Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Olsen, Matthew

Assistant Attorney General, National Security Division, Department of Justice

Other Federal Government Positions Held During the Preceding 12 Months:

National Security Agency Advisory Board member (11/2019 - Present)

Trustee, National Intelligence University Board of Visitors (9/2019 - Present)

National Counterterrorism Advisory Board (10/2014 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Olsen, Matthew [electronically signed on 04/07/2021 by Olsen, Matthew in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Lofthus, Lee, Certifying Official [electronically signed on 06/01/2021 by Lofthus, Lee in Integrity.gov]

Other review conducted by
/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 06/01/2021 by Shaw, Cynthia K in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Apol, David, Certifying Official [electronically signed on 06/09/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Uber Technologies, Inc.	San Francisco, California	Corporation	Chief Trust & Security Officer	9/2018	Present
2	Harvard Law School	Cambridge, Massachusetts	University/College	Lecturer	1/2015	Present
3	University of Virginia	Charlottesville, Virginia	University/College	Lecturer	8/2017	Present
4	Human Rights First	Washington, District of Columbia	Non-Profit	Member board of directors	1/2017	Present
5	Center for American Progress	Washington, District of Columbia	Non-Profit	Nonresident Senior Fellow	5/2019	Present
6	Enlightenment Capital, LLC Advisory Board	Bethesda, Maryland	Corporation	Advisory Board member	1/2015	Present
7	Noblis, Inc.	Reston, Virginia	Corporation	Advisory Board member	7/2015	Present
8	Center for a New American Security	Washington, District of Columbia	Non-Profit	Adjunct Senior Fellow	1/2017	Present
9	Hart Intercivic, Inc.	Austin, Texas	Corporation	Member board of directors	8/2020	Present
10	Wickr, Inc.	San Francisco, California	Corporation	Advisory Board member	10/2020	Present
11	ABC News	New York, New York	Corporation	Analyst	1/2015	1/2019
12	Decedents' Family Trusts #1 and #2	Rockville, Maryland	Trust	Trustee	1/2021	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Biden-Harris Transition Team PT Fund, Inc.	Washington, District of Columbia	Transition Team	Member	10/2020	1/2021
14	Estate of Family Member	Rockville, Maryland	Estate	Personal Representative	1/2021	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Uber Technologies, Inc. (UBER)	N/A	$1,000,001 - $5,000,000		None (or less than $201)
2	Uber Technologies, Inc. See Endnote	N/A		Salary/Bonus	$1,929,533
3	Uber Technologies, Inc., ESPP account	N/A	$50,001 - $100,000		None (or less than $201)
4	Harvard Law School	N/A		Salary	$12,000
5	University of Virginia	N/A		Salary	$11,000
6	Enlightenment Capital, LLC Advisory Board (Investment firm)	N/A		advisory board fees	$1,000
7	Noblis, Inc. (National security technology)	N/A		advisory board fees	$4,000
8	Hart Intercivic, Inc. (voting technology and services)	N/A		director's fees	$21,019
9	IRA	No			
9.1	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Yes	$50,001 - $100,000		None (or less than $201)
9.2	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3	Calvert Small Cap Fund Class I Shares (CSVIX)	Yes	$100,001 - $250,000		None (or less than $201)
9.4	Columbia Strategic Income Fund Institutional Class Shares (LSIZX)	Yes	$100,001 - $250,000		None (or less than $201)
9.5	BlackRockEmerging Markets Fund, Inc Institutional Shares (MADCX)	Yes	$15,001 - $50,000		None (or less than $201)
9.6	Fidelity Advisor Real Estate Income Fund Class I Shares (FRIRX)	Yes	$50,001 - $100,000		None (or less than $201)
9.7	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$50,001 - $100,000		None (or less than $201)
9.8	iShares MSCI USA ESG Select ETF (SUSA)	Yes	$100,001 - $250,000		None (or less than $201)
9.9	MFS International Diversification Fund Class I Shares (MDIJX)	Yes	$250,001 - $500,000		None (or less than $201)
9.10	Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX)	Yes	$50,001 - $100,000		None (or less than $201)
9.11	Parnassus Mid Cap Fund Investor Class Shares (PARMX)	Yes	$100,001 - $250,000		None (or less than $201)
9.12	Parnassus Core Equity Fund Investor Shares (PRBLX)	Yes	$100,001 - $250,000		None (or less than $201)
9.13	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	Yes	$100,001 - $250,000		None (or less than $201)
9.14	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	$100,001 - $250,000		None (or less than $201)
9.15	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	$100,001 - $250,000		None (or less than $201)
9.16	Money market account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
10	Uber Technologies, Inc., 401k	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.1	Fidelity Mid Cap Index Fund (FSMDX)		Yes	$15,001 - $50,000		None (or less than $201)
10.2	Fidelity 500 Index Fund (FXAIX)		Yes	$15,001 - $50,000		None (or less than $201)
10.3	Vanguard Target Retirement 2035 Fund Investor Class Shares (VTTHX)		Yes	$250,001 - $500,000		None (or less than $201)
11	Wickr, Inc., (secure communications) stock options (value not readily ascertainable): 150,000 unvested shares, strike $0.14, vest 9/30/2021, exp. 90 days after resignation		N/A			None (or less than $201)
12	Enlightenment Capital Partners II GP, LLC (value not readily ascertainable): 2.5% of profits over 8% hurdle rate	See Endnote	N/A		Capital Gains	$5,001 - $15,000
13	Enlightenment Capital Partners III GP, LLC (value not readily ascertainable): 2.5% of profits over 8% hurdle rate	See Endnote	N/A			None (or less than $201)
14	Enlightenment Capital SBIC GP, LLC (value not readily ascertainable): 2.5% of profits over 8% hurdle rate	See Endnote	N/A			None (or less than $201)
15	IronNet Cybersecurity, Inc. (vested restricted stock, may not sell for 180 days after IPO)		N/A	$25,000,001 - $50,000,000		None (or less than $201)
16	Uber Technologies, Inc., unvested restricted stock		N/A	$5,000,001 - $25,000,000		None (or less than $201)
17	Hart Intercivic, Inc., equity		N/A	$1,001 - $15,000		None (or less than $201)
18	Hart Intercivic, Inc., note		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Uber Technologies, Inc.	San Francisco, California	I will forfeit my unvested restricted stock upon my separation.	9/2018
2	Uber Technologies, Inc.	San Francisco, California	I will continue to participate in this defined contribution plan. Uber does not make contributions to this plan.	9/2018
3	Wickr, Inc.	San Francisco, California	I will forfeit any unvested stock options upon separation. My stock options begin to vest on September 30, 2021. Any vested options expire 90 days after my separation.	10/2020
4	IronNet Cybersecurity, Inc.	Tysons Corner, Virginia	Upon resignation, I retained my vested restricted stock.	9/2014
5	Uber Technologies, Inc., ESPP	San Francisco, California	My participation in the employee stock purchase plan will cease upon my separation from the firm.	5/2019
6	Enlightenment Capital, LLC	Bethesda, Maryland	I retain carried interest in Enlightenment Capital, LLC, funds as compensation for advisory services provided from 2015 to present. The percentage was fixed in 2015. Payment will be made if and when the firm profits from its investments.	5/2015

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Uber Technologies Inc	San Francisco, California	Chief Trust and Security Officer
2	Harvard Law School	Cambridge, Massachusetts	Lecturer
3	University of Virginia	Charlottesville, Virginia	Lecturer
4	Enlightenment Capital, LLC Advisory Board	Bethesda, Maryland	Advisor
5	Hart Intercivic, Inc.	Austin, Texas	Board member

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	ABC News	New York, New York	Analyst

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rachel's Network	N/A		salary	
2	IRA	No			
2.1	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Yes	$15,001 - $50,000		None (or less than $201)
2.2	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.3	Calvert Small Cap Fund Class I Shares (CSVIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.4	Columbia Strategic Income Fund Institutional Class Shares (LSIZX)	Yes	$50,001 - $100,000		None (or less than $201)
2.5	BlackRockEmerging Markets Fund, Inc Institutional Shares (MADCX)	Yes	$1,001 - $15,000		None (or less than $201)
2.6	Fidelity Advisor Real Estate Income Fund Class I Shares (FRIRX)	Yes	$15,001 - $50,000		None (or less than $201)
2.7	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.8	iShares MSCI USA ESG Select ETF (SUSA)	Yes	$50,001 - $100,000		None (or less than $201)
2.9	MFS International Diversification Fund Class I Shares (MDIJX)	Yes	$100,001 - $250,000		None (or less than $201)
2.10	Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	Parnassus Mid Cap Fund Investor Class Shares (PARMX)		Yes	$50,001 - $100,000		None (or less than $201)
2.12	Parnassus Core Equity Fund Investor Shares (PRBLX)		Yes	$50,001 - $100,000		None (or less than $201)
2.13	PGIM Total Return Bond Fund Class Z Shares (PDBZX)		Yes	$50,001 - $100,000		None (or less than $201)
2.14	Vanguard Mid-Cap Index Fund ETF Shares (VO)		Yes	$50,001 - $100,000		None (or less than $201)
2.15	Vanguard Growth Index Fund ETF Class Shares (VUG)		Yes	$50,001 - $100,000		None (or less than $201)
2.16	Money market account (cash)		N/A	$1,001 - $15,000		None (or less than $201)
3	Rachel's Network 401k		No			
3.1	Vanguard Institutional Target Retirement 2055 Fund Institutional Class Shares (VIVLX)		Yes	$1,001 - $15,000		None (or less than $201)
3.2	Vanguard Institutional Target Retirement 2025 Fund Institutional Class Shares (VRIVX)		Yes	$100,001 - $250,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Mineral Rights in Family Property in Williston, ND – Oasis Oil Co.	See Endnote	N/A	$15,001 - $50,000	Rent or Royalties	$5,001 - $15,000
2	U.S. bank account (cash)		N/A	$250,001 - $500,000		None (or less than $201)
3	Investment account		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	ACAP Strategic (XCWPX)	Yes	$100,001 - $250,000		None (or less than $201)
3.2	Invesco Equally-Weighted S&P 500 Fund Cl Y Shs (VADDX)	Yes	$50,001 - $100,000		$1,001 - $2,500
3.3	BlackRock Event Driven Equity Fund Institutional Shares (BILPX)	Yes	$100,001 - $250,000		$201 - $1,000
3.4	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$50,001 - $100,000		$201 - $1,000
3.5	Columbia Contrarian Core Fund Institutional Class Shares (SMGIX)	Yes	$100,001 - $250,000		$1,001 - $2,500
3.6	Delaware Small Cap Core Fund Institutional Class Shares (DCCIX)	Yes	$50,001 - $100,000		None (or less than $201)
3.7	Fidelity Advisor Health Care Fund Class I Shares (FHCIX)	Yes	$100,001 - $250,000		$201 - $1,000
3.8	iShares Core S&P 500 ETF (IVV)	Yes	$100,001 - $250,000		$2,501 - $5,000
3.9	iShares Morningstar Large-Cap Growth ETF (JKE)	Yes	$100,001 - $250,000		$201 - $1,000
3.10	iShares MSCI USA ESG Select ETF (SUSA)	Yes	$100,001 - $250,000		$1,001 - $2,500
3.11	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$100,001 - $250,000		$1,001 - $2,500
3.12	iShares Edge MSCI Min Vol EAFE ETF (EFAV)	Yes	$50,001 - $100,000		$1,001 - $2,500
3.13	MFS International Diversification Fund Class I Shares (MDIJX)	Yes	$100,001 - $250,000		$1,001 - $2,500
3.14	Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX)	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	Parnassus Mid Cap Fund Investor Class Shares (PARMX)	Yes	$100,001 - $250,000		$201 - $1,000
3.16	Vanguard Value Index Fund ETF Shares (VTV)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.17	Wells Fargo Strategic Municipal Bond Fund Institutional Class Shares (STRIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.18	Nuveen All-American Municipal Bond Fund Class A Shares (FLAAX)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.19	Nuveen Limited Term Municipal Bond Fund Class A Shares (FLTDX)	Yes	$100,001 - $250,000		$2,501 - $5,000
3.20	Nuveen Maryland Municipal Bond Fund Class A Shares (NMDAX)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.21	Nuveen Strategic Municipal Opportunities Fund Class A Shares (NSAOX)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.22	Vanguard ESG US Stock ETF (ESGV)	Yes	$50,001 - $100,000		None (or less than $201)
3.23	Fidelity Blue Chip Growth Fund (FBGRX)	Yes	$50,001 - $100,000		None (or less than $201)
3.24	Money market account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
4	Equitable Life Assurance Variable Annuity	No			
4.1	Equitable International Core Volatility	Yes	$50,001 - $100,000		None (or less than $201)
4.2	Equitable Large Cap Core Volatility	Yes	$100,001 - $250,000		None (or less than $201)
5	Virginia 529 Plans - child 1	No			None (or less than $201)
5.1	Intermediate Bond Fund of America Class 529-C Shares (CBOCX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Intermediate Bond Fund of America Class 529-A Shares (CBOAX)	Yes	$50,001 - $100,000		None (or less than $201)
5.3	American Balanced Fund Class 529-A Shares (CLBAX)	Yes	$15,001 - $50,000		None (or less than $201)
5.4	Income Fund of America Class 529-A Shares (CIMAX)	Yes	$15,001 - $50,000		None (or less than $201)
5.5	Income Fund of America Class 529-C Shares (CIMCX)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	American Balanced Fund Class 529-C Shares (CLBCX)	Yes	$1,001 - $15,000		None (or less than $201)
6	Metlife, Inc., universal life	N/A	$15,001 - $50,000		None (or less than $201)
7	Virginia 529 Plans - child 2	No			
7.1	Intermediate Bond Fund of America (CBOAX)	Yes	$1,001 - $15,000		None (or less than $201)
7.2	The Income Fund of America (CIMAX)	Yes	$1,001 - $15,000		None (or less than $201)
7.3	American Balanced Fund (CLBAX)	Yes	$1,001 - $15,000		None (or less than $201)
8	Virginia 529 Plans - child 3	No			
8.1	Intermediate Bond Fund of America (CBOAX)	Yes	$15,001 - $50,000		None (or less than $201)
8.2	Intermediate Bond Fund of America (CBOCX)	Yes	$15,001 - $50,000		None (or less than $201)
8.3	The Income Fund of America (CIMAX)	Yes	$15,001 - $50,000		None (or less than $201)
8.4	American Balanced Fund (CLBAX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Estate of family member (value not readily ascertainable)	N/A			None (or less than $201)
10	Decedent Family Trust #1	No			
10.1	AES Corp (AES)	N/A	$1,001 - $15,000		None (or less than $201)
10.2	Corteva Inc. (CTVA)	N/A	$1,001 - $15,000		None (or less than $201)
10.3	CVS Health Corp. (CVS)	N/A	$15,001 - $50,000		None (or less than $201)
10.4	DOW Inc. (DOW)	N/A	$1,001 - $15,000		None (or less than $201)
10.5	Dupont de Nemours Inc. (DOD)	N/A	$1,001 - $15,000		None (or less than $201)
10.6	General Motors Co. (GM)	N/A	$15,001 - $50,000		None (or less than $201)
10.7	JP Morgan Chase (JPM)	N/A	$15,001 - $50,000		None (or less than $201)
10.8	Sun Communities Inc., REIT (SUI)	N/A	$15,001 - $50,000		None (or less than $201)
10.9	Tanger Factory Outlet REIT (SKT)	N/A	$1,001 - $15,000		None (or less than $201)
10.10	WP Carey Inc. (WPC)	N/A	$15,001 - $50,000		None (or less than $201)
10.11	JP Morgan Income Builder FD CL C (JNBCX)	Yes	$1,001 - $15,000		None (or less than $201)
10.12	JP Morgan TR I Income Builder FD CL A (JNBAX)	Yes	$50,001 - $100,000		None (or less than $201)
10.13	MFS SER Trust III Mun High Income Fund (MMHYX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Decedent Family Trust #2	No			
11.1	U.S. bank account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
11.2	CTO Realty Growth Inc (CTO)	N/A	$1,001 - $15,000		None (or less than $201)
11.3	Jeffries FINL Group Inc (JEF)	N/A	$15,001 - $50,000		None (or less than $201)
11.4	Spectrum Brands HLDGS Inc (SPB)	N/A	$15,001 - $50,000		None (or less than $201)
11.5	JP Morgan TR I Income Builder FD CL A (JNBCX)	Yes	$15,001 - $50,000		None (or less than $201)
11.6	JP Morgan TR I Income Builder FD CL A (JNBAX)	Yes	$15,001 - $50,000		None (or less than $201)
11.7	Nuveen Mun Trust Inter Duration Mun BD FD (NUVBX)	Yes	$1,001 - $15,000		None (or less than $201)
12	Inherited IRA #1	No			
12.1	Greenlight Capital RE LTD A (GLRE)	N/A	$1,001 - $15,000		None (or less than $201)
12.2	Healthcare Realty TR Inc. REIT (HR)	N/A	$1,001 - $15,000		None (or less than $201)
12.3	AMCAP Fund Inc. Cl A (AMCPX)	Yes	$15,001 - $50,000		None (or less than $201)
12.4	Franklin Custodian FDS Franklin Income FD (FKIQX)	Yes	$50,001 - $100,000		None (or less than $201)
12.5	Lord Abbett BD-DEB A (LBNDX)	Yes	$15,001 - $50,000		None (or less than $201)
12.6	MFS SER TRUST III MUN HIGH INCOME FUND CLASS A (MMHYX)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Inherited IRA #2	No			
13.1	U.S. sweep account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
13.2	ALPHABET INC CAP STK CL A (GOOGL)	N/A	$15,001 - $50,000		None (or less than $201)
13.3	ALPHABET INC CAP STK CL C (GOOG)	N/A	$15,001 - $50,000		None (or less than $201)
13.4	AMERICAN TOWER CORP REIT (AMT)	N/A	$15,001 - $50,000		None (or less than $201)
13.5	ARES CAPITAL CORP (ARCC)	N/A	$1,001 - $15,000		None (or less than $201)
13.6	BORG WARNER INC (BWA)	N/A	$1,001 - $15,000		None (or less than $201)
13.7	CBOE GLOBAL MARKETS INC (CBOE)	N/A	$1,001 - $15,000		None (or less than $201)
13.8	CIGNA CORP NEW (CI)	N/A	$15,001 - $50,000		None (or less than $201)
13.9	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A (CTSH)	N/A	$1,001 - $15,000		None (or less than $201)
13.10	COHERENT IN (COHR)	N/A	$15,001 - $50,000		None (or less than $201)
13.11	CVS HEALTH CORPORATION (CVS)	N/A	$15,001 - $50,000		None (or less than $201)
13.12	DOW INC (DOW)	N/A	$15,001 - $50,000		None (or less than $201)
13.13	EASTMAN CHEMICAL CO (EMN)	N/A	$15,001 - $50,000		None (or less than $201)
13.14	FEDEX CORPORATION (FDX)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.15	F5 NETWORKS INC (FFIV)	N/A	$15,001 - $50,000		None (or less than $201)
13.16	GOLDMAN SACHS GROUP INC (GS)	N/A	$15,001 - $50,000		None (or less than $201)
13.17	INTERNATIONAL BUSINESS MACHINE CORP (IBM)	N/A	$1,001 - $15,000		None (or less than $201)
13.18	JEFFERIES FINL GROUP INC (JEF)	N/A	$15,001 - $50,000		None (or less than $201)
13.19	JOHNSON & JOHNSON (JNJ)	N/A	$1,001 - $15,000		None (or less than $201)
13.20	JPMORGAN CHASE & CO (JPM)	N/A	$15,001 - $50,000		None (or less than $201)
13.21	LENNAR CORPORATION CLASS A (LEN)	N/A	$1,001 - $15,000		None (or less than $201)
13.22	NXP SEMICONDUCTORS NV (NXPI)	N/A	$15,001 - $50,000		None (or less than $201)
13.23	SPECTRUM BRANDS HLDGS INC NEW (SPB)	N/A	$1,001 - $15,000		None (or less than $201)
13.24	CENTER COAST BROOKFIELD MIDSTREAM CENTER COAST BROOKFIELD MIDSTREAM FOCUS FUND CLASS Y (CCCNX)	Yes	$1,001 - $15,000		None (or less than $201)
13.25	THE INDIA FUND INC (IFN)	Yes	$1,001 - $15,000		None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	PennyMac Loan Services		Mortgage on Personal Residence	$250,001 - $500,000	2020	3.125	30-year fixed
2	Bank of America	See Endnote	Mortgage on Personal Residence	$250,001 - $500,000	2017	4.875	30-year fixed

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	2	Salary reported does not include stock received as compensation. Stock received as compensation is included in line 1.
2.	12	Invested in: • EverWatch, defense and intel contracting • Cadmus Group, strategic consulting services • U.Group, government digital services • System High Corporation, intel security consulting and services

PART	#	ENDNOTE
2.	13	Investments are: • System High Corporation, intel security and consulting services • IntelliBridge,intelligence analysis • Sev1Tech, IT services • Hart InterCivic, voting technology and services • Emagine IT, IT and cybersecurity services • Expression Networks, IT services • EverWatch, IT services • Artlin Consulting, data analytics
2.	14	Investments are: • Emagine IT, IT and cybersecurity services • Sev1Tech, IT services • Expression Networks, IT services • EverWatch, IT services • Artlin Consulting, data analytics
6.	1	Valuation based on good faith estimate.
8.	2	Mortgage paid off with refinance using other listed mortgage.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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