

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Price, Edward

Spokesperson, Department of State

Date of Appointment: 01/06/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Price, Edward [electronically signed on 01/17/2021 by Price, Edward in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Murphy, Kathleen A, Certifying Official [electronically signed on 04/19/2021 by Murphy, Kathleen A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 04/19/2021

Data Revised 03/16/2021

Data Revised 03/11/2021

Data Revised 03/03/2021

Data Revised 02/18/2021

Data Revised 02/11/2021

Data Revised 02/10/2021

Data Revised 02/09/2021

Data Revised 01/18/2021

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Price Credit Shelter Trust u/t/a Price Family Trust	See Endnote	Washington, District of Columbia	Trust	Trustee	5/2016	Present
2	Exempt Marital Trust	See Endnote	Washington, District of Columbia	Trust	Trustee	5/2016	Present
3	Non-Exempt Marital Trust	See Endnote	Washington, District of Columbia	Trust	Trustee	5/2016	Present
4	Management Trust u/t/a Price Family Trust	See Endnote	Washington, District of Columbia	Trust	Trustee	5/2016	Present
5	Edward Price 2012 Irrevocable Trust		Washington, District of Columbia	Trust	Trustee	5/2016	Present
6	Edward Price Trust		Washington, District of Columbia	Trust	Trustee	5/2016	Present
7	Sibling One Trust		Washington, District of Columbia	Trust	Trustee	5/2016	Present
8	Sibling Two Trust		Washington, District of Columbia	Trust	Trustee	5/2016	Present
9	National Security Action		Washington, District of Columbia	Non-Profit	Director of Policy and Communications	2/2017	1/2021
10	NBC News		New York, New York	Corporation	Analyst and Contributor	3/2017	8/2020

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Georgetown University	Washington, District of Columbia	University/College	Assistant Adjunct Professor	1/2018	5/2020
12	Georgetown University	Washington, District of Columbia	University/College	Guest Lecturer	3/2019	3/2019

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Credit Shelter Trust	N/A		Trustee Fees	\$559
2	Exempt Marital Trust	N/A		Trustee Fees	\$949
3	Non-Exempt Marital Trust	N/A		Trustee Fees	\$45,818
3.1	Interest in Marketplace at Webb Chapel	No	\$250,001 - \$500,000		None (or less than \$201)
4	Management Trust	N/A		Trustee Fees	\$55,875
5	Morgan Stanley SEP IRA	No			
5.1	iShares Core MSCI ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	iShares Core MSCI ETF	Yes	\$1,001 - \$15,000		
5.3	iShares IBOXX	Yes	\$1,001 - \$15,000		
5.4	iShares MBS ETF	Yes	\$1,001 - \$15,000		
5.5	iShares Russell Growth ETF	Yes	\$15,001 - \$50,000		
5.6	iShares Russell Value ETF	Yes	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.7	iShates Midcap ETF	Yes	\$1,001 - \$15,000		
5.8	iShares Russell Midcap	Yes	\$1,001 - \$15,000		
5.9	iShares Russell Midcap	Yes	\$1,001 - \$15,000		
5.10	Pimco Bond Fund	Yes	\$1,001 - \$15,000		
5.11	Vanguard Bond Fund	Yes	\$1,001 - \$15,000		
5.12	Vanguard Bond ETF	Yes	\$1,001 - \$15,000		
6	National Security Action	No			\$174,375
6.1	State Street Target Retirement Fund 2045	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	National Security Action	N/A		Salary	\$174,375
8	NBC News	N/A		Consulting Fees	\$60,273
9	Georgetown University	N/A		Consulting Fees	\$10,000
10	Georgetown University	N/A		Consulting Fees	\$1,250
11	Edward Price Trust	N/A		Distribution	\$100,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	National Security Action	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	NBC News	New York, New York	Provided on-air commentary on foreign policy and national security issues.
2	Georgetown University	Washington, District of Columbia	Taught undergraduates in the School of Foreign Service.
3	National Security Action	Washington, District of Columbia	Director of Policy and Communications

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	PNC Checking/Savings Account	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Etrade Bank Account	See Endnote	\$50,001 - \$100,000		None (or less than \$201)
3	Edward Price Trust	No	\$500,001 - \$1,000,000		\$5,001 - \$15,000
3.1	CASH	N/A	None (or less than \$1,001)		None (or less than \$201)
3.2	MORGAN STANLEY PRIVATE BANK NA	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.3	ABBOTT LABORATORIES	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	ABBVIE INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.5	ACTIVISION BLIZZARD INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.6	ALPHABET INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	AMAZON COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.8	AMER INTL GP INC NEW	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.9	APPLE INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.10	ASTRAZENECA PLC ADR	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
3.11	AVIS BUDGET GROUP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.12	BLACKSTONE GROUP INC CL A	N/A	\$15,001 - \$50,000		\$201 - \$1,000
3.13	H & R BLOCK INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.14	BLOOMIN'BRANDS INC COM	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.15	BLUE APRON HLDGS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	BROADCOM INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.17	CHEGG INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	CRACKER BARREL OLD CTRY STORE	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.19	CROWN CASTLE INTL CORP	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.20	DANAHER CORPORATION	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.21	ECOLAB INC	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
3.22	ESSENTIAL UTILS INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.23	ETHAN ALLEN INTERIORS INC	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
3.24	EXPEDIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.25	FACEBOOK INC CL-A	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.26	HONEYWELL INTERNATIONAL INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.27	IDEXX LABS	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.28	MGM RESORTS INTERNATIONAL	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.29	MASTERCARD INC CL A	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.30	MATCH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
3.32	HERMAN MILLER INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.33	NETFLIX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.34	PARK HOTEL RSRTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.35	PAYPAL HLDGS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.36	PELTON INTERACTIVE INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.37	REPUBLIC SERVICES INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.38	THE SIMPLY GOOD FOODS COMPANY	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.39	SLACK TECHNOLOGIES INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.40	SONY CORP ADR 1974 NEW	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.41	STARBUCKS CORP WASHINGTON	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.42	TJX COS INC NEW	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.43	TELADOC HEALTH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.44	THERMO FISHER SCIENTIFIC	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
3.45	TRANSDIGM GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.46	UBER TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.47	UNITEDHEALTH GP INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.48	UPWORK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.49	WALMART INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.50	CARDTRONICS PLC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.51	LINDE PLC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3.52	PAGSEGURO DIGITAL LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.53	SPOTIFY TECHNOLOGY SA	N/A	None (or less than \$1,001)		None (or less than \$201)
3.54	ISHARES CORE S&P 500 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.55	ISHARES CORE U.S. AGGREGATE	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.56	ISHARES IBOXX HY COR BD ETF	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.57	ISHARES MBS ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.58	ISHARES EDGE MSCI MIN VOL USA	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.59	BLACKROCK SHORT MATURITY BON	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.60	ISHARES CORE 1-5 YEAR USD BO	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.61	ISHARES CORE DIVIDEND GROWTH	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.62	BLACKROCK LIQ TREASURY TR INST		Yes	None (or less than \$1,001)		None (or less than \$201)
3.63	GOLDMAN SACHS INT SMCP INSGH I		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Price Credit Shelter Trust u/t/a Price Family Trust	See Endnote	No	\$250,001 - \$500,000		\$5,001 - \$15,000
4.1	MORGAN STANLEY PRIVATE BANK NA		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
4.2	ISHARES CORE S&P TOTAL US STOC		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.3	ISHARES CORE S&P 500 ETF		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.4	ISHARES EDGE MSCI MIN VOL EAFE		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.5	ISHARES EDGE MSCI MIN VOL USA		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.6	ISHARES CORE MSCI EMERGING		Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	ISHARES CORE DIVIDEND GROWTH		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.8	WISDOMTREE TR EMER MKT HIGH FD		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5	Edward Price 2012 Irrevocable Trust	See Endnote	No	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
5.1	CASH		N/A	None (or less than \$1,001)		None (or less than \$201)
5.2	MORGAN STANLEY PRIVATE BANK NA		N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	ABBOTT LABORATORIES	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
5.4	ABBVIE INC COM	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.5	ACTIVISION BLIZZARD INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.6	ALPHABET INC CL A	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.7	AMAZON COM INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.8	AMER INTL GP INC NEW	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.9	ASTRAZENECA PLC ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.10	AVIS BUDGET GROUP COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.11	H & R BLOCK INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.12	BLOOMIN'BRANDS INC COM	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
5.13	BROADCOM INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.14	CHEGG INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.15	CRACKER BARREL OLD CTRY STORE	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.16	DANAHER CORPORATION	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
5.17	ECOLAB INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.18	ESSENTIAL UTILS INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.19	ETHAN ALLEN INTERIORS INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.20	EXPEDIA GROUP INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.21	HONEYWELL INTERNATIONAL INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.22	IDEXX LABS	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.23	MGM RESORTS INTERNATIONAL	N/A	\$50,001 - \$100,000	Dividends	None (or less than \$201)
5.24	MASTERCARD INC CL A	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.25	MATCH GROUP INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.26	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.27	HERMAN MILLER INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.28	NETFLIX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.29	PARK HOTEL RSRTS	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.30	PAYPAL HLDGS INC COM	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.31	PELOTON INTERACTIVE INC CL A	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.32	THE SIMPLY GOOD FOODS COMPANY	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.33	SLACK TECHNOLOGIES INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.34	SONY CORP ADR 1974 NEW	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.35	TJX COS INC NEW	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.36	TELADOC HEALTH INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.37	TRANSDIGM GROUP INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.38	UBER TECHNOLOGIES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.39	UNITEDHEALTH GP INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.40	UPWORK INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.41	CARDTRONICS PLC CLASS A	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.42	LINDE PLC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.43	ISHARES CORE S&P 500 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
5.44	ISHARES CORE U.S. AGGREGATE	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.45	ISHARES SP SMALLCAP 600 INDEX	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.46	ISHARES IBOXX HY COR BD ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.47	ISHARES MBS ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.48	BLACKROCK SHORT MATURITY BON	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.49	ISHARES CORE 1-5 YEAR USD BO	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.50	BLACKROCK LIQ TREASURY TR INST	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.51	GOLDMAN SACHS GQG INTL OPP I	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.52	BIONTECH SE ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.53	INVESCO EMER MRKTS SOVER ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.54	ISHARES INTL SELECT DIV ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.55	ISHARES CORE GROWTH ALLOCATI	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.56	ISHARES CORE CONSERVATIVE AL	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5.57	ISHARES EDGE MSCI MIN VOL EAFE	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.58	VANGUARD HIGH DIV YIELD ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5.59	VANGUARD TTL STK MKT ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.60	BRIDGEWAY BLUE CHIP FUND N		Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.61	NORTHERN STOCK INDEX		Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.62	VANGUARD WELLESLEY INC INV		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.63	IRONWOOD MULTI STRAT LLC		Yes	\$50,001 - \$100,000		None (or less than \$201)
5.64	BLUE APRON HLDGS INC CL A		N/A	None (or less than \$1,001)		None (or less than \$201)
5.65	PAGSEGURO DIGITAL LTD		N/A	\$1,001 - \$15,000		None (or less than \$201)
6	NonExempt Marital Trust	See Endnote	No	\$25,000,001 - \$50,000,000		\$100,001 - \$1,000,000
6.1	Bandera Rental Property, Dallas TX	See Endnote	No	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
6.2	Meadow Park Rental Property 1, Dallas Texas	See Endnote	No	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
6.3	ABBOTT LABORATORIES		N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
6.4	ABBVIE INC COM		N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
6.5	ACTIVISION BLIZZARD INC		N/A	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
6.6	AIKEN CNTY S C CONS SCH DIST SER-ACoupon 5.00% Mature 04/01/2022 (CRQ30)		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.7	AMER INTL GP INC NEW		N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	ARIZONA TRANS BOARD SUB HIGHWAY REV SER-ACoupon 5.00% Pre- Ref 07/01/2021 @ 100.000 (CN42T)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.9	ASTRAZENECA PLC ADR	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
6.10	AUSTIN TEX PUB IMPT CTFS OBLIGPUB PTY FIN REFCoupon 5.00% Mature 09/01/2023 (E66M6)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.11	BOWLING GREEN ST UNIV OHIO GENRCPTS REV-ACoupon 5.00% Mature 06/01/2027 (G763H)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.12	BROADCOM INC	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
6.13	CALIFORNIA ST PUB WKS BRD LEASE REVCoupon 5.00% Mature 03/01/2030 (FEP89)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.14	CARDTRONICS PLC CLASS A	N/A	\$100,001 - \$250,000		None (or less than \$201)
6.15	CLARK CNTY NEV PASSENGER FAC CHARGE REVCoupon 5.00% Mature 07/01/2024 (D21TU)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.16	COLUMBIA MO SPL OBLIG REV REF- BCoupon 5.00% Mature 10/01/2026 (B66GB)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.17	COLUMBIA S C WTRWKS & SWR SYS REV REFCoupon 5.00% Pre-Ref 02/01/2022 @ 100.000 (C5SY0)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.18	CRACKER BARREL OLD CTRY STORE	N/A	\$250,001 - \$500,000		None (or less than \$201)
6.19	CROWN CASTLE INTL CORP	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.20	DELAWARE ST HEALTH FACS AUTH REV REF-ACoupon 5.00% Mature 10/01/2026 (G76XE)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.21	DENVER COLO CITY & CNTY SCH DIST NO 1 REF-BCoupon 5.00% Mature 12/01/2023 (DWQ43)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.22	DISTRICT COLUMBIA INCOME TAX REV/Coupon 5.00% Pre-Ref 12/01/2021 @ 100.000 (D1BT1)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.23	ETHAN ALLEN INTERIORS INC	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
6.24	FEDERATED HRMS MUNI OBL WS	Yes	\$250,001 - \$500,000		\$201 - \$1,000
6.25	FLORIDA ST BRD ED PUB ED CAP OUTLAY REF-DCoupon 5.00% Pre-Ref 06/01/2021 @ 100.000 (B9NU3)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.26	GOLDMAN SACHS GQG INTL OPP I	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
6.27	HAWAII ST GENL OBLIG SER-EOCoupon 5.00% Mature 08/01/2026 (E1M1S)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.28	HONOLULU HI CITY & CNTY GENL OBLIG SER-BCoupon 5.00% Pre-Ref 08/01/2021 @ 100.000 (A39MS)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.29	IDEXX LABS	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6.30	INDIANA UNIV CONSOLIDATED REV-ACoupon 5.00% Mature 06/01/2028 (G5BU9)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.31	ISHARES CORE S&P 500 ETF	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
6.32	ISHARES EDGE MSCI MIN VOL USA	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.33	ISHARES EDGE MSCI US QLTQ FAC	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
6.34	ISHARES US BASIC MATERIALS ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6.35	ISHARES US FINANCIALS ETF	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
6.36	JACKSONVILLE FLA CAP IMPT REV REFCoupon 5.00% Mature 10/01/2025 (FAE18)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.37	KANSAS CITY MISSOURI SANITARY SWR SYS IMPT REF REV SER-ACoupon 5.00% Mature 01/01/2024 (CB6K2)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.38	LINDE PLC	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
6.39	LOUISIANA ST GASOLINE AND FUELS TAX REVENUE REF SERIES A-1Coupon 5.00% Mature 05/01/2021 (A79PU)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.40	LOWER ALA GAS DIST GAS PROJ REV-ACoupon 4.00% Mature 12/01/2025 (GG59M)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.41	MANOR TEX INDPT SCH DIST BLDG SER-A PSF-GTDCoupon 5.00% Mature 08/01/2028 (A8QM9)	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
6.42	MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REV REF-BCoupon 5.00% Pre-Ref 08/15/2022 @ 100.000 (H0P0J)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.43	MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REV REF-BCoupon 5.00% Pre-Ref 08/15/2022 @ 100.000 (H0P0M)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.44	MASSACHUSETTS STCoupon 5.00% Mature 05/01/2044 (CC0T1)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.45	MATCH GROUP INC	N/A	\$250,001 - \$500,000		None (or less than \$201)
6.46	MGM RESORTS INTERNATIONAL	N/A	\$250,001 - \$500,000	Dividends	None (or less than \$201)
6.47	MILWAUKEE WIS MET SEW DIST GREEN SER-ACoupon 4.00% Mature 10/01/2026 (FAU14)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.48	MORGAN STANLEY PRIVATE BANK NA	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
6.49	NETFLIX INC	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6.50	NEW MEXICO FIN AUTH SR LIEN PUB PROJ FD REV REF-ACoupon 5.00% Mature 06/01/2024 (C24TE)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.51	NEW YORK CITY GENL OBLIG FISCAL SERIES-JCoupon 5.00% Mature 08/01/2025 (DN62S)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.52	NEW YORK ST DORM AUTH ST PERS INCOME TAXCoupon 4.00% Mature 02/15/2037 (E7FL6)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.53	NORTH TEX TWY AUTH REVCoupon 5.00% Pre-Ref 01/01/2022 @ 100.000 (C0E11)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.54	OKLAHOMA ST UNIV AGRICULTURAL MECHANICALCoupon 5.00% Mature 09/01/2029 (E4AF1)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.55	PARK HOTEL RSRTS	N/A	\$100,001 - \$250,000		None (or less than \$201)
6.56	PAYPAL HLDGS INC COM	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
6.57	PELOTON INTERACTIVE INC CL A	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.58	PHILADELPHIA PA WTR & WASTEWTRREVCoupon 5.00% Mature 11/01/2040 (D3TY3)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.59	PNC FINL SVCS GP	N/A	\$250,001 - \$500,000	Dividends	\$50,001 - \$100,000
6.60	RALEIGH-DURHAM N C ARPT AUTH ARPT REVCoupon 5.00% Mature 05/01/2023 (G7T2M)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.61	SEATTLE WASH WTR SYS REV REFCoupon 5.00% Mature 09/01/2026 (B09HG)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.62	SONY CORP ADR 1974 NEW	N/A	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
6.63	SPDR NUVEEN BLOOMBERG BARCLA	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
6.64	SPOTIFY TECHNOLOGY SA	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6.65	STATE OF CONNECTICUT GENL OBLIG SER-ACoupon 5.00% Mature 03/15/2025 (C5VX4)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.66	STATE OF OREGON GENL OBLIG SER-JCoupon 5.00% Mature 08/01/2026 (AL36B)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.67	TELADOC HEALTH INC	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6.68	TEXAS INSTRUMENTS	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
6.69	TEXAS MUN PWR AGY REV ID 08-01-10Coupon 5.00% Mature 09/01/2023 (B6A5V)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.70	TEXAS ST MOBILITY FUND REF GENL OBLIGCoupon 5.00% Mature 10/01/2025 (DA2M1)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.71	TEXAS ST WTR FINL ASSISTANCE GENL OBLIG REF-B-1Coupon 5.00% Mature 08/01/2025 (EYU52)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.72	THE METROPOLITAN GOV NASH DAVIDSON CTY GENL OBLIG REF-ACoupon 5.00% Mature 01/01/2026 (B8P52)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.73	THE SIMPLY GOOD FOODS COMPANY	N/A	\$100,001 - \$250,000		None (or less than \$201)
6.74	TJX COS INC NEW	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
6.75	TRANSDIGM GROUP INC	N/A	\$250,001 - \$500,000		None (or less than \$201)
6.76	TRIBOROUGH BRDG & TUNL AUTH REVB-2 VARCoupon .60% Mature 11/15/2027 (A7C6J) LIBOR LINKED	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
6.77	TRI-CNTY MET TRANSN DIST ORE CAPITAL GRANT RECEIPT REV REF-ACoupon 5.00% Mature 10/01/2022 (D6V4E)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.78	UBER TECHNOLOGIES INC	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6.79	UNITEDHEALTH GP INC	N/A	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000
6.80	UNIVERSITY WASH UNIV REVS REVCoupon 5.00% Mature 07/01/2022 (D08LJ)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.81	UPWORK INC	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
6.82	VIRGINIA ST PUB BLDG AUTH PUB FACS REV REF-BCoupon 5.00% Mature 08/01/2027 (E4G4E)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.83	WASHINGTON ST VAR PURP GENL OBLIG REF-ACoupon 5.00% Mature 07/01/2024 (E8UX6)		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.84	WEST VA ECONOMIC DEV AUTH EXCESS LOTTERY REV-ACoupon 5.00% Mature 07/01/2024 (DZ09S)		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.85	WISDOMTREE TR EMER MKT HIGH FD		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
6.86	Interest in Marketplace at Webb Chapel	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
6.87	Interest in Southwest Denton Joint Venture	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Management Trust u/t/a Price Family Trust	See Endnote	No	\$25,000,001 - \$50,000,000		\$100,001 - \$1,000,000
7.1	Chase Bank Account	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
7.2	Preston Glen Property, Dallas Texas	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.3	CASH		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.4	ALABAMA ST PUB SCH & COLLEGE AUTH REV-ACoupon 5.00% Mature 05/01/2026 (E29N4)		N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
7.5	ALPHABET INC CL A		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
7.6	AMAZON COM INC		N/A	\$250,001 - \$500,000		None (or less than \$201)
7.7	AMERICAN EXPRESS CO		N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.8	AMERIPRISE FINCL INC	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
7.9	AMGEN INC	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
7.10	APPLE INC	N/A	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000
7.11	BANK OF AMERICA CORP	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
7.12	BERKSHIRE HATHAWAY CL-B NEW	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
7.13	BLOOMIN'BRANDS INC COM	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
7.14	BOEING CO	N/A	\$250,001 - \$500,000		None (or less than \$201)
7.15	CARROLLTON TEX FMRS BRH INDPT SCH DIST REF PSF-GTDCoupon 5.00% Mature 02/15/2023 (D8T1B)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.16	CHARLES SCHWAB NEW	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
7.17	CHESTER CNTY PA GENL OBLIGCoupon 5.00% Mature 07/15/2030 (C8CF2)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.18	CITIGROUP INC NEW	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
7.19	COMMONWEALTH FING AUTH PA REV REF-BCoupon 5.00% Mature 06/01/2028 (ET9N5)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
7.20	COMMONWEALTH MA GENL OBLIG REF-BCoupon 5.00% Mature 07/01/2024 (A9V1S)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.21	CONNECTICUT STCoupon 5.00% Mature 04/15/2028 (C9FQ4)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.22	DEERE & CO	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
7.23	DELAWARE ST GENL OBLIG SER-ACoupon 5.00% Mature 08/01/2021 (A7D4G)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.24	DES MOINES IOWA CAPITAL LN NTSGENL OBLIG REF-ACoupon 5.00% Mature 06/01/2022 (EVW50)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.25	DISTRICT COLUMBIA GENL OBLIG SER-ACoupon 5.00% Mature 10/15/2023 (EZ0Y6)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.26	DOUGLAS CNTY COLO SCH DIST NO RE-1 DOUGLAS & ELBERT CNTYSCoupon 5.00% Mature 12/15/2025 (BHN68)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.27	EAST BATON ROUGE PARISH LA SALES TAX REV REFCoupon 5.00% Mature 08/01/2027 (G39D4) Insurance Co: ASSURED GUARANTY MUNICIPAL CORP	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.28	FEDERATED HRMS MUNI OBL WS	Yes	\$250,001 - \$500,000		\$201 - \$1,000
7.29	FISERV INC COM	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
7.30	FLORIDA ST BRD ED PUB EDCoupon 5.00% Mature 06/01/2027 (EQ44K)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.31	FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES FOREVER REV REF-ACoupon 5.00% Mature 07/01/2025 (EG54E)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.32	FLORIDA STCoupon 5.00% Mature 07/01/2023 (D88YD)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.33	FORT WORTH TEX WTR & SWR REV ID 08-01-12Coupon 5.00% Mature 02/15/2024 (C0D2T)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.34	GOLDMAN SACHS GQG INTL OPP I	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
7.35	HOME DEPOT INC	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
7.36	HONOLULU HAWAII CITY & CNTY Coupon 5.00% Mature 09/01/2024 (G01QA)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.37	HOUSTON TEX UTIL SYS COMB FIRST LIEN REV REF-CCoupon 5.00% Mature 11/15/2023 (G8G1T)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.38	HUNTSVILLE ALA WTS GENL OBLIG SER-ACoupon 5.00% Mature 09/01/2027 (E1DL4)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.39	INDIANA UNIV REVSCoupon 5.00% Mature 08/01/2029 (HL6B2)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.40	ISHARES US BASIC MATERIALS ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
7.41	ISHARES US FINANCIALS ETF	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
7.42	JEA FLA ELEC SYS REV-ACoupon 5.00% Pre-Ref 10/01/2022 @ 100.000 (EK09W)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.43	JEA FLA WTR & SWR SYS REV-ACoupon 5.00% Mature 10/01/2024 (DV95G)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.44	JOHNSON & JOHNSON	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
7.45	KANSAS CITY MO GENL OBLIG SER-ACoupon 5.00% Mature 02/01/2028 (DZ0T2)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.46	KANSAS ST DPTMNT TRANSP HWY REV-BCoupon 5.00% Mature 09/01/2025 (CQ6Q5)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.47	LOUISIANA ST GENL OBLIG SER-ACoupon 5.00% Mature 03/01/2025 (C7QP8)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.48	LOUISVILLE & JEFFERSON CNTY KYMETRO GOVT GENL OBLIG SER- ACoupon 5.00% Mature 10/01/2026 (HQQ78)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.49	MARYLAND ST GENL OBLIGCoupon 5.00% Mature 08/01/2029 (FCJ69)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.50	MASTERCARD INC CL A	N/A	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
7.51	MATCH GROUP INC	N/A	\$250,001 - \$500,000		None (or less than \$201)
7.52	MC DONALDS CORP	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
7.53	MERCK & CO INC NEW COM	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
7.54	METROPOLITAN GOVT NSHVILLE & DVDSN CNTY TENN GENL OBLIG IMPTCoupon 5.00% Mature 07/01/2026 (E4DK9)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.55	METROPOLITAN WTR DIST SALT LAKE & SANDY UTAH WTR REV REF-ACoupon 5.00% Mature 07/01/2024 (CW7F1)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.56	MIAMI UNIV OHIO GEN RCPTS REV REFCoupon 5.00% Mature 09/01/2022 (C71JF)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.57	MICHIGAN ST UNIV REVSCoupon 5.00% Mature 02/15/2022 (EPY87)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.58	MICROSOFT CORP	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
7.59	MINNESOTA STCoupon 5.00% Mature 08/01/2023 (EH6R3)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.60	MISSISSIPPI ST GAMING TAX REV- ACoupon 5.00% Mature 10/15/2030 (F6KF8)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.61	MONSTER BEVERAGE CORP NEW COM	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.62	MONTGOMERY CNTY MDCoupon 4.00% Mature 08/01/2029 (H00QE)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.63	MORGAN STANLEY PRIVATE BANK NA	N/A	\$500,001 - \$1,000,000	Interest	None (or less than \$201)
7.64	MUNICIPALITY OF ANCHORAGE AK GENL OBLIG REF-DCoupon 5.00% Mature 09/01/2021 (CPB88)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.65	NEW YORK N YCoupon 5.00% Mature 12/01/2034 (D1D20)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.66	OHIO ST WTR DEV AUTH FRESH REVCoupon 5.00% Mature 12/01/2031 (EPF61)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.67	PALM BEACH CNTY FLORIDA SOLID WASTE AUTH REF REVCoupon 5.00% Mature 10/01/2022 (B26TE)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.68	PHOENIX ARIZ CIVIC IMPT CORP WSTWTR JUNIOR LIEN SYS REV REFCoupon 5.00% Mature 07/01/2024 (BY6B2)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.69	PIMA CNTY ARIZ SWR SYS OBLIGS REV-BCoupon 5.00% Mature 07/01/2029 (F7Z9U)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.70	PURDUE UNIV IND CTFS PARTN REV-ACoupon 5.00% Mature 07/01/2021 (A7D44)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.71	REGIONAL SCH UNIT NO 1 ME LOWER KENNEBEC REGION GENL OBLIGCoupon 5.00% Mature 11/01/2023 (D88H7)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.72	SEATTLE WASH DRAIN & WASTEWATER REV REF IMPTCoupon 5.00% Mature 09/01/2023 (A52SM)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.73	SPDR NUVEEN BLOOMBERG BARCLA	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
7.74	STARWOOD PROPERTY TRUST INC	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
7.75	TEXAS INSTRUMENTS	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
7.76	TEXAS ST WTR FINL ASSISTANCE GENL OBLIG REF-B-1Coupon 5.00% Mature 08/01/2027 (GT5Q7)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
7.77	THE SIMPLY GOOD FOODS COMPANY	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
7.78	UNITEDHEALTH GP INC	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
7.79	VIRGINIA BEACH VA STORM WTR UTIL REV-ACoupon 5.00% Mature 11/15/2028 (A1Q4N)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.80	WALMART INC	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
7.81	WASHINGTON STCoupon 5.00% Mature 08/01/2043 (EN68X)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.82	WEST VIRGINIA ST RD GENL OBLIGSER-ACoupon 5.00% Mature 12/01/2032 (G1S1N)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.83	WISCONSIN ST GENL OBLIG REF-1Coupon 5.00% Mature 11/01/2023 (DAN78)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7.84	WISDOMTREE TR EMER MKT HIGH FD	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
7.85	Interest in Strategic Locations LTD real estate DeSoto, TX	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.86	Interest in MB Operating, oil wells Grayson County, TX	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Exempt Marital Trust	See Endnote	No	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
8.1	Park Meadow Rental Property 2, Dallas, Texas	See Endnote	No	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
8.2	CASH		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
8.3	MORGAN STANLEY PRIVATE BANK NA		N/A	\$100,001 - \$250,000		None (or less than \$201)
8.4	BERKSHIRE HATHAWAY CL-B NEW		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
8.5	BEYOND MEAT INC		N/A	\$50,001 - \$100,000		None (or less than \$201)
8.6	ISHARES CORE S&P TOTAL US STOC		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
8.7	ISHARES EDGE MSCI MIN VOL USA		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
8.8	ISHARES EDGE MSCI US QLTY FAC		Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
8.9	ISHARES CORE MSCI EMERGING		Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8.10	ISHARES CORE DIVIDEND GROWTH		Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8.11	SPDR NUVEEN BLOOMBERG BARCLA		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
8.12	WISDOMTREE TR EMER MKT HIGH FD		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
8.13	BLACKROCK LIQ TREASURY TR INST		Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Edward Price TOD	No	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
9.1	CASH	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
9.2	ABBOTT LABORATORIES	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9.3	ABBVIE INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.4	ACTIVISION BLIZZARD INC	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.5	ALPHABET INC CL A	N/A	\$50,001 - \$100,000		None (or less than \$201)
9.6	AMAZON COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.7	AMER INTL GP INC NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.8	ASTRAZENECA PLC ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.9	AVIS BUDGET GROUP COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.10	BANK OF AMERICA CORP	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.11	BERKSHIRE HATHAWAY CL-B NEW	N/A	\$100,001 - \$250,000		None (or less than \$201)
9.12	BIONTECH SE ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.13	BLACKSTONE GROUP INC CL A	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9.14	BLOOMIN'BRANDS INC COM	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.15	BRIDGEWAY BLUE CHIP FUND N	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.16	BRISTOL MYERS SQUIBB CO	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.17	BROADCOM INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.18	CARDTRONICS PLC CLASS A	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.19	CHEGG INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.20	CITIGROUP INC NEW	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.21	CRACKER BARREL OLD CTRY STORE	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.22	DANAHER CORPORATION	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.23	DBX ETF TR XTRACK USD HIGH	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
9.24	DELTA AIR LINES INC NEW	N/A	\$50,001 - \$100,000	Dividends	None (or less than \$201)
9.25	ECOLAB INC	N/A	\$50,001 - \$100,000		\$201 - \$1,000
9.26	ESSENTIAL UTILS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.27	ETHAN ALLEN INTERIORS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.28	EXPEDIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.29	FACEBOOK INC CL-A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.30	GOLDMAN SACHS GQG INTL OPP I	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.31	HERMAN MILLER INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.32	HONEYWELL INTERNATIONAL INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.33	IDEXX LABS	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.34	IRONWOOD MULTI STRAT LLC	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.35	ISHARES CORE 1-5 YEAR USD BO	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.36	ISHARES CORE S&P 500 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.37	ISHARES CORE S&P TOTAL US STOC	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
9.38	ISHARES CORE U.S. AGGREGATE	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.39	ISHARES EDGE MSCI US QLTY FAC	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.40	ISHARES GLOBAL TECH ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.41	ISHARES IBOXX HY COR BD ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
9.42	ISHARES MBS ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.43	ISHARES S&P 500 GRWTH ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.44	ISHARES S&P 500 VAL ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.45	LINDE PLC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.46	MASTERCARD INC CL A	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.47	MATCH GROUP INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
9.48	MERCK & CO INC NEW COM	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.49	MESA AIR GROUP INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.50	MGM RESORTS INTERNATIONAL	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.51	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9.52	MORGAN STANLEY BANK N.A.	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
9.53	NETFLIX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.54	NORTHERN STOCK INDEX	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.55	PAGSEGURO DIGITAL LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.56	PARK HOTEL RSRTS	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.57	PAYPAL HLDGS INC COM	N/A	\$50,001 - \$100,000		None (or less than \$201)
9.58	PELOTON INTERACTIVE INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.59	PFIZER INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.60	REPUBLIC SERVICES INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.61	SKYBRIDGE MUL-AD SER G	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.62	SLACK TECHNOLOGIES INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.63	SONY CORP ADR 1974 NEW	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.64	SPDR DJIA TRUST	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.65	SPOTIFY TECHNOLOGY SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.66	TELADOC HEALTH INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.67	THE SIMPLY GOOD FOODS COMPANY	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.68	TJX COS INC NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.69	TRANSDIGM GROUP INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.70	UNITEDHEALTH GP INC	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.71	UPWORK INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.72	VANGUARD ENERGY ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.73	VANGUARD TTL STK MKT ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.74	VIATRIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.75	VICTORYSHARES US SMALL CAP H	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.76	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
10	Edward Price SEP IRA	No	\$100,001 - \$250,000		\$1,001 - \$2,500
10.1	MORGAN STANLEY BANK N.A.	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
10.2	ISHARES RUSSELL MIDCAP V ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.3	ISHARES RUSSELL MIDCAP G ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.4	ISHARES RUSSELL 1000 VALUE ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.5	ISHARES RUSSELL 1000 GRW ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.6	ISHARES RUSSELL 2000 VALUE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.7	ISHARES RUSSELL 2000 GRWTH ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.8	ISHARES IBOXX HY COR BD ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.9	ISHARES MBS ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	ISHARES CORE MSCI EAFE ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.11	ISHARES CORE MSCI EMERGING	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.12	PIMCO ENHANCED SHRT MTRT EXC	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.13	VANGUARD SHORT TERM BND	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.14	VANGUARD TOTAL BOND MARKET	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	Remainder Beneficiary

PART	#	ENDNOTE
1.	2	Remainder Beneficiary
1.	3	Remainder Beneficiary
1.	4	Remainder Beneficiary
6.	2	I am one of several beneficiaries, including charities.
6.	4	I am the remainder beneficiary
6.	5	I am one of several beneficiaries, which will include individuals and charities
6.	6	I am the remainder beneficiary.
6.	6.1	I am one of several beneficiaries, which will include individuals and charities
6.	6.2	I am one of several beneficiaries, which will include individuals and charities
6.	6.86	I am the remainder beneficiary
6.	6.87	I am the remainder beneficiary
6.	7	I am the remainder beneficiary.
6.	7.1	I am one of several beneficiaries, which will include individuals and charities.
6.	7.2	I am one of several beneficiaries, which will include individuals and charities.
6.	8	I am the remainder beneficiary.
6.	8.1	I am one of several beneficiaries, which will include individuals and charities.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
