

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

Hsu, Josh M

Special Assistant to the President and Counsel to the Vice President, Office of the Vice President - Biden-Harris Administration

Report Year: 2022

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hsu, Josh M [electronically signed on 04/20/2022 by Hsu, Josh M in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Martin, Takeia R, Certifying Official [electronically signed on 05/24/2022 by Martin, Takeia R in Integrity.gov]

---

Other review conducted by

/s/ Martin, Takeia R, Ethics Official [electronically signed on 05/24/2022 by Martin, Takeia R in Integrity.gov]

---

U.S. Office of Government Ethics Certification

---

Data Revised 05/24/2022

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	PT Fund, Inc. (Presidential Transition Team)	Wilmington, Delaware	Non-Profit	Director of Judicial Nominations, Office of General Counsel	8/2020	1/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	AMCAP FD INC (AMCFX) See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	FUNDAMENTAL INVS INC (FINFX) See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	GROWTH FD AMER INC (GFFFX) See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	NEW ECONOMY FD (NEFFX) See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	NEW PERSPECTIVE FD INC (ANWFX) See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	SMALLCAP WORLD FD INC (SMCFX) See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	ISHARES TR ISHARES SEMICONDUCTOR ETF SOXX	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	NORTHERN LTS FD TR III HCM INCOME PLUS FD CL A HCMEX	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	NORTHERN LTS FD TR III HCM DIVID SECTOR PLUS FD CL A HCMNX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	NORTHERN LTS FD TR III HCM TACTICAL GROWTH FD CL A HCMGX	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	PT Fund, Inc. (Presidential Transition Team)	N/A		Salary	\$6,682

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential parking space Washington, DC	N/A	None (or less than \$1,001)	Rent or Royalties	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. bank account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares Core S&P Small-Cap ETF (IJR)	Purchase	01/19/2021	\$1,001 - \$15,000
2	iShares MSCI EAFE Value ETF (EFV)	Purchase	01/19/2021	\$1,001 - \$15,000
3	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	01/19/2021	\$1,001 - \$15,000
4	iShares Core Total USD Bond Market ETF (IUSB)	Purchase	01/19/2021	\$1,001 - \$15,000
5	American SMALLCAP World Fund, Inc Class F2 Shares (SMCFX)	Sale	01/15/2021	\$1,001 - \$15,000
6	iShares Core S&P 500 ETF (IVV)	Sale	01/19/2021	\$1,001 - \$15,000
7	iShares MSCI EAFE Growth ETF (EFG)	Sale	01/19/2021	\$1,001 - \$15,000
8	iShares US Medical Devices ETF (IHI)	Sale	01/19/2021	\$1,001 - \$15,000
9	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	01/19/2021	\$1,001 - \$15,000
10	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/19/2021	\$1,001 - \$15,000
11	iShares Inc iShares ESG Aware MSCI EM ETF (ESGE)	Sale	01/19/2021	\$1,001 - \$15,000
12	iShares ESG MSCI USA ETF (ESGU)	Sale	01/19/2021	\$1,001 - \$15,000
13	iShares US Energy ETF (IYE)	Purchase	03/25/2021	\$1,001 - \$15,000
14	iShares MSCI EAFE Value ETF (EFV)	Purchase	03/25/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	iShares Core Total USD Bond Market ETF (IUSB)	Purchase	03/25/2021	\$1,001 - \$15,000
16	Growth Fund of America Class F2 Shares (GFFFX)	Sale	03/24/2021	\$1,001 - \$15,000
17	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Sale	03/25/2021	\$1,001 - \$15,000
18	iShares MSCI EAFE Growth ETF (EFG)	Sale	03/25/2021	\$1,001 - \$15,000
19	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	03/25/2021	\$1,001 - \$15,000
20	AMCAP Fund Class F2 Shares (AMCFX)	Purchase	04/23/2021	\$1,001 - \$15,000
21	American Funds Fundamental Investors Class F2 Shares (FINFX)	Purchase	04/23/2021	\$1,001 - \$15,000
22	Growth Fund of America Class F2 Shares (GFFFX)	Purchase	04/23/2021	\$1,001 - \$15,000
23	New Perspective Fund Class F2 Shares (ANWFX)	Purchase	04/23/2021	\$1,001 - \$15,000
24	New Economy Fund Class F2 Shares (NEFFX)	Purchase	04/23/2021	\$1,001 - \$15,000
25	American SMALLCAP World Fund, Inc Class F2 Shares (SMCFX)	Purchase	04/23/2021	\$1,001 - \$15,000
26	iShares US Energy ETF (IYE)	Purchase	04/26/2021	\$1,001 - \$15,000
27	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/26/2021	\$1,001 - \$15,000
28	iShares Core S&P 500 ETF (IVV)	Purchase	04/26/2021	\$1,001 - \$15,000
29	iShares MSCI EAFE Value ETF (EFV)	Purchase	04/26/2021	\$1,001 - \$15,000
30	iShares MSCI EAFE Growth ETF (EFG)	Purchase	04/26/2021	\$1,001 - \$15,000
31	iShares US Medical Devices ETF (IHI)	Purchase	04/26/2021	\$1,001 - \$15,000
32	iShares US Treasury Bond ETF (GOVT)	Purchase	04/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
33	iShares Edge MSCI USA Value Factor ETF (VLUE)	Purchase	04/26/2021	\$1,001 - \$15,000
34	iShares Core Total USD Bond Market ETF (IUSB)	Purchase	04/26/2021	\$1,001 - \$15,000
35	iShares Inc iShares ESG Aware MSCI EM ETF (ESGE)	Purchase	04/26/2021	\$1,001 - \$15,000
36	iShares ESG MSCI USA ETF (ESGU)	Purchase	04/26/2021	\$1,001 - \$15,000
37	American SMALLCAP World Fund, Inc Class F2 Shares (SMCFX)	Purchase	05/03/2021	\$1,001 - \$15,000
38	iShares US Energy ETF (IYE)	Purchase	05/28/2021	\$1,001 - \$15,000
39	iShares Global Financials ETF (IXG)	Purchase	05/28/2021	\$1,001 - \$15,000
40	iShares MSCI EAFE Value ETF (EFV)	Purchase	05/28/2021	\$1,001 - \$15,000
41	iShares Commodities Select Strategy ETF (COMT)	Purchase	05/28/2021	\$1,001 - \$15,000
42	AMCAP Fund Class F2 Shares (AMCFX)	Sale	05/03/2021	\$1,001 - \$15,000
43	iShares Global Tech ETF (IXN)	Sale	05/28/2021	\$1,001 - \$15,000
44	iShares US Medical Devices ETF (IHI)	Sale	05/28/2021	\$1,001 - \$15,000
45	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	05/28/2021	\$1,001 - \$15,000
46	iShares Core Total USD Bond Market ETF (IUSB)	Sale	05/28/2021	\$1,001 - \$15,000
47	AMCAP Fund Class F2 Shares (AMCFX)	Purchase	06/17/2021	\$1,001 - \$15,000
48	iShares Core S&P Small-Cap ETF (IJR)	Purchase	07/27/2021	\$1,001 - \$15,000
49	iShares Global Tech ETF (IXN)	Purchase	07/27/2021	\$1,001 - \$15,000
50	iShares TIPS Bond ETF (TIP)	Purchase	07/27/2021	\$1,001 - \$15,000
51	iShares MSCI EAFE Value ETF (EFV)	Purchase	07/27/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
52	iShares MSCI EAFE Growth ETF (EFG)	Purchase	07/27/2021	\$1,001 - \$15,000
53	iShares 10-20 Year Treasury Bond ETF (TLH)	Purchase	07/27/2021	\$1,001 - \$15,000
54	iShares ESG MSCI USA ETF (ESGU)	Purchase	07/27/2021	\$1,001 - \$15,000
55	iShares US Energy ETF (IYE)	Sale	07/27/2021	\$1,001 - \$15,000
56	iShares Core S&P 500 ETF (IVV)	Sale	07/27/2021	\$1,001 - \$15,000
57	iShares Global Financials ETF (IXG)	Sale	07/27/2021	\$1,001 - \$15,000
58	iShares US Treasury Bond ETF (GOVT)	Sale	07/27/2021	\$1,001 - \$15,000
59	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	07/27/2021	\$1,001 - \$15,000
60	iShares Core Total USD Bond Market ETF (IUSB)	Sale	07/27/2021	\$1,001 - \$15,000
61	iShares ESG Aware MSCI EM ETF (ESGE)	Sale	07/27/2021	\$1,001 - \$15,000
62	iShares Core S&P 500 ETF (IVV)	Purchase	10/25/2021	\$1,001 - \$15,000
63	iShares Edge MSCI Min Vol USA ETF (USMV)	Purchase	10/25/2021	\$1,001 - \$15,000
64	iShares Global Tech ETF (IXN)	Sale	10/25/2021	\$1,001 - \$15,000
65	iShares Edge MSCI USA Value Factor ETF (VLUE)	Sale	10/25/2021	\$1,001 - \$15,000
66	iShares Inc iShares ESG Aware MSCI EM ETF (ESGE)	Sale	10/25/2021	\$1,001 - \$15,000
67	HCM Tactical Growth Fund Class A Shares (HCMGX)	Purchase	11/12/2021	\$50,001 - \$100,000
68	HCM Dividend Sector Plus Fund Class A Shares (HCMNX)	Purchase	11/12/2021	\$50,001 - \$100,000
69	HCM Income Plus Fund Class A Shares (HCMEX)	Purchase	11/12/2021	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
70	iShares PHLX Semiconductor ETF (SOXX)	Purchase	11/15/2021	\$15,001 - \$50,000
71	AMCAP Fund Class F2 Shares (AMCFX)	Sale	11/12/2021	\$1,001 - \$15,000
72	Growth Fund of America Class F2 Shares (GFFFX)	Sale	11/12/2021	\$1,001 - \$15,000
73	iShares US Energy ETF (IYE)	Sale	11/15/2021	\$1,001 - \$15,000
74	iShares Core S&P Small-Cap ETF (IJR)	Sale	11/15/2021	\$1,001 - \$15,000
75	iShares Core S&P 500 ETF (IVV)	Sale	11/15/2021	\$15,001 - \$50,000
76	iShares Global Tech ETF (IXN)	Sale	11/15/2021	\$1,001 - \$15,000
77	iShares Global Financials ETF (IXG)	Sale	11/15/2021	\$1,001 - \$15,000
78	iShares TIPS Bond ETF (TIP)	Sale	11/15/2021	\$1,001 - \$15,000
79	iShares MSCI EAFE Value ETF (EFV)	Sale	11/15/2021	\$15,001 - \$50,000
80	iShares MSCI EAFE Growth ETF (EFG)	Sale	11/15/2021	\$15,001 - \$50,000
81	iShares 10-20 Year Treasury Bond ETF (TLH)	Sale	11/15/2021	\$1,001 - \$15,000
82	iShares Edge MSCI Min Vol USA ETF (USMV)	Sale	11/15/2021	\$1,001 - \$15,000
83	iShares Edge MSCI USA Value Factor ETF (VLUE)	Sale	11/15/2021	\$1,001 - \$15,000
84	iShares Commodities Select Strategy ETF (COMT)	Sale	11/15/2021	\$1,001 - \$15,000
85	iShares Inc iShares ESG Aware MSCI EM ETF (ESGE)	Sale	11/15/2021	\$1,001 - \$15,000
86	iShares ESG MSCI USA ETF (ESGU)	Sale	11/15/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
87	New Economy Fund Class F2 Shares (NEFFX)	Purchase	12/16/2021	\$1,001 - \$15,000
88	New Perspective Fund Class F2 Shares (ANWFX)	Purchase	12/17/2021	\$1,001 - \$15,000
89	American SMALLCAP World Fund, Inc Class F2 Shares (SMCFX)	Purchase	12/17/2021	\$1,001 - \$15,000
90	American Funds Fundamental Investors Class F2 Shares (FINFX)	Purchase	12/20/2021	\$1,001 - \$15,000
91	Growth Fund of America Class F2 Shares (GFFFX)	Purchase	12/20/2021	\$1,001 - \$15,000
92	Twitter, Inc. (TWTR)	Sale	01/19/2021	\$1,001 - \$15,000
93	Apple, Inc. (AAPL)	Sale	01/19/2021	\$50,001 - \$100,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
2.	1.1	There was no transaction. The ticker was edited from last year's report.

PART	#	ENDNOTE
2.	1.2	There was no transaction. The ticker was edited from last year's report.
2.	1.3	There was no transaction. The ticker was edited from last year's report.
2.	1.4	There was no transaction. The ticker was edited from last year's report.
2.	1.5	There was no transaction. The ticker was edited from last year's report.
2.	1.6	There was no transaction. The ticker was edited from last year's report.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---