

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Murthy, Vivek

Surgeon General, Department of Health & Human Services

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Murthy, Vivek [electronically signed on 05/15/2022 by Murthy, Vivek in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hall, Randall, Certifying Official [electronically signed on 07/13/2022 by Hall, Randall in Integrity.gov]

Other review conducted by

/s/ CardMina, Mary, Ethics Official [electronically signed on 07/13/2022 by CardMina, Mary in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/13/2022

Data Revised 07/12/2022

Data Revised 06/30/2022

Data Revised 06/29/2022

Data Revised 06/28/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Emotional Wellbeing Initiative	Washington, District of Columbia	Non-Profit	President	9/2017	3/2021
2	Kaiser Family Foundation	San Francisco, California	Non-Profit	Board of Directors	12/2019	3/2021
3	RAND Corporation	Santa Monica, California	Non-Profit	Board of Trustees	9/2018	3/2021
4	National Collegiate Athletic Association	Indianapolis, Indiana	Non-Profit	Board of Governors	4/2019	1/2021
5	U.S. Olympic and Paralympic Committee	Colorado Springs, Colorado	Non-Profit	Board of Directors	2/2020	3/2021
6	Blue Sky Ventures, LLC	Washington, District of Columbia	LLC	Co-Owner	11/2018	Present
7	SVN Med, LLC	Frisco, Texas	LLC	Board of Directors	6/2019	1/2021
8	Attention	Los Angeles, California	Corporation	Advisory Board	1/2020	3/2021
9	L&N Baby, LLC	Frisco, Texas	Corporation	Advisor	7/2019	3/2021
10	Paul and Daisy Soros Fellowships for New Americans	New York, New York	Non-Profit	Board of Trustees	10/2020	3/2021
11	Kennedy-Satcher Center for Mental Health Equity Board	Atlanta, Georgia	Non-Profit	Advisory Board Member	11/2017	3/2021
12	NeuroArts Blueprint, Aspen Institute and Johns Hopkins University	Washington, District of Columbia	Non-Profit	Advisor	9/2020	3/2021

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Biden Institute, University of Delaware	Newark, Delaware	Non-Profit	Advisory Board Member	3/2018	1/2021
14	The Behavioral Health Group	Dallas, Texas	Corporation	Advisor	8/2020	2/2021
15	COVID-19 Transition Advisory Board	Washington, District of Columbia	Transition Advisory Board	Co-Chair	11/2020	1/2021
16	Act to Change	Washington, District of Columbia	Non-Profit	Advisor	11/2019	3/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Kaiser Family Foundation	N/A		Stipend	\$5,500
2	Roth IRA	No			
2.1	Fidelity Government Cash Reserves Fund (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Blue Sky Ventures, LLC (speaking, writing, and consulting services)	See Endnote	\$500,001 - \$1,000,000	Salary	\$43,750
3.1	"Together," InkWell Publishing, LLC (value not readily ascertainable)	N/A		Advances	\$125,813
3.2	Netflix, Inc.	N/A		Consulting prior to 3/23/2021	\$321,774
3.3	Estee Lauder Companies, Inc.	N/A		Consulting prior to 3/23/2021	\$45,000
3.4	Duke University Kenan Institute for Ethics, Honorarium - 1/14/2021	N/A		Honorarium	\$30,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	Florida Blue Foundation Honorarium - 2/12/2021	N/A		Honorarium	\$30,000
4	SEP IRA	No			
4.1	Fidelity Government Cash Reserves Fund (FDRXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	SPDR S&P 500 ETF Trust (SPY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Vanguard 500 Index Fund ETF (VOO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Rollover IRA	No			
5.1	Vanguard 500 Index Fund ETF (VOO)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5.2	U.S. financial institution cash account	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	The Behavioral Health Group	N/A	\$15,001 - \$50,000	Advisory Services prior to 3/23/2021	\$37,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	SVN Med, LLC	Frisco, Texas	I resigned as a board member before starting government service. I forfeited unvested restricted stock units. I divested all of my vested restricted stock units by selling my vested shares back to SVN Med, LLC at par value totaling \$39.58.	6/2019
2	Attention	Los Angeles, California	I resigned as an advisor before I began government service. I forfeited unvested stock options, and I divested all of my vested stock options in Attention.	1/2020

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	The Behavioral Health Group	Dallas, Texas	I resigned as an advisor before I entered government service. I received a payment of \$37,500 for my services.	8/2020
4	L&N Baby, LLC	Frisco, Texas	I resigned as an advisor before I entered government service. I sold all restricted stock units back to L&N Baby, LLC for the par value of the equity at time of the equity agreement (\$7.84).	5/2019
5	Blue Sky Ventures, LLC	Washington, District of Columbia	During my government appointment, the LLC will be inactive from an income perspective other than to collect book royalties.	1/2019

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blue Sky Ventures, LLC See Endnote	N/A	\$500,001 - \$1,000,000	Salary	
2	U.S. bank account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Rollover IRA	No			
3.1	SPDR S&P 500 ETF Trust (SPY)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	U.S. financial institution cash account	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	The Medical Faculty Associates Retirement Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	American Century One Choice 2045 Portfolio (AROIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Roth IRA	No			
5.1	U.S. financial institution cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Civic Nation (Made to Save project - public health education)	N/A		Salary	
7	SEP IRA	No			
7.1	U.S. financial institution cash account	N/A	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SilverArc Capital Alpha Fund I, L.P., account receivable (cash)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Uniform Transfers to Minors Act (UTMA) Account #1 (DC #1)	No			
2.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	UTMA Account #2 (DC #2)	No			
3.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. bank account #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
5	U.S. bank account #2 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. bank account #4 (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
8	U.S. Brokerage Account #1	No			
8.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
8.2	Broadcom, Inc. (AVGO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.3	Citigroup, Inc. (C)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
8.4	Colgate-Palmolive Co. (CL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
8.5	Costco Wholesale Corporation (COST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.6	CVS Health Corporation (CVS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
8.7	Goldman Sachs Group, Inc. (GS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.8	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
8.9	JPMorgan Chase & Co. (JPM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.10	Mastercard, Inc. (MA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.11	Marvell Technology Group Ltd. (MRVL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
8.12	Microsoft Corporation (MSFT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.13	Netflix, Inc., open position for put option: 100 shares, strike price \$270, exp. 1/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
8.14	Nike, Inc. (NKE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
8.15	NortonLifeLock, Inc. (NLOK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
8.16	Starbucks Corporation (SBUX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.17	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
8.18	Take-Two Interactive Software, Inc. (TTWO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.19	Verizon Communications, Inc. (VZ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
8.20	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
8.21	Salesforce.com, Inc. (CRM)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.22	United Parcel Service, Inc. (UPS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
9	529 Plan #1: DC College Savings Plan	No			
9.1	DC College Savings 2034 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.2	U.S. Total Stock Market Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	529 Plan #2: DC College Savings Plan	No			
10.1	DC College Savings 2034 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	U.S. Total Stock Market Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	U.S. Brokerage Account #2	No			
11.1	U.S. financial institution cash account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
11.2	SPDR S&P 500 ETF Trust (SPY)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
11.3	Airbnb, Inc. (ABNB)	No	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Costco Wholesale Corporation (COST)	Sale	03/31/2021	\$15,001 - \$50,000
2	CVS Health Corporation (CVS)	Sale	03/31/2021	\$1,001 - \$15,000
3	Airbnb, Inc. (ABNB)	Sale	06/22/2021	\$500,001 - \$1,000,000
4	United Parcel Service, Inc. (UPS)	Sale	06/21/2021	\$1,001 - \$15,000
5	Take-Two Interactive Software, Inc. (TTWO)	Sale	06/21/2021	\$1,001 - \$15,000
6	Norton Life Lock (NLOK)	Sale	06/21/2021	\$1,001 - \$15,000
7	NIKE, Inc. (NKE)	Sale	06/21/2021	\$1,001 - \$15,000
8	Citigroup, Inc. (C)	Sale	06/21/2021	\$1,001 - \$15,000
9	The Goldman Sachs Group, Inc. (GS)	Sale	06/21/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	JPMorgan Chase & Co. (JPM)	Sale	06/21/2021	\$15,001 - \$50,000
11	Apple, Inc. (AAPL)	Sale	06/21/2021	\$1,001 - \$15,000
12	Broadcom, Inc. (AVGO)	Sale	06/17/2021	\$15,001 - \$50,000
13	Colgate-Palmolive Co. (CL)	Sale	06/17/2021	\$1,001 - \$15,000
14	Honeywell International, Inc. (HON)	Sale	06/17/2021	\$1,001 - \$15,000
15	Marvell Technology Group Ltd. (MRVL)	Sale	06/17/2021	\$1,001 - \$15,000
16	Mastercard, Inc. (MA)	Sale	06/17/2021	\$15,001 - \$50,000
17	Microsoft Corp. (MSFT)	Sale	06/17/2021	\$1,001 - \$15,000
18	Salesforce.com, Inc. (CRM)	Sale	06/17/2021	\$1,001 - \$15,000
19	Starbucks Corp. (SBUX)	Sale	06/17/2021	\$15,001 - \$50,000
20	Verizon Communications, Inc. (VZ)	Sale	06/17/2021	\$1,001 - \$15,000
21	U.S. Brokerage Account #2: SPDR S&P 500 ETF Trust (SPY)	Purchase	07/19/2021	\$50,001 - \$100,000
22	U.S. Brokerage Account #1: SPDR S&P 500 ETF Trust (SPY)	Purchase	09/20/2021	\$100,001 - \$250,000
23	Vanguard 500 Index Fund ETF Shares (VOO)	Purchase	09/20/2021	\$50,001 - \$100,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America Corporation	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2015	3.125%	10 year ARM

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3	Value represents filer's 50% of the equity. Salary received for 2021 services prior to 3/23/2021.
5.	1	Value represents spouse's 50% of the equity.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
