

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mantica, Paul

Division Director, Department of Energy

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

Technical Advisor (IPA), Department of Energy (2/2021 - 12/2022) See endnote

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mantica, Paul [electronically signed on 04/18/2023 by Mantica, Paul in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ PAN, CHAO, Certifying Official [electronically signed on 05/02/2023 by PAN, CHAO in Integrity.gov]

Other review conducted by

/s/ PAN, CHAO, Ethics Official [electronically signed on 05/02/2023 by PAN, CHAO in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/02/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Michigan State University	East Lansing, Michigan	University/College	University Distinguished Professor	7/2011	12/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Michigan State University	N/A		Salary	\$264,150
2	MSU 403(b) Supplemental retirement program				
2.1	Vang Inst Tg Rtm 30 Inst	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	MSU 403(b) Base retirement program pre-2012				
3.1	CREF Stock R3	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2	TIAA Traditional		\$100,001 - \$250,000		None (or less than \$201)
4	MSU 403(B) Base Retirement				
4.1	CREF Stock R3	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.2	TIAA Traditional		\$100,001 - \$250,000		None (or less than \$201)
4.3	New Perspective Fund Class R6 Shares (RNPGX)	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	ORAU 403(B) Retirement Plan				
5.1	CREF Stock R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	TIAA Traditional	See Endnote	\$15,001 - \$50,000		None (or less than \$201)
6	ORAU Supplemental Plan				
6.1	CREF Bond R2	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
7	MSU 403(B) Supplemental Retirement Program - Pre 2012	See Endnote			
7.1	CREF Stock R3	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Michigan State University	East Lansing, Michigan	I will continue to received health insurance during the paid leave up through my retirement date 12/31/2022	8/1995
2	Michigan State University	East Lansing, Michigan	Paid leave of absence. Employer will continue to make contributions to my retirement plan up to my retirement date 12/31/2022.	2/2021
3	Michigan State University	East Lansing, Michigan	I will continue to participate in this defined contribution plan, and the plan sponsor will continue to make contributions up to my retirement date 12/31/2022.	8/1995
4	ORAU	Oak Ridge, Tennessee	I will continue to participate in these defined contribution plans, but the plan sponsor is no longer making contributions to these plans.	1/1990

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Michigan State University	East Lansing, Michigan	Eligible for health insurance benefit for myself and spouse upon retirement on 12/31/2022. Elected to decline this benefit for calendar year 2023.	1/2023

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Michigan State University See Endnote	N/A		salary	\$105,967
2	Michigan State University 403(b) Base Retirement Program				
2.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Michigan State University 403(b) supplemental retirement program				
3.1	Vanguard Institutional Target Retirement 2030 Fund (VTTWX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Michigan State University 403(b) Base Retirement Program pre-2012				
4.1	CREF Growth R3 See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	CREF Equity Index R3 See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	MSU 403(B) Supplemental retirement Pre 2012				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	CREF Equity R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	CREF Growth R3	Yes	\$15,001 - \$50,000		
6	MSU College of Law Deferred Annuity				
6.1	CREF Equity Index R2	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	TIAA Real Estate		\$1,001 - \$15,000		None (or less than \$201)
7	MSU College of Law Defined Contribution				
7.1	CREF Equity Index R2	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.2	CREF Social Choice R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Kalijarvi, Chuzi, Newman & Fitch	N/A		salary	\$51,932

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BoA - Bank Account	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2	Merrill - ModMF Account				\$5,001 - \$15,000
2.1	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Yes	\$15,001 - \$50,000		
2.2	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Yes	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Calvert Small Cap Fund Class I Shares (CSVIX)	Yes	\$1,001 - \$15,000		
2.4	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$1,001 - \$15,000		
2.5	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Yes	\$15,001 - \$50,000		
2.6	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Yes	\$15,001 - \$50,000		
2.7	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$15,001 - \$50,000		
2.8	iShares US Treasury Bond ETF (GOVT)		\$15,001 - \$50,000		
2.9	Nuveen ESG Large-Cap Growth ETF (NULG)	Yes	\$15,001 - \$50,000		
2.10	Nuveen ESG Large-Cap Value ETF (NULV)	Yes	\$15,001 - \$50,000		
2.11	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Yes	\$15,001 - \$50,000		
2.12	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		
2.13	ISHARES INC ISHARES ESG AWARE MSCI EM ETF (ESGE)	Yes	\$1,001 - \$15,000		
2.14	iShares Russell 1000 Growth ETF (IWF)	Yes	\$15,001 - \$50,000		
2.15	iShares Russell 1000 Value ETF (IWD)	Yes	\$1,001 - \$15,000		
2.16	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		
2.17	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.18	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		
2.19	iShares MSCI KLD 400 Social ETF (DSI)	Yes	\$1,001 - \$15,000		
2.20	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$15,001 - \$50,000		
3	Merrill Blackstone Real Estate Income Trust (BREIT) Class S	No	\$50,001 - \$100,000	Dividends	\$15,001 - \$50,000
4	Merrill Partners Group Private Equity (PGPE) Class A	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
5	Merrill ACAP Strategic Fund	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
6	Merrill Starwood Real Estate Income Trust Class S	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
7	Merrill Blackstone Private Credit Fund Class S	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Starwood Real Estate Income Trust Class S	Purchase	02/01/2022	\$50,001 - \$100,000
2	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	02/10/2022	\$1,001 - \$15,000
3	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	02/10/2022	\$1,001 - \$15,000
4	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	02/11/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	02/11/2022	\$1,001 - \$15,000
6	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	02/11/2022	\$1,001 - \$15,000
7	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	02/11/2022	\$1,001 - \$15,000
8	American Funds Bond Fund of America F2 (ABNFX)	Sale	02/11/2022	\$15,001 - \$50,000
9	MFS Growth Fund Class I Shares (MFEIX)	Sale	02/11/2022	\$1,001 - \$15,000
10	Ave Maria Rising Dividend Fund (AVEDX)	Sale	02/11/2022	\$15,001 - \$50,000
11	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Sale	02/11/2022	\$1,001 - \$15,000
12	iShares ESG MSCI EM ETF (ESGE)	Purchase	02/11/2022	\$1,001 - \$15,000
13	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	02/11/2022	\$1,001 - \$15,000
14	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	02/11/2022	\$1,001 - \$15,000
15	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Purchase	02/11/2022	\$1,001 - \$15,000
16	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	02/11/2022	\$1,001 - \$15,000
17	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	02/11/2022	\$1,001 - \$15,000
18	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	02/11/2022	\$1,001 - \$15,000
19	T-Fund Institutional Shares (TSTXX)	Purchase	05/16/2022	\$1,001 - \$15,000
20	iShares ESG MSCI EAFE ETF (ESGD)	Sale	05/16/2022	\$1,001 - \$15,000
21	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	05/16/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	Calvert Small Cap Fund Class I Shares (CSVIX)	Sale	05/16/2022	\$1,001 - \$15,000
23	T-Fund Institutional Shares (TSTXX)	Purchase	05/17/2022	\$1,001 - \$15,000
24	FedFund Premier Shares (BUPXX)	Purchase	07/15/2022	\$1,001 - \$15,000
25	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	07/15/2022	\$1,001 - \$15,000
26	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	07/15/2022	\$1,001 - \$15,000
27	T-Fund Institutional Shares (TSTXX)	Sale	07/15/2022	\$1,001 - \$15,000
28	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	07/15/2022	\$1,001 - \$15,000
29	iShares US Treasury Bond ETF (GOVT)	Purchase	07/15/2022	\$1,001 - \$15,000
30	Calvert Small Cap Fund Class I Shares (CSVIX)	Sale	07/15/2022	\$1,001 - \$15,000
31	FedFund Premier Shares (BUPXX)	Purchase	07/18/2022	\$1,001 - \$15,000
32	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	07/18/2022	\$1,001 - \$15,000
33	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	07/18/2022	\$1,001 - \$15,000
34	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	08/02/2022	\$1,001 - \$15,000
35	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	08/03/2022	\$1,001 - \$15,000
36	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	08/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	08/03/2022	\$1,001 - \$15,000
38	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	08/03/2022	\$1,001 - \$15,000
39	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	08/03/2022	\$1,001 - \$15,000
40	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	08/03/2022	\$1,001 - \$15,000
41	iShares ESG MSCI EM ETF (ESGE)	Purchase	08/03/2022	\$1,001 - \$15,000
42	iShares US Treasury Bond ETF (GOVT)	Purchase	08/03/2022	\$1,001 - \$15,000
43	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	08/03/2022	\$1,001 - \$15,000
44	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	08/03/2022	\$1,001 - \$15,000
45	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	09/02/2022	\$1,001 - \$15,000
46	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	09/02/2022	\$1,001 - \$15,000
47	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	09/02/2022	\$1,001 - \$15,000
48	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	09/02/2022	\$1,001 - \$15,000
49	iShares US Treasury Bond ETF (GOVT)	Purchase	09/02/2022	\$1,001 - \$15,000
50	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	09/02/2022	\$1,001 - \$15,000
51	iShares ESG MSCI EM ETF (ESGE)	Purchase	09/02/2022	\$1,001 - \$15,000
52	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	09/02/2022	\$1,001 - \$15,000
53	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	09/02/2022	\$1,001 - \$15,000
54	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	09/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	09/02/2022	\$1,001 - \$15,000
56	Blackstone Private Credit Fund Class S	Purchase	10/06/2022	\$15,001 - \$50,000
57	Partners Group Private Equity Class A	Purchase	09/23/2022	\$15,001 - \$50,000
58	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	10/04/2022	\$1,001 - \$15,000
59	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	10/04/2022	\$1,001 - \$15,000
60	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	10/04/2022	\$1,001 - \$15,000
61	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	10/04/2022	\$1,001 - \$15,000
62	iShares US Treasury Bond ETF (GOVT)	Purchase	10/04/2022	\$1,001 - \$15,000
63	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	10/04/2022	\$1,001 - \$15,000
64	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	10/04/2022	\$1,001 - \$15,000
65	iShares ESG MSCI EM ETF (ESGE)	Purchase	10/04/2022	\$1,001 - \$15,000
66	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	10/04/2022	\$1,001 - \$15,000
67	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	10/04/2022	\$1,001 - \$15,000
68	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	10/04/2022	\$1,001 - \$15,000
69	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	10/11/2022	\$1,001 - \$15,000
70	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Sale	10/11/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
71	FedFund Premier Shares (BUPXX)	Sale	10/11/2022	\$1,001 - \$15,000
72	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Purchase	10/11/2022	\$1,001 - \$15,000
73	iShares US Treasury Bond ETF (GOVT)	Purchase	10/11/2022	\$1,001 - \$15,000
74	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	11/02/2022	\$1,001 - \$15,000
75	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	11/02/2022	\$1,001 - \$15,000
76	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	11/02/2022	\$1,001 - \$15,000
77	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	11/02/2022	\$1,001 - \$15,000
78	iShares US Treasury Bond ETF (GOVT)	Purchase	11/02/2022	\$1,001 - \$15,000
79	iShares ESG MSCI EM ETF (ESGE)	Purchase	11/02/2022	\$1,001 - \$15,000
80	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	11/02/2022	\$1,001 - \$15,000
81	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	11/02/2022	\$1,001 - \$15,000
82	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	11/02/2022	\$1,001 - \$15,000
83	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	11/02/2022	\$1,001 - \$15,000
84	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Purchase	12/02/2022	\$1,001 - \$15,000
85	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Purchase	12/02/2022	\$1,001 - \$15,000
86	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Purchase	12/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
87	Delaware Corporate Bond Fund Institutional Class Shares (DGCIX)	Purchase	12/02/2022	\$1,001 - \$15,000
88	iShares US Treasury Bond ETF (GOVT)	Purchase	12/02/2022	\$1,001 - \$15,000
89	iShares ESG MSCI EM ETF (ESGE)	Purchase	12/02/2022	\$1,001 - \$15,000
90	Nuveen ESG Large-Cap Growth ETF (NULG)	Purchase	12/02/2022	\$1,001 - \$15,000
91	Nuveen ESG Large-Cap Value ETF (NULV)	Purchase	12/02/2022	\$1,001 - \$15,000
92	iShares ESG MSCI EAFE ETF (ESGD)	Purchase	12/02/2022	\$1,001 - \$15,000
93	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Purchase	12/02/2022	\$1,001 - \$15,000
94	Calvert Small Cap Fund Class I Shares (CSVIX)	Purchase	12/02/2022	\$1,001 - \$15,000
95	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Sale	12/14/2022	\$1,001 - \$15,000
96	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	12/14/2022	\$1,001 - \$15,000
97	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	12/14/2022	\$1,001 - \$15,000
98	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	12/14/2022	\$1,001 - \$15,000
99	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Sale	12/14/2022	\$1,001 - \$15,000
100	Brown Advisory Sustainable Growth Fund Institutional Class Shares (BAFWX)	Sale	12/14/2022	\$1,001 - \$15,000
101	TIAA-CREF Core Impact Bond Fund Advisor Class Shares (TSBHX)	Sale	12/14/2022	\$1,001 - \$15,000
102	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	12/14/2022	\$15,001 - \$50,000
103	Nuveen ESG Large-Cap Growth ETF (NULG)	Sale	12/14/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
104	iShares MSCI KLD 400 Social ETF (DSI)	Purchase	12/14/2022	\$1,001 - \$15,000
105	iShares ESG MSCI EM ETF (ESGE)	Sale	12/14/2022	\$1,001 - \$15,000
106	iShares ESG MSCI EAFE ETF (ESGD)	Sale	12/14/2022	\$15,001 - \$50,000
107	iShares Russell 1000 Growth ETF (IWF)	Purchase	12/14/2022	\$15,001 - \$50,000
108	iShares Russell 2000 ETF (IWM)	Purchase	12/14/2022	\$1,001 - \$15,000
109	iShares Core MSCI EAFE ETF (IEFA)	Purchase	12/14/2022	\$15,001 - \$50,000
110	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	12/14/2022	\$1,001 - \$15,000
111	iShares Russell 1000 Value ETF (IWD)	Purchase	12/14/2022	\$1,001 - \$15,000
112	Calvert US Large-Cap Value Responsible Index Fund Class I Shares (CFJIX)	Sale	12/14/2022	\$1,001 - \$15,000
113	Calvert Small Cap Fund Class I Shares (CSVIX)	Sale	12/14/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
Filer's Information	1	Intergovernmental Personnel Act (IPA) appointment on leave from Michigan State University
2.	4.3	Filer reports that change in value was due to a combination of organic growth and contributions from previous employer.
2.	5.2	Filer reports that change in value was due to a combination of organic growth and contributions from previous employer.
2.	6.1	Filer reports that change in value was due to a combination of organic growth and contributions from previous employer.
2.	7	Asset inadvertently off of reports from previous years.
5.	1	Spouse resigned position 8/14/2022.
5.	4.1	Filer reports that change in value was due to a combination of organic growth and contributions from previous employer.
5.	4.2	Filer reports that change in value was due to a combination of organic growth and contributions from previous employer.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
