

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Dunn, Anita B

Assistant to the President & Senior Advisor to the President, White House - Biden-Harris Administration

Date of Appointment: 05/09/2022

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Advisor to the President (1/2021 - 8/2021)

Senior Advisor to the President (3/2022 - 3/2022)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Dunn, Anita B [electronically signed on 07/07/2022 by Dunn, Anita B in Integrity.gov] - Filer received a 29 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Phillips, Anjali, Certifying Official [electronically signed on 07/22/2022 by Phillips, Anjali in Integrity.gov]

Other review conducted by

/s/ Phillips, Anjali, Ethics Official [electronically signed on 07/22/2022 by Phillips, Anjali in Integrity.gov]

Data Revised 07/22/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	SKDKnickerbocker	Washington, District of Columbia	LLC	Managing Director	9/1993	3/2022
2	BEXC Inc. (Biden for President)	Washington, District of Columbia	Campaign	Senior Advisor	8/2020	11/2020
3	PTT Biden/Harris Presidential Transition Team	Washington, District of Columbia	Presidential Transition Team	Co-Chair	9/2020	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SKDKnickerbocker	No		Salary	\$738,715
2	Washington University - November, 8, 2021	No		Honorarium	\$2,000
3	401(k) #1	No			
3.1	Principal LifeTime Hybrid 2025 CIT Z	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	IRA #1	No			
4.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	AXA Roth, Variable Annuity	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	MSCI EAFE 1 Year - 10% Buffer	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.2	Energy SPDR 1 Year - 10% Buffer	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.3	Financial SPDR 1 Year - 10% Buffer	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Stagwell, Inc. vested restricted stock units	See Endnote	N/A		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	1 SKDKnickerbocker	Washington, District of Columbia	Consulting services
2	1.1 ATT	Washington, District of Columbia	Consulting Services
3	1.2 Micron	Washington, District of Columbia	Consulting Services
4	1.3 American Clean Power Association	Washington, District of Columbia	Consulting Services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
5	1.4 Lyft	Washington, District of Columbia	Consulting Services
6	1.5 Pivotal Ventures	Washington, District of Columbia	Consulting Services
7	1.6 Pfizer	Washington, District of Columbia	Consulting Services
8	1.7 Center for American Progress Action	Washington, District of Columbia	Consulting Services
9	1.8 Future Forward d/b/a OpenLabs	Washington, District of Columbia	Consulting Services
10	1.9 Valerie Biden Owens	Washington, District of Columbia	Consulting Services
11	1.10 Ford Foundation	Washington, District of Columbia	Consulting Services
12	1.11 Debbie Dingell for Congress	Washington, District of Columbia	Consulting Services
13	1.12 PIC 2021, Inc.	Washington, District of Columbia	Consulting Services
14	1.13 Intra-Cellular Therapies	Washington, District of Columbia	Consulting Services
15	1.14 Salesforce	Washington, District of Columbia	Consulting Services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
16	1.15 Amy McGrath for Senate	Washington, District of Columbia	Consulting Services
17	1.16 Biden for President	Washington, District of Columbia	Consulting Services
18	1.17 Reddit	Washington, District of Columbia	Consulting Services
19	1.18 Valerie Jarrett	Washington, District of Columbia	Consulting Services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New York Times Op-ed, June 4, 2021	N/A		Op/ed payment	\$1,125
2	Lawfare Institute	N/A		Rent or Royalties	\$2,501 - \$5,000
3	Perkins Coie LLP	N/A		Consulting fee	
4	NYU University	N/A		Salary	
5	DNC Services Corporation	N/A		Consulting fee	
6	Bob Bauer PLLC	N/A	\$1,001 - \$15,000	Business income	
7	Retirement Acct #1	No			
7.1	Vang Target Ret 2015	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	IRA #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.2	LOCKHEED MARTIN CORPORATION 3.55% 01/15/2026 USD SER 10YR SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.3	SPECTRA ENERGY PARTNERS, L.P. 4.75% 03/15/2024 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.4	NATIONAL RURAL UTILITIES COOPE 2.7% 02/15/2023 USD	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.5	PHILIP MORRIS INTERNATIONAL IN 2.75% 02/25/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.6	TARGET CORP 2.5% 04/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.7	ROYAL BK SCOTLND GRP PLC 6.0% 12/19/2023 USD SUB LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.8	CROWN CASTLE INTERNATIONAL COR 4.0% 03/01/2027 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.9	WESTPAC BANKING CORPORATION HYBRID MTN 11/23/2031 USD SUB LIEN CPN 11/23/16-11/22/26 4.322%	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.10	ENBRIDGE INC 4.25% 12/01/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.11	CISCO SYSTEMS, INC. 3.625% 03/04/2024 USD SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.12	EASTMAN CHEMICAL COMPANY 3.8% 03/15/2025 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.13	BANK OF AMERICA CORPORATION MTN 4.25% 10/22/2026 USD SER L SUB LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.14	ORACLE CORPORATION 2.95% 05/15/2025 USD SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.15	WELLS FARGO & COMPANY HYBRID MTN 05/22/2028 USD SR LIEN CPN 05/22/17 3.584%	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.16	APPLE INC. 3.0% 06/20/2027 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.17	SUMITOMO MITSUI FINANCIAL GROU 3.364% 07/12/2027 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.18	DUKE ENERGY CORPORATION 3.15% 08/15/2027 USD SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.19	PROCTER & GAMBLE COMPANY (THE) 2.85% 08/11/2027 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.20	VISA INC. 2.15% 09/15/2022 SER 5YR SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.21	SUNOCO LOGISTICS PARTNER 4.0% 10/01/2027 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.22	MPLX LP 3.375% 03/15/2023 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.23	MCDONALD'S CORPORATION MTN 3.35% 04/01/2023 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.24	U.S. BANCORP MTN 3.9% 04/26/2028 USD SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.25	GENERAL DYNAMICS CORPORATION 3.75% 05/15/2028 USD SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.26	AMAZON.COM, INC. 3.15% 08/22/2027 USD SER WI SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.27	B.A.T. CAPITAL CORPORATION 3.222% 08/15/2024 USD SR LIEN M-W+20.00BP	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.28	ANHEUSER-BUSCH INBEV WORLDWIDE 4.75% 01/23/2029 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.29	BANK OF AMERICA CORPORATION HYBRID MTN 02/07/2030 USD SER N SR LIEN CPN 02/07/19 3.974%	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.30	CITIGROUP INC. HYBRID 03/20/2030 USD SR LIEN CPN 03/20/19 3.98%	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.31	SYNCHRONY FINANCIAL 4.375% 03/19/2024 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.32	ENERGY TRANSFER OPERATNG 4.25% 03/15/2023 USD SER * SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.33	BOEING CO 3.1% 05/01/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.34	ALLY FINANCIAL INC 3.875% 05/21/2024 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.35	AMERICAN TOWER CORP 2.95% 01/15/2025 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.36	DIGITAL REALTY TRUST LP 3.6% 07/01/2029 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.37	FISERV, INC. 3.5% 07/01/2029 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.38	VERIZON COMMUNICATIONS, INC. 4.016% 12/03/2029 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.39	ROPER TECHNOLOGIES INC 2.95% 09/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.40	BAT CAPITAL CORP 3.215% 09/06/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.41	KEYCORP MTN 2.55% 10/01/2029 USD SR LIEN	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.42	AON CORPORATION 2.2% 11/15/2022 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.43	CROWN CASTLE INTL CORP 3.3% 07/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.44	TRANSCANADA PIPELINES 4.1% 04/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.45	CITIZENS FINANCIAL GROUP 3.25% 04/30/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.46	BOEING CO 5.04% 05/01/2027 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.47	REALTY INCOME CORPORATION 3.25% 01/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.48	GATX CORP 4.0% 06/30/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.49	QUEST DIAGNOSTICS INCORPORATED 2.8% 06/30/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.50	EQUINIX, INC. 2.15% 07/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.51	KINDER MORGAN INC 2.0% 02/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.52	AIR LEASE CORP MTN 2.875% 01/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.53	MPLX LP 2.65% 08/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.54	WP CAREY INC 2.4% 02/01/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.55	ILLUMINA, INC 2.55% 03/23/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.56	BUNGE LIMITED FINANCE CORP. 2.75% 05/14/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.57	SKYWORKS SOLUTIONS, INC. 3.0% 06/01/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.58	UNION PACIFIC CORP 2.375% 05/20/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.59	T-MOBILE USA INC 2.25% 11/15/2031 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.60	T-MOBILE USA, INC. 3.875% 04/15/2030 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.61	BANK OF NOVA SCOTIA (THE) 1.35% 06/24/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.62	ROYALTY PHARMA PLC 2.15% 09/02/2031 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.63	AMGEN INC. 2.0% 01/15/2032 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.64	KIMCO REALTY CORP 2.25% 12/01/2031 SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.65	JPMORGAN CHASE & CO. HYBRID 09/22/2027 USD SER FXD SR LIEN CPN 09/22/21-09/21/26 1.47%	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.66	CONSTELLATION BRANDS, INC. 4.75% 05/09/2032 SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	AXA Roth, Variable Annuity	No			
9.1	S&P 500 Annual Lock 5 Year-10%	Yes	\$100,001 - \$250,000		None (or less than \$201)
10	IRA #2	No			
10.1	6 Year S&P 500 Cap 20% Protection 10/1/2018-10/1/2024	Yes	\$250,001 - \$500,000		None (or less than \$201)
10.2	6 Year MSCI EAFE Cap 10% Protection 10/1/2018-10/1/2024	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.3	7 Year Russell 2000 Cap 20% Protection 10/1/2018-10/1/2024	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Trust #1	No			
1.1	ISHARES CORE S&P 500 ETF (IVV)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
1.2	BNY MELLON, N.A. (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	BNY MELLON, N.A. (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	DFA EMERG MKTS CORE EQUITY (DFCEX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.5	BNY MELLON M/C MULTI-STRATEGY FUND (MPMCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.6	BNY MELLON NATL INTM MUNI-M (MPNIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.7	BNY MELLON S/C MULTI-STRATEGY FUND (MPSSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.8	GATEWAY FUND-Y (GTEYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.9	GOLDMAN SACHS ABS RETURN TR FUND - I (GJRTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	D F A COMMODITY STRATEGY PORT (DCMSX)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	NEUBERGER BERMAN LONG SH-INS (NLSIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	ISHARES CORE MSCI EAFE ETF (IEFA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.13	FIDELITY ADV INTL SM CAP-Z (FIQIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.14	BNYM GLBL RL EST SEC-Y (DRLYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.15	BNY MELLON GLOBAL REAL RETURN Y (DRRYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.16	BNYM YLD ENHAN STRAT-Y (DABJX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.17	BNY MELLON TAX-SEN L/C MS-M (MTSMX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
2	Brokerage Acct #1	No			
2.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$370, EXP 06/30/2022	N/A			None (or less than \$201)
2.3	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$420, EXP 06/30/2022	N/A			None (or less than \$201)
2.4	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$378, EXP 07/15/2022	N/A			None (or less than \$201)
2.5	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$415, EXP 07/15/2022	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$385, EXP 07/29/2022	N/A			None (or less than \$201)
2.7	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$372, EXP 08/19/2022	N/A			None (or less than \$201)
2.8	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$390, EXP 08/19/2022	N/A			None (or less than \$201)
2.9	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$315, EXP 09/16/2022	N/A			None (or less than \$201)
2.10	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$385, EXP 09/16/2022	N/A			None (or less than \$201)
2.11	iShares Core S&P 500 Index ETF (XSP) open position for written put option; 100 shares, strike \$350, EXP 10/21/2022	N/A			None (or less than \$201)
2.12	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.13	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.14	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.15	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.16	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.17	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.18	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.19	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.20	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.21	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.22	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.23	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.24	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.25	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.26	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.27	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.28	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.29	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.30	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.31	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.32	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.33	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.34	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.35	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.36	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.37	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.38	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.39	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.40	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.41	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.42	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.43	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.44	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.45	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.46	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.47	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.48	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.49	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.50	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.51	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.52	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.53	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.54	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.55	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.56	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.57	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.58	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.59	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.60	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.61	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
2.62	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.63	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.64	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.65	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.66	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.67	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.68	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.69	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.70	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.71	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.72	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.73	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.74	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.75	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.76	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.77	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.78	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.79	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.80	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.81	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.82	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.83	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.84	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
2.85	iShares Core S&P 500 Index ETF (XSP) closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3	Brokerage Acct #2	No			
3.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	NEW JERSEY ST TRANSN TR FD REV 5.2500% 12/15/22 JD TRANSPORTATION SYS BDS FSA BEO	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$2,501 - \$5,000
3.3	METRO WASHINGTON DC ARPTS AUTH REV 5.0000% 10/01/22 AO AIRPORT SYS REV SER-A AMT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.4	CONN ST FOR ISSUES TO 10/19/10 GO 5.0000% 03/01/25-CA MS SER A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.5	CITY OF NY GEN OBLIGATION BDS GO 5.0000% 08/01/26-CA FA FISCAL 2015 SERIES C AND D BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.6	MET WASH ARPTS AUTH SYS REV 5% 10/01/29-CA AO AIRPORT SYS REV AND REF BDS 2018 A AMT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.7	PRINCE GEORGES CNTY MD GO 5% 09/15/30 MS GO CONS PUB IMPT REF BDS 2020 B LT BEO	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
3.8	ANNE ARUNDEL CNTY MD DB 5% 10/01/26 AO GO CONSOLIDATED WTR SWR BDS 2020 LT BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.9	ANNE ARUNDEL CNTY MD GO 5% 10/01/25 AO GO CONSOLIDATED BDS 2016 LT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.10	BALTIMORE MD GO 4% 10/15/30 AO GO CONSOLIDATED PUB IMPT BDS 2020A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.11	BALTIMORE MD REV REV 5% 07/01/27-CA JJ REF REV BDS WATER PROJS 2017 B BEO	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
3.12	BRECKINRIDGE CNTY KY SCH DIST REV 3% 04/01/30-CA AO FIN CORP SCH BLDG REV REV BDS 2016 BEO SR LIEN S-T X- ORD RDM	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	BURLINGTON CNTY N J BRDG COMMN REV 5.0000% 10/01/24-CA AO BURLINGTON CNTY SOLID WASTE PROJ BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.14	CHARLES CNTY MD GO 5% 10/01/26 AO GO CONS PUB IMPT BDS 2016 UT BEO	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
3.15	CLARK CNTY NEV SCH DIST GO 5% 06/15/26-CA JD GO LTD TAX BLDG REF BDS 2015 C LT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.16	COPPERAS COVE TEX GO 4% 08/15/26-CA FA GO REF BDS 2016 LT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.17	EL PASO TEX DB 5% 08/15/24 FA COMB TAX AND REV CTFS OBLIG 2014 LT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.18	FREDERICK MD GO 5% 12/01/29-CA JD PUB IMPT BDS 2018 UT BEO	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.19	HOWARD CNTY MD GO 5% 02/15/27 FA GO CONS PUB IMP REF BDS 2017 B UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.20	JACKSONVILLE FLA SPL REV REV 5% 10/01/24 AO REV REF BDS A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.21	JACKSONVILLE FLA SPL REV REV 5% 10/01/26 AO REF BDS 2020 A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.22	KENOSHA WIS GO 4% 06/01/23 JD GO PROM NTS 2014 UT BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.23	KING CNTY WAS SCH DIST NO 401 GO 5% 06/01/23 JD HIGHLINE GO REF BDS 2011 PRERE 06/01/22 UT BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.24	KING CNTY WASH DB 4% 07/01/28-CA JJ LTD TAX GO REF BDS 2017 LT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.25	KLEIN TEX INDPT SCH DIST GO 5% 02/01/28 FA ULTD TAX SCH HOUSE BDS 2018 UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.26	MARYLAND ST GO 5% 03/01/31 MS LOC FACS LN GO BDS FIRST 2021 A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.27	MARYLAND ST GO 5% 03/15/28 MS GO ST LOCAL FACS LN BDS 2018 A UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.28	MARYLAND ST GO 5% 08/01/29 FA GO BDS SECOND SERIES A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.29	MARYLAND ST GO 5% 08/01/29-CA FA BDS 2018 UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.30	MICHIGAN ST UNIV REVS REV 5% 08/15/24-CA FA GEN REV BDS 2013A BEO SR LIEN	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.31	MISSISSIPPI ST GO 5% 10/01/27 AO GO REF BDS 2017 A UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.32	MONTGOMERY CNTY MD GO 4% 08/01/32-CA FA GO REF BDS CONSOLIDATED PUB IMPT PROJ 2021 A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.33	MONTGOMERY CNTY MD GO 4% 11/01/29-CA MN GO CONSOLIDATED PUB IMPT REF BDS 2017 D UT BEO	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.34	MONTGOMERY CNTY MD GO 5% 11/01/25 MN GO CONS PUB IMPT BDS 2018 A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.35	MONTGOMERY CNTY MD GO 5% 11/01/28 MN GO CONS PUB IMPT BDS 2014A PRERE 11/01/24 UT BEO SR LIEN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.36	MONTGOMERY CNTY MD GO 5% 11/01/29-CA MN GO CONS PUB IMPT BDS 2018 A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.37	MONTGOMERY CNTY MD REV REV 5% 04/01/31 AO REF BDS 2021 A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.38	NEW BOSTON OHIO LOC SCH DIST GO 3% 11/01/28-CA MN GO REF BDS 2016 UT BNK QUAL BEO MSF 11/01/27	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.39	NORTH CAROLINA ST LTD OBLIG REV 5% 06/01/22 JD LTD OBLIG REF BDS 2014 B BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.40	OCEAN CITY MD GO 5% 11/01/27 MN GO REF BDS 2020 UT BEO	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.41	PERRYSBURG OHIO EXMP VLG SCH GO 5% 12/01/22 JD DIST GO ULTD TAX REF BDS 2016 UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.42	SAN ANTONIO TEX GO 5% 08/01/29 FA GEN IMPT REF BDS 2019 LT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.43	ST MARYS CNTY MD GO 4% 07/15/28 JJ PUB IMPT REF BDS 2017 UT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.44	TEMPE ARIZ EXCISE TAX REV REV 5% 07/01/23 JJ EXCISE TAX AND REV REF OBLIG BDS 2012 PRERE 07/01/22 BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.45	TRAVIS CNTY TEX WTR CTL & IMPT REV 4% 11/01/23 MN DIST NO 017 WTR &SWR SYS REV REV REF BDS 2016 BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.46	WASHINGTON CNTY MD GO 5% 07/01/29 JJ GO REF BDS 2020 UT BEO	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
3.47	WASHINGTON SUBN SAN DIST MD DB 5% 12/01/30 JD CONS PUB IMPT BDS 2020 UT BEO	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.48	WEST JEFFERSON HILLS PA SCH GO 4% 08/01/23 FA DIST GO BDS B UT BEO SR LIEN	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.49	CONN ST GO 5.0000% 07/15/21 JJ SER C UT BEO	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.50	INDIANAPOLIS IND LOC PUB IMPT REV 5% 02/01/21 FA BD BK BOND BANK BDS 2011C INS BEO SR LIEN	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
3.51	MEMPHIS TENN SAN SEW SYS REV REV 5% 10/01/21 AO REV BDS 2011 BEO	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
3.52	MIAMI-DADE CNTY FLA SPL OBLIG REV 3% 04/01/21 AO CAP ASSET ACQUISITION BDS 2016 A BEO	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
3.53	MONTGOMERY CNTY MD REV REV 5% 04/01/31 AO REF BDS 2021 A BEO	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
3.54	NORTH CAROLINA HSG FIN AGY REV 2.0000% 01/01/22-CA JJ HOMEOWNERSHIP REV REV REF BDS 37-A AMT S-T SPL RDM S-T X-ORD	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
3.55	WILL CNTY ILL CMNTY UNIT SCH GO 5.6250% 11/01/30 MN DIST NO 365-U VY VIEW GO REF SCH BDS 2011A PRERE 11/01/21	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
4	Brokerage Acct #3	No			
4.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	ALAMO GROUP INC. CMN (ALG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	AMN HEALTHCARE SERVICES, INC. CMN (AMN)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
4.4	ARTISAN PARTNERS ASSET MGMT IN CMN (APAM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.5	ANTERO RESOURCES CORPORATION CMN (AR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	ASGN INCORPORATED CMN (ASGN)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	BALCHEM CORPORATION CMN (BCPC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.8	BJ'S WHOLESALE CLUB HOLDINGS, CMN (BJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	AVIS BUDGET GROUP, INC. CMN (CAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	CAVCO INDUSTRIES INC CMN (CVCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	ENERSYS CMN (ENS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	ENVESTNET, INC. CMN (ENV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	EXPONENT, INC. CMN (EXPO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.14	FOX FACTORY HOLDING CORP. CMN (FOXF)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
4.15	HELEN OF TROY LTD (NEW) CMN (HELE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.16	INSTALLED BLDG PRODS INC CMN (IBP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.17	JOHN BEAN TECHNOLOGIES CMN (JBT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.18	LCI INDUSTRIES CMN (LCII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.19	MEDPACE HOLDINGS, INC. CMN (MEDP)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
4.20	MATADOR RES CO CMN (MTDR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	MURPHY USA INC. CMN (MUSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.22	NEOGEN CORP CMN (NEOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.23	INSPERITY INC CMN (NSP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.24	OMNICELL, INC. CMN (OMCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.25	OVINTIV INC. CMN (OVV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.26	PATRICK INDUSTRIES, INC. CMN (PATK)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.27	RLI CORP CMN (RLI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	SM ENERGY COMPANY CMN (SM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.29	TENET HEALTHCARE CORP CMN (THC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.30	UFP INDUSTRIES, INC. CMN (UFPI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.31	WESCO INTERNATIONAL INC. CMN (WCC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.32	ACACIA COMMUNICATIONS, INC. CMN (ACIA)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.33	AZENTA INC CMN (AZTA)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.34	CAESARS ENTERTAINMENT INC CMN (CZR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.35	CITIZENS FINANCIAL GROUP, INC. CMN (CFG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.36	CLEVELAND-CLIFFS INC. CMN (CLF)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.37	DECKERS OUTDOORS CORP CMN (DECK)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.38	ENVESTNET, INC. CMN (ENV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.39	EPLUS INC. CMN (PLUS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.40	GAMESTOP CORP. CMN CLASS A DTC ELIGIBLE (GME)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.41	HOUGHTON MIFFLIN HARCOURT COMP CMN (HMHC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.42	KADMON HOLDINGS INC CMN (KDMN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.43	LITHIA MOTORS INC CL-A CMN CLASS A (LAD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
4.44	LOUISIANA PACIFIC CORP CMN (LPX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.45	MEREDITH HOLDINGS CORP CMN (MDP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.46	NATIONAL GENERAL HOLDINGS CORP CMN (NGHC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4.47	NOVAVAX, INC. CMN (NVAX)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.48	RESTORATION HARDWARE CMN (RH)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.49	TRANSLATE BIO, INC. CMN (TBIO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.50	TRANSOCEAN LTD. CMN (RIG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.51	UNITED STATES STEEL CORP CMN (X)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.52	VERSO CORPORATION CMN CLASS A (VRS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4.53	YETI HOLDINGS INC CMN (YETI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5	Brokerage Acct #4	No			
5.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.2	AGILENT TECHNOLOGIES, INC. CMN (A)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.3	APPLE INC. CMN (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.4	ABBVIE INC CMN (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.5	ACCENTURE PLC CMN CLASS A (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.6	AUTOMATIC DATA PROCESSING INC CMN (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.7	AMERICAN INTL GROUP, INC. CMN (AIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.8	ALBEMARLE CORP CMN (ALB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.9	APPLIED MATERIALS INC CMN (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.10	ADVANCED MICRO DEVICES, INC. CMN (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.11	AMETEK INC (NEW) CMN (AME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.12	AMGEN INC. CMN (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.13	AMERIPRISE FINANCIAL, INC. CMN (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.14	AMERICAN TOWER CORPORATION CMN (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.15	AMAZON.COM INC CMN (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	ANSYS, INC. CMN (ANSS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.17	ANTHEM, INC. CMN (ANTM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.18	AON PUBLIC LIMITED COMPANY CMN (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.19	AMPHENOL CORP CL-A (NEW) CMN CLASS A (APH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.20	ACTIVISION BLIZZARD, INC CMN (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.21	BROADCOM INC. CMN (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.22	AMERICAN EXPRESS CO. CMN (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.23	BOEING COMPANY CMN (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.24	BANK OF AMERICA CORP CMN (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.25	BECTON, DICKINSON AND COMPANY CMN (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.26	BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.27	BERKSHIRE HATHAWAY INC. CLASS B (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.28	BOSTON SCIENTIFIC CORP. COMMON STOCK (BSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.29	CITIGROUP INC. CMN (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.30	CATERPILLAR INC (DELAWARE) CMN (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.31	CHUBB LIMITED CMN (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.32	CROWN CASTLE INTL CORP CMN (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.33	CADENCE DESIGN SYSTEMS INC CMN (CDNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.34	CIGNA CORP CMN (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.35	COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.36	CME GROUP INC. CMN CLASS A (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.37	CHIPOTLE MEXICAN GRILL, INC. CMN (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.38	CENTENE CORPORATION CMN (CNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.39	CAPITAL ONE FINANCIAL CORP CMN (COF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.40	CONOCOPHILLIPS CMN (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.41	COSTCO WHOLESALE CORPORATION CMN (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.42	CISCO SYSTEMS, INC. CMN (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.43	CSX CORPORATION CMN (CSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.44	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (CTSH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.45	CVS HEALTH CORP CMN (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.46	CHEVRON CORPORATION CMN (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.47	DOMINION ENERGY INC CMN (D)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.48	DEERE & COMPANY CMN (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.49	DOLLAR GENERAL CORPORATION CMN (DG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.50	DANAHER CORPORATION CMN (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.51	DOW INC. CMN (DOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.52	DUKE ENERGY CORPORATION CMN (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.53	ECOLAB INC. CMN (ECL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.54	ESTEE LAUDER COS INC CL-A CMN CLASS A (EL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.55	EOG RESOURCES INC CMN (EOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.56	EQUINIX, INC. REIT (EQIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.57	EATON CORP PLC CMN (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.58	EDWARDS LIFESCIENCES CORPORATI CMN (EW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.59	FORD MOTOR COMPANY CMN (F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.60	META PLATFORMS INC CMN CLASS A (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.61	FREEMPORT-MCMORAN INC CMN (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.62	FIDELITY NATL INFO SVCS INC CMN (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.63	FISERV, INC. CMN (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.64	FLEETCOR TECHNOLOGIES, INC. CMN (FLT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.65	FORTINET, INC. CMN (FTNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.66	GENERAL DYNAMICS CORP. CMN (GD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.67	GILEAD SCIENCES CMN (GILD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.68	GENERAL MILLS INC CMN (GIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.69	GENERAL MOTORS COMPANY CMN (GM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.70	ALPHABET INC. CMN CLASS A (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.71	GLOBAL PAYMENTS INC. CMN (GPN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.72	HALLIBURTON COMPANY CMN (HAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.73	HCA HEALTHCARE, INC CMN (HCA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.74	THE HOME DEPOT, INC. CMN (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.75	HESS CORPORATION CMN (HES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.76	HONEYWELL INTL INC CMN (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.77	HUMANA INC. CMN (HUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.78	INTL BUSINESS MACHINES CORP CMN (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.79	INTEL CORPORATION CMN (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.80	INTUIT INC CMN (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.81	ILLINOIS TOOL WORKS CMN (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.82	JACOBS ENGINEERING GRP CMN (J)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.83	JOHNSON CONTROLS INTERNATIONAL CMN (JCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.84	JOHNSON & JOHNSON CMN (JNJ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.85	JPMORGAN CHASE & CO CMN (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.86	KKR & CO. INC. CMN (KKR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.87	COCA-COLA COMPANY (THE) CMN (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.88	LIBERTY BROADBAND CORPORATION CMN CLASS A (LBRDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.89	L3HARRIS TECHNOLOGIES INC CMN (LHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.90	LINDE PLC CMN (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.91	ELI LILLY & CO CMN (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.92	LOCKHEED MARTIN CORPORATION CMN (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.93	LOWES COMPANIES INC CMN (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.94	LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (LYB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.95	MASTERCARD INCORPORATED CMN CLASS A (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.96	MC DONALDS CORP CMN (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.97	MOODY'S CORPORATION CMN (MCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.98	MONDELEZ INTERNATIONAL, INC. CMN (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.99	MEDTRONIC PUBLIC LIMITED COMPA CMN (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.100	MARSH & MCLENNAN CO INC CMN (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.101	ALTRIA GROUP, INC. CMN (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.102	MARATHON PETROLEUM CORPORATION CMN (MPC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.103	MERCK & CO., INC. CMN (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.104	MORGAN STANLEY CMN (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.105	MICROSOFT CORPORATION CMN (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.106	METTLER-TOLEDO INTL CMN (MTD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.107	NEXTERA ENERGY, INC. CMN (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.108	NEWMONT CORP CMN (NEM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.109	NORTHROP GRUMMAN CORP CMN (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.110	SERVICENOW INC CMN (NOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.111	NVIDIA CORPORATION CMN (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.112	NEWS CORPORATION CMN CLASS B (NWS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.113	OMNICOM GROUP CMN (OMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.114	ORACLE CORPORATION CMN (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.115	O'REILLY AUTOMOTIVE, INC. CMN (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.116	OCCIDENTAL PETROLEUM CORP CMN (OXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.117	PACCAR INC CMN (PCAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.118	PEPSICO, INC. CMN (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.119	PFIZER INC. CMN (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.120	PRINCIPAL FINANCIAL GROUP, INC CMN (PFG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.121	PROCTER & GAMBLE COMPANY (THE) CMN (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.122	THE PROGRESSIVE CORPORATION CMN (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.123	PROLOGIS INC CMN (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.124	PHILIP MORRIS INTL INC CMN (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.125	PNC FINANCIAL SERVICES GROUP, CMN (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.126	PRUDENTIAL FINANCIAL INC CMN (PRU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.127	PUBLIC STORAGE CMN (PSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.128	PHILLIPS 66 CMN (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.129	PIONEER NATURAL RESOURCES CO CMN (PXD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.130	QUALCOMM INC CMN (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.131	QORVO, INC. CMN (QRVO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.132	REGENERON PHARMACEUTICAL INC CMN (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.133	ROSS STORES, INC CMN (ROST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.134	RAYTHEON TECHNOLOGIES CORPORAT CMN (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.135	STARBUCKS CORP. CMN (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.136	CHARLES SCHWAB CORPORATION CMN (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.137	SHERWIN-WILLIAMS CO CMN (SHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.138	SCHLUMBERGER LTD CMN (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.139	THE SOUTHERN CO. CMN (SO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.140	S&P GLOBAL INC. CMN (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.141	SEMPRA ENERGY CMN (SRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.142	STATE STREET CORPORATION (NEW) CMN (STT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.143	CONSTELLATION BRANDS INC CMN CLASS A (STZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.144	STANLEY BLACK & DECKER, INC. CMN (SWK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.145	STRYKER CORPORATION CMN (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.146	AT&T INC. CMN (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.147	TERADYNE INC CMN (TER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.148	TARGET CORPORATION CMN (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.149	THERMO FISHER SCIENTIFIC INC CMN (TMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.150	T-MOBILE US, INC. CMN (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.151	T. ROWE PRICE GROUP, INC. CMN (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.152	TESLA, INC. CMN (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.153	TRANE TECHNOLOGIES PUBLIC LIMI CMN (TT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.154	UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.155	UNION PACIFIC CORP. CMN (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.156	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.157	VISA INC. CMN CLASS A (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.158	VALERO ENERGY CORPORATION CMN (VLO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.159	VERTEX PHARMACEUTICALS INCORPO CMN (VRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.160	WEC ENERGY GROUP, INC. CMN (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.161	WELLTOWER INC. CMN (WELL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.162	WELLS FARGO & CO (NEW) CMN (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.163	WASTE MANAGEMENT INC CMN (WM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.164	THE WILLIAMS COMPANIES, INC. CMN (WMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.165	WALMART INC CMN (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.166	EXXON MOBIL CORPORATION CMN (XOM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.167	ZOETIS INC. CMN CLASS A (ZTS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Brokerage Acct #5	No			
6.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	AMERICAN TOWER CORPORATION CMN (AMT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.3	ALEXANDRIA REAL ESTATE EQUITIES, INC. (ARE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.4	AVALONBAY COMMUNITIES INC CMN (AVB)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
6.5	BOSTON PROPERTIES, INC. COMMON STOCK (BXP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.6	AMERICOLD REALTY TRUST CMN (COLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	CAMDEN PROPERTY TRUST CMN (CPT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.8	CUBESMART CMN (CUBE)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
6.9	COUSINS PROPERTIES INCORPORATE CMN (CUZ)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.10	DIGITALBRIDGE GROUP, INC. CMN (DBRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.11	DIGITAL REALTY TRUST, INC. CMN (DLR)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
6.12	DUKE REALTY CORPORATION CMN (DRE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.13	EASTGROUP PROPERTIES INC CMN (EGP)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.14	EQUITY LIFESTYLE PROPERTIES, I CMN (ELS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
6.15	EQUINIX, INC. REIT (EQIX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
6.16	EQUITY RESIDENTIAL CMN (EQR)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
6.17	ESSEX PROPERTY TRUST INC CMN (ESS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.18	HEALTHCARE REALTY TRUST INC CMN (HR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.19	HOST HOTELS & RESORTS INC CMN (HST)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
6.20	INVITATION HOMES INC. CMN (INVH)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
6.21	KILROY REALTY CORPORATION COMMON STOCK (KRC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.22	HEALTHPEAK PROPERTIES INC CMN (PEAK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.23	PROLOGIS INC CMN (PLD)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
6.24	PUBLIC STORAGE CMN (PSA)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
6.25	RYMAN HOSPITALITY PPTYS INC CMN (RHP)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
6.26	RLJ LODGING TRUST CMN (RLJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.27	SBA COMMUNICATIONS CORPORATION CMN (SBAC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.28	SUN COMMUNITIES, INC CMN (SUI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.29	TERRENO REALTY CORPORATION CMN (TRNO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.30	VICI PROPERTIES INC. CMN (VICI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.31	VENTAS, INC. CMN (VTR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.32	WEWORK INC CMN (WE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.33	WELLTOWER INC. CMN (WELL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.34	CORESITE REALTY CORPORATION CMN	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
6.35	CROWN CASTLE INTL CORP CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.36	DOUGLAS EMMETT, INC. CMN	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
6.37	HIGHWOODS PROPERTIES INC CMN	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
7	Brokerage Acct #6	No			
7.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.2	CENTURY TELEPHONE ENTERPRISES 6.875000 01/15/2028 SER G SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.3	NEWELL RUBBERMAID INC. STEP 04/01/2026 USD SR LIEN 4.45% 04/01/22-04/01/26	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.4	JEFFERIES GROUP LLC 6.45% 06/08/2027 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.5	THE BOEING COMPANY 2.25% 06/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.6	MARRIOTT INTERNATIONAL 3.125% 06/15/2026 USD SER R SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.7	GMAC INC. 8.0% 11/01/2031 USD SER B SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.8	PRUDENTIAL FINANCIAL, INC. HYBRID 06/15/2043 USD JRSUB LIEN CPN 11/19/12 5.625%	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.9	EXPEDIA GROUP INC 5.0% 02/15/2026 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.10	MPLX LP 4.875% 06/01/2025 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.11	PLAINS ALL AMER PIPELINE 4.5% 12/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.12	MARRIOTT INTERNATIONAL, INC 3.75% 03/15/2025 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.13	ENERGY TRANSFER PARTNERS 4.2% 04/15/2027 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.14	GENERAL ELEC CAP CORP MTN 6.75% 03/15/2032 USD SER A SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.15	PLAINS ALL AMERICAN PIPELINE, 3.6% 11/01/2024 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.16	TEXTRON INC 3.875% 03/01/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.17	SPRINT CORP 7.625% 02/15/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.18	SENSATA TECHNOLOGIES B.V. 5.0% 10/01/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.19	ALLY FINANCIAL INC. 4.625% 03/30/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.20	ENERGY TRANSFER PARTNERS 4.75% 01/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.21	SYNCHRONY FINANCIAL 4.5% 07/23/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.22	SYNCHRONY FINANCIAL 3.7% 08/04/2026 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.23	TRANSCANADA TRUST HYBRID 08/15/2076 USD SER 16-A SUB LIEN CPN 08/11/16 5.875%	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.24	AECOM 5.125% 03/15/2027 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.25	CROWN AMERICAS LLC 4.25% 09/30/2026 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.26	MPT OPERATING PARTNERSHIP, L.P 5.0% 10/15/2027 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
7.27	ALLISON TRANSMISSION INC 4.75% 10/01/2027 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.28	EXPEDIA GROUP, INC. 3.8% 02/15/2028 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.29	JEFFERIES GRP LLC / CAP 4.15% 01/23/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.30	WESTERN DIGITAL CORP 4.75% 02/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.31	E*TRADE FINANCIAL CORP 4.5% 06/20/2028 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.32	NRG ENERGY, INC. 5.75% 01/15/2028 USD SER WI SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.33	GENERAL MOTORS FINL CO 5.65% 01/17/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.34	HUNTSMAN INTERNATIONAL L 4.5% 05/01/2029 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.35	AMKOR TECHNOLOGY INC 6.625% 09/15/2027 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.36	PRIME SECSRVC BRW/FINANC 5.25% 04/15/2024 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.37	ENPRO INDUSTRIES, INC. 5.75% 10/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
7.38	GO DADDY OPERATING CO LLC / GD 5.25% 12/01/2027 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.39	ARCELORMITTAL SA 4.25% 07/16/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.40	SUNOCO LP 6.0% 04/15/2027 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
7.41	GLOBAL PAYMENTS INC. 3.2% 08/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.42	TENET HEALTHCARE CORP 4.875% 01/01/2026 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.43	BAT CAPITAL CORP 3.462% 09/06/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.44	BAUSCH HEALTH COS INC 5.75% 08/15/2027 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.45	MURPHY OIL USA, INC. 4.75% 09/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.46	SPIRIT REALTY LP 3.4% 01/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.47	SENSATA TECHNOLOGIES B.V. 4.375% 02/15/2030 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.48	HILTON DOMESTIC OPERATING CO I 4.875% 01/15/2030 USD SER WI SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.49	NETFLIX, INC. 6.375% 05/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.50	NORDSTROM INC 4.375% 04/01/2030 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.51	SPRINGLEAF FINANCE CORPORATION 5.375% 11/15/2029 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.52	SEALED AIR CORP 4.0% 12/01/2027 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.53	HASBRO INC 3.9% 11/19/2029 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.54	1011778 BC / NEW RED FIN 3.875% 01/15/2028 USD PVT REGS SR LIEN (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.55	FAIR ISAAC CORP 4.0% 06/15/2028 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.56	PTC INC 3.625% 02/15/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.57	OPEN TEXT CORP 3.875% 02/15/2028 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.58	HCA INC 3.5% 09/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.59	B.A.T. CAPITAL CORPORATION 4.7% 04/02/2027 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.60	SYSCO CORPORATION 5.95% 04/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$1,001 - \$2,500
7.61	WALGREENS BOOTS ALLIANCE 3.2% 04/15/2030 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.62	MGM RESORTS INTERNATIONAL 6.75% 05/01/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$201 - \$1,000
7.63	XPO LOGISTICS, INC. 6.25% 05/01/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.64	ARCONIC CORPORATION 6.0% 05/15/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.65	BOEING COMPANY (THE) 5.15% 05/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.66	CENTENE CORPORATION 4.625% 12/15/2029 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.67	CMS ENERGY CORPORATION HYBRID 06/01/2050 USD JRSUB LIEN CPN 05/28/20 4.75%	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.68	AUTONATION INC 4.75% 06/01/2030 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.69	HERBALIFE/HLF FINANCING 7.875% 09/01/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.70	CLARK EQUIPMENT COMPANY 5.875% 06/01/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.71	BANK OF NOVA SCOTIA (THE) HYBRID PERPETUAL USD SUB LIEN CPN 06/04/20 4.9%	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.72	WESCO DISTRIBUTION INC 7.125% 06/15/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.73	TRI POINTE GROUP INC 5.7% 06/15/2028 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.74	HILLENBRAND INC 5.75% 06/15/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.75	AIR LEASE CORP 3.375% 07/01/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.76	BP CAPITAL MARKETS P.L.C. HYBRID PERPETUAL USD SUB LIEN CPN 06/22/20 4.875%	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.77	CHENIERE ENERGY PARTNERS, L.P. 4.5% 10/01/2029 USD SER WI SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.78	OCCIDENTAL PETROLEUM COR 8.0% 07/15/2025 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.79	ENBRIDGE INC. HYBRID 07/15/2080 USD SER 20-A SUB LIEN CPN 07/08/20-07/14/30 5.75%	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.80	KIMCO REALTY CORPORATION 2.7% 10/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.81	QORVO, INC. 4.375% 10/15/2029 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.82	SILGAN HOLDINGS INC 4.125% 02/01/2028 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.83	UNITED RENTALS NORTH AM 3.875% 02/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.84	TEXTRON INC. 2.45% 03/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.85	SPIRIT REALTY LP 3.2% 02/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.86	PENSKE AUTOMOTIVE GROUP 3.5% 09/01/2025 USD SRSUB LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.87	XEROX HOLDINGS CORP 5.0% 08/15/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.88	AIR LEASE CORP MTN 2.875% 01/15/2026 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.89	CDW LLC/CDW FINANCE 3.25% 02/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.90	BALL CORPORATION 2.875% 08/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.91	BROADCOM INC 4.3% 11/15/2032 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.92	BROADCOM INC. 4.15% 11/15/2030 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.93	CLEARWATER PAPER CORP 4.75% 08/15/2028 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.94	COVANTA HOLDING CORPORATION 5.0% 09/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.95	ANGI GROUP, LLC 3.875% 08/15/2028 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.96	TREEHOUSE FOODS INC 4.0% 09/01/2028 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.97	NUSTAR LOGISTICS LP 5.75% 10/01/2025 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.98	NUSTAR LOGISTICS LP 6.375% 10/01/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.99	YUM! BRANDS, INC 3.625% 03/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.100	HOLOGIC, INC. 3.25% 02/15/2029 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.101	SOUTHERN COMPANY (THE) HYBRID 01/15/2051 USD SER B JRSUB LIEN CPN 09/18/20 4.0%	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.102	ENERGIZER HOLDINGS INC 4.375% 03/31/2029 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.103	QUANTA SERVICES INC 2.9% 10/01/2030 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.104	CENTENE CORP 3.0% 10/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.105	PENNYMAC FIN SVCS INC 5.375% 10/15/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.106	CENTRAL GARDEN & PET CO 4.125% 10/15/2030 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.107	TEGNA INC. 5.0% 09/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.108	LAMAR MEDIA CORP 3.75% 02/15/2028 USD SER WI SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.109	LD [LEAD DOLPHIN] HOLDINGS GROUP LLC 6.5% 11/01/2025 USD PVT REGS SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.110	MPT OPERATING PARTNERSHIP, L.P 3.5% 03/15/2031 USD SR LIEN MPT FINANCE CORP	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.111	MDC HOLDINGS INC 2.5% 01/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.112	WELLS FARGO & COMPANY HYBRID PERPETUAL USD SER BB JRSUB LIEN CPN 01/26/21 3.9%	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.113	NAVIENT CORP 4.875% 03/15/2028 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7.114	TRINET GROUP INC 3.5% 03/01/2029 USD PVT REGS SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.115	TWILIO INC. 3.625% 03/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.116	T-MOBILE USA, INC. 3.375% 04/15/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.117	MICROCHIP TECHNOLOGY INCORPORA 4.25% 09/01/2025 USD	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.118	AMERICAN AXLE & MANUFACTURING, 5.0% 10/01/2029 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.119	HOWMET AEROSPACE INC. 3.0% 01/15/2029 USD SR LIEN	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$1,001 - \$2,500
7.120	FORD MOTOR COMPANY 3.25% 02/12/2032 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.121	REALTY INCOME CORP 3.4% 01/15/2028 USD SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.122	SOUTHWESTERN ENERGY COMPANY 4.75% 02/01/2032 SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.123	BALL CORPORATION 3.125% 09/15/2031 USD SR LIEN	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.124	CIT GROUP INC. 5.25% 03/07/2025 SR LIEN	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.125	JEFFERIES GROUP INC. 6.45% 06/08/2027 USD SR LIEN	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.126	KRAFT HEINZ FOODS CO 4.625% 01/30/2029 USD SR LIEN	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
7.127	NEWELL RUBBERMAID INC. STEP 04/01/2026 USD SR LIEN 4.7% 04/01/20- 04/01/26 Gross Coupon Interest On 14,000 @ 0.02350000 NEWELL RUBBERMAID INC. STEP	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.128	VEREIT OPERATING PARTNERSHIP LP 3.4% 01/15/2028 USD SR LIEN	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
7.129	W. R. GRACE & CO.-CONN. 4.875% 06/15/2027 USD PVT REGS SR LIEN	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
7.130	ZIMMER BIOMET HOLDINGS 3.55% 03/20/2030 USD SR LIEN	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
8	Brokerage Acct #7	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.2	AARON'S CO INC/THE CMN (AAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.3	ACADIA HEALTHCARE COMPANY INC CMN (ACHC)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
8.4	ACI WORLDWIDE, INC. CMN (ACIW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.5	ADAPTHEALTH CORP CMN (AHCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.6	ALTRA INDUSTRIAL MOTION CORP CMN (AIMC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.7	APPLIED INDUSTRIAL TECHNOLOGY INC (AIT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.8	ALTAIR ENGINEERING INC. CMN CLASS A (ALTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.9	AMERISAFE, INC CMN (AMSF)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.10	AVIENT COR CMN (AVNT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.11	AZENTA INC CMN (AZTA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
8.12	BARNES GROUP INC. CMN (B)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.13	BUCKLE INC COM CMN (BKE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
8.14	BLACKLINE, INC. CMN (BL)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.15	BIOLIFE SOLUTIONS, INC. CMN (BLFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.16	BLACKBAUD, INC CMN (BLKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.17	BRUKER CORPORATION CMN (BRKR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
8.18	CHEESECAKE FACTORY INCORPORATE CMN (CAKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.19	AVID BIOSERVICES, INC. CMN (CDMO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.20	CULLEN FROST BANKERS INC CMN (CFR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.21	COMMERCIAL METALS CO CMN (CMC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.22	COMPASS MINERALS INTL, INC. CMN (CMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.23	CORSAIR GAMING, INC. CMN (CRSR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.24	CSG SYSTEMS INTL INC CMN (CSGS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.25	CASTLE BIOSCIENCES INC CMN (CSTL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.26	COUSINS PROPERTIES INCORPORATE CMN (CUZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.27	COVETRUS INC CMN (CVET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.28	EASTERLY GOVERNMENT PROPERTIES CMN (DEA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.29	DECKERS OUTDOORS CORP CMN (DECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.30	EMERGENT BIOSOLUTIONS INC. CMN (EBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.31	EASTGROUP PROPERTIES INC CMN (EGP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.32	FULTON FINANCIAL CORPORATION CMN (FULT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.33	GROUP 1 AUTOMOTIVE, INC. CMN (GPI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
8.34	HEALTHCARE SVCS GROUP INC CMN (HCSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.35	HORACE MANN EDUCATORS CORP CMN (HMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.36	HANGER INC CMN (HNGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.37	HERON THERAPEUTICS INC CMN (HRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.38	IAA, INC. CMN (IAA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.39	IDACORP, INC. CMN (IDA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.40	INDEPENDENT BANK CORP. CMN (INDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.41	QUAKER HOUGHTON CMN (KWR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.42	LITTELFUSE, INC. CMN (LFUS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.43	LKQ CORPORATION CMN (LKQ)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
8.44	STRIDE INC CMN (LRN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.45	MATTHEWS INTL CORP CL-A CMN CLASS A (MATW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.46	MEDNAX INC CMN (MD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.47	MUELLER INDUSTRIES INC CMN (MLI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
8.48	MONRO, INC. CMN (MNRO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.49	MSA SAFETY INC CMN (MSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.50	NATIONAL INSTRUMENTS CORP CMN (NATI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.51	INGEVITY CORPORATION CMN (NGVT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.52	OIL STATES INTERNATIONAL, INC. CMN (OIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.53	ONTO INNOVATION INC. CMN (ONTO)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.54	ONESPAWORLD HOLDINGS LIMITED CMN (OSW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.55	PROSPERITY BANCSHARES, INC. CMN (PB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.56	PDC ENERGY INC CMN (PDCE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.57	PERFORMANCE FOOD GROUP COMPANY CMN (PFGC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.58	PING IDENTITY HOLDING CORP. CMN (PING)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.59	PROG HOLDINGS, INC. CMN (PRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.60	PRIMO WATER CORPORATION CMN (PRMW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.61	RITCHIE BROS. AUCTIONEERS INC CMN (RBA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.62	REPLIGEN CORP CMN (RGEN)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
8.63	RESOURCES CONNECTION, INC. CMN (RGP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.64	RAYMOND JAMES FINANCIAL, INC. CMN (RJF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.65	RLI CORP CMN (RLI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.66	RLJ LODGING TRUST CMN (RLJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.67	RENASANT CORPORATION CMN (RNST)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.68	GIBRALTAR INDUSTRIES INC CMN (ROCK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.69	REVOLVE GROUP INC CMN CLASS A (RVLV)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
8.70	SAIA, INC. CMN (SAIA)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
8.71	SEMTECH CORP CMN (SMTC)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.72	SYNEOS HEALTH INC CMN (SYNH)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.73	TAYLOR MORRISON HOME CORP. CMN (TMHC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.74	TRUPANION, INC. CMN (TRUP)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.75	TEXAS ROADHOUSE, INC. CMN (TXRH)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.76	UNISYS CORPORATION CMN (UIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.77	VERTEX, INC. CMN (VERX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.78	VERRA MOBILITY CORPORATION CMN (VRRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.79	WESTAMERICA BANCORP CMN (WABC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.80	WESTERN ALLIANCE BANCORP CMN (WAL)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
8.81	WILEY JOHN & SONS CL-A CMN CLASS A (WLY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.82	WSFS FINANCIAL CORP CMN (WSFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.83	WOODWARD INC CMN (WWD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.84	BIOTELEMETRY INC CMN (BEAT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.85	BURLINGTON STORES INC CMN (BURL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.86	CORESITE REALTY CORPORATION CMN (COR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
8.87	CORNERSTONE ONDEMAND, INC. CMN (CSOD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.88	CRACKER BARREL OLD COUNTRY STO CMN (CBRL)	N/A	None (or less than \$1,001)	Capital Gains Dividends Interest	\$201 - \$1,000
8.89	HMS HOLDINGS CORP. CMN (HMSY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.90	ICON PUBLIC LIMITED COMPANY CMN (ICLR)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
8.91	INTRICON CORPORATION CMN (IIN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.92	MERITOR INC CMN (MTOR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.93	NIC INC CMN (EGOV)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.94	PLANTRONICS INC CMN (POLY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.95	REALPAGE, INC CMN (RP)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.96	TELEDYNE TECHNOLOGIES INCORPOR CMN (TDY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
9	Brokerage Acct #8	No			
9.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2	ADOBE INC CMN (ADBE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.3	ANALOG DEVICES, INC. CMN (ADI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.4	AUTOZONE, INC. CMN (AZO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.5	BECTON, DICKINSON AND COMPANY CMN (BDX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.6	CARRIER GLOBAL CORPORATION CMN (CARR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.7	COLGATE-PALMOLIVE CO CMN (CL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.8	CME GROUP INC. CMN CLASS A (CME)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.9	FACTSET RESEARCH SYSTEMS INC CMN (FDS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.10	GRACO INC. CMN (GGG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.11	ALPHABET INC. CMN CLASS A (GOOGL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
9.12	JOHNSON & JOHNSON CMN (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.13	LINDE PLC CMN (LIN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.14	LOWES COMPANIES INC CMN (LOW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.15	MASTERCARD INCORPORATED CMN CLASS A (MA)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
9.16	MOODY'S CORPORATION CMN (MCO)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9.17	MIDDLEBY CORPORATION (THE) CMN (MIDD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.18	MSCI INC. CMN (MSCI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
9.19	MICROSOFT CORPORATION CMN (MSFT)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9.20	METTLER-TOLEDO INTL CMN (MTD)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
9.21	NIKE CLASS-B CMN CLASS B (NKE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.22	ORACLE CORPORATION CMN (ORCL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
9.23	OTIS WORLDWIDE CORPORATION CMN (OTIS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.24	PEPSICO, INC. CMN (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.25	SHERWIN-WILLIAMS CO CMN (SHW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.26	TJX COMPANIES INC (NEW) CMN (TJX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.27	UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9.28	U.S. BANCORP CMN (USB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
10	Brokerage Acct #9	No			
10.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.2	American Tower Corporation (AMT) open position for written call option; 100 shares, strike \$265, exp 5/2022	N/A			None (or less than \$201)
10.3	Air Products and Chemicals, Inc. (APD) open position for written call option; 100 shares, strike \$260, exp 5/2022	N/A			None (or less than \$201)
10.4	AvalonBay Communities, Inc. (AVB) open position for written call option; 100 shares, strike \$265, exp 5/2022	N/A			None (or less than \$201)
10.5	Bristol-Myers Squibb Co (BMY) open position for written call option; 100 shares, strike \$76, exp 6/2022	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.6	Bristol-Myers Squibb Co (BMY) open position for written call option; 100 shares, strike \$77, exp 6/2022	N/A			None (or less than \$201)
10.7	Bristol-Myers Squibb Co (BMY) open position for written call option; 100 shares, strike \$83, exp 6/2022	N/A			None (or less than \$201)
10.8	ConocoPhillips (COP) open position for written call option; 100 shares, strike \$116, exp 5/2022	N/A			None (or less than \$201)
10.9	ConocoPhillips (COP) open position for written call option; 100 shares, strike \$120, exp 6/2022	N/A			None (or less than \$201)
10.10	Salesforce, Inc. (CRM) open position for written call option; 100 shares, strike \$245, exp 5/2022	N/A			None (or less than \$201)
10.11	Salesforce, Inc. (CRM) open position for written call option; 100 shares, strike \$215, exp 6/2022	N/A			None (or less than \$201)
10.12	Cisco Systems, Inc. (CSCO) open position for written call option; 100 shares, strike \$61, exp 5/2022	N/A			None (or less than \$201)
10.13	Cisco Systems, Inc. (CSCO) open position for written call option; 100 shares, strike \$56, exp 6/2022	N/A			None (or less than \$201)
10.14	CVS Health Corporation (CVS) open position for written call option; 100 shares, strike \$117.50, exp 5/2022	N/A			None (or less than \$201)
10.15	CVS Health Corporation (CVS) open position for written call option; 100 shares, strike \$112.50, exp 6/2022	N/A			None (or less than \$201)
10.16	Eaton Corporation plc (ETN) open position for written call option; 100 shares, strike \$167.50, exp 5/2022	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.17	Eaton Corporation plc (ETN) open position for written call option; 100 shares, strike \$152.50, exp 6/2022	N/A			None (or less than \$201)
10.18	Honeywell International Inc. (HON) open position for written call option; 100 shares, strike \$207.50, exp 5/2022	N/A			None (or less than \$201)
10.19	International Business Machines Corporation (IBM) open position for written call option; 100 shares, strike \$137.50, exp 5/2022	N/A			None (or less than \$201)
10.20	International Business Machines Corporation (IBM) open position for written call option; 100 shares, strike \$137.50, exp 6/2022	N/A			None (or less than \$201)
10.21	Johnson & Johnson (JNJ) open position for written call option; 100 shares, strike \$187.50, exp 5/2022	N/A			None (or less than \$201)
10.22	Johnson & Johnson (JNJ) open position for written call option; 100 shares, strike \$190, exp 6/2022	N/A			None (or less than \$201)
10.23	JPMorgan Chase & Co. (JPM) open position for written call option; 100 shares, strike \$150, exp 5/2022	N/A			None (or less than \$201)
10.24	JPMorgan Chase & Co. (JPM) open position for written call option; 100 shares, strike \$170, exp 5/2022	N/A			None (or less than \$201)
10.25	JPMorgan Chase & Co. (JPM) open position for written call option; 100 shares, strike \$140, exp 6/2022	N/A			None (or less than \$201)
10.26	KLA Corporation (KLAC) open position for written call option; 100 shares, strike \$415, exp 5/2022	N/A			None (or less than \$201)
10.27	The Coca-Cola Company (KO) open position for written call option; 100 shares, strike \$65, exp 5/2022	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.28	The Coca-Cola Company (KO) open position for written call option; 100 shares, strike \$67, exp 5/2022	N/A			None (or less than \$201)
10.29	The Coca-Cola Company (KO) open position for written call option; 100 shares, strike \$68, exp 6/2022	N/A			None (or less than \$201)
10.30	McDonald's Corporation (MCD) open position for written call option; 100 shares, strike \$255, exp 5/2022	N/A			None (or less than \$201)
10.31	McDonald's Corporation (MCD) open position for written call option; 100 shares, strike \$265, exp 6/2022	N/A			None (or less than \$201)
10.32	Medtronic plc (MDT) open position for written call option; 100 shares, strike \$117.50, exp 5/2022	N/A			None (or less than \$201)
10.33	Medtronic plc (MDT) open position for written call option; 100 shares, strike \$117.50, exp 6/2022	N/A			None (or less than \$201)
10.34	Microsoft Corporation (MSFT) open position for written call option; 100 shares, strike \$325, exp 5/2022	N/A			None (or less than \$201)
10.35	Microsoft Corporation (MSFT) open position for written call option; 100 shares, strike \$310, exp 6/2022	N/A			None (or less than \$201)
10.36	The Procter & Gamble Company (PG) open position for written call option; 100 shares, strike \$160, exp 5/2022	N/A			None (or less than \$201)
10.37	The Procter & Gamble Company (PG) open position for written call option; 100 shares, strike \$167.50, exp 6/2022	N/A			None (or less than \$201)
10.38	Sempra (SRE) open position for written call option; 100 shares, strike \$167.50, exp 5/2022	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.39	Sempra (SRE) open position for written call option; 100 shares, strike \$185, exp 6/2022	N/A			None (or less than \$201)
10.40	AT&T Inc. (T) open position for written call option; 100 shares, strike \$21, exp 5/2022	N/A			None (or less than \$201)
10.41	AT&T Inc. (T) open position for written call option; 100 shares, strike \$21, exp 6/2022	N/A			None (or less than \$201)
10.42	Target Corporation (TGT) open position for written call option; 100 shares, strike \$250, exp 5/2022	N/A			None (or less than \$201)
10.43	Target Corporation (TGT) open position for written call option; 100 shares, strike \$265, exp 6/2022	N/A			None (or less than \$201)
10.44	Texas Instruments Incorporated (TXN) open position for written call option; 100 shares, strike \$197.50, exp 5/2022	N/A			None (or less than \$201)
10.45	Texas Instruments Incorporated (TXN) open position for written call option; 100 shares, strike \$192.50, exp 6/2022	N/A			None (or less than \$201)
10.46	Union Pacific Corporation (UNP) open position for written call option; 100 shares, strike \$285, exp 5/2022	N/A			None (or less than \$201)
10.47	Union Pacific Corporation (UNP) open position for written call option; 100 shares, strike \$265, exp 6/2022	N/A			None (or less than \$201)
10.48	Xcel Energy Inc. (XEL) open position for written call option; 100 shares, strike \$74, exp 5/2022	N/A			None (or less than \$201)
10.49	Xcel Energy Inc. (XEL) open position for written call option; 100 shares, strike \$78, exp 5/2022	N/A			None (or less than \$201)
10.50	McDonald's Corporation (MCD) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.51	Salesforce, Inc. (CRM) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.52	Texas Instruments Incorporated (TXN) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.53	Target Corporation (TGT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.54	Microsoft Corporation (MSFT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.55	The Procter & Gamble Company (PG) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.56	AT&T Inc. (T) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.57	CVS Health Corporation (CVS) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.58	JPMorgan Chase & Co. (JPM) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.59	Cisco Systems, Inc. (CSCO) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.60	Salesforce, Inc. (CRM) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.61	ConocoPhillips (COP) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.62	AT&T Inc. (T) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.63	International Business Machines Corporation (IBM) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.64	ConocoPhillips (COP) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.65	Microsoft Corporation (MSFT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.66	Texas Instruments Incorporated (TXN) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.67	Air Products and Chemicals, Inc. (APD) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.68	KLA Corporation (KLAC) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.69	Cisco Systems, Inc. (CSCO) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.70	Target Corporation (TGT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.71	The Procter & Gamble Company (PG) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.72	Union Pacific Corporation (UNP) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.73	The Coca-Cola Company (KO) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.74	Cisco Systems, Inc. (CSCO) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.75	Microsoft Corporation (MSFT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.76	CVS Health Corporation (CVS) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.77	Chevron Corporation (CVX) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.78	JPMorgan Chase & Co. (JPM) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.79	KLA Corporation (KLAC) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.80	Target Corporation (TGT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.81	AT&T Inc. (T) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.82	Microsoft Corporation (MSFT) closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.83	AMERICAN TOWER CORPORATION CMN (AMT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.84	AIR PRODUCTS & CHEMICALS INC CMN (APD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.85	AVALONBAY COMMUNITIES INC CMN (AVB)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
10.86	BLACKROCK, INC. CMN (BLK)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
10.87	BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
10.88	CONOCOPHILLIPS CMN (COP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.89	SALESFORCE INC CMN (CRM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.90	CISCO SYSTEMS, INC. CMN (CSCO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
10.91	CVS HEALTH CORP CMN (CVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.92	EATON CORP PLC CMN (ETN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.93	HONEYWELL INTL INC CMN (HON)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
10.94	INTL BUSINESS MACHINES CORP CMN (IBM)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
10.95	JOHNSON & JOHNSON CMN (JNJ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
10.96	JPMORGAN CHASE & CO CMN (JPM)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
10.97	KLA CORP CMN (KLAC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
10.98	COCA-COLA COMPANY CMN (KO)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$5,001 - \$15,000
10.99	MC DONALDS CORP CMN (MCD)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
10.100	MEDTRONIC PLC CMN (MDT)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
10.101	MICROSOFT CORPORATION CMN (MSFT)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
10.102	PROCTER & GAMBLE COMPANY CMN (PG)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
10.103	SEMPRA ENERGY CMN (SRE)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10.104	AT&T INC. CMN (T)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10.105	TARGET CORPORATION CMN (TGT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.106	TEXAS INSTRUMENTS INC. CMN (TXN)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.107	UNION PACIFIC CORP. CMN (UNP)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
10.108	XCEL ENERGY INC. CMN (XEL)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10.109	ACCENTURE PLC CMN CLASS A (ACN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
10.110	CHEVRON CORPORATION CMN (CVX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
10.111	COMCAST CORPORATION CMN CLASS A (CMCSA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
10.112	ILLINOIS TOOL WORKS CMN (ITW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
10.113	INTERNATIONAL PAPER CO. CMN (IP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
10.114	QUALCOMM INC CMN (QCOM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
10.115	SYLVAMO CORPORATION CMN (SLVM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.116	THE HOME DEPOT, INC. CMN (HD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
10.117	VENTAS, INC. CMN (VTR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
11	Brokerage Acct #10	No			
11.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.2	MONTGOMERY&PRINCE GEORGE CTY GO 5.0000% 06/01/23 JD CONS PUB IMPT UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.3	MARYLAND ST GO 5.0000% 08/01/22 FA ST & LOCAL FACS LN-SECOND SER SER 2018 UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
11.4	CALVERT CNTY MD GO 5% 07/01/22 JJ GO CONS PUB IMPT AND REF BDS 2016 UT BEO	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.5	CLEVELAND CNTY OKLA INDPT SCH GO 1.2500% 03/01/24 MS DIST NO 029 NORMAN GO COMBINED PURP BDS 2021 UT BEO	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
11.6	FLORIDA ST TPK AUTH TPK REV REV 5% 07/01/23 JJ TPK REV BDS 2013C BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
11.7	FREDERICK CNTY MD GO 5% 08/01/22 FA GO PUB FACS BDS 2019A UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
11.8	GLENDALE ARIZ WTR & SWR REV REV 2.5000% 07/01/23 JJ SR LIEN WTR AND SWR REF BDS 2015 BEO SR LIEN	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.9	HOWARD CNTY MD GO 5% 08/15/24 FA GO BDS CONSOLIDATED PUB IMPT PROJ 2022A UT BEO	N/A	\$50,001 - \$100,000		None (or less than \$201)
11.10	KING CNTY WASH GO 5% 07/01/22 JJ LTD TAX GO BDS 2019 B LT BEO	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
11.11	MARYLAND ST DEPT TRANSN CONS REV 5% 02/15/23 FA TRANSN CONS TRANS BDS 2015 BEO SR LIEN	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.12	MARYLAND ST DEPT TRANSN CONS REV 5% 10/01/24 AO TRANSN BDS 2021 A BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
11.13	MARYLAND ST FOR ISSUES DATED GO 5.0000% 08/01/23-CA FA PRIOR TO 08/05/11 SEE 574192 ST & LOC FACS LN-SECOND-B BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.14	MARYLAND ST GO 5% 08/01/22 FA GO BDS 2017 B UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
11.15	MARYLAND ST GO 5% 08/01/24 FA GO BDS SECOND SERIES A UT BEO	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.16	MD ST FOR ISSUES DTD PR TO GO 5.0000% 03/15/24 MS RFDG-ST & LOC FACS LN-FIRST SER A BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.17	MONTGOMERY CNTY MD GO 5% 12/01/23 JD GO CONS PUB IMPT BDS 2016 A UT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.18	SEWARD CNTY KANS UNI SCH DIST GO 5.0000% 09/01/30 MS NO 480 GO REF AND IMPT BDS 2014 PRERE 09/01/22 UT BEO SR	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.19	UNIVERSITY MD SYS AUXILIARY REV 5% 04/01/23 AO FAC & TUITION REV REV REF BDS 2016 B BEO SR LIEN	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.20	WASHINGTON CNTY MD GO 5% 07/01/23 JJ GO REF BDS 2020 UT BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
11.21	BALTIMORE CNTY MD GO 5% 02/01/21 FA CONSOLIDATED PUB IMPT BDS 2014 UT BEO SR LIEN	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.22	BEXAR CNTY TEX DB 4.0000% 06/15/36 JD CTFS OF OBLIG 2013 A PRERE 06/15/23 LT BEO MSF	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.23	CALVERT CNTY MD GO 5% 07/01/21 JJ CONSOLIDATED PUB IMPT GO BDS COMMISSIONERS 2019 UT BEO	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.24	CLARK CNTY NEV DB 5% 11/01/22 MN GO LTD TAX FLOOD CTL BDS 2020B LT BEO	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.25	DUMONT N J GO 2% 06/04/21 JD BD ANTIC NTS UT BEO	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.26	LEXINGTON & RICHLAND CNTYS S C GO 5% 03/01/22 MS SCH DIST NO 005 GO BDS 2019 B UT BEO	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.27	MD ST HEALTH & HIGHER EDL FACS REV 5.5000% 07/01/31-CA JJ BDS GTR BALTIMORE MED CTR 2011 PRERE 07/01/21 OID SR LIEN HIGHER EDL FA	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.28	MD ST HLTH & HIGHR EDL FACS AU REV 5.0000% 11/15/51-CA MN ASCENSION HEALTH-SER B MSF 11/15/48 S-T SPL RDM S-TX FACS	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.29	METROPOLITAN TRAN AUTH N Y REV 5.0000% 05/15/21 MN - BEO	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
11.30	NYC TRANSITIONAL FINANCE AUTH REV 5.0000% 08/01/21 MN FUTURE TAX SECURED SUB BONDS FISCAL 2019 B-1	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.31	PENNSYLVANIA ST GO 5% 02/01/21 FA GO BDS 2016 UT BEO SR LIEN	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
11.32	PORT AUTH N Y & N J REV 5% 12/01/21 JD CONSOLIDATED BDS 178 AMT BEO SR LIEN	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11.33	PRINCE GEORGES CNTY MD CTFS COPS 5.0000% 10/01/21 AO PARTN CTFS OF PARTN MARYLAND CPL REGION 2018 CERTS PARTNMD CTFS	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
11.34	TEXAS ST GO 5% 08/01/21 FA GO COLLEGE STUDENT LN BDS 2015 AMT UT BEO	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12	Brokerage Acct #11	No			
12.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2	ADOBE INC CMN (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.3	ANALOG DEVICES, INC. CMN (ADI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
12.4	ARMSTRONG WORLD INDUSTRIES, INC CMN (AWI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
12.5	CROWN CASTLE INTL CORP CMN (CCI)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
12.6	DOLLAR TREE STORES, INC. CMN (DLTR)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
12.7	ELECTRONIC ARTS CMN (EA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
12.8	FISERV, INC. CMN (FISV)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
12.9	ALPHABET INC. CMN CLASS A (GOOGL)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
12.10	INTERCONTINENTAL EXCHANGE INC CMN (ICE)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
12.11	LKQ CORPORATION CMN (LKQ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
12.12	LOWES COMPANIES INC CMN (LOW)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
12.13	MEDTRONIC PLC CMN (MDT)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
12.14	VAIL RESORTS, INC. CMN (MTN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.15	OTIS WORLDWIDE CORPORATION CMN (OTIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.16	LIVERAMP HOLDINGS, INC. CMN (RAMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.17	SOTERA HEALTH COMPANY CMN (SHC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.18	S&P GLOBAL INC. CMN (SPGI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
12.19	SKYWORKS SOLUTIONS, INC. CMN (SWKS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
12.20	SYSCO CORPORATION CMN (SYY)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
12.21	VISA INC. CMN CLASS A (V)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.22	VULCAN MATERIALS CO CMN (VMC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
12.23	VERISK ANALYTICS, INC. CMN (VRSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.24	VERISIGN, INC. CMN (VRSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.25	WASTE MANAGEMENT INC CMN (WM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
12.26	WARBY PARKER INC CMN (WRBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.27	BAXTER INTERNATIONAL INC CMN (BAX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
12.28	BRUNSWICK CORP. CMN (BC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
12.29	CDW CORPORATION CMN (CDW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.30	MC DONALDS CORP CMN (MCD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
12.31	NORFOLK SOUTHERN CORP CMN (NSC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
12.32	PUBLIC STORAGE CMN (PSA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
12.33	STARBUCKS CORP. CMN (SBUX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
12.34	ZEBRA TECHNOLOGIES INC CMN CLASS A (ZBRA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
13	Brokerage Acct #12	No			
13.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.2	AIA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGIY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.3	AMBEV S.A. SPONSORED ADR CMN (ABEV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.4	ADYEN N.V. ADR CMN (ADYEY)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
13.5	AIR LIQUIDE SA ADR CMN (AIQUY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
13.6	ALCON, INC. CMN (ALC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.7	ALFA LAVAL AB UNSPONSORED ADR CMN (ALFVY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.8	ALLIANZ SE ADR CMN (ALIZY)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13.9	ATLAS COPCO AB SPONS ADR NEW REPSTG COM SER-A (ATLKY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.10	BANCO BILBAO VIZCAYA S A ADR SPONSORED ADR CMN USD0.3296 (BBVA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
13.11	BHP GROUP LTD SPONSORED ADR CMN (BHP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13.12	CHUGAI PHARMACEUTICAL CO., LTD UNSPONSORED ADR CMN (CHGKY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.13	CHECK POINT SOFTWARE TECH. LTD ORDINARY SHARES (CHKP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
13.14	CANADIAN NATIONAL RAILWAY CO. CMN (CNI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.15	CSPC PHARMACEUTICAL GROUP LIM I ADR CMN (CSPCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.16	DASSAULT SYSTEMES SPONSORED ADR CMN (DASTY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
13.17	DBS GROUP HOLDINGS SPONSORED ADR CMN (DBSDY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.18	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (DEO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.19	EPIROC AB ADR CMN (EPOKY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.20	FANUC CORPORATION UNSPONSORED ADR CMN (FANUY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.21	FOMENTO ECONOMICO MEXICANO SAB DE C.V. NEW SPONS ADR (FMX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.22	HDFC BANK LIMITED SPONSORED ADR CMN (HDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.23	HAIER SMART HOME CO.,LTD. ADR CMN (HSHCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.24	ICICI BANK LIMITED SPONS ADR (IBN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.25	INFINEON TECHNOLOGIES AG - ADR SPONSORED ADR CMN 1 ADR = 1 SHARE (IFNNY)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
13.26	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN (KMTUY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.27	KUBOTA CORP ADR ADR CMN (KUBTY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.28	LINDE PLC CMN (LIN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.29	L'OREAL CO (ADR) ADR CMN (LRLCY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.30	LUKOIL PJSC SPONSORED ADR CMN (LUKOY)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
13.31	LONZA GROUP AG UNSPONSORED ADR CMN (LZAGY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
13.32	NITORI HOLDINGS CO., LTD. ADR CMN (NCLTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.33	NESTLE SA SPONSORED ADR (NSRGY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.34	NOVOZYMES AS UNSPONSORED ADR CMN (NVZMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.35	PING AN INS GRP CO OF CHINA SPONSORED ADR CMN (PNGAY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.36	ROCHE HOLDING AG ADR B SHS (RHHBY)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13.37	RIO TINTO PLC SPONSORED ADR (RIO)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
13.38	SAP SE (SPON ADR) (SAP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.39	SCHNEIDER ELECTRIC SE UNSPONSORED ADR (FRANCE) SCHNEIDER ELECTRIC SE UNSPONSO (SBGSY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.40	SHIONOGI & CO., LTD. UNSPONSORED ADR CMN (SGIOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.41	SGS SA ADR CMN (SGSOY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.42	SHELL PLC SPONSORED ADR CMN (SHEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.43	SONOVA HOLDING AG (THE) UNSPONSORED ADR CMN (SONVY)	N/A	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000
13.44	SHISEIDO CO., LTD. SPONSORED ADR CMN (SSDOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.45	SYSMEX CORPORATION ADR CMN (SSMX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.46	SYMRISE AG UNSPONSORED ADR CMN (SYIEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.47	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (TCEHY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.48	P.T. TELEKOMUNIKASI INDONESIA ADS (TLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.49	TAIWAN SEMICONDUCTOR MFG ADS LTD ADS (TSM)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
13.50	UNICHARM CORP. SPONSORED ADR CMN (UNICY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
13.51	ENN ENERGY HOLDINGS LIMITED ADR CMN (XNGSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.52	XP INC CMN CLASS A (XP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.53	ADECCO GRP AG ADR CMN (AHEXY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
13.54	ADIDAS AG ADR CMN (ADDYY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.55	AIRBUS S.E. ADR CMN (EADSY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13.56	ANGLO AMERICAN PLC SPONSORED ADR CMN (NGLOY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13.57	ASML HOLDING N.V. ADR CMN (ASML)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13.58	BAE SYSTEMS PLC SPONSORED ADR CMN (BAESY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.59	CAPGEMINI ADR CMN (CGEMY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.60	CARLSBERG A/S SPONSORED ADR CMN (CABGY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13.61	COMPAGNIE DE SAINT-GOBAIN UNSPONSORED ADR CMN (CPDYY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13.62	DANONE SPONSORED ADR CMN (DANOY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.63	DEUTSCHE BOERSE AG UNPOSNORED ADR CMN (DBOEY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.64	DEUTSCHE POST AG SPONSORED ADR CMN (DPSGY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.65	ENEL SOCIETA PER AZIONI ADR CMN (ENLAY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.66	ENTAIN PLC ADR CMN (GMVHY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.67	HITACHI LTD (ADR 10 COM) ADR CMN (HTHIY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.68	ISHARES MSCI ACWI EX US EXCHANGE-TRADED FUND (ACWX)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.69	JULIUS BAER GRUPPE AG ADR CMN (JBAXY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.70	KBC GROUP NV UNSPONSORED ADR CMN (KBCSY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.71	KONINKLIJKE PHILIPS N.V. ADR CMN (PHG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.72	MERCK KGAA SPONSORED ADR CMN (MKKGY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.73	MURATA MANUFACTURING CO., LTD. UNSPONSORED ADR CMN (MRAAY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.74	NORDEA BANK ABP SPONSORED ADR CMN (NRDBY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.75	P.T. TELEKOMUNIKASI INDONESIA ADS (TLK)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
13.76	PERNOD RICARD UNSPONSORED ADR CMN (PRNDY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.77	PROSUS NV SPONSORED ADR CMN (PROSY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13.78	RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (RBGLY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.79	ROYAL DUTCH SHELL PLC SPONSORED ADR CMN SERIES B (RDS/B)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
13.80	SANDS CHINA LTD ADR CMN (SCHYY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.81	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (SIEGY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
13.82	SMITH & NEPHEW PLC ADR CMN (SNN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.83	YUM CHINA HOLDINGS, INC. CMN (YUMC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
13.84	ZURICH INS GROUP LTD SPONSORED ADR CMN (ZURVY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
14	Brokerage Acct #13	No			
14.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
14.2	BNP PARIBAS LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/14/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.3	BNP PARIBAS LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 01/12/2024	N/A	\$50,001 - \$100,000		None (or less than \$201)
14.4	HSBC USA INC. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 06/22/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.5	CITIGROUP INC. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 07/26/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.6	JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 01/12/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.7	MORGAN STANLEY FINANCE LLC LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 06/08/2023	N/A	\$50,001 - \$100,000		None (or less than \$201)
14.8	TORONTO DOMINION BANK LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 04/12/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.9	UBS AG LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 10/18/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.10	CANADIAN IMPERIAL BANK OF COMM LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 07/12/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.11	THE BANK OF NOVA SCOTIA LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 04/29/2022	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
14.12	CREDIT SUISSE AG LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 04/21/2022	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
14.13	THE BANK OF NOVA SCOTIA LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 03/31/2022	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
14.14	CITIGROUP INC. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 12/27/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
14.15	SOCIETE GENERALE LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 06/03/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Brokerage Acct #14	No			
15.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	ABBVIE INC CMN (ABBV)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.3	ABBOTT LABORATORIES CMN (ABT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.4	AUTOMATIC DATA PROCESSING INC CMN (ADP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.5	AMERICAN TOWER CORPORATION CMN (AMT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.6	AIR PRODUCTS & CHEMICALS INC CMN (APD)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.7	BROADCOM INC. CMN (AVGO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
15.8	BEST BUY CO INC CMN (BBY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.9	BLACKROCK, INC. CMN (BLK)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.10	CROWN CASTLE INTL CORP CMN (CCI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
15.11	COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.12	CISCO SYSTEMS, INC. CMN (CSCO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.13	CHEVRON CORPORATION CMN (CVX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.14	EVERSOURCE ENERGY CMN (ES)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.15	EATON CORP PLC CMN (ETN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.16	CORNING INCORPORATED CMN (GLW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.17	THE HOME DEPOT, INC. CMN (HD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
15.18	ILLINOIS TOOL WORKS CMN (ITW)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.19	JPMORGAN CHASE & CO CMN (JPM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.20	KEURIG DR PEPPER INC CMN (KDP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.21	ELI LILLY & CO CMN (LLY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
15.22	LOCKHEED MARTIN CORPORATION CMN (LMT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.23	MC DONALDS CORP CMN (MCD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.24	MONDELEZ INTERNATIONAL, INC. CMN (MDLZ)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.25	MEDTRONIC PLC CMN (MDT)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
15.26	MARSH & MCLENNAN CO INC CMN (MMC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.27	MERCK & CO., INC. CMN (MRK)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.28	MICROSOFT CORPORATION CMN (MSFT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.29	NEXTERA ENERGY, INC. CMN (NEE)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
15.30	REALTY INCOME CORPORATION CMN (O)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.31	PAYCHEX, INC. CMN (PAYX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.32	PEPSICO, INC. CMN (PEP)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.33	PROCTER & GAMBLE COMPANY CMN (PG)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
15.34	PROLOGIS INC CMN (PLD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.35	PNC FINANCIAL SERVICES GROUP, CMN (PNC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.36	PHILLIPS 66 CMN (PSX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.37	QUALCOMM INC CMN (QCOM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.38	STARBUCKS CORP. CMN (SBUX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
15.39	SEMPRA ENERGY CMN (SRE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.40	TRUIST FINANCIAL CORPORATION CMN (TFC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
15.41	TEXAS INSTRUMENTS INC. CMN (TXN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
15.42	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK (UPS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.43	U.S. BANCORP CMN (USB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.44	WEC ENERGY GROUP, INC. CMN (WEC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
15.45	AMGEN INC. CMN (AMGN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
15.46	HONEYWELL INTL INC CMN (HON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
15.47	JOHNSON & JOHNSON CMN (JNJ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
15.48	VERIZON COMMUNICATIONS, INC. CMN (VZ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
16	Brokerage Acct #15	No			
16.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.2	GS SHORT DURATION TAX FREE FUND CLASS P (GANPX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
17	TPG TECH ADJACENCIES II ACCESS LLC (Private Equity)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	PRIVATE EQUITY MANAGERS (2020) LP (Private Equity)	Yes	\$50,001 - \$100,000		None (or less than \$201)
19	PRIVATE EQUITY MANAGERS (2017) LP (Private Equity)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
20	WEST STREET PRIVATE MARKETS 2022, L.P. (Private Equity)	Yes	\$100,001 - \$250,000		None (or less than \$201)
21	U.S. REAL PROPERTY INCOME FUND, L.P. (Real Estate)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
22	WEST STREET SENIOR CREDIT PARTNERS III, L.P.	Yes	\$250,001 - \$500,000		\$201 - \$1,000
23	WEST STREET STRATEGIC SOLUTIONS FUND I, L.P.	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24	HEDGE FUND SELECT: MILLENNIUM LLC COMMITMENT CLASS A2 SERIES 2		Yes	\$50,001 - \$100,000		None (or less than \$201)
25	Knights of Columbus, whole life		N/A	\$15,001 - \$50,000		None (or less than \$201)
26	Lincoln Financial Group, whole life	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
27	Pacific Life, whole life	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
28	US bank #1 (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
29	Brighthouse Financial, variable life		No			
29.1	American Funds Growth Portfolio		Yes	\$250,001 - \$500,000		None (or less than \$201)
29.2	Brighthouse/Aberdeen Emerging Markets Equity Portfolio (Class B)		Yes	\$100,001 - \$250,000		None (or less than \$201)
29.3	Brighthouse/Franklin Low Duration Total Return Portfolio		Yes	\$100,001 - \$250,000		None (or less than \$201)
29.4	Brighthouse/Wellington Core Equity Opportunities Portfolio (Class E)		Yes	\$250,001 - \$500,000		None (or less than \$201)
29.5	MetLife MSCI EAFE Index Portfolio		Yes	\$100,001 - \$250,000		None (or less than \$201)
29.6	Western Asset Management U.S. Government Portfolio (B)		Yes	\$100,001 - \$250,000		None (or less than \$201)
30	Jackson National Life Ins. Co., variable life		No			
30.1	JNL/MC S&P 500 Index		Yes	\$500,001 - \$1,000,000		None (or less than \$201)
30.2	JNL/American Growth-Inc		Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.3	JNL/MultiMgr Small Cap Value Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
30.4	JNL/MC Small Cap Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
30.5	JNL/MC Intl Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
30.6	JNL/American International	Yes	\$100,001 - \$250,000		None (or less than \$201)
31	Trust #2	No			
31.1	John Hancock, universal life	No	\$500,001 - \$1,000,000		None (or less than \$201)
32	Lincoln Financial Group, variable life	No			
32.1	6 Year S&P 500 Cap 20% Protection 6/29/2020-6/29/2026	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
32.2	6 Year Capital Strength Cap 20% Protect 6/29/2020-6/29/2026	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	PRIVATE EQUITY MANAGERS (2020) LP (Private Equity)	Capital Call	\$100,001 - \$250,000	2019	N/A	On Demand

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	PRIVATE EQUITY MANAGERS (2017) LP (Private Equity)	Capital Call	\$15,001 - \$50,000	2017	N/A	On Demand
3	WEST STREET PRIVATE MARKETS 2022, L.P. (Private Equity)	Capital Call	\$100,001 - \$250,000	2021	N/A	On Demand
4	TPG TECH ADJACENCIES II ACCESS LLC (Private Equity)	Capital Call	\$250,001 - \$500,000	2021	N/A	On Demand
5	WEST STREET SENIOR CREDIT PARTNERS III, L.P.	Capital Call	\$500,001 - \$1,000,000	2021	N/A	On Demand
6	WEST STREET STRATEGIC SOLUTIONS FUND I, L.P.	Capital Call	\$250,001 - \$500,000	2020	N/A	On Demand
7	HEDGE FUND SELECT: MILLENNIUM LLC COMMITMENT CLASS A2 SERIES 2	Capital Call	\$500,001 - \$1,000,000	2021	N/A	On Demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	6	In connection with the acquisition of SKDKnickerbocker, I entered into a three-year employment agreement with Stagwell, which has since terminated. The agreement included the right to receive shares of Stagwell upon certain events which are the shares reported here.
6.	26	Also has long-term care benefit
6.	27	Also has long-term care benefit

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
