

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Burns, R. Nicholas

Ambassador to the People's Republic of China, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Burns, R. Nicholas [electronically signed on 04/30/2021 by Burns, R. Nicholas in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 09/14/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/16/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Harvard University	Cambridge, Massachusetts	University/College	Professor	9/2008	Present
2	Aspen Strategy Group	Washington, D.C., District of Columbia	Non-Profit	Executive Director	7/2009	Present
3	Entegris, Inc.	Billerica, Massachusetts	Corporation	Board Director	4/2011	Present
4	The Cohen Group	Washington D.C., District of Columbia	Corporation	Senior Counselor	7/2009	Present
5	Richard Lounsbery Foundation	Washington, District of Columbia	Non-Profit	Board Director	9/2008	Present
6	Filer's revocable trust	Westport, Massachusetts	Trust	Trustee	9/2014	Present
7	CNBC	Englewood Cliffs, New Jersey	Corporation	Commentator	9/2017	8/2019
8	The American Ditchley Foundation	New York, New York	Non-Profit	Chairman of the Board	4/2019	Present
9	Lowy Institute	Sydney, Australia	Non-Profit	Fellow	10/2019	10/2019
10	Appeal of Conscience Foundation	New York, New York	Non-Profit	Board of Trustees	9/2008	Present
11	Special Olympics International	Washington, District of Columbia	Non-Profit	Board of Directors	5/2013	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
12	American School of Classical Studies at Athens	Princeton, New Jersey	University/College	Board of Trustees	9/2016	Present
13	Center for the Study of the Presidency and Congress	Washington, District of Columbia	Non-Profit	Board of Trustees	9/2009	Present
14	Boston College	Chestnut Hill, Massachusetts	University/College	Board of Trustees	9/2018	Present
15	Our Generation Speaks	Waltham, Massachusetts	Non-Profit	Chairman of the Advisory Board	9/2018	Present
16	Institute for the Study of Aegean Prehistory	Philadelphia, Pennsylvania	Non-Profit	Board of Trustees	1/2019	Present
17	Atlantic Council	Washington, District of Columbia	Non-Profit	Board of Directors	5/2009	Present
18	Boston Committee on Foreign Relations	Boston, Massachusetts	Non-Profit	Board of Directors	1/2015	Present
19	The Gennadius Library	Princeton, New Jersey	Non-Profit	Board of Overseers	1/2010	5/2020

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Aspen Strategy Group	N/A		Salary	\$141,239
2	Harvard University	N/A		Salary	\$424,897
3	Entegris, Inc., unvested restricted stock units	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Entegris, Inc.	N/A		Director Fees	\$106,250

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Entegris, Inc.	N/A	\$1,000,001 - \$5,000,000	Dividends Capital Gains	\$100,001 - \$1,000,000
6	Richard Lounsbery Foundation	N/A		Director's Fee	\$30,000
7	The Cohen Group (The Cohen Group is a Consulting firm.)	N/A		Consulting Fees	\$415,000
8	SEP IRA	No			
8.1	U.S. credit union (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
9	Speech at Duke University - April 8, 2021	N/A		Honorarium for speech.	\$7,500
10	Speech to Eurobank - January 21, 2021	N/A		Honorarium for speech	\$6,042
11	Speech for Gatehouse Advisory - February 1, 2021	N/A		Speech for Gatehouse	\$3,500
12	Kor-Asia Forum - November 25, 2020	N/A		Speech for KOR-Asia Forum	\$14,000
13	Speeches for Royal Fidelity Merchant Bank - March 4 and 6, 2020	N/A		Two Speeches for Washington Speakers Bureau	\$40,000
14	Speech for Credit Suisse - November 9, 2020	N/A		Speech for Credit Suisse	\$12,000
15	Boston College - Speeches for Boston College on February 25, 2020 and June 8, 2020	N/A		Two speeches for Boston College	\$20,000
16	Naval War College - June 11, 2020	N/A		Speech	\$500
17	Speech for Gatehouse - September 29, 2020	N/A		Speech for Gatehouse	\$1,750

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Speech for George Washington University - May 15, 2020	N/A		Speech for George Washington University	\$1,400
19	Speech for Hamilton Hall - February 6, 2020	N/A		Speech for Hamilton Hall in Salem, Massachusetts	\$1,000
20	Executive Teaching for Harvard Business School - January-March 2020	N/A		Executive Teaching for Harvard Business School	\$14,800
21	Speech at Palm Beach Country Club - February 23, 2020	N/A		Speech for Palm Beach Country Club	\$5,000
22	Speech for Tiger 21 LLC - January 31, 2020	N/A		Speech for Tiger 21 LLC	\$22,000
23	Hazlis and Rivas Ltd. - November 24, 2020	N/A		Speech at the Economist Cyprus Summit	\$3,709
24	Harvard Magazine - 07/01/2020	N/A		Article	\$1,000
25	Harvard Retirement Plan	No			
25.1	Vang Inst Tg Rtm 20 Inst	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
26	Harvard Tax Deferred Annuity Plan	No			
26.1	Vang Inst Tg Rtm 20 Inst	Yes	\$250,001 - \$500,000		None (or less than \$201)
27	Gatehouse - February 1, 2021	N/A		Speech	\$3,500
28	Hazlis and Rivas Ltd., anticipated honorarium - July 9, 2021	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	"Kissinger the Negotiator," Harper Collins (value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Harvard University	Cambridge, Massachusetts	I will take a two-year unpaid leave from Harvard University. Harvard will not make contributions to my defined contribution plans during my leave. I will retain my supplemental life insurance and disability insurance but will pay for them with no assistance from Harvard during my leave.	4/2021
2	Entegris, Inc.	Billerica, Massachusetts	At resignation, I will forfeit my unvested restricted stock units.	4/2011

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Aspen Strategy Group	Washington, D.C., District of Columbia	Executive Director of the Aspen Strategy Group.
2	Harvard University	Cambridge, Massachusetts	Professor and Faculty Chair of the Future of Diplomacy Project and the Project on Europe and the Transatlantic Relationship.
3	Entegris, Inc.	Billerica, Massachusetts	Board Director
4	Richard Lounsbery Foundation	Washington, District of Columbia	Board Director

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
5	The Cohen Group	Washington D.C., District of Columbia	Senior Counselor
6	Eurobank	Athens, Outside U.S.	A speech to Eurobank on January 21, 2021
7	Duke University	Durham, North Carolina	A speech to Duke on April 8, 2021
8	Hankook Ilbo	Seoul, South Korea	Speech at KOR-Asia Forum on November 25, 2020
9	Royal Fidelity Bank	Nassau, Bahamas	Two speeches for Royal Fidelity Bank March 4 and 6, 2020
10	Boston College	Chestnut Hill, Massachusetts	Speeches for Boston College on February 25 and June 8, 2020
11	Credit Suisse	Geneva, Outside U.S.	A speech for Credit Suisse on November 9, 2020
12	Harvard Business School	Cambridge, Massachusetts	Fee for executive teaching sessions in 2020
13	Palm Beach Country Club	Palm Beach, Florida	A speech on February 23, 2020
14	Tiger 21 LLC	New York, New York	A speech on January 31, 2020
15	Jones Lang LaSalle	London, Outside U.S.	A speech in London on November 9, 2018 (fee received in January 2019)
16	CNBC	Englewood Cliffs, New Jersey	Fee for commentary
17	Turkish Heritage Org. Inc.	Washington, District of Columbia	A speech on April 9, 2019
18	Panetta Institute for Public Policy	Monterrey, California	A speech on April 15, 2019.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
19	KF Partners LLC	Chicago, Illinois	A speech in Jackson Hole, Wyoming on September 20, 2019
20	Capricorn Management	Greenwich, Connecticut	Speech on September 12, 2019
21	Orsted North America	Boston, Massachusetts	A speech on September 10, 2019
22	Hazlis and Rivas Ltd.	London, Outside U.S.	A speech at the Economist Cyprus Summit on November 24, 2020
23	Apple	Cupertino, California	Consulting. Client of the Cohen Group.
24	DLA Piper	Washington, District of Columbia	Consulting. Client of the Cohen Group.
25	Amway	Ada, Michigan	Consulting. Client of the Cohen Group.
26	General Dynamics	Reston, Virginia	Consulting. Client of the Cohen Group.
27	Tata Sons	Mumbai, India	Consulting. Client of the Cohen Group.
28	Google	Mountain View, California	Consulting. Client of the Cohen Group.
29	Ecolab	Saint Paul, Minnesota	Consulting. Client of the Cohen Group.
30	ExxonMobil	Irving, Texas	Consulting. Client of the Cohen Group.
31	Lockheed Martin	Bethesda, Maryland	Consulting. Client of the Cohen Group.
32	Honeywell	Charlotte, North Carolina	Consulting. Client of the Cohen Group.
33	Zoom	San Jose, California	Consulting. Client of the Cohen Group.
34	Underwriters Laboratories	Northbrook, Illinois	Consulting. Client of the Cohen Group.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
35	Charles Schwab&Co.,Inc.	Lake Buena Vista, Florida	Speech on June 21, 2019
36	Charles Schwab&Co.,Inc.	San Diego, California	Speech on November 6, 2019
37	Rabo Bank Wholesale Banking	Atlanta, Georgia	Speech on June 5, 2019
38	Hazlis and Rivas Ltd.	London, United Kingdom	Speech on December 3, 2019 for the Economist EastMed Summit

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Individual retirement accounts	No			
1.1	U.S. credit union (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Account	No			
1.1	AT&T Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2	Abbott Laboratories (ABT)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
1.3	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	Apple Inc	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
1.5	AptarGroup, Inc. (ATR)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.6	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.7	Canadian National Railway Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.8	Carrier Global Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.9	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.10	CVS Health Corp. (CVS)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.11	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.12	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.13	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.14	Fortive Corp. (FTV)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.15	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.16	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.17	Johnson and Johnson	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.18	Mastercard, Inc. A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.19	Nestle SA Spon Adr (food & beverage company)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.20	Novartis AG Spon Adr	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.21	Otis Worldwide Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.22	proctor and gamble co.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.23	Raytheon Techs Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.24	Rockwell Automation, Inc. (ROK)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.25	Unilever PLC Spons Adr	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.26	Vanguard FEDL Money Market	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.27	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.28	Xilinx, Inc. (XLNX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.29	3M Co. (MMM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.30	Fiserv, Inc. (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.31	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.32	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.33	IPG Photonics Corp. (IPGP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.34	PayPal Holdings, Inc. (PYPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank 1 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	U.S. bank 2 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase	Mortgage on Personal Residence	\$250,001 - \$500,000	2016	2.85	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
