

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Smith, Mary P

Senior Counselor to the Secretary, Department of Labor

Report Year: 2024

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Smith, Mary P [electronically signed on 05/12/2024 by Smith, Mary P in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Myers, Vanessa J, Certifying Official [electronically signed on 07/08/2024 by Myers, Vanessa J in Integrity.gov]

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Other review conducted by

/s/ Welz, Andrew J, Ethics Official [electronically signed on 07/03/2024 by Welz, Andrew J in Integrity.gov]

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Data Revised 07/03/2024

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Apple, Inc. (AAPL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.2	CVS Health Corp. (CVS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	Enbridge Inc. (ENB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	International Paper Co. (IP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Omnicom Group, Inc. (OMC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.6	iShares Global Clean Energy ETF (ICLN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Health Care Select Sector SPDR Fund (XLV)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.8	First Trust Cloud Computing ETF (SKYY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.9	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Ishares IBoxx Invt Grade(LQD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	iShares Preferred and Income Securities ETF (PFF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.13	Ishares Russell2000 (IWO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.14	iShares Select Dividend ETF (DVY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.15	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.16	Calvert Balanced (CSIFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.17	Schwab Fundamental US Large Company Index Fund (SFLNX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.18	Money Market Account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.19	iShares New York Muni Bond ETF (NYF)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.20	Royal Dutch Shell plc (Class B) ADRPLUS Fund Royal Dutch Shell plc (Class B) ADRPLUS Fund	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Inherited IRA	No		Mandatory distribution	\$8,500
2.1	AT&T Inc. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	The Bank of New York Mellon Corporation (BK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	Berkshire Hathaway Class B (BRK-B)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	The Clorox Co. (CLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Royal Dutch Shell (SHEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Sysco Corp. (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Viatis Inc. (VTRS)	N/A	None (or less than \$1,001)		None (or less than \$201)
2.11	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Elme Communities (ELME)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
2.13	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.14	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.15	Invesco Multi-Asset Income Fund Class A Shares (PIAFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.16	Money Market Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.18	AT&T	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3	SEP IRA	No			
3.1	Fidelity Medical Technology and Devices Portfolio (FSMEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Profit Sharing Keogh	No			
4.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Fidelity Health Care Portfolio (FSPHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Fidelity 500 Index Fund (FXAIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.4	iShares Global Clean Energy ETF (ICLN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	New York State, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments (annual)	\$60,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	New York State	Albany New York	I receive approximately \$60,000 a year starting in 2014	9/1987

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2	U.S. bank #2 (cash)	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
3	DC College Savings 529	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.1	Intermediate Bond Portfolio DC 529	Yes	None (or less than \$1,001)		None (or less than \$201)
3.2	US Large Cap Equity Portfolio DC 529	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Advanced Micro Devices, Inc. (AMD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Berkshire Hathaway Inc. (BRK-B)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	AT&T	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7	Abbott Laboratories (ABT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	AbbVie, Inc. (ABBV)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Alphabet, Inc. (GOOGL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10	Apple, Inc. (AAPL)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
11	Baxter International, Inc. (BAX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
12	The Clorox Co. (CLX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13	CVS Health Corp. (CVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	Eaton Corp PLC F	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	Emerson Electric Co. (EMR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16	The Hershey Co. (HSY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20	Procter & Gamble Co. (PG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
21	Target Corp. (TGT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23	Viatis Inc. (VTRS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
24	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	Elme Communities (ELME)	See Endnote	N/A	\$1,001 - \$15,000	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	iShares Global Clean Energy ETF (ICLN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
28	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
29	First Trust Cloud Computing ETF (SKYY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
31	iShares Russell 2000 Growth ETF (IWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32	iShares Select Dividend ETF (DVY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33	Nuveen Pennsylvania Quality Municipal Income Fund (NQP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
34	SPDR S&P 500 ETF Trust (SPY)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
35	International Growth & Income Fund Class F1 Shares (IGIFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36	Calvert Balanced Fund Class A Shares (CSIFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37	Calvert Growth Allocation Fund Class A Shares (CAAAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
39	Schwab Small-Cap Index Fund (SWSSX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
40	Schwab US Aggregate Bond Index Fund (SWAGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	USAA Growth and Tax Strategy Fund (USBLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
42	USAA New York Bond Fund Fund Class Shares (USNYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
43	USAA Tax-Exempt Short-Term Fund Fund Class Shares (USSTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
44	Vanguard Health Care Fund Admiral Shares (VGHAX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
45	Vanguard Pennsylvania Long-Term Tax-Exempt Fund Admiral Shares (VPALX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
46	Schwab Money Market Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
47	NY 529	No			
47.1	Moderate Age Based Option NY 529	Yes	\$1,001 - \$15,000		None (or less than \$201)
47.2	Conservative Age Based Option NY 529	Yes	\$1,001 - \$15,000		None (or less than \$201)
47.3	Small Cap Stock Index Portfolio NY 529	Yes	\$1,001 - \$15,000		\$201 - \$1,000
48	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
49	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
50	Delta Air Lines, Inc. (DAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Flagstar Bancorp, Inc. (FBC)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
52	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
53	AT&T	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Schwab NY Municipal Money SWYXX	Purchase	04/05/2023	\$100,001 - \$250,000
2	iShares New York Muni Bond ETF (NYF)	Purchase	04/05/2023	\$50,001 - \$100,000
3	Vanguard Health Care Fund Admiral Shares (VGHAX)	Sale	04/03/2023	\$1,001 - \$15,000
4	Vanguard Pa Long Tern VPALX	Sale	04/03/2023	\$250,001 - \$500,000
5	Coherent, Inc. (COHR)	Sale	04/03/2023	\$1,001 - \$15,000
6	Delta Air Lines, Inc. (DAL)	Purchase	08/24/2022	\$1,001 - \$15,000
7	Netflix, Inc. (NFLX)	Purchase	08/24/2022	\$1,001 - \$15,000
8	ELME Communities REIT	Sale	07/24/2023	\$1,001 - \$15,000
9	Nuveen Pennsylvania Quality Municipal Income Fund (NQP)	Sale	12/07/2023	\$1,001 - \$15,000
10	Baxter International, Inc. (BAX)	Sale	12/07/2023	\$1,001 - \$15,000
11	AT&T	Sale	12/18/2023	\$1,001 - \$15,000
12	Verizon Communications, Inc. (VZ)	Sale	12/18/2023	\$1,001 - \$15,000
13	AT&T	Sale	12/27/2023	\$1,001 - \$15,000
14	Verizon Communications, Inc. (VZ)	Sale	12/27/2023	\$1,001 - \$15,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
2.	2.12	Washington REIT (WRE) changed its legal name to Elme Communities, on October 20, 2022, when its new ticker symbol, ELME became effective.
6.	25	Washington REIT (WRE) changed its legal name to Elme Communities, on October 20, 2022, when its new ticker symbol, ELME became effective.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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