Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

## **Executive Branch Personnel**

**Public Financial Disclosure Report:** 

Periodic Transaction Report (OGE Form 278-T)

### Filer's Information

Donfried, Karen E

Assistant Secretary of State for European and Eurasian Affairs, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Donfried, Karen E [electronically signed on 12/04/2021 by Donfried, Karen E in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Parker, Jane M, Certifying Official [electronically signed on 12/13/2021 by Parker, Jane M in Integrity.gov]

Other review conducted by

/s/ Parker, Jane M, Ethics Official [electronically signed on 12/13/2021 by Parker, Jane M in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 12/27/2021 by Granahan, Megan in Integrity.gov]

### **Transactions**

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Intellia Therapeutics, Inc. (NTLA)	Sale	11/05/2021	No	\$15,001 - \$50,000
2	Sprout Social (SPT)	Sale	11/05/2021	No	\$15,001 - \$50,000
3	Upstart (UPST)	Sale	11/05/2021	No	\$50,001 - \$100,000
4	Apple, Inc. (AAPL)	Sale	11/09/2021	No	\$50,001 - \$100,000
5	eXp World Holdings, Inc. (EXPI)	Sale	11/09/2021	No	\$100,001 - \$250,000
6	Inmode (INMD)	Sale	11/09/2021	No	\$15,001 - \$50,000
7	Okta, Inc. (OKTA)	Sale	11/09/2021	No	\$50,001 - \$100,000
8	Microsoft Corp. (MSFT)	Sale	11/09/2021	No	\$50,001 - \$100,000
9	MongoDB, Inc. (MDB)	Sale	11/09/2021	No	\$100,001 - \$250,000
10	NVIDIA Corp. (NVDA)	Sale	11/09/2021	No	\$100,001 - \$250,000
11	Shopify (SHOP)	Sale	11/09/2021	No	\$100,001 - \$250,000
12	Square, Inc. (SQ)	Sale	11/09/2021	No	\$50,001 - \$100,000
13	The Trade Desk, Inc. (TTD)	Sale	11/09/2021	No	\$100,001 - \$250,000
14	Twilio, Inc. (TWLO)	Sale	11/09/2021	No	\$50,001 - \$100,000
15	Zscaler, Inc. (ZS)	Sale	11/09/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
16	Redfin Corp. (RDFN)	Sale	11/11/2021	No	\$15,001 - \$50,000
17	Zendesk, Inc. (ZEN)	Sale	11/11/2021	No	\$15,001 - \$50,000
18	Zillow Group, Inc. (Z)	Sale	11/11/2021	No	\$15,001 - \$50,000
19	AbbVie, Inc. (ABBV)	Sale	11/12/2021	No	\$15,001 - \$50,000
20	Abbott Laboratories (ABT)	Sale	11/12/2021	No	\$15,001 - \$50,000
21	Align Technology, Inc. (ALGN)	Sale	11/12/2021	No	\$50,001 - \$100,000
22	Amazon.com, Inc. (AMZN)	Sale	11/12/2021	No	\$50,001 - \$100,000
23	Avid Technology, Inc. (AVID)	Sale	11/12/2021	No	\$50,001 - \$100,000
24	Coupa Software, Inc. (COUP)	Sale	11/12/2021	No	\$15,001 - \$50,000
25	Crowdstrike (CRWD)	Sale	11/12/2021	No	\$15,001 - \$50,000
26	Datadog (DDOG)	Sale	11/12/2021	No	\$15,001 - \$50,000
27	DocuSign, Inc. (DOCU)	Sale	11/12/2021	No	\$50,001 - \$100,000
28	EPAM Systems, Inc. (EPAM)	Sale	11/12/2021	No	\$50,001 - \$100,000
29	Five9, Inc. (FIVN)	Sale	11/12/2021	No	\$15,001 - \$50,000
30	Fiverr Intl (FVRR)	Sale	11/12/2021	No	\$50,001 - \$100,000
31	Fulgent Genomics (FLGT)	Sale	11/12/2021	No	\$15,001 - \$50,000
32	HubSpot, Inc. (HUBS)	Sale	11/12/2021	No	\$15,001 - \$50,000
33	MercadoLibre (MELI)	Sale	11/12/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
34	Palo Alto Networks, Inc. (PANW)	Sale	11/12/2021	No	\$50,001 - \$100,000
35	Sea Limited (SE)	Sale	11/12/2021	No	\$100,001 - \$250,000
36	Shockwave Medical, Inc. (SWAV)	Sale	11/12/2021	No	\$15,001 - \$50,000
37	Atlassian (TEAM)	Sale	11/12/2021	No	\$50,001 - \$100,000
38	Varonis Systems, Inc. (VRNS)	Sale	11/12/2021	No	\$15,001 - \$50,000
39	Veeva Systems, Inc. (VEEV)	Sale	11/12/2021	No	\$50,001 - \$100,000
40	Air Products and Chemicals, Inc. (APD)	Sale	11/16/2021	No	\$15,001 - \$50,000
41	Appian Corporation (APPN)	Sale	11/16/2021	No	\$15,001 - \$50,000
42	Arista Networks, Inc. (ANET)	Sale	11/16/2021	No	\$50,001 - \$100,000
43	Cerence (CRNC)	Sale	11/16/2021	No	\$15,001 - \$50,000
44	salesforce.com, inc. (CRM)	Sale	11/16/2021	No	\$15,001 - \$50,000
45	Endava PLC (DAVA)	Sale	11/16/2021	No	\$15,001 - \$50,000
46	Elastic NV (ESTC)	Sale	11/16/2021	No	\$15,001 - \$50,000
47	Edwards Lifesciences Corp. (EW)	Sale	11/16/2021	No	\$50,001 - \$100,000
48	Farfetch (FTCH)	Sale	11/16/2021	No	\$15,001 - \$50,000
49	Fortinet, Inc. (FTNT)	Sale	11/16/2021	No	\$15,001 - \$50,000
50	Alphabet, Inc. (GOOG)	Sale	11/16/2021	No	\$50,001 - \$100,000
51	Illumina, Inc. (ILMN)	Sale	11/16/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
52	JP Morgan (JPM)	Sale	11/16/2021	No	\$15,001 - \$50,000
53	L3Harris Technologies, Inc. (LHX)	Sale	11/16/2021	No	\$15,001 - \$50,000
54	Match Group, Inc. (MTCH)	Sale	11/16/2021	No	\$15,001 - \$50,000
55	Cloudflare (NET)	Sale	11/16/2021	No	\$15,001 - \$50,000
56	ServiceNow, Inc. (NOW)	Sale	11/16/2021	No	\$15,001 - \$50,000
57	Roku, Inc. (ROKU)	Sale	11/16/2021	No	\$15,001 - \$50,000
58	Teladoc Health, Inc. (TDOC)	Sale	11/16/2021	No	\$15,001 - \$50,000
59	Accenture Plc (ACN)	Sale	11/18/2021	No	\$15,001 - \$50,000
60	Advanced Micro Devices, Inc. (AMD)	Sale	11/18/2021	No	\$15,001 - \$50,000
61	AppFolio, Inc. (APPF)	Sale	11/18/2021	No	\$15,001 - \$50,000
62	ASML Holding (ASML)	Sale	11/18/2021	No	\$15,001 - \$50,000
63	Brookfield Asset Management (BAM)	Sale	11/18/2021	No	\$15,001 - \$50,000
64	Baxter International, Inc. (BAX)	Sale	11/18/2021	No	\$15,001 - \$50,000
65	Crispr Therapeutics (CRSP)	Sale	11/18/2021	No	\$15,001 - \$50,000
66	Quest Diagnostics, Inc. (DGX)	Sale	11/18/2021	No	\$15,001 - \$50,000
67	Gravity Co. (GRVY)	Sale	11/18/2021	No	\$1,001 - \$15,000
68	II-IV, Inc. (IIVI)	Sale	11/18/2021	No	\$15,001 - \$50,000
69	Magnite (MGNI)	Sale	11/18/2021	No	\$15,001 - \$50,000
70	Netflix, Inc. (NFLX)	Sale	11/18/2021	No	\$15,001 - \$50,000
71	Novocure (NVCR)	Sale	11/18/2021	No	\$15,001 - \$50,000
72	Pinterest, Inc. (PINS)	Sale	11/18/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
73	PayPal Holdings, Inc. (PYPL)	Sale	11/18/2021	No	\$15,001 - \$50,000
74	Q2 Holdings, Inc. (QTWO)	Sale	11/18/2021	No	\$15,001 - \$50,000
75	Synopsys, Inc. (SNPS)	Sale	11/18/2021	No	\$15,001 - \$50,000
76	Splunk, Inc. (SPLK)	Sale	11/18/2021	No	\$15,001 - \$50,000
77	Shutterstock, Inc. (SSTK)	Sale	11/18/2021	No	\$15,001 - \$50,000
78	Teradyne, Inc. (TER)	Sale	11/18/2021	No	\$15,001 - \$50,000
79	Trimble, Inc. (TRMB)	Sale	11/18/2021	No	\$1,001 - \$15,000
80	Zoom Video Communications, Inc. (ZM)	Sale	11/18/2021	No	\$15,001 - \$50,000
81	Adobe, Inc. (ADBE)	Sale	11/19/2021	No	\$15,001 - \$50,000
82	Axon Enterprise, Inc. (AXON)	Sale	11/19/2021	No	\$15,001 - \$50,000
83	Dermtek (DTMK)	Sale	11/19/2021	No	\$1,001 - \$15,000
84	Boston Omaha Corporation (BOMN)	Purchase	11/22/2021	No	\$15,001 - \$50,000
85	Celsius Holdings, Inc. (CELH)	Purchase	11/22/2021	No	\$15,001 - \$50,000
86	Activision Blizzard, Inc. (ATVI)	Sale	11/23/2021	No	\$1,001 - \$15,000
87	Amgen Inc. (AMGN)	Sale	11/23/2021	No	\$15,001 - \$50,000
88	ANSYS, Inc. (ANSS)	Sale	11/23/2021	No	\$15,001 - \$50,000
89	The Walt Disney Co. (DIS)	Sale	11/23/2021	No	\$15,001 - \$50,000
90	Peleton (PTON)	Sale	11/23/2021	No	\$1,001 - \$15,000
91	Take-Two Interactive Software, Inc. (TTWO)	Sale	11/23/2021	No	\$15,001 - \$50,000
92	Pagseguro Digital (PAGS)	Sale	11/24/2021	No	\$15,001 - \$50,000
93	RH (RH)	Purchase	11/29/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
94	Prgogyny (PGNY)	Purchase	11/29/2021	No	\$1,001 - \$15,000
95	LeMaitre Vascular, Inc. (LMAT)	Purchase	11/29/2021	No	\$1,001 - \$15,000
96	Shift4 Payments (FOUR)	Purchase	11/29/2021	No	\$1,001 - \$15,000

#### **Endnotes**

# **Summary of Contents**

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seg., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE

Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.