

**Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:
 Periodic Transaction Report**

| | | | | | | | | |
|---|--|--|---|--------------------------------|--|--|--|---|
| Filer's Name (Print Last, First, and Middle Initial) | | | Title of Position for Which Filing | | | Department or Agency | | |
| Goldberg Beth L | | | District Director | | | SBA | | |
| Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge. | | | Signature of the Filer | | | Date (Month, Day, Year) | | Notice of Extension |
| | | | Beth Goldberg | | | 2022-04-11 12:45:01 | | <input type="checkbox"/> Check if granted Indicate number of days: |
| Signature of Intermediate Reviewing Official (if required by agency) | | | | Date (Month, Day, Year) | | Agency Use Only | | OGE Use Only |
| | | | | | | 04/11/2022 | | |
| Signature of Agency's Final Reviewing Official | | | | Date (Month, Day, Year) | | Comments of Reviewing Officials | | |
| Marilyn Barnes | | | | 2022-05-02 16:40:26 | | | | |
| Signature of Reviewing Official at U.S. Office of Government Ethics (if required) | | | | Date (Month, Day, Year) | | | | |
| | | | | | | | | |

Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Deadlines

You must file your report within **30 days** of when you receive **notification of a transaction** but not later than 45 days after the transaction. These deadlines do not apply to any voluntary additional disclosures you make. See the instructions.

| Identification of Assets | | Transaction Date (Mo./Day/Yr.) | Notification Received More Than 30 Days Ago* | Transaction Type (x) | | | Amount of Transaction (x) | | | | | | | | | | | | |
|--------------------------|---|--------------------------------|--|----------------------|------|----------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|--|
| | | | | Purchase | Sale | Exchange | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | |
| Ex. | Central Airlines Co. | 10/1/12 | | X | | | | | | | | | | | | | | | |
| Ex. | BMSL Propulsion, Inc. | 9/4/12 | X | X | | | | X | | | | | | | | | | | |
| 1 | LRNZ - Truemark Technology Ai & Deep Learning ETF | 03/08/2022 | | X | | | X | | | | | | | | | | | | |
| 2 | XSVM - Invesco S&P Smallcap Value With Momentum ETF | 03/08/2022 | | X | | | X | | | | | | | | | | | | |
| 3 | XSVM - Invesco S&P Smallcap Value With Momentum ETF | 03/08/2022 | | X | | | X | | | | | | | | | | | | |
| 4 | FTGC - Global Tactical Commodity Strategy Fund FT | 03/09/2022 | | | X | | X | | | | | | | | | | | | |
| 5 | MERIX - The Merger Fund Institutional CI | 03/09/2022 | | | X | | X | | | | | | | | | | | | |
| 6 | XOP - S&P Oil & Gas Expl & Prod SPDR | 03/09/2022 | | | X | | X | | | | | | | | | | | | |
| 7 | CMNIX - Calamos Market Neutral Fd CI I | 03/09/2022 | | | X | | X | | | | | | | | | | | | |

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| Filer's Name Goldberg Beth L | Transactions continued (Use only if needed) | Page Number 2 |
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|--------------------------|--|-----------------------------------|---|----------------------|------|----------|---------------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|-----------------------|------------------------------|-------------------------------|--------------------------------|----------------------|--|
| | | | | Purchase | Sale | Exchange | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | |
| 1 | MERIX - The Merger Fund Institutional Cl | 03/09/2022 | | | X | | X | | | | | | | | | | | |
| 2 | ADAIX - Aqr Diversified Arbitrage Fund Class I | 03/09/2022 | | X | | | X | | | | | | | | | | | |
| 3 | BILPX - Blackrock Large Cap Srs Fds Blackrock Event Dri | 03/09/2022 | | X | | | X | | | | | | | | | | | |
| 4 | PCARX - Pimco Credit Opportunities Bond Fund Class Instl | 03/09/2022 | | X | | | X | | | | | | | | | | | |
| 5 | PCARX - Pimco Credit Opportunities Bond Fund Class Instl | 03/09/2022 | | X | | | X | | | | | | | | | | | |
| 6 | ADAIX - Aqr Diversified Arbitrage Fund Class I | 03/09/2022 | | X | | | X | | | | | | | | | | | |
| 7 | BILPX - Blackrock Large Cap Srs Fds Blackrock Event Dri | 03/09/2022 | | X | | | X | | | | | | | | | | | |
| 8 | LBFIX - Clearbridge Select Fd I | 03/11/2022 | | | X | | X | | | | | | | | | | | |
| 9 | PCARX - Pimco Credit Opportunities Bond Fund Class Instl | 03/11/2022 | X | | X | | X | | | | | | | | | | | |
| 10 | PCARX - Pimco Credit Opportunities Bond Fund Class Instl | 03/11/2022 | | | X | | X | | | | | | | | | | | |
| 11 | LBFIX - Clearbridge Select Fd I | 03/11/2022 | | | X | | X | | | | | | | | | | | |
| 12 | DVY - Select Dividend Ishares ETF | 03/11/2022 | | X | | | X | | | | | | | | | | | |
| 13 | SVFFX - Smead Value Fund - Class I1 | 03/15/2022 | | | X | | X | | | | | | | | | | | |
| 14 | RING - Global Gold Miners Ishares MSCI ETF | 03/15/2022 | | | X | | X | | | | | | | | | | | |
| 15 | SVFFX - Smead Value Fund - Class I1 | 03/15/2022 | | | X | | X | | | | | | | | | | | |
| 16 | DVY - Select Dividend Ishares ETF | 03/15/2022 | | X | | | X | | | | | | | | | | | |

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| | | | | Purchase | Sale | Exchange | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | |
| 1 | DVY - Select Dividend Ishares ETF | 03/15/2022 | | X | | | X | | | | | | | | | | | |
| 2 | FTGC - Global Tactical Commodity Strategy Fund FT | 03/16/2022 | | | X | | | X | | | | | | | | | | |
| 3 | DVY - Select Dividend Ishares ETF | 03/16/2022 | | X | | | X | | | | | | | | | | | |
| 4 | EVF - Eaton Vance Senior Income Trust | 03/16/2022 | | X | | | X | | | | | | | | | | | |
| 5 | BPLSX - Boston Partners Long/Short Equity Fund Insti Cl | 03/16/2022 | | X | | | | X | | | | | | | | | | |
| 6 | IGV - North American Tech-Software Ishares ETF | 03/17/2022 | | X | | | X | | | | | | | | | | | |
| 7 | IGV - North American Tech-Software Ishares ETF | 03/18/2022 | | X | | | X | | | | | | | | | | | |
| 8 | IGV - North American Tech-Software Ishares ETF | 03/22/2022 | | X | | | X | | | | | | | | | | | |
| 9 | SE - Sea Ltd ADR | 03/22/2022 | | X | | | X | | | | | | | | | | | |
| 10 | LSWWX - Loomis Sayles Global Allocation Fund Class Y | 03/22/2022 | | X | | | X | | | | | | | | | | | |
| 11 | LYFT - Lyft Inc Cl A | 03/22/2022 | | X | | | X | | | | | | | | | | | |
| 12 | INTC - Intel Corp | 03/24/2022 | | X | | | X | | | | | | | | | | | |
| 13 | KMX - Carmax Inc | 03/25/2022 | | | X | | X | | | | | | | | | | | |
| 14 | LEN - Lennar Corp | 03/25/2022 | | | X | | X | | | | | | | | | | | |
| 15 | MSFT - Microsoft Corp | 03/25/2022 | | X | | | X | | | | | | | | | | | |
| 16 | XOP - S&P Oil & Gas Expl & Prod SPDR | 03/25/2022 | | | X | | X | | | | | | | | | | | |

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| | | | | Purchase | Sale | Exchange | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |
| 1 | PII - Polaris Industries Inc | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 2 | IGV - North American Tech-Software Ishares ETF | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 3 | UNP - Union Pacific Corp | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 4 | AAPL - Apple Inc | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 5 | CARG - Cargurus Inc Cl A | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 6 | JPM - JP Morgan Chase & Company | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 7 | MS - Morgan Stanley | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 8 | UNH - Unitedhealth Group Inc | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 9 | LMT - Lockheed Martin Corp | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 10 | XSVM - Invesco S&P Smallcap Value With Momentum ETF | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 11 | WMT - Walmart Inc | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 12 | GOOG - Alphabet Cl C | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 13 | LNG - Cheniere Energy | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 14 | XYLD - Global X S&P 500 Covered Call ETF | 03/29/2022 | | X | | | X | | | | | | | | | | |
| 15 | | | | | | | | | | | | | | | | | |
| 16 | | | | | | | | | | | | | | | | | |

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Reviewer Comments and Submission History

for Beth Goldberg

beth.goldberg@sba.gov - Beth Goldberg

04/11/2022 12:45:02

Filing Submitted