	3	TD Acct # 5912 is now Schwab Acct # 8252
6	3.1	TD Ameritrade statement will be attached
6	4	Inherited IRA Marilyn Spring
6	5	Inherited IRA - Marilyn Spring
6	6	This account is an Inherited IRA. The account was established and moved during 2022 to TD Bank accounts 7704 and 5768. There remains at the end of 2022 a small cash balance of less than \$100 which was moved during 2023 and the account closed.
6	7	Merrill Lynch Statement Attached Account # xx122 and #xx557 Marilyn Spring's name was removed from these account due to her death. No assets were sold or moved during 2023.
6	8	Merrill Lynch statement Account # xx415 There was a distribution of cash and stock to the beneficiaries of the Trust. There was a sale of some stock reported on the monthly report. I received one third of the assets and they are in Schwab account # 9994. All transactions in Schwab acct #9994 are reported monthly.
6	9	TD Acct # 5905 is now Schwab Acct # 6424
6	10	TD Acct # 5904 is now Schwab Acct # 5235
6	11	TD Acct # 9902 is now Schwab Acct # 7118 TD Acct # 6099 is now Schwab Acct # 3955
		TD Acct # 9898 is now Schwab Acct # 4587 This account also held my limited partnership interest in HPI

6	12	Fund. My original purchase was on September 11, 2018 for \$25,000. This fund was completed in 2023. Please see supporting documents.
6	13	TD Account # 5135 is now Schwab # 6292
6	14	TD acct # 0421 is now Schwab Acct # 1467 This account hold our joint interest in a private real estate fund: Peachtree Hotel and Income Fund. The investment was made on April 22, 2019. Please see supporting documents.
6	15	We are limited partners in a fund called Stonetown. There is an investment obligation of \$100,000. Please see supporting documentation.
6	16	We are limited partners in a fund called Stonetown 7. There were two capital calls during 2023 for \$20,000.
6	17	We are limited partners in a fund called Loci Capital Opportunistic Fund. There is an investment obligation for \$100,000, There were 3 capital calls during 2023 for \$6581. Please see supporting documentation.
6	18	TD Acct # 0376 is now Schwab Acct # 8117
6	19	I am a limited partner in this real estate investment fund. This fund completed its cycle during 2023. Documentation will be provided
6	20	We are limited partners in this real estate investment fund. Documentation will be provided separately.
6	21	THis account was established to receive the portion of the assets that I inherited from my aunt's trust, The Spring Family Trust held in Merrill Lynch Acct # 415
6	24	Two accounts are held joint with my spouse, Dr. Daniel Meyer Two accounts are held joint with my brother, Alan Goldberg
6	25	The joint account with my daughter was dispersed to her. The joint account with my son was moved to Chase Manhattan Bank. At the end of 2023 there were no accounts remaining at Investors Savings or its successor bank, Citizens.
6	26	I hold accounts by myself and jointly with my husband, my children, my brother and my aunt. In addition to the personal accounts I have two partnership accounts: EKG Realty(checking and savings) and KF Finance (checking and savings), as well as my consulting firm Auric Ventures, Ltd. (checking and savings) Also accounts have been opened in Riverdale, NY and Marlboro, NJ
6	28	This stock was issued when Citizens Financial Group acquired investors Savings Bank
8	1	I am a co-signer on this loan. Navient took over as the servicer from Chase Manhattan Bank
8	2	I am co-signer. Navient took over this loan as the servicer from Chase Manhattan Bank.
8	3	I am a co-signer
8	4	Capital Call remaining as of 12/31/2023 is \$5000
8	5	Capital Call remaining at of 12/31/2023 is \$40,000.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses the following: Positions held by the filer at any time during the reporting period (excluding positions with the United States Government). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded \$1,000 or if more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses the following: Agreements or arrangements that the filer had during the reporting period for the following:

- Continuing participation in an employee welfare or benefit plan maintained by a former employer
- Leave of absence
- Future employment
- Continuation of payments by a former employer (e.g., severance payments)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Source of earned income (excluding honoraria) for the filer's spouse over \$1,000 during the reporting period.
- Sources of honoraria for the filer's spouse over \$200 during the reporting period.

- Assets related to the spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

Part 9 discloses the following:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items:

1. anything received from relatives;
2. anything received from the United States Government or from the District of Columbia, state, or local governments;
3. bequests and other forms of inheritance;
4. gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel;
5. gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and

6. anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made:

1. to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
2. to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
3. to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
4. to the National Archives and Records Administration or the General Services Administration in records management inspections;
5. to the Office of Management and Budget during legislative coordination on private relief legislation;
6. when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding;
7. to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation;
8. to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record;
9. to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records;
10. on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
11. on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE;
12. on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order;
13. to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
14. to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security.

See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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