

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Salcido, Dorinda

Senior Counselor for Strategic Communications, CDC, Department of Health & Human Services

Date of Appointment: 09/20/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Salcido, Dorinda [electronically signed on 08/30/2021 by Salcido, Dorinda in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 12/08/2021 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 12/08/2021 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 12/08/2021

Data Revised 09/15/2021

Data Revised 09/14/2021

Data Revised 09/13/2021

Data Revised 09/10/2021

Data Revised 08/31/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(12/08/2021, Olesh, Stanley): 11/5 - rec'd signed EA - SO
N/A	N/A	General	(12/08/2021, Olesh, Stanley): 11/23 -- rec'd confirmation that divestitures outlined in EA were completed (before start date) -- SO
N/A	N/A	General	(12/08/2021, Olesh, Stanley): 12/8 -- confirmation that filer agrees with EA addendum -- SO

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Responsum for Fibroids	Washington, District of Columbia	StartUp Health Company	Expert Advisory Council	10/2020	9/2021
2	DotHealth LLC	Miami, Florida	LLC - domain registry entity for .health	Advisory Board member	7/2017	9/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dorinda Salcido Traditional IRA #1	No			
1.1	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	EMQQ The Emerging Markets Internet & Ecommerce ETF (EMQQ)	See Endnote	Yes	None (or less than \$1,001)	\$201 - \$1,000
1.3	Fidelity Advisor Health Care Fund Class I (FHCIX)	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
1.4	Invesco Global Focus Fund Class Y (GLVYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	JPMorgan Mid Cap Growth Fund Class I (HLGEX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Homestead Small Company Stock Fund (HSCSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Delaware Ivy Science and Technology Fund Class I (ISTIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	John Hancock Financial Industries Fund Class I (JFIFX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	Federated Hermes Kaufmann Large Cap Fund Institutional Shares (KLCIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	PIMCO Income Fund Institutional Class (PIMIX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.11	Touchstone Dividend Equity Fund Class Y (TQCYX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	Dorinda Salcido SEP IRA		No			
2.1	Alibaba Group Holding Limited (BABA)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
2.2	Fastly, Inc. (FSLY)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
2.3	Volkswagen AG (VWAGY)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.4	West Pharmaceutical Services, Inc. (WST)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
2.5	First Trust NASDAQ Cybersecurity ETF (CIBR)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
2.6	First Trust Health Care AlphaDEX Fund (FXH)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
2.7	First Trust Technology AlphaDEX Fund (FXL)		Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	First Trust Cloud Computing ETF (SKYY)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
2.9	Hartford Schrodgers International Multi-Cap Value Fund Class F (HFYFX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.10	Federated Hermes Kaufmann Fund Institutional Shares (KAUIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	Lord Abbett Focused Small Cap Value F (LFSFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.12	Lord Abbett Growth Leaders Fund Class F (LGLFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Dorinda Salcido Traditional IRA #2	No			
3.1	Vanguard Total BN MKY (BDN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	iShares MSCI USA Min Vol Factor ETF (USMV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.3	VanEck Vectors Fallen Angel High Yield Bond ETF (ANGL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.4	Invesco Preferred ETF (PGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	SPDR Blackstone Senior Loan ETF (SRLN)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.7	Schwab U.S. Dividend Equity ETF (SCHD)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.8	Invesco QQQ ETF (QQQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	iShares U.S. Medical Devices ETF (IHI)	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
3.10	iShares US Financials ETF (IYF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.11	Real Estate Select Sector (XLRE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.12	iShares Core S&P MidCap ETF (IJH)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.13	iShares Core S&P Small cap ETF (IJR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.14	Industrial Select Sector ETF (XLI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.15	iShares Exponential Technology ETF (XT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.16	SPDR Bloomberg Barclays Con Sec (CWB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.17	Consumer Discretionary ETF (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.18	Utilities Select Sector ETF (XLU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.19	Schwab Strategic TIPS ETF (SCHP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.20	iShares Russel MidCap ETF (IWP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.21	SPDR Technology ETF (XLK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Responsum for Fibroids	N/A		Payment of \$250 per quarter. To date have been paid \$500 since October 2020 with an additional \$500 due by end of September 2021.	\$500
5	Salcido Strategies LLC (sole-proprietor consulting company)	See Endnote	N/A	consulting fees/income -- 2021: As of 8/31: \$131,907.10 2020: \$284,011.78	\$400,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Salcido Strategies, LLC	Alexandria, Virginia	Consulting business providing strategic communications counsel to clients - some federal clients, academia and start-up companies
2	American University	Washington, District of Columbia	Communications strategic support
3	Micro Systems Consultants, Inc	Falls Church, Virginia	Subcontractor to U.S. State Department for communications strategic support
4	Vivint Solar	Lehi, Utah	Communications crisis/strategic support
5	Joya Communications Inc.	San Francisco, California	Communication support to Marco Polo - start up company
6	Scott Circle Communications	Washington, District of Columbia	Communications strategic support
7	Signal Vine	Alexandria, Virginia	Communications strategic support
8	Nu-Tek BioScience	Minnetonka, Minnesota	Communications strategic support to biotechnology company based in Minnesota
9	Precision Strategies, LLC	Washington, District of Columbia	Communications strategic support to PR agency for a pharmaceutical client

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse's Trad IRA #1	No			
1.1	Amazon (AMZN) See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.2	Bank of America (BAC)	N/A	\$15,001 - \$50,000		\$201 - \$1,000
1.3	Centene Corp Del (CNC) See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.4	Alphabet Inc (GOOG) See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.5	GOPRO Inc (GPRO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Goldman Sachs Group (GS)	N/A	\$15,001 - \$50,000		\$201 - \$1,000
1.7	Inmode (INMD) See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.8	L3Harris Tech (LHX) See Endnote	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.9	Nvidia (NVDA) See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.10	Virgin Galactic (SPCE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.11	Square Inc (SQ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.12	John Hancock Financial Opps ETF (BTO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.13	Roundhill Bitkraft eSports&Digital Entertainment ETF (NERD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	Touchstone Sands Capital Select Growth MF (CFSIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.15	Neuberger&Berman Genesis Fund (NBGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.16	Invesco Global Opps MF (OGIYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Spouse's Roth IRA #1	No			
2.1	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.2	Invesco DB Oil Fund (DBO)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.3	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	iShares TR Core S&P Small Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.6	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.7	iShares TR S&P 100 Index ETF (OEF)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.8	iShares TR MSCI EAFE Small Cap ETF (SCZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Vanguard Index FDS Real Estate Index ETF (VNQ)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.10	Vanguard INTLEquity Index FD ETF (VT)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Joint Account 1		No			
1.1	Advanced Micro Devices (AMD)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.2	Blackstone Inc (BX)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.3	Cummins INNC (CMI)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.4	Carlisle Cos inc (CSL)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.5	Walt Disney (DIS)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.6	Gilead Sciences (GILD)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.7	GXO Logistics (GXO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	JPMorgan Chase&Co (JPM)		N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
1.9	MAGNA INT (MGA)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.10	Qualcomm inc (QCOM)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.11	Sea LTD (SE)		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.12	SS&C Technologies Holdings Inc(SSNC)	See Endnote	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.13	XPO Logistics Inc (XPO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	Zoetis Inc (ZTS)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
1.15	First Trust UIT Precious Metals Select Port(Cusip 30315U506)		Yes	\$15,001 - \$50,000		None (or less than \$201)
1.16	First Trust Biotech ETF (FBT)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
1.17	First Trust INDLS Producer Durables ETF (FXR)		Yes	\$15,001 - \$50,000		None (or less than \$201)
1.18	iShares TR Morningstar MidCap Growth ETF (IMCG)		Yes	\$15,001 - \$50,000		None (or less than \$201)
1.19	First Trust Clean Edge Green Energy ETF (QCLN)		Yes	\$15,001 - \$50,000		None (or less than \$201)
1.20	Lazard Global Listed Infrastructure Portfolio MF(GLIFX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
1.21	FLEX LTD		N/A	None (or less than \$1,001)		\$2,501 - \$5,000
2	Joint Account 2		No			
2.1	Advanced Micro Devices (AMD)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
2.2	Blackstone Inc (BX)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
2.3	Cummins INNC (CMI)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.4	Carlisle Cos inc (CSL)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.5	Walt Disney (DIS)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	Gilead Sciences (GILD)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
2.7	GXO Logistics (GXO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	JPMorgan Chase&Co (JPM)		N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
2.9	MAGNA INT (MGA)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.10	Qualcomm inc (QCOM)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
2.11	Sea LTD (SE)		N/A	\$15,001 - \$50,000		None (or less than \$201)
2.12	SS&C Technologies Holdings Inc(SSNC)	See Endnote	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
2.13	XPO Logistics Inc (XPO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	Zoetis Inc (ZTS)	See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
2.15	First Trust UIT Precious Metals Select Port(Cusip 30315U506)		Yes	\$15,001 - \$50,000		None (or less than \$201)
2.16	First Trust Biotech ETF (FBT)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
2.17	First Trust INDLS Producer Durables ETF (FXR)		Yes	\$15,001 - \$50,000		None (or less than \$201)
2.18	iShares TR Morningstar MidCap Growth ETF (IMCG)		Yes	\$15,001 - \$50,000		None (or less than \$201)
2.19	First Trust Clean Edge Green Energy ETF (QCLN)		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.20	Lazard Global Listed Infrastructure Portfolio MF(GLIFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Exercised Line of Credit	\$100,001 - \$250,000	2003	3.0	2033

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	1.2	sold prior to start date - SO
2.	1.3	sold prior to start date - SO
2.	2.1	sold prior to start date - SO

PART	#	ENDNOTE
2.	2.2	sold prior to start date - SO
2.	2.4	sold prior to start date - SO
2.	2.5	sold prior to start date - SO
2.	2.6	sold prior to start date - SO
2.	2.8	sold prior to start date - SO
2.	3.9	sold prior to start date - SO
2.	5	The sole-proprietor consulting company will be dormant during employment with the federal government
5.	1.1	sold prior to start date - SO
5.	1.3	sold prior to start date - SO
5.	1.4	sold prior to start date - SO
5.	1.7	sold prior to start date - SO
5.	1.8	sold prior to start date - SO
5.	1.9	sold prior to start date - SO
6.	1.1	sold prior to start date - SO
6.	1.2	sold prior to start date - SO
6.	1.5	sold prior to start date - SO
6.	1.6	sold prior to start date - SO
6.	1.10	sold prior to start date - SO
6.	1.12	sold prior to start date - SO
6.	1.14	sold prior to start date - SO
6.	1.16	sold prior to start date - SO
6.	2.1	sold prior to start date - SO

PART	#	ENDNOTE
6.	2.2	sold prior to start date - SO
6.	2.5	sold prior to start date - SO
6.	2.6	sold prior to start date - SO
6.	2.10	sold prior to start date - SO
6.	2.12	sold prior to start date - SO
6.	2.14	sold prior to start date - SO
6.	2.16	sold prior to start date - SO

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
