Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Landrieu, Mitchell J

Senior Advisor to the President, White House - Biden-Harris Administration

Date of Appointment: 11/15/2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Landrieu, Mitchell J [electronically signed on 12/15/2021 by Landrieu, Mitchell J in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Olorunnipa, Funmi, Certifying Official [electronically signed on 12/17/2021 by Olorunnipa, Funmi in Integrity.gov]

Other review conducted by
/s/ Mancher, Zachary D, Ethics Official [electronically signed on 12/15/2021 by Mancher, Zachary D in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Emerson Collective	Palo Alto, California	Corporation	Consultant	6/2018	Present
2	E PLURIBUS UNUM INSTITUTE	NEW ORLEANS, Louisiana	Non-Profit	President/CEO	7/2018	Present
3	CNN	New York, New York	Corporation	Commentator	8/2018	Present
4	Rockefeller Foundation	New York, New York	Private Foundation	Consultant	9/2018	9/2019
5	Bloomberg Philanthropies	New York, New York	Philanthropic Organization	Consultant	6/2018	Present
6	Washington Speakers Bureau	Washington DC, District of Columbia	Corporation	Speaker	7/2018	Present
7	University of Chicago	Chicago, Illinois	University/College	Fellow	9/2021	Present
8	FIRST DAY, LLC	Baton Rouge, Louisiana	Corporation	President	9/2017	Present
9	PRESIDENT AND FELLOWS OF HARVARD COLLEGE	CAMBRIDGE, Massachusetts	University/College	FELLOW	9/2018	12/2018
10	NINELAND, LLC	NEW ORLEANS, Louisiana	Corporation	MEMBER	2/1998	Present
11	639 ST. CHARLES AVENUE, LLC	NEW ORLEANS, Louisiana	Corporation	OFFICER	11/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	LOUISIANA STATE EMPLOYEE RETIREMENT SYSTEM, defined benefit plan (value not readily ascertainable)		N/A	None (or less than $1,001)	Pension income	$147,700
2	E Pluribus Unum Institute	See Endnote	N/A		Salary-President/CEO	$662,500
3	Fidelity Growth & Income Portfolio (FGRIX)		Yes	$50,001 - $100,000		None (or less than $201)
4	PRESIDENT AND FELLOWS OF HARVARD COLLEGE		N/A		Salary	$25,000
5	FIRST DAY, LLC	See Endnote	N/A		Business Income	$1,041,572
6	University of Chicago		N/A		Salary	$25,000
7	FDIC Insured Cash		N/A	$1,001 - $15,000		None (or less than $201)
8	AT&T		N/A	$1,001 - $15,000		None (or less than $201)
9	Adobe, Inc. (ADBE)		N/A	$15,001 - $50,000		None (or less than $201)
10	Berkshire Hathaway Inc. (BRKB)		N/A	$1,001 - $15,000		None (or less than $201)
11	Charles River Laboratories International, Inc. (CRL)		N/A	$15,001 - $50,000		None (or less than $201)
12	Comcast Corp. (CMCSA)		N/A	$15,001 - $50,000		None (or less than $201)
13	Crown Castle International Corp. (CCI)		N/A	$1,001 - $15,000		None (or less than $201)
14	Danaher Corp. (DHR)		N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Duke Energy Corp. (DUK)	N/A	$1,001 - $15,000		None (or less than $201)
16	Emerson Electric Co. (EMR)	N/A	$1,001 - $15,000		None (or less than $201)
17	Entergy Corp. (ETR)	N/A	$1,001 - $15,000		None (or less than $201)
18	General Mills, Inc. (GIS)	N/A	$1,001 - $15,000		None (or less than $201)
19	HCA Healthcare, Inc. (HCA)	N/A	$1,001 - $15,000		None (or less than $201)
20	Interpublic Group of Cos., Inc. (IPG)	N/A	$1,001 - $15,000		None (or less than $201)
21	iShares Russell 2000 ETF (IWM)	N/A	$15,001 - $50,000		None (or less than $201)
22	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	N/A	$15,001 - $50,000		None (or less than $201)
23	L3Harris Technologies, Inc. (LHX)	N/A	$1,001 - $15,000		None (or less than $201)
24	NextEra Energy, Inc. (NEE)	N/A	$1,001 - $15,000		None (or less than $201)
25	Northrop Grumman Corp. (NOC)	N/A	$1,001 - $15,000		None (or less than $201)
26	S&P Global, Inc. (SPGI)	N/A	$1,001 - $15,000		None (or less than $201)
27	T-Mobile US, Inc. (TMUS)	N/A	$15,001 - $50,000		None (or less than $201)
28	TRU	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Veeva Systems, Inc. (VEEV)	N/A	$1,001 - $15,000		None (or less than $201)
30	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	N/A	$15,001 - $50,000		None (or less than $201)
31	Visa, Inc. (V)	N/A	$15,001 - $50,000		None (or less than $201)
32	BaronEmerging Markets Fund Institutional Class Shares (BEXIX)	Yes	$50,001 - $100,000		None (or less than $201)
33	RIMOX	Yes	$50,001 - $100,000		None (or less than $201)
34	CNROX	Yes	$1,001 - $15,000		None (or less than $201)
35	Touchstone Sands CapitalEmerging Markets Growth Fund Class Y Shares (TSEMX)	Yes	$15,001 - $50,000		None (or less than $201)
36	iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	N/A	$15,001 - $50,000		None (or less than $201)
37	VanEck VectorsEmerging Markets High Yield Bond ETF (HYEM)	N/A	$15,001 - $50,000		None (or less than $201)
38	E Pluribus Unum Institute 401(k)	No			
38.1	American Funds EuroPacific Gr	Yes	$1,001 - $15,000		None (or less than $201)
38.2	Vanguard Industrials Index Fund Admiral Class Shares (VINAX)	Yes	$1,001 - $15,000		None (or less than $201)
38.3	Vanguard Information Technology Index Fund Admiral Class Shares (VITAX)	Yes	$1,001 - $15,000		None (or less than $201)
38.4	Vanguard Total Stock Market Index	Yes	$1,001 - $15,000		None (or less than $201)
38.5	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38.6	Vanguard Short-Term Federal Fund Admiral Shares (VSGDX)	Yes	None (or less than $1,001)		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	LOUISIANA STATE EMPLOYEE RETIREMENT SYSTEM	Baton Rouge, Louisiana	I will continue to participate in this defined benefit plan.	5/2010
2	CITY OF NEW ORLEANS, defined benefit plan, value not readily available	NEW ORLEANS, Louisiana	Eligible for payment of $2,500 per month at age 65.	/
3	E PLURIBUS UNUM INSTITUTE/JUSTWORKS EMPLOYMENT GROUP LLC	NEW ORLEANS, Louisiana	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	5/2021
4	First Day, LLC	Baton Rouge, Louisiana	My consulting business will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service.	11/2021

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Washington Speakers Bureau	Washington DC, District of Columbia	Speaking Fees
2	TBS, Inc.	New York, New York	Contractual services for political commentary
3	Manhattan West, LLC	New York, New York	Consultancy services - What Works Cities
4	Emerson Collecive	Palo Alto, California	Consultancy services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
5	E Pluribum Unum Institute	New Orleans, Louisiana	Salary - President/CEO
6	University of Michigan	Ann Arbor, Michigan	Speaking fee
7	Rockefeller Foundation	New York, New York	Contractual consultancy services
8	University of Chicago	Chicago, Illinois	Fellow, Institute of Politics
9	Harvard University	Cambridge, Massachusetts	Fellow, Institute of Politics
10	FIRST DAY, LLC	Baton Rouge, Louisiana	Business and consulting services.
11	NINELAND, LLC	NEW ORLEANS, Louisiana	Income from family property holding partnership
12	Blue Meridian	New York, New York	Speaking fees

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Administrators of Tulane Educational Fund	N/A		salary	
2	Louisiana State Employees Retirement System, defined benefit plan (value not readily ascertainable)	N/A		pension	
3	U.S. bank account #1	N/A	$1,001 - $15,000		None (or less than $201)
4	Amazon.com, Inc. (AMZN)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	American Water Works Co., Inc. (AWK)	N/A	$1,001 - $15,000		None (or less than $201)
6	Ares Capital Corp	N/A	$1,001 - $15,000		None (or less than $201)
7	AGR	N/A	$1,001 - $15,000		None (or less than $201)
8	BCE INC	N/A	$1,001 - $15,000		None (or less than $201)
9	CME Group, Inc. (CME)	N/A	$1,001 - $15,000		None (or less than $201)
10	Cisco Systems, Inc. (CSCO)	N/A	$1,001 - $15,000		None (or less than $201)
11	Cintas Corp. (CTAS)	N/A	$1,001 - $15,000		None (or less than $201)
12	The Coca-Cola Co. (KO)	N/A	$1,001 - $15,000		None (or less than $201)
13	Hartford Finl Services Group (HIG)	N/A	$1,001 - $15,000		None (or less than $201)
14	The Home Depot, Inc. (HD)	N/A	$15,001 - $50,000		None (or less than $201)
15	Mid-America Apartment Communities, Inc. (MAA)	N/A	$1,001 - $15,000		None (or less than $201)
16	National Retail Properties, Inc. (NNN)	N/A	$1,001 - $15,000		None (or less than $201)
17	Newell Brands, Inc. (NWL)	N/A	$1,001 - $15,000		None (or less than $201)
18	Prologis, Inc. (PLD)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	QUALCOMM, Inc. (QCOM)	N/A	$1,001 - $15,000		None (or less than $201)
20	Starbucks Corp. (SBUX)	N/A	$1,001 - $15,000		None (or less than $201)
21	Walmart, Inc. (WMT)	N/A	$1,001 - $15,000		None (or less than $201)
22	Accenture Plc (ACN)	N/A	$1,001 - $15,000		None (or less than $201)
23	BARON EMERGING MARKETS INSTL	Yes	$15,001 - $50,000		None (or less than $201)
24	CNR FIXED INC - RIMOX	Yes	$15,001 - $50,000		None (or less than $201)
25	CITY NATIONAL ROCHDALE STRAT CRED - CNROX	Yes	$1,001 - $15,000		None (or less than $201)
26	ISHARES HIGH YIELD CORP	Yes	$15,001 - $50,000		None (or less than $201)
27	VANECK ETF TRUST EMERGING MKTS - HYEM	Yes	$15,001 - $50,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #2	No	$100,001 - $250,000	Interest	$201 - $1,000
2	U.S. bank account #3	No	$1,001 - $15,000		None (or less than $201)
3	U.S. bank account #4	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Fidelity Growth & Income Portfolio (FGRIX)	Yes	$50,001 - $100,000		None (or less than $201)
5	NINELAND LLC - parking lot(value not readily ascertainable)	N/A		partnership income	$22,347
6	U.S. bank account #5	N/A	$1,001 - $15,000		None (or less than $201)
7	U.S. bank account #8	N/A	$1,001 - $15,000		None (or less than $201)
8	Ally Financial, Inc. (ALLY)	N/A	$15,001 - $50,000	Dividends Interest Capital Gains	$201 - $1,000
9	Alphabet, Inc. (GOOGL)	N/A	$15,001 - $50,000	Capital Gains	$2,501 - $5,000
10	Amazon.com, Inc. (AMZN)	N/A	$15,001 - $50,000		None (or less than $201)
11	American Electric Power Co., Inc. (AEP)	N/A	$1,001 - $15,000	Dividends Interest Capital Gains	$201 - $1,000
12	Apple, Inc. (AAPL)	N/A	$15,001 - $50,000	Dividends Interest Capital Gains	$201 - $1,000
13	Applied Materials, Inc. (AMAT)	N/A	$1,001 - $15,000		None (or less than $201)
14	Bio-Techne Corp. (TECH)	N/A	$1,001 - $15,000		None (or less than $201)
15	BLACKSTONE, INC	N/A	$15,001 - $50,000	Dividends Capital Gains Interest	$201 - $1,000
16	Cincinnati Financial Corp. (CINF)	N/A	$1,001 - $15,000	Dividends Capital Gains Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Citizens Financial Group, Inc.(CFG)	N/A	$1,001 - $15,000	Dividends Capital Gains Interest	$201 - $1,000
18	Costco Wholesale Corp. (COST)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
19	The Walt Disney Co. (DIS)	N/A	$15,001 - $50,000		None (or less than $201)
20	Dominion Energy, Inc. (D)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
21	Edwards Lifesciences Corp. (EW)	N/A	$15,001 - $50,000		None (or less than $201)
22	Equinix, Inc. (EQIX)	N/A	$1,001 - $15,000		None (or less than $201)
23	Eversource Energy (ES)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
24	Expedia Group, Inc. (EXPE)	N/A	$1,001 - $15,000		None (or less than $201)
25	Facebook, Inc. (FB)	N/A	$1,001 - $15,000		None (or less than $201)
26	FIFTH THIRD BANCORP	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
27	FIRST HORIZON CORP	N/A	$1,001 - $15,000		None (or less than $201)
28	Intel Corp. (INTC)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
29	International Paper Co. (IP)	N/A	$1,001 - $15,000	Dividends Capital Gains Interest	$201 - $1,000
30	iShares Russell 2000 ETF (IWM)	Yes	$50,001 - $100,000		$201 - $1,000
31	JPMorgan Chase & Co. (JPM)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	KeyCorp (KEY)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
33	Lockheed Martin Corp. (LMT)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
34	Mastercard, Inc. (MA)	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
35	McDonald's Corp. (MCD)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
36	Merck & Co., Inc. (MRK)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
37	MetLife, Inc. (MET)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
38	Microsoft Corp. (MSFT)	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
39	NextEra Energy, Inc. (NEE)	N/A	$1,001 - $15,000		None (or less than $201)
40	NVIDIA Corp. (NVDA)	N/A	$15,001 - $50,000		None (or less than $201)
41	Palo Alto Networks, Inc. (PANW)	N/A	$1,001 - $15,000		None (or less than $201)
42	Paychex, Inc. (PAYX)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
43	PayPal Holdings, Inc. (PYPL)	N/A	$15,001 - $50,000		None (or less than $201)
44	PepsiCo, Inc. (PEP)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
45	Pfizer Inc. (PFE)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
46	Procter & Gamble Co. (PG)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
47	ROPER INDUSTRIES, INC.	N/A	$50,001 - $100,000		None (or less than $201)
48	salesforce.com, inc. (CRM)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	Sempra Energy (SRE)	N/A	$1,001 - $15,000		None (or less than $201)
50	Sonoco Products Co. (SON)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
51	Synopsys, Inc. (SNPS)	N/A	$15,001 - $50,000		None (or less than $201)
52	Synchrony Financial (SYF)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
53	THE TJX COMPANIES, INC.	N/A	$1,001 - $15,000		None (or less than $201)
54	THERMO ELECTRON CORP	N/A	$1,001 - $15,000		None (or less than $201)
55	UnitedHealth Group, Inc. (UNH)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
56	VANGUARD MID-CAP VALUE EPT	Yes	$15,001 - $50,000		$201 - $1,000
57	Verizon Communications, Inc. (VZ)	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
58	VIATRIS, INC.	N/A	None (or less than $1,001)		None (or less than $201)
59	Zoetis, Inc. (ZTS)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
60	Aon Plc (AON)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
61	BROOKFIELD INFRASTRUCTURE - BIP	N/A	$1,001 - $15,000		None (or less than $201)
62	TRANE TECHNOLOGIES, PLC - TT	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
63	ASML HOLDING NV - ASML	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
64	NXP SEMICONDUCTORS NV - NXPI	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
65	BARON EMERGING MARKETS INSTL - BEXIX	Yes	$15,001 - $50,000		None (or less than $201)
66	CNR MUNICIPAL HIGH INCOME N-CNRNX	Yes	$50,001 - $100,000		$1,001 - $2,500
67	MIRAE ASSET EMERG MKTS GRT CONSUMER I	Yes	$15,001 - $50,000		None (or less than $201)
68	TOUCHSTONE SANDS CPTL EMERG MKTS Y - TSEMX	Yes	$1,001 - $15,000		None (or less than $201)
69	VANECK - ETF TRUST EMRG MKTS HI YLD BD - HYEM	Yes	$1,001 - $15,000		$201 - $1,000
70	University of Chicago	No	$15,001 - $50,000		$15,001 - $50,000
71	639 ST. CHARLES AVENUE, LLC, Commercial property that serves as a parking lot. (value not readily ascertainable)	N/A			None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	2	This income amount covers both 2020 and 2021.
2.	5	This income amount covers both 2020 and 2021.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).