

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Dixon, Stacey

Principal Deputy Director, Office of the Director of National Intelligence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Dixon, Stacey [electronically signed on 08/24/2021 by Dixon, Stacey in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ahmed, Araj, Certifying Official [electronically signed on 08/25/2021 by Ahmed, Araj in Integrity.gov]

Other review conducted by

/s/ Ahmed, Araj, Ethics Official [electronically signed on 08/25/2021 by Ahmed, Araj in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/30/2021 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	AMERICAN CAP INC BUILDER F2 CONFIRM NBR (CAIFX)		Purchase	08/23/2021	No	\$1,001 - \$15,000
2	AMERICAN CAP WRLD GR & INC F2 CONFIRM NBR (WGIFX)		Purchase	08/23/2021	No	\$15,001 - \$50,000
3	AMERICAN GW FD OF AMERICA F2 CONFIRM NBR (GFFFX)		Purchase	08/23/2021	No	\$1,001 - \$15,000
4	AMERICAN BALANCED F2 CONFIRM NBR (AMBFX)		Purchase	08/23/2021	No	\$15,001 - \$50,000
5	AMERICAN SMALL CAP WORLD F2 CONFIRM NBR (SMCFX)		Purchase	08/23/2021	No	\$1,001 - \$15,000
6	AMERICAN INV CO OF AMER F2 CONFIRM NBR (ICAFX)		Purchase	08/23/2021	No	\$15,001 - \$50,000
7	AMERICAN WA MUTUAL F2 CONFIRM NBR (WMFFX)		Purchase	08/23/2021	No	\$1,001 - \$15,000
8	AMERICAN BD FD OF AMERICA F2 CONFIRM NBR (ABNFX)		Purchase	08/23/2021	No	\$1,001 - \$15,000
9	STRATEGIC BOND F2 CONFIRM NBR (ANBFX)		Purchase	08/23/2021	No	\$1,001 - \$15,000
10	10X GENOMICS INC CL A (TXG)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
11	AIRBNB INC CL A (ABNB)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
12	CARVANA CO CL A (CVNA)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
13	CLOUDFLARE INC CL A (NET)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
14	COUPA SOFTWARE INC (COUP)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
15	CROWDSTRIKE HLDGS INC CL A (CRWD)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
16	DATADOG INC CL A (DDOG)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
17	DEXCOM INC (DXCM)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	DOCUSIGN INC (DOCU)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
19	DOORDASH INC CL A (DASH)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
20	ILLUMINA INC (ILMN)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
21	MODERNA INC (MRNA)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
22	MONGODB INC CL A (MDB)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
23	NVIDIA CORPORATION (NVDA)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
24	OKTA, INC CL A (OKTA)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
25	PINTEREST INC CL A (PINS)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
26	ROBLOX CORP CL A (RBLX)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
27	ROYALTY PHARMA PLC SHS CLASS A (RPRX)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
28	SEA LIMITED ADR (SE)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
29	SHOPIFY INC (SHOP)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
30	SNAP INC. CL A (SNAP)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
31	SNOWFLAKE INC CL A (SNOW)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
32	SPOTIFY TECHNOLOGY SA (SPOT)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
33	SQUARE INC CLASS A (SQ)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
34	TRADE DESK INC CLASS A (TTD)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
35	TWILIO INC CL A (TWLO)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
36	TWITTER INC (TSTR)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
37	UBER TECHNOLOGIES INC (UBER)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
38	UNITY SOFTWARE INC (U)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
39	VEEVA SYS INC CL A (VEEV)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
40	WAYFAIR INC (W)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
41	ZILLOW GROUP INC CL C CAP STK (Z)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
42	ZOOM VIDEO COMMUNICATIONS-A (ZM)	See Endnote	Sale	08/23/2021	No	\$1,001 - \$15,000
43	INTUITIVE SURGICAL INC (ISRG)	See Endnote	Sale	08/12/2021	No	\$1,001 - \$15,000
44	UNITY SOFTWARE INC (U)		Purchase	08/11/2021	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	10	Sold all stock
Transactions	11	Sold all stock
Transactions	12	Sold all stock
Transactions	13	Sold all stock
Transactions	14	Sold all stock
Transactions	15	Sold all stock
Transactions	16	Sold all stock
Transactions	17	Sold all stock
Transactions	18	Sold all stock
Transactions	19	Sold all stock
Transactions	20	Sold all stock
Transactions	21	Sold all stock

PART	#	ENDNOTE
Transactions	22	Sold all stock
Transactions	23	Sold all stock
Transactions	24	Sold all stock
Transactions	25	Sold all stock
Transactions	26	Sold all stock
Transactions	27	Sold all stock
Transactions	28	Sold all stock
Transactions	29	Sold all stock
Transactions	30	Sold all stock
Transactions	31	Sold all stock
Transactions	32	Sold all stock
Transactions	33	Sold all stock
Transactions	34	Sold all stock
Transactions	35	Sold all stock
Transactions	36	Sold all stock
Transactions	37	Sold all stock
Transactions	38	Sold all stock
Transactions	39	Sold all stock
Transactions	40	Sold all stock
Transactions	41	Sold all stock
Transactions	42	Sold all stock

PART	#	ENDNOTE
Transactions	43	<p>Sold all stock.</p> <p>In addition to the transactions listed, I sold all of the following stocks valued at less than \$1000: ROYAL GOLD INC (RGLD), FASTLY INC CL A (FSLY), GUARDANT HEALTH INC (GH), ALNYLAM PHARMACEUTICALS INC (ALNY). There are no longer any stocks in my holdings. Note: in a separate OGE278T report I documented the sale of between \$1000-\$15,000 in Amazon (AMZN) stock on 8-23-2021.</p>

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's

efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
