

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Whitman, Margaret

Ambassador to Kenya, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Whitman, Margaret [electronically signed on 06/25/2021 by Whitman, Margaret in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 12/15/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 12/17/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	QBI Holdings, LLC (Quibi)		Los Angeles, California	Mobile only media technology platform	Chief Executive Officer	2/2018	2/2021
2	Procter & Gamble Company		Cincinnati, Ohio	Corporation	Director	2/2011	Present
3	Dropbox, Inc		San Francisco, California	Corporation	Director	8/2017	5/2020
4	Hewlett Packard Enterprise Company		Palo Alto, California	Corporation	Director	11/2015	4/2019
5	General Motors Company		Detroit, Michigan	Corporation	Director	3/2021	Present
6	Lead Edge Growth Opportunities, Ltd		New York, New York	Corporation	Director	3/2021	Present
7	FIGS, Inc		Santa Monica, California	Corporation	Advisor	1/2021	Present
8	Attainment Holdco, LLC (InStride Advisory Board)		Los Angeles, California	LLC	Advisor	3/2021	Present
9	GoBrands, Inc., DBA GoPuff		Philadelphia, Pennsylvania	Corporation	Advisor	5/2021	Present
10	Immortals, LLC, DBA Immortals Gaming Club (IGC)	See Endnote	Los Angeles, California	LLC	Director	4/2018	Present
11	Griffith R. Harsh IV and Margaret C. Whitman Charitable Foundation (AKA Whitman Harsh Fund)	See Endnote	Cohoes, New York	Non-Profit	Trustee	11/2006	Present
12	Power Station Foundation	See Endnote	Cohoes, New York	Non-Profit	Trustee	5/2020	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Major League Soccer LLC	See Endnote	Los Angeles, California	LLC	Alternate Governor on the MLS Board of Governors	12/2019	Present
14	Summit Public Schools	See Endnote	Redwood City, California	Non-Profit	Board Member	3/2011	6/2021
15	Teach For America, Inc.	See Endnote	New York, New York	Non-Profit	Board Member	2/2011	Present
16	The Nature Conservancy, Inc.	See Endnote	Arlington, Virginia	Non-Profit	Board Member	8/2017	1/2020
17	Family Revocable Trust #1	See Endnote	Telluride, Colorado	Trust	Trustee	10/1995	Present
18	Family Irrevocable Trust #1	See Endnote	Wilmington, Delaware	Trust	Advisor	11/2011	Present
19	Family Irrevocable Trust #2	See Endnote	Wilmington, Delaware	Trust	Trustee	1/2012	Present
20	Family Irrevocable Trust #3	See Endnote	Wilmington, Delaware	Trust	Trustee	12/2012	Present
21	Family Irrevocable Trust #4	See Endnote	Wilmington, Delaware	Trust	Trustee	12/2012	Present
22	Family Irrevocable Trust #5	See Endnote	Wilmington, Delaware	Trust	Advisor	8/2002	Present
23	Skyline Management Group LLC	See Endnote	Wilmington, Delaware	LLC	Manager	11/2018	Present
24	Sweetwater Aviation LLC	See Endnote	Los Angeles, California	LLC	Manager	7/2019	Present
25	Winged Lion LLC	See Endnote	Wilmington, Delaware	LLC	Manager	11/2019	Present
26	MCW Gaming LLC	See Endnote	Wilmington, Delaware	LLC	Manager	5/2021	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
27	Advance to GO LLC	See Endnote	Wilmington, Delaware	LLC	Manager	5/2018	Present
28	Day at the Beach LLC	See Endnote	Wilmington, Delaware	LLC	Manager	8/2018	Present
29	Skyline Ranch Trust, LLC	See Endnote	Wilmington, Delaware	LLC	Manager	10/2004	Present
30	Wonder LP Series I	See Endnote	Wilmington, Delaware	LP	Managing General Partner	6/2018	12/2020
31	Wonder LP Series II	See Endnote	Wilmington, Delaware	LP	Managing General Partner	6/2018	Present
32	Fall River Springs LLC	See Endnote	Wilmington, Delaware	LLC	Manager	4/2019	Present
33	Sheridan Investment Limited Liability Company	See Endnote	Wilmington, Delaware	LLC	Manager	4/2016	Present
34	Nellie Limited Liability Company	See Endnote	Wilmington, Delaware	LLC	Manager	3/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	QBI Holdings, LLC (Quibi)	N/A		Salary/Bonus	\$2,127,049
2	Procter & Gamble Company	N/A		Director Fees	\$180,000
3	Procter & Gamble Company vested restricted stock units	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	Procter & Gamble Company unvested restricted stock units	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Dropbox, Inc	N/A		Director Fees	\$40,014

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Dropbox, Inc, restricted stock units	See Endnote	N/A	None (or less than \$1,001)	RSUs vested	\$289,232
7	FIGS, unvested nonstatutory stock options		N/A	\$100,001 - \$250,000		None (or less than \$201)
8	General Motors Company, deferred share units to be received January 2022	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
9	GoBrands, Inc, vested restricted stock units		N/A	\$50,001 - \$100,000		None (or less than \$201)
10	GoBrands, Inc, unvested restricted stock units		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
11	Lead Edge Growth Opportunities, Ltd.		N/A	None (or less than \$1,001)		None (or less than \$201)
12	GEEX Sponsor, LLC		N/A	\$1,001 - \$15,000		None (or less than \$201)
13	"The Power of Many: Values for Success in Business and in Life" Crown Publishing Group (value not readily ascertainable)		N/A			None (or less than \$201)
14	Hewlett Packard Enterprise 401k plan		No			
14.1	Fidelity Birth Date Fund: 1955 Fund		Yes	\$250,001 - \$500,000		None (or less than \$201)
15	Roth IRA		No			
15.1	SPDR S&P 500 ETF (SPY)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
15.2	iShares MSCI EAFE ETF (EFA)		Yes	\$250,001 - \$500,000		None (or less than \$201)
15.3	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)		Yes	\$500,001 - \$1,000,000		None (or less than \$201)
16	Attainment Management Holdings, LLC (InStride), vested incentive units		N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Attainment Management Holdings, LLC (InStride), unvested incentive units	N/A	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Procter & Gamble Company	Cincinnati, Ohio	Restricted stock units vest one year after issuance. Vested restricted stock units are normally settled one year following termination of service on the board of directors. However, Procter & Gamble Company will accelerate the payment of my vested restricted stock units upon my resignation. In accordance with the award, payment is made in the form of common stock or such other form of payment as determined by the board of directors. Unvested restricted stock units are forfeited in the event of termination of service prior to the date of vesting.	10/2011
2	FIGS, Inc	Santa Monica, California	Filer participates in a nonstatutory stock option award. One fourth of the award vests six months after issuance with the balance vesting ratably over the following eighteen month period. The options expire March 15, 2031. On termination of continuous service, participant may exercise any vested stock option within the earlier period ending three months after termination of service and the expiration of the option period. Unvested options are forfeited on termination of continuous service.	3/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	General Motors Company	Detroit, Michigan	Filer was appointed to the board of directors on March 25, 2021. Pursuant to a plan, the filer receives a grant of deferred share units (DSU) equal in value to one share of GM common stock, which are fully vested upon grant but does not have voting rights. DSUs will not be available for disposition until after the Filer leaves the Board. Pursuant to company policy, Filer is provided use of a company automobile. This benefit will end upon termination. If Filer remains on the board into 2022, she will receive another grant, at least 50% of which must be DSUs. If Filer takes the remaining 50% in cash, but terminates her board service prior to the end of 2022, she would receive a prorated amount of the cash grant. Filer also would receive a cash payment or payments based on the number of DSUs in her account valued at the average daily closing market price for the quarter immediately preceding payment. Filer will be paid in a lump sum or in annual installments for up to five years, based on the deferral elections.	3/2021
4	GoBrands, Inc	Philadelphia, Pennsylvania	Filer began serving as an advisor to the company on May 5, 2021. Filer was awarded restricted stock units that are subject to a service based requirement and a liquidity event deadline. The service-based requirement is satisfied in a series of twenty-four successive monthly installments. The restricted stock units expire on the first to occur of the following: May 10, 2028; the liquidity event deadline; and, with respect to restricted stock units that have not satisfied the service-based requirement, termination of service. The restricted stock units are satisfied in shares.	5/2021
5	Attainment Management Holdings , LLC (InStride)	Los Angeles, California	Filer was appointed to the InStride Advisory Board of Attainment Holdco, LLC on March 5, 2021. Attainment Management Holdings, LLC issued Class B tracking incentive units to Filer, fifty percent of which units vested immediately and the balance vest monthly over a four year period. Unvested incentive units are forfeited on termination of service.	3/2021
6	Hewlett Packard Enterprise Company	Palo Alto, California	Filer will continue to participate in this defined contribution plan. Neither Filer nor the company will make further contributions.	1/2013

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
7	Lead Edge Growth Opportunities, Ltd	New York, New York	Filer serves on the board of directors of Lead Edge Growth Opportunities, Ltd (the "Company"), a special purchase acquisition company formed for the purpose of entering into a combination with one or more businesses (the "Combination"). The Class A shares of the Company are listed on the NASDAQ. In accordance with an agreement with the Company, Filer acquired unvested Class B shares of the Company. The Class B shares convert to Class A shares at the time of the Combination. The shares are subject to sale and transfer restrictions. In the event that Filer terminates service as a director prior to the Combination, the shares may be repurchased at the initial purchase price.	3/2021
8	GEEX Sponsor, LLC	Wilmington, Delaware	Filer acquired through MCW Gaming LLC an interest in GEEX Sponsor, LLC, which entity owns Class B shares of Games & Esports Experience Acquisition Corp ("Company"), a special purpose acquisition company formed for the purpose of entering into a combination with one or more businesses (the "Combination"). Filer also acquired Company Class B shares. The Class B shares of Company are unvested. The Class B shares of the Company are repurchased if an initial public offering does not occur prior to December 31, 2021 or Filer fails to serve on the Company board of directors. The Class B shares convert to Class A shares on the closing of a business Combination. The shares are subject to sale and transfer restrictions. In the event that Filer terminates service as a director of the Company after the initial offer and prior to the Combination, the shares may be repurchased at the initial purchase price.	5/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Procter & Gamble Company	Cincinnati, Ohio	Serve on the Board of Directors
2	QBI Holdings, LLC (Quibi)	Los Angeles, California	Served as CEO of the company

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
3	Hewlett Packard Enterprise Company	Palo Alto, California	Served on the Board of Directors
4	Dropbox, Inc	San Francisco, California	Served on the Board of Directors
5	FIGS, Inc	Santa Monica, California	Serve as Advisor to the company
6	GoBrands, Inc	Philadelphia, Pennsylvania	Serve as Advisor to the company
7	Attainment Management Holdings , LLC (InStride)	Los Angeles, California	Serve as Advisor to the company

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of California, Davis	N/A		Salary and bonus	
2	Massachusetts General Physicians Organization Deferred Compensation Plan II	No			
2.1	Fidelity New Millennium Fund (FMILX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3	IRA	No			
3.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Roth IRA	No			
4.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	SPDR S&P 500 ETF (SPY)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	University of California Retirement Plan Defined Benefit Plan	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	Stanford 457B Plan	No			
6.1	VANG INST TR (VITWX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
7	Stanford Fidelity Variable Annuity	No			
7.1	Fidelity VIP Index 500 (FVIFC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	University of California 403(B) plan	No			
8.1	UC DOM EQ INDEX	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
9	University of California 457(B) plan	No			
9.1	UC DOM EQ INDEX	Yes	\$100,001 - \$250,000		None (or less than \$201)
10	UpToDate, Inc. (an online medical information platform) See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties	\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Irrevocable Trust #1 See Endnote	No			
1.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
1.2	Palmyra Peak LP See Endnote	No			
1.2.1	Brokerage Account #1 (PP)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.1.1	UNIVERSITY CALIF REVS REV 3.0630% 07/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.1.2	LOS ANGELES CALIF GO 3.4500% 09/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.1.3	CALIFORNIA ST GO 3.0000% 04/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.1.4	CALIFORNIA ST GO 5% 09/01/26 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.5	LOS ANGELES CALIF HBR DEPT REV REV 5% 08/01/26 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.1.6	SAN JOAQUIN HILLS CALIF REV 0.0000% 01/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.1.7	BAY AREA TOLL AUTHORITY REV 5.0000% 10/01/54- Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.8	ST OF CA DEPT OF WTR RES PWR REV 2.0000% 05/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.1.9	MINNESOTA ST GO 5% 08/01/25 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2.1.1 0	ALABAMA ST GO 5% 08/01/24 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2.1.1 1	CALIFORNIA ST FOR PREVIOUS GO 5% 10/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.1 2	CALIFORNIA ST GO 5% 04/01/23 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2.1.1 3	SILICON VY CLEAN WTR CALIF REV 3% 03/01/24 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2.1.1 4	TEXAS ST GO 5% 08/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.1.1 5	EAST SIDE UN HIGH SCH DIST GO 5.2500% 09/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.1 6	LAFAYETTE CALIF SCH DIST GO 5% 08/01/24 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2.1.1 7	NORTH CAROLINA ST LTD OBLIG REV 5.0000% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.1 8	TRUSTEES OF CALIFORNIA ST UNIV REV 5.0000% 11/01/27- Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.1 9	LOS ANGELES CMNTY COLLEGE DIST REV 1.1740% 08/01/26 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
1.2.1.2 0	MERCED CALIF CMNTY COLLEGE GO 5.0000% 08/01/28 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.2 1	UNIVERSITY CALIF REVS REV 1.3160% 05/15/27- Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.2 2	CALIFORNIA ST DEPT WTR RES REV 1.1600% 12/01/27 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.2.1.2 3	BROWARD CNTY FLA SCH DIST REV 3% 06/30/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2.1.2 4	LOS ANGELES CNTY CALIF MET REV 5.0000% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2.1.2 5	SOUTHN CALIF PUB PWR AUTH TRAN REV 4.0000% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2.1.2 6	CA INFRASTRUCTURE&ECON DEV BK REV 5.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2.1.2 7	CONNECTICUT ST GO 5% 02/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2.1.2 8	SAN DIEGO CALIF PUB FACS FING REV 5% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.1.2 9	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.2.2	Brokerage Account #2 (PP)	No			
1.2.2.1	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	\$5,000,001 - \$25,000,000	Capital Gains	\$1,000,001 - \$5,000,000
1.2.2.2	10X GENOMICS INC CMN CLASS A (TXG)	N/A	\$5,000,001 - \$25,000,000	Capital Gains	\$1,000,001 - \$5,000,000
1.2.2.3	COINBASE GLOBAL, INC. CMN CLASS A (COIN)	N/A	\$1,000,001 - \$5,000,000	Capital Gains	\$1,000,001 - \$5,000,000
1.2.2.4	KEROS THERAPEUTICS INC CMN (KROS)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.2.2.5	ALX ONCOLOGY HOLDINGS INC. CMN (ALXO)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.2.2.6	RELAY THERAPEUTICS INC CMN (RLAY)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.2.2.7	NURIX THERAPEUTICS, INC. CMN (NRIX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.2.2.8	OLEMA PHARMACEUTICALS INC CMN (OLMA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2.2.9	CLOVER HEALTH INVESTMENTS, COR CMN (CLOV)	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.2.2.1 0	UNITY SOFTWARE, INC CMN (U)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
1.2.2.1 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.2.3	Brokerage Account #3 (PP)	No			
1.2.3.1	S&P 500 Index (SPX), open position for written put option @ 4050 EXP 11/19/2021 - value not readily ascertainable	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.2	S&P 500 Index (SPX), open position for written put option @ 4190 EXP 09/03/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.3	S&P 500 Index (SPX), open position for written put option @ 3820 EXP 09/30/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.4	S&P 500 Index (SPX), open position for written put option @ 3850 EXP 08/20/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.5	S&P 500 Index (SPX), open position for written put option @ 3890 EXP 09/17/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.6	S&P 500 Index (SPX), open position for written put option @ 3920 EXP 08/20/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.7	S&P 500 Index (SPX), open position for written put option @ 3960 EXP 10/15/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.8	S&P 500 Index (SPX), open position for written put option @ 4000 EXP 08/27/2021 - value not readily ascertainable	N/A			None (or less than \$201)
1.2.3.9	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2.3.10	S&P 500 Index (SPX), closed position for written put option @ 2840 EXP 01/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.2.3.11	S&P 500 Index (SPX), closed position for written put option @ 2790 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.2.3.12	S&P 500 Index (SPX), closed position for written put option @ 3000 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.2.3.13	S&P 500 Index (SPX), closed position for written put option @ 3290 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.1 4	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.2.3.1 5	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.1 6	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.1 7	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 01/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.1 8	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.1 9	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 0	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 1	S&P 500 Index (SPX), closed position for written put option @ 3380 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 2	S&P 500 Index (SPX), closed position for written put option @ 3900 EXP 06/04/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 3	S&P 500 Index (SPX), closed position for written put option @ 2990 EXP 12/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 4	S&P 500 Index (SPX), closed position for written put option @ 3240 EXP 02/26/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 5	S&P 500 Index (SPX), closed position for written put option @ 2870 EXP 02/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 6	S&P 500 Index (SPX), closed position for written put option @ 3270 EXP 10/09/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 7	S&P 500 Index (SPX), closed position for written put option @ 3950 EXP 07/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.2 8	S&P 500 Index (SPX), closed position for written put option @ 4000 EXP 07/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.2 9	S&P 500 Index (SPX), closed position for written put option @ 4050 EXP 08/06/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.3 0	S&P 500 Index (SPX), closed position for written put option @ 2980 EXP 09/04/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.3.3 1	S&P 500 Index (SPX), closed position for written put option @ 3990 EXP 06/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 2	S&P 500 Index (SPX), closed position for written put option @ 2880 EXP 08/14/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 3	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 05/29/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 4	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 12/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 5	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 6	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 7	S&P 500 Index (SPX), closed position for written put option @ 3750 EXP 06/18/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 8	S&P 500 Index (SPX), closed position for written put option @ 2800 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.3 9	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 09/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 0	S&P 500 Index (SPX), closed position for written put option @ 3280 EXP 03/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 1	S&P 500 Index (SPX), closed position for written put option @ 3280 EXP 03/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.4 2	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 3	S&P 500 Index (SPX), closed position for written put option @ 3650 EXP 05/07/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 4	S&P 500 Index (SPX), closed position for written put option @ 2650 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 5	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 6	S&P 500 Index (SPX), closed position for written put option @ 3290 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 7	S&P 500 Index (SPX), closed position for written put option @ 3550 EXP 06/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 8	S&P 500 Index (SPX), closed position for written put option @ 3380 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.4 9	S&P 500 Index (SPX), closed position for written put option @ 3780 EXP 07/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 0	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 1	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 2	S&P 500 Index (SPX), closed position for written put option @ 2570 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 3	S&P 500 Index (SPX), closed position for written put option @ 2820 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 4	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 5	S&P 500 Index (SPX), closed position for written put option @ 2470 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.5 6	S&P 500 Index (SPX), closed position for written put option @ 3420 EXP 04/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 7	S&P 500 Index (SPX), closed position for written put option @ 2990 EXP 10/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 8	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.5 9	S&P 500 Index (SPX), closed position for written put option @ 2250 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 0	S&P 500 Index (SPX), closed position for written put option @ 2520 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 1	S&P 500 Index (SPX), closed position for written put option @ 3240 EXP 02/26/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 2	S&P 500 Index (SPX), closed position for written put option @ 3950 EXP 07/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 3	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 10/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 4	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 5	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 6	S&P 500 Index (SPX), closed position for written put option @ 3630 EXP 04/09/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 7	S&P 500 Index (SPX), closed position for written put option @ 2480 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 8	S&P 500 Index (SPX), closed position for written put option @ 3530 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.6 9	S&P 500 Index (SPX), closed position for written put option @ 3680 EXP 06/18/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.7 0	S&P 500 Index (SPX), closed position for written put option @ 2960 EXP 11/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 1	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 2	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 3	S&P 500 Index (SPX), closed position for written put option @ 3490 EXP 04/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 4	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 03/05/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 5	S&P 500 Index (SPX), closed position for written put option @ 2350 EXP 08/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 6	S&P 500 Index (SPX), closed position for written put option @ 3610 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 7	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 8	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.7 9	S&P 500 Index (SPX), closed position for written put option @ 2750 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 0	S&P 500 Index (SPX), closed position for written put option @ 2930 EXP 12/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 1	S&P 500 Index (SPX), closed position for written put option @ 2800 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 2	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 08/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 3	S&P 500 Index (SPX), closed position for written put option @ 3030 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.8 4	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 5	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 6	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 7	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 8	S&P 500 Index (SPX), closed position for written put option @ 2890 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.8 9	S&P 500 Index (SPX), closed position for written put option @ 2690 EXP 09/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 0	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 1	S&P 500 Index (SPX), closed position for written put option @ 3000 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 2	S&P 500 Index (SPX), closed position for written put option @ 2490 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 3	S&P 500 Index (SPX), closed position for written put option @ 3570 EXP 04/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 4	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 11/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 5	S&P 500 Index (SPX), closed position for written put option @ 1850 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 6	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 02/28/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.3.9 7	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.3.9 8	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
1.2.3.9 9	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
1.2.4	Brokerage Account #4 (PP)	No			
1.2.4.1	Paypal (PYPL), closed position for written call option @ 114 EXP 01/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.4.2	Paypal (PYPL), closed position for written call option @ 114 EXP 01/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.4.3	Paypal (PYPL), closed position for written call option @ 121 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.4.4	Paypal (PYPL), closed position for written call option @ 125 EXP 03/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.4.5	Paypal (PYPL), closed position for written call option @ 125 EXP 03/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.2.4.6	Paypal (PYPL), closed position for written call option @ 130 EXP 03/06/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.4.7	Paypal (PYPL), closed position for written call option @ 120 EXP 01/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.4.8	Paypal (PYPL), closed position for written call option @ 133 EXP 04/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.4.9	Paypal (PYPL), closed position for written call option @ 140 EXP 05/15/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.2.4.1 0	Paypal (PYPL), closed position for written call option @ 130 EXP 04/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
1.2.4.1 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.2.5	D.E. Shaw Compsite Fund LLC	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.6	Drawbridge Special Opportunities		Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
1.2.7	Eton Park Fund LP		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.8	Sculptor Domestic Partners LP		Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2.9	Serengeti Opportunities Partners		Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2.10	Silver Point Capital		Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
1.2.11	Thematic Select: PFM Therapeutics LLC Class A	See Endnote	No	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
1.2.12	Apollo VIII		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
1.2.13	Bain Capital Fund IX		Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
1.2.14	Bain Capital Fund VIII		Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.15	Bond Capital Fund II LP		Yes	\$250,001 - \$500,000		None (or less than \$201)
1.2.16	Bond Capital Fund LP		Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
1.2.17	Broad Street Real Estate Credit Partners II		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
1.2.18	Carlyle/Riverstone Global E&P Fund III		No	\$100,001 - \$250,000		None (or less than \$201)
1.2.18.1	Moreno Energy Inc (Holds and develops oil and natural gas assets)		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.18. 2	Talen (Independent power producer)	N/A			
1.2.18. 3	Targe Energy, LLC (Mining and reclamation company)	N/A			
1.2.19	Crestview Capital Partners III	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.2.20	Crestview Partners IV	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.2.21	DFJ Growth 2013 LP	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
1.2.22	Farallon Special Situations Master Fund	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
1.2.23	Foresite Capital Fund I	No	\$1,000,001 - \$5,000,000	Capital Gains	\$1,000,001 - \$5,000,000
1.2.23. 1	10X Genomics, Inc. (TXG) (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.23. 2	Accelaron Pharma Inc. (XLRN) (Biopharmaceutical company focused on therapeutics.)	N/A			
1.2.23. 3	Epizyme, Inc. (EPZM) (Healthcare company focused on therapeutics.)	N/A			
1.2.23. 4	Novavax, Inc. (NVAX) (Healthcare company focused on therapeutics.)	N/A			
1.2.23. 5	Ambit Biosciences Corporation (Healthcare company focused on therapeutics.)	N/A			
1.2.24	Foresite Capital Fund II	No	\$1,000,001 - \$5,000,000	Capital Gains Interest	\$50,001 - \$100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.24. 1	10X Genomics, Inc. (TXG) (Life science infrastructure & individualized healthcare company.)		N/A			
1.2.24. 2	Accelaron Pharma Inc. (XLRN) (Biopharmaceutical company focused on therapeutics.)		N/A			
1.2.24. 3	Epizyme, Inc. (EPZM) (Healthcare company focused on therapeutics.)		N/A			
1.2.24. 4	Novavax, Inc. (NVAX) (Healthcare company focused on therapeutics.)		N/A			
1.2.24. 5	YourPeople, Inc. (dba Zenefits) (Life science infrastructure & individualized healthcare company.)		N/A			
1.2.24. 6	Ivantis, Inc. (Healthcare company focused on therapeutics.)		N/A			
1.2.24. 7	Aduro Biotech, Inc. (Healthcare company focused on therapeutics.)		N/A			
1.2.25	Foresite Capital Fund III	See Endnote	No	\$500,001 - \$1,000,000	Dividends Capital Gains Interest	\$50,001 - \$100,000
1.2.25. 1	Accelaron Pharma Inc. (XLRN) (Biopharmaceutical company focused on therapeutics.)		N/A			
1.2.25. 2	Aclaris Therapeutics, Inc. (ACRS) (Healthcare company focused on therapeutics.)		N/A			
1.2.25. 3	CymaBay Therapeutics, Inc. (CBAY) (Healthcare company focused on therapeutics.)		N/A			
1.2.25. 4	Epizyme, Inc. (EPZM) (Healthcare company focused on therapeutics.)		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.25. 5	FibroGen, Inc. (FGEN) (Healthcare company focused on therapeutics.)	N/A			
1.2.25. 6	Natera, Inc. (NTRA) (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.25. 7	Verona Pharma plc (VRNA) (United Kingdom based therapeutic healthcare company.)	N/A			
1.2.25. 8	Grail Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.25. 9	Inscripta, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.25. 10	Mindstrong, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.25. 11	Color Health, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.25. 12	HealthVerity, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.25. 13	Ivantis, Inc. (Healthcare company focused on therapeutics.)	N/A			
1.2.25. 14	VenatoRx Pharmaceuticals, Inc. (Healthcare company focused on therapeutics.)	N/A			
1.2.25. 15	Peloton Therapeutics, Inc. (Clinical stage biopharmaceutical company.)	N/A			
1.2.25. 16	PACT Pharma, Inc. (Healthcare company focused on therapeutics.)	N/A			
1.2.25. 17	Buccaneer Holdco Ltd (dba Signant Health) (United Kingdom based life science infrastructure & individualized healthcare company.)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.25.18	RxSight, Inc (Healthcare company focused on therapeutics.)	N/A			
1.2.25.19	ConnectiveRx (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26	Foresite Capital Fund IV	See Endnote	No	\$1,000,001 - \$5,000,000	Capital Gains Interest \$50,001 - \$100,000
1.2.26.1	Aclaris Therapeutics, Inc. (ACRS) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.2	CymaBay Therapeutics, Inc. (CBAY) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.3	Cytokinetics Inc. (CYTK) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.4	Kinnate Biopharma Inc. (KNTE) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.5	Kura Oncology Inc. (KURA) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.6	Nurix Therapeutics Inc. (NRIX) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.7	Olema Pharmaceuticals Inc (OLMA) (Healthcare company focused on therapeutics.)	N/A			
1.2.26.8	Lyell Immunopharma, Inc. (LYEL) (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.9	Quantum-Si Inc. (QSI) (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.10	Relay Therapeutics, Inc. (RLAY) (Life science infrastructure & individualized healthcare company.)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.26.11	Pharvaris B.V. (PHVS) (Healthcare company based in the Netherlands focused on therapeutics.)	N/A			
1.2.26.12	Color Health, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.13	DNAexus, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.14	Eikon Therapeutics, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.15	Element Biosciences, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.16	Fabric Genomics, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.17	GenapSys, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.18	HealthVerity, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.19	Inscripta, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.20	Insitro Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.21	MyOme, Inc. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.22	Psi Quantum Corp. (Life science infrastructure & individualized healthcare company.)	N/A			
1.2.26.23	LifeMine Therapeutics, Inc. (Healthcare company focused on therapeutics.)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.26.24	Maze Therapeutics, Inc. (Healthcare company focused on therapeutics.)	N/A			
1.2.26.25	Metrea Biosciences, Inc. (Healthcare company focused on therapeutics.)	N/A			
1.2.26.26	Cue Health Inc. (Provider of rapid, lab-quality molecular testing--including for COVID-19.)	N/A			
1.2.26.27	Mammoth Biosciences, Inc. (Biotechnology company developing diagnostic tests using CRISPR-Cas12.)	N/A			
1.2.26.28	Bit Bio Limited (Life science infrastructure & individualized healthcare company based in the United Kingdom.)	N/A			
1.2.26.29	Buccaneer Holdco Ltd (dba Signant Health) (Life science infrastructure & individualized healthcare company based in the United Kingdom.)	N/A			
1.2.26.30	Evonetix Ltd. (Life science infrastructure & individualized healthcare company based in the United Kingdom.)	N/A			
1.2.26.31	Genomics PLC (Life science infrastructure & individualized healthcare company based in the United Kingdom.)	N/A			
1.2.26.32	Juvenescence Limited (Healthcare company based in the British Virgin Islands focused on therapeutics.)	N/A			
1.2.27	Goodwater Capital I	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
1.2.28	Goodwater Capital II	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
1.2.29	Greenoaks Capital Opportunities Fund	No	\$5,000,001 - \$25,000,000	Capital Gains Interest	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.29. 1	Coupang, LLC (E-commerce company)		N/A			
1.2.29. 2	Roofoods, Ltd (Online food delivery platform)		N/A			
1.2.29. 3	Katerra, Inc (Construction company)		N/A			
1.2.29. 4	Sonder Holdings, Inc (Short term rental company)		N/A			
1.2.29. 5	Robinhood Markets, Inc (Financial services company)		N/A			
1.2.29. 6	Alto Pharmacy Holdings, Inc (Full-service pharmacy delivery)		N/A			
1.2.29. 7	Clover Health Investments, Corp (Healthcare technology company)		N/A			
1.2.30	Greenoaks Capital Opportunities Fund III	See Endnote	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.2.30. 1	Airwallex Limited (Payment platform)		N/A			
1.2.30. 2	Checkout Payments Group Limited (Payment processing company)		N/A			
1.2.30. 3	Orthly, Inc (Dental laboratory company)		N/A			
1.2.30. 4	Klaviyo, Inc (Provider of email and advertising software)		N/A			
1.2.30. 5	Tipalyi Solutions Ltd (Accounting software provider)		N/A			
1.2.30. 6	TripActions, Inc (Travel management company)		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.30. 7	Vercel Inc (Telecommunications company)	N/A			
1.2.31	H.I.G. Middle Market LBO Fund II	Yes	\$1,000,001 - \$5,000,000		\$1,000,001 - \$5,000,000
1.2.32	Hellman & Friedman Capital Partners VIII, L.P.	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
1.2.33	INCE Capital Partners LP	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
1.2.34	OZRE III	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
1.2.35	Rialto Real Estate Fund II	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
1.2.36	Rise of the Rest Seed Fund II, LP	No	\$250,001 - \$500,000		None (or less than \$201)
1.2.36. 1	776 Fund, LP	No			
1.2.36. 1.1	Dispo (Social media app)	N/A			
1.2.36. 1.2	Realtime (Social network)	N/A			
1.2.36. 1.3	Clash (Platform for social media creators)	N/A			
1.2.36. 1.4	Commsor (Community operating system)	N/A			
1.2.36. 1.5	Stoke Space Technologies (Communications company focused on space)	N/A			
1.2.36. 1.6	Pipe (Business management company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36.1.7	Alt (Exchange for alternative assets)	N/A			
1.2.36.1.8	Lolli (Company that allows customers to earn money as they shop)	N/A			
1.2.36.1.9	Itsme (Online design company)	N/A			
1.2.36.1.10	Gloria (Platform to allow sports fans to connect)	N/A			
1.2.36.1.11	PearPop (Platform for content creators)	N/A			
1.2.36.1.12	Riverside FM (Online recording studio)	N/A			
1.2.36.1.13	Metafy (Platform for video game coaches)	N/A			
1.2.36.1.14	The Grand (Life coach platform)	N/A			
1.2.36.1.15	Topia (Video chat company)	N/A			
1.2.36.1.16	QuickNode (Provides services based on the blockchain)	N/A			
1.2.36.1.17	Poppysseed (Pregnancy and postpartum support platform)	N/A			
1.2.36.1.18	Wagr (Sports betting platform)	N/A			
1.2.36.1.19	Simulate (Alternative meat company)	N/A			
1.2.36.2	Abode Technologies, Inc. (Home buying app)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36. 3	AcreTrader, Inc. (Helps farmers scale through innovative sales and leasing opportunities)	N/A			
1.2.36. 4	AirDeck, Inc. (Presentation programming app)	N/A			
1.2.36. 5	Arcade Solutions, Inc. (Business management services company)	N/A			
1.2.36. 6	Base HQ, Inc. (Scheduling assistant)	N/A			
1.2.36. 7	BasicBlock, Inc. (Document management and payment app for fleets and carriers)	N/A			
1.2.36. 8	Bridge Money, Inc. (Online banking company)	N/A			
1.2.36. 9	Candidate, Inc. (Job search network)	N/A			
1.2.36. 10	Cariloop, Inc. (Caregiver support platform)	N/A			
1.2.36. 11	CarServ, Inc. (Auto repair services company)	N/A			
1.2.36. 12	Cherry Blossom Intimates, Inc. (Lingerie and wellness company)	N/A			
1.2.36. 13	Claiminformatics, LLC (Healthcare billing assistance company)	N/A			
1.2.36. 14	ClassEDU, Inc. (Platform for educators)	N/A			
1.2.36. 15	Clinchr Co. (dba Hallo) (Digital events platform)	N/A			
1.2.36. 16	Coverlease, Inc. (Commercial real estate data company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36.17	Cultured Decadence, Inc. (Sustainable seafood company)	N/A			
1.2.36.18	Daupler, Inc. (Company focused on autoimmune diseases)	N/A			
1.2.36.19	Dimension Inx, LLC (Patient healthcare platform)	N/A			
1.2.36.20	Drugviu, Inc. (Incident response platform for municipalities)	N/A			
1.2.36.21	DwellSocial, Inc. (Restaurant delivery company)	N/A			
1.2.36.22	Eighty-Six, Inc. (Mobile application development, consulting, and marketing company)	N/A			
1.2.36.23	Encantos Media Studios PBC (Educational platform for kids)	N/A			
1.2.36.24	FactoryFix, Inc. (Job search platform for manufacturing jobs)	N/A			
1.2.36.25	Fize Technology, Inc. (Patient care platform)	N/A			
1.2.36.26	Goodfair, Inc. (Used clothing and home goods platform)	N/A			
1.2.36.27	Highwing, Inc. (Provider of insurance software for brokers and carriers)	N/A			
1.2.36.28	Kanarys Inc. (Software company providing DEI services to businesses)	N/A			
1.2.36.29	Kangarootime, Inc. (Childcare software)	N/A			
1.2.36.30	Krowdsourced, Inc. (Building construction company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36.31	Lightship Venture Capital Fund I, L.P. See Endnote	No			
1.2.36.32	MemComputing, Inc. (Develops technology to provide organizational solutions)	N/A			
1.2.36.33	MilkRun, Inc. (Produce subscription company)	N/A			
1.2.36.34	Mind-X Corp. (Neuro-technology company)	N/A			
1.2.36.35	Next Frontier Venture Fund III, L.P.	No			
1.2.36.35.1	SiteOne Therapeutics (Pain management company)	N/A			
1.2.36.35.2	Submittable (SaaS provider of submission management solutions)	N/A			
1.2.36.35.3	Clearas Water Recovery (Water treatment company)	N/A			
1.2.36.35.4	Quiq (Communications company to connect businesses with customers)	N/A			
1.2.36.35.5	Orbital Shift (Business management company)	N/A			
1.2.36.35.6	Phoenix Labs (Gaming developer, acquired by Sea Ltd.)	N/A			
1.2.36.35.7	Blackmore (Radar technology company, acquired by Aurora)	N/A			
1.2.36.35.8	Ironcore Labs (Data privacy platform)	N/A			
1.2.36.35.9	Ataata (Cyber security firm, acquired by Mimecast)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36. 35.10	TwinThread (Technology company focused on the Industrial Internet)	N/A			
1.2.36. 35.11	onX (Geospatial data company)	N/A			
1.2.36. 35.12	Meat Eater (Media and tech company focused on hunting)	N/A			
1.2.36. 35.13	Section (Website performance platform)	N/A			
1.2.36. 35.14	PatientOne (Provides software to help doctors prepare patients for surgery)	N/A			
1.2.36. 35.15	OptioSurgical (Software provider of cost transparency solutions in healthcare)	N/A			
1.2.36. 35.16	Remixlabs (Platform targeting the low code application development market)	N/A			
1.2.36. 35.17	Alpin (SaaS application focused on license management, acquired by CoreView)	N/A			
1.2.36. 35.18	Aumni (Venture capital data analytics company)	N/A			
1.2.36. 35.19	Getro (Talent sourcing solution)	N/A			
1.2.36. 35.20	LumenAd (Software and media services provider)	N/A			
1.2.36. 35.21	Bonusly (Recognition and rewards platform)	N/A			
1.2.36. 35.22	Chargeback (SaaS provider of chargeback dispute resolution)	N/A			
1.2.36. 35.23	Claravine (UT-based provider of data management solutions)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36. 35.24	EveryoneSocial (Employee advocacy communications company)	N/A			
1.2.36. 35.25	Advsir (Sales software provider)	N/A			
1.2.36. 35.26	Blocky (Software development kit using Blockchain)	N/A			
1.2.36. 35.27	Demoflow (B2B SaaS company focused on remote selling)	N/A			
1.2.36. 35.28	Emmersion (EdTech company)	N/A			
1.2.36. 35.29	Special.tv (Independent streaming service)	N/A			
1.2.36. 36	Notisphere, Inc. (Focused on health care recalls)	N/A			
1.2.36. 37	Oculogx, Inc. (Augmented reality company, now Ox)	N/A			
1.2.36. 38	Playfull, Inc. (Online gaming platform)	N/A			
1.2.36. 39	Prelude Research, Inc. (Higher education training provider)	N/A			
1.2.36. 40	Qoins Technologies, Inc. (Debt payment app)	N/A			
1.2.36. 41	Recurate, Inc. (Resale marketplace)	N/A			
1.2.36. 42	Recyclops, Inc. (Recycling services company)	N/A			
1.2.36. 43	remodelmate, Inc. (Home renovation platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.36.44	Return Logic, Inc. (Management software company)	N/A			
1.2.36.45	Rheaply, Inc. (Resource management company)	N/A			
1.2.36.46	Ripple Science Corporation (Clinical research management software)	N/A			
1.2.36.47	Rize Education, Inc. (Financial services company)	N/A			
1.2.36.48	Schola, Inc. (Pre-K search company)	N/A			
1.2.36.49	Speakeasy Commerce Inc. (Direct-to-consumer solution for alcohol and beverage companies)	N/A			
1.2.36.50	Strike Graph, Inc. (Compliance program services company)	N/A			
1.2.36.51	The Feminina Group, Inc. (dba Gennev) (Health coaching platform)	N/A			
1.2.36.52	The Zero Card, Inc. (Personal health assistant)	N/A			
1.2.36.53	Underline Infrastructure, Inc. (Intelligent infrastructure company)	N/A			
1.2.36.54	Zirtue, LLC (Payment and lending app)	N/A			
1.2.37	Silver Point Distressed Opportunities	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
1.2.38	Threshold Ventures III LP	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.2.39	Vintage Real Estate II	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.40	Advance to Go LLC - Series A, B, D		No			
1.2.40.1	Mixed use real estate development in Harrison, NJ		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
1.2.41	Receivable due from Family Revocable Trust #1		N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
1.3	Concrete Brick & Steel LLC	See Endnote	No			
1.3.1	Associate People LLC	See Endnote	No			
1.3.1.1	US bank #3 (cash)		N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
1.3.2	California Barrel Company LLC	See Endnote	No			
1.3.2.1	US bank #3 (cash)		N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
1.3.2.2	Commercial real estate, San Francisco, CA		N/A	\$25,000,001 - \$50,000,000		None (or less than \$201)
1.4	Wonder LP Series II	See Endnote	No			
1.4.1	US bank #1 (cash)		N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
1.4.2	QBI Holdings LLC	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
2	Family Irrevocable Trust #2	See Endnote	No			
2.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
2.2	Palmyra Peak LP	See Endnote	No	Over \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	Over \$5,000,000
2.3	Concrete Brick & Steel LLC	See Endnote	No	\$25,000,001 - \$50,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Family Revocable Trust #1	See Endnote	No		
3.1	US bank #4 (cash)		N/A		\$50,001 - \$100,000 None (or less than \$201)
3.2	Sweetwater Partners LP	See Endnote	No		
3.2.1	Brokerage Account #5 (SP)		No		
3.2.1.1	US bank #1 (cash)		N/A	Interest	\$1,000,001 - \$5,000,000 \$15,001 - \$50,000
3.2.1.2	DOORDASH INC CMN CLASS A (DASH)		N/A		\$500,001 - \$1,000,000 None (or less than \$201)
3.2.1.3	TALEND ADR CMN (TLND)		N/A		\$250,001 - \$500,000 None (or less than \$201)
3.2.1.4	PINTEREST INC CMN CLASS A (PINS)		N/A		\$15,001 - \$50,000 None (or less than \$201)
3.2.1.5	VIVINT SMART HOME INC CMN (VVNT)		N/A		\$250,001 - \$500,000 None (or less than \$201)
3.2.1.6	RAPT THERAPEUTICS INC CMN (RAPT)		N/A	Capital Gains	\$15,001 - \$50,000 \$5,001 - \$15,000
3.2.1.7	SKILLZ INC. CMN (SKLZ)		N/A		\$1,000,001 - \$5,000,000 None (or less than \$201)
3.2.1.8	UIPATH, INC. CMN CLASS A (PATH)		N/A		\$250,001 - \$500,000 None (or less than \$201)
3.2.1.9	UPSTART HOLDINGS, INC. CMN (UPST)		N/A		\$15,001 - \$50,000 None (or less than \$201)
3.2.1.10	EATON VANCE GROUP EATON VANCE INCM FD OF BOSTON MUTUAL FUND CLASS I SHARES (EIBIX)		Yes		None (or less than \$1,001) \$50,001 - \$100,000
3.2.1.11	GS STRATEGIC FACTOR ALLOCATION FUND CLASS P (GSQPX)		Yes		None (or less than \$1,001) \$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.1.1 2	LIVONGO HEALTH, INC. CMN	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.2.1.1 3	SPDR S&P 500 ETF TRUST (SPY)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
3.2.1.1 4	ASANA INC CMN (ASAN)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.2.1.1 5	NETFLIX, INC. CMN (NFLX)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
3.2.1.1 6	PELOTON INTERACTIVE, INC. CMN CLASS A (PTON)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
3.2.1.1 7	PINTEREST INC CMN CLASS A (PINS)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.2.1.1 8	RAPT THERAPEUTICS INC CMN (RAPT)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.2.1.1 9	TELADOC HEALTH INC CMN (TDOC)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.2.1.2 0	TWILIO INC. CMN CLASS A (TWLO)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.2.1.2 1	UBER TECHNOLOGIES, INC. CMN (UBER)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.2.1.2 2	NUVEEN FLOATING RATE INCOME FUND (NFRIX)	N/A	None (or less than \$1,001)	Dividends	\$50,001 - \$100,000
3.2.1.2 3	XTRACKERS MSCI EUROPE HEDGED EQUITY ETF (DBEU)	N/A	None (or less than \$1,001)	Dividends	\$50,001 - \$100,000
3.2.1.2 4	ELECTRONIC ARTS CMN (EA)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.2.1.2 5	SPOTIFY TECHNOLOGY S.A. CMN (SPOT)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.1.2 6	SAILPOINT TECHNOLOGIES HOLDING CMN (SAIL)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
3.2.2	Shenkman Energy Opportunity Select LLC	See Endnote	No		None (or less than \$201)
3.2.3	HFS: Exoduspoint LLC	No	\$5,000,001 - \$25,000,000	Distributive share of income	\$1,139,335
3.2.3.1	ExodusPoint Partners Fund, LP	Yes			
3.2.4	Raine Liquid Infrastructure Onshore Fund LP	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains Interest	\$1,000,001 - \$5,000,000
3.2.4.1	ANALOG DEVICES INC	N/A			
3.2.4.2	AT&T INC	N/A			
3.2.4.3	NVIDIA CORP	N/A			
3.2.4.4	DISH NETWORK CORP-A	N/A			
3.2.4.5	EQUINIX INC	N/A			
3.2.4.6	SBA COMMUNICATIONS CORP	N/A			
3.2.4.7	LIBERTY GLOBAL PLC- C	N/A			
3.2.4.8	CHARTER COMMUNICATIONS INC	N/A			
3.2.4.9	QORVO INC	N/A			
3.2.4.1 0	TWILIO INC - A	N/A			
3.2.4.1 1	CLOUDFLARE INC - CLASS A	N/A			
3.2.4.1 2	MARVELL TECHNOLOGY INC	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.4.1 3	FRONTIER COMMUNICATIONS PARE	N/A			
3.2.4.1 4	CELLNEX TELECOM SA	N/A			
3.2.4.1 5	MSRACEQ	See Endnote	No		
3.2.4.1 5.1	ARISTA NETWORKS INC	N/A			
3.2.4.1 5.2	CASA SYSTEMS INC	N/A			
3.2.4.1 5.3	CIENA CORP	N/A			
3.2.4.1 5.4	COMMSCOPE HOLDING CO INC	N/A			
3.2.4.1 5.5	CISCO SYSTEMS INC	N/A			
3.2.4.1 5.6	ERICSSON (LM) TEL-SP ADR	N/A			
3.2.4.1 5.7	EXTREME NETWORKS INC	N/A			
3.2.4.1 5.8	F5 NETWORKS INC	N/A			
3.2.4.1 5.9	CORNING INC	N/A			
3.2.4.1 5.10	II-VI INC	N/A			
3.2.4.1 5.11	INFINERA CORP	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.4.1 5.12	JUNIPER NETWORKS INC		N/A			
3.2.4.1 5.13	KEYSIGHT TECHNOLOGIES IN		N/A			
3.2.4.1 5.14	LUMENTUM HOLDINGS INC		N/A			
3.2.4.1 5.15	MOTOROLA SOLUTIONS INC		N/A			
3.2.4.1 5.16	NOKIA CORP-SPON ADR		N/A			
3.2.4.1 5.17	NETSCOUT SYSTEMS INC		N/A			
3.2.4.1 5.18	NETGEAR INC		N/A			
3.2.4.1 5.19	UBIQUITI INC		N/A			
3.2.4.1 5.20	VIAVI SOLUTIONS INC		N/A			
3.2.4.1 6	GROWTH SOFTWARE (MSXXGRSW)	See Endnote	No			
3.2.4.1 6.1	BOX INC - CLASS A		N/A			
3.2.4.1 6.2	COUPA SOFTWARE INC		N/A			
3.2.4.1 6.3	SALESFORCE.COM INC		N/A			
3.2.4.1 6.4	ALARM.COM HOLDINGS INC		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.4.1 6.5	CYBERARK SOFTWARE LTD/ISRAEL	N/A			
3.2.4.1 6.6	DROPBOX INC-CLASS A	N/A			
3.2.4.1 6.7	DOCUSIGN INC	N/A			
3.2.4.1 6.8	8X8 INC	N/A			
3.2.4.1 6.9	FIVE9 INC	N/A			
3.2.4.1 6.10	GUIDEWIRE SOFTWARE INC	N/A			
3.2.4.1 6.11	HUBSPOT INC	N/A			
3.2.4.1 6.12	MIMECAST LTD	N/A			
3.2.4.1 6.13	NEW RELIC INC	N/A			
3.2.4.1 6.14	SERVICENOW INC	N/A			
3.2.4.1 6.15	OKTA INC	N/A			
3.2.4.1 6.16	PAYCOM SOFTWARE INC	N/A			
3.2.4.1 6.17	PAYLOCITY HOLDING CORP	N/A			
3.2.4.1 6.18	J2 GLOBAL INC	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.4.1 6.19	ADOBE INC	N/A			
3.2.4.1 6.20	AUTODESK INC	N/A			
3.2.4.1 6.21	ANSYS INC	N/A			
3.2.4.1 6.22	ALTERYX INC - CLASS A	N/A			
3.2.4.1 6.23	MONGODB INC	N/A			
3.2.4.1 6.24	FORTINET INC	N/A			
3.2.4.1 6.25	QUALYS INC	N/A			
3.2.4.1 6.26	AVALARA INC	N/A			
3.2.4.1 6.27	RINGCENTRAL INC-CLASS A	N/A			
3.2.4.1 6.28	RAPID7 INC	N/A			
3.2.4.1 6.29	SAILPOINT TECHNOLOGIES HOLDING CMN	N/A			
3.2.4.1 6.30	CHEGG INC	N/A			
3.2.4.1 6.31	SPLUNK INC	N/A			
3.2.4.1 6.32	ATLASSIAN CORP PLC-CLASS A	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.4.1 6.33	TWILIO INC - A	N/A			
3.2.4.1 6.34	BLACKLINE INC	N/A			
3.2.4.1 6.35	VARONIS SYSTEMS INC	N/A			
3.2.4.1 6.36	WORKDAY INC-CLASS A	N/A			
3.2.4.1 6.37	YEXT INC	N/A			
3.2.4.1 6.38	ZENDESK INC	N/A			
3.2.4.1 6.39	ZSCALER INC	N/A			
3.2.4.1 6.40	ZUORA INC - CLASS A	N/A			
3.2.4.1 6.41	ANAPLAN INC	N/A			
3.2.4.1 6.42	SMARTSHEET INC-CLASS A	N/A			
3.2.4.1 6.43	TENABLE HOLDINGS INC	N/A			
3.2.4.1 6.44	ELASTIC NV	N/A			
3.2.4.1 6.45	PAGERDUTY INC	N/A			
3.2.4.1 7	JPMORGAN US TR PL MMKT-CAP	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.4.1 8	ROBIN SYSTEMS, INC - SERIES C PREFERRED STOCK	N/A			
3.2.5	Samlyn Onshore Fund	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
3.2.6	Axon Partners	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.2.7	Valueact Capital Partners	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
3.2.8	Viking Global Equity LP	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
3.2.9	Anchorage Illiquid Opportunities III	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2.10	Anchorage Illiquid Opportunities V	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
3.2.11	Arch Venture Fund X LP	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.12	Arch Venture Fund X LP Overage	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.13	Arclight Energy Partners Fund VI	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2.13.1	AL-Perdido (Offshore deepwater semi-submersible floating production platform)	N/A			
3.2.13.2	Enstor (f/k/a Amphora) (Natural gas reservoir storage facility)	N/A			
3.2.13.3	Blue Ridge Asphalt II (Sixteen asphalt storage and distribution terminals)	N/A			
3.2.13.4	Bruin Resources (Development and drilling of oil and gas producing properties)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.13. 5	Busbar III (Yield-Oriented Securities)	N/A			
3.2.13. 6	Eastern Generation (Power generating plants)	N/A			
3.2.13. 7	Element III (Development and drilling of oil and gas producing properties)	N/A			
3.2.13. 8	Great River Hydro (Hydroelectric generating facilities)	N/A			
3.2.13. 9	Greylock Energy Holdings (Integrated upstream and midstream platform)	N/A			
3.2.13. 10	Leeward Renewable Energy (Wind Farms)	N/A			
3.2.13. 11	Lightstone Generation (Power generating plants)	N/A			
3.2.13. 12	Limetree Bay Holdings (Storage terminal, refining units, and marine infrastructure)	N/A			
3.2.13. 13	LOGOS II (Oil producing wells)	N/A			
3.2.13. 14	Midcoast Energy (Natural gas gathering and processing and NGL transportation)	N/A			
3.2.13. 15	Orca (Small scale power facilities)	N/A			
3.2.13. 16	Pike Petroleum (Refined products storage terminal facilities)	N/A			
3.2.13. 17	SeaPort Midstream (Refined product pipeline and terminals)	N/A			
3.2.14	Audax Private Equity Fund IV	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.15	Audax Private Equity Fund V-A	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.2.16	Bain Capital Europe Fund V	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2.17	Bain Capital Fund VII	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2.18	Bain Capital Fund VIII-E	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2.19	Bain Capital Fund X	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
3.2.20	Bain Capital XI	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
3.2.21	Bain Capital XII	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.2.22	BDT Capital Partners Fund 3	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.23	Benchmark Founders Fund IV	Yes	\$100,001 - \$250,000		\$100,001 - \$1,000,000
3.2.24	Berkshire Fund IX	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2.25	Riverstone/Carlyle Global E&P Fund IV	No	\$1,000,001 - \$5,000,000	Dividends Capital Gains Interest	\$50,001 - \$100,000
3.2.25.1	Barra Energia (Oil and gas exploration company)	N/A			
3.2.25.2	Liberty Oilfield Services (Provides simulation services to the oil and gas industry)	N/A			
3.2.25.3	Proppant Express Investments (Provider of equipment for natural gas exploration)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.25.4	Ridgewood Energy(ILX) (Oil exploration company)	N/A			
3.2.26	Riverstone Global E&P Fund V	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2.26.1	Abaco Energy Technologies (Oil and gas company, closed)	N/A			
3.2.26.2	Canadian Non-Operated Resources (Calgary-based oil and gas company)	N/A			
3.2.26.3	Castex Energy (Oil and gas production company, declared bankruptcy in 2021)	N/A			
3.2.26.4	Fieldwood Energy (Oil and gas exploration and production company)	N/A			
3.2.26.5	Fieldwood Mexico (Oil and gas exploration and production company based in Mexico)	N/A			
3.2.26.6	Hammerhead Resources Inc. (Upstream oil and gas company based in Canada)	N/A			
3.2.26.7	Kerogen Exploration (Shale oil exploration and drilling company)	N/A			
3.2.26.8	Liberty Resources II (Upstream operator focused on oil and natural gas assets)	N/A			
3.2.26.9	Meritage Midstream Services II (Midstream service provider to oil and natural gas producers)	N/A			
3.2.26.10	ProServ Group (Production technology and services company for the oil and gas industry)	N/A			
3.2.26.11	Ridgebury Tankers Holdings (Shipping company focused on the tanks sector)	N/A			
3.2.26.12	Ridgewood Energy II (ILX II) (Explores and develops oil and natural gas)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.26.13	Rock Oil Holdings (Acquires and develops oil and natural gas assets)	N/A			
3.2.26.14	Talen (Provides power generation infrastructure)	N/A			
3.2.26.15	Talos Energy (Offshore energy company focused on oil and gas exploration)	N/A			
3.2.26.16	TrailStone (Energy and technology company)	N/A			
3.2.26.17	UTEX Industries (Provider of sealing products for the fluid sealing industry)	N/A			
3.2.27	Riverstone Global E&P Fund VI	No	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.27.1	AMCI (Metallurgical coal mine in Queensland, Australia)	N/A			
3.2.27.2	Ascent (Oil and gas exploration company)	N/A			
3.2.27.3	Avant (Energy management company)	N/A			
3.2.27.4	Birch Permian (Minority equity interest in an oil company in the Permian Basin)	N/A			
3.2.27.5	Carrier Energy Partners II (Oil and gas company)	N/A			
3.2.27.6	Centennial (Oil and natural gas company)	N/A			
3.2.27.7	IMTT (Storage of bulk liquids at key import locations in NJ and the Gulf Coast)	N/A			
3.2.27.8	Lithium Royalty Corp (Lithium mining company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.27.9	Loanpal (Provides financing options for the residential solar industry, now GoodLeap)	N/A			
3.2.27.10	Lucid II (Gas processing company)	N/A			
3.2.27.11	Onyx (Energy supply company)	N/A			
3.2.27.12	Ridgewood Energy III (ILX III) (Focuses on energy exploration in the Deepwater Gulf of Mexico)	N/A			
3.2.27.13	Ridley (Bulk commodities (coal, pet coke) and LPG export terminal in Prince Rupert, Canada)	N/A			
3.2.27.14	Rock Oil III (Oil and gas company)	N/A			
3.2.27.15	Teton Range (Oil and gas company)	N/A			
3.2.27.16	Three Rivers IV (Oil and gas company)	N/A			
3.2.27.17	Vesta (Sustainable energy company)	N/A			
3.2.28	Distressed Managers IV LP	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2.29	Energy Capital Partners I-A LP	Yes	\$50,001 - \$100,000		\$50,001 - \$100,000
3.2.30	Fortress Credit Opp Fund III	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2.31	Fortress Credit Opp Fund IV	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2.32	FREO Access LP/Progress Residential Access	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.33	Global Atlantic Access Fund LP	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.34	Griffin Gaming Partners Side Fund	No	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.34.1	Supersocial (Creates games for the metaverse)	N/A			
3.2.34.2	Discord (Digital communication platform)	N/A			
3.2.34.3	Subspace (Platform for online multi-player games)	N/A			
3.2.34.4	Skillz (Mobile multiplayer video game platform)	N/A			
3.2.34.5	Tactile (A Danish game developer)	N/A			
3.2.34.6	Wizard Labs (An AI-driven platform that powers social gaming communities)	N/A			
3.2.34.7	Wave (An Esports hub in Canada)	N/A			
3.2.34.8	Funzy (A mobile game development company)	N/A			
3.2.34.9	Network (A B2B sports gaming and data platform)	N/A			
3.2.34.10	Latitude (Developer of AI-powered games)	N/A			
3.2.34.11	Applovin (A global technology platform for mobile app developers)	N/A			
3.2.34.12	Overwolf (A platform for monetizing in-game apps)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.34.13	Theorycraft Games (An independent game studio)	N/A			
3.2.34.14	Spyke (A mobile gaming company)	N/A			
3.2.34.15	Frost Giant Studios (An independent game studio)	N/A			
3.2.34.16	GGWP (A game development platform)	N/A			
3.2.34.17	Players' Lounge (A community of online video gamers)	N/A			
3.2.34.18	Forte (A gaming infrastructure company)	N/A			
3.2.34.19	Wizno (A mobile gaming company based in India)	N/A			
3.2.34.20	One More Game (An online gaming creator)	N/A			
3.2.35	GS Capital Partners V LP	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2.36	GS Mezzanine Partners V LP	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
3.2.37	Hellman & Friedman Capital Partners VIII, L.P.	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.2.38	KPCB Digital Growth Fund	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2.39	KPCB Digital Growth Fund II	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
3.2.40	KPCB Digital Growth Fund III	Yes	\$1,000,001 - \$5,000,000		\$1,000,001 - \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.41	KPCB XV	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
3.2.42	KPCB XVI	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
3.2.43	Lead Edge Capital IV	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.2.44	Magnetic Ventures Fund	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2.44.1	Relation Therapeutics (Healthcare technology company)	N/A			
3.2.44.2	National Resilience, Inc. (Healthcare technology company)	N/A			
3.2.44.3	ConsejoSano (Healthcare technology company)	N/A			
3.2.44.4	HiberCell (Drug manufacturing company)	N/A			
3.2.44.5	Deep Genomics (Healthcare technology company)	N/A			
3.2.44.6	EMME (Healthcare technology company)	N/A			
3.2.44.7	AppliedVR (Healthcare technology company)	N/A			
3.2.44.8	FeelMoreLabs (Mental health technology company)	N/A			
3.2.45	MD VII PE	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.2.46	Mount Kellett Capital Partners II	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.47	PCCP Equity VI	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2.48	Rise of the Rest Seed Fund, LP	No	\$1,000,001 - \$5,000,000	Capital Gains Interest	\$100,001 - \$1,000,000
3.2.48.1	120 Water Audit, LLC (Creates drinking water and wastewater program management and compliance tools)	N/A			
3.2.48.2	75F, Inc. (Provides IoT-powered building management solutions)	N/A			
3.2.48.3	8th Stage, Inc. d/b/a Jammber (A team workflow and payment platform for entertainment artists, labels and writers)	N/A			
3.2.48.4	Abartys Health LLC (Provider of health care data management solutions)	N/A			
3.2.48.5	ALtality, Inc. d/b/a Spellbound (Provider of tools to promote patient well-being)	N/A			
3.2.48.6	Americademy, Inc. d/b/a Codeverse (Provider of coding tools for kids)	N/A			
3.2.48.7	Amplion, Inc. (Platform for selling to pharma and academia)	N/A			
3.2.48.8	Anduril Industries, Inc. (Hardware and software company focused on national security)	N/A			
3.2.48.9	ANVL, Inc. (Technology company focused on frontline worker productivity)	N/A			
3.2.48.10	AppHarvest, Inc. (Indoor farming company)	N/A			
3.2.48.11	Atlas Space Operations, Inc. (Communications company focused on space)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.12	Atomos Nuclear and Space Corporation (Aerospace company)	N/A			
3.2.48.13	Aurora Insights, Inc. (Services company serving the wireless communications industry)	N/A			
3.2.48.14	Back to the Roots, Inc. (Provider of gardening kits)	N/A			
3.2.48.15	BacklotCars, Inc. - Escrow (Online marketplace for auto dealers)	N/A			
3.2.48.16	Bidr Inc. (Auction platform for fundraising event organizers)	N/A			
3.2.48.17	Bionaut Labs, LTD (Precision medicine company for central nervous system disorders)	N/A			
3.2.48.18	Blokable, Inc. (Modular home manufacturer)	N/A			
3.2.48.19	Brace Software, Inc. (Mortgage servicing company)	N/A			
3.2.48.20	Branch Financial, Inc. (Home and auto insurance company)	N/A			
3.2.48.21	CareAcademy.co, Inc. (Home health platform)	N/A			
3.2.48.22	Caribu Inc. (Video calling and reading platform for kids)	N/A			
3.2.48.23	Catalyst IT Services, Inc. d/b/a Catalyte (Talent platform focused on a more diverse technology workforce)	N/A			
3.2.48.24	Chase Therapeutics Corporation (Specialty pharmaceutical company)	N/A			
3.2.48.25	Collective Hotels and Retreats, Inc. (Hospitality company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.26	DeepConvo Inc. d/b/a Telling.ai (Provider of voice analytics software)	N/A			
3.2.48.27	DemandJump Inc. (Provider of customer acquisition software for online marketing)	N/A			
3.2.48.28	DispatchIt, Inc. (On-demand delivery platform)	N/A			
3.2.48.29	Edisun Microgrids, Inc. (Solar technology company)	N/A			
3.2.48.30	EIE Materials, Inc. d/b/a Lumenari (Advanced materials discovery company)	N/A			
3.2.48.31	Energy Intelligence, Inc. (Provider of data services and analysis for the energy industry)	N/A			
3.2.48.32	Ethex Software Inc. (Software development company)	N/A			
3.2.48.33	FiscalNote, Inc. (Information services company)	N/A			
3.2.48.34	FlavorCloud, Inc. (Online services company that provides retailers a one-click checkout experience)	N/A			
3.2.48.35	Fluree, PBC (Software company that provides blockchain database solutions)	N/A			
3.2.48.36	Flytedesk inc. (Ad buying platform)	N/A			
3.2.48.37	Foxtrot Ventures, Incorporated (Provider of 60 min delivery of everyday essentials)	N/A			
3.2.48.38	Freightwaves, Inc. (Provides data and analytic services to the global logistics industry)	N/A			
3.2.48.39	FreightWaves, Inc. (fka TransRisk, Inc.) (Provides data and analytic services to the global logistics industry)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.40	FTC Holdings LLC , d/b/a Upside Travel (Provider of travel management services)	N/A			
3.2.48.41	Gathering, Inc. d/b/a Rize (Financial services company focused on millennials)	N/A			
3.2.48.42	Global Uprising, PBC d/b/a Cotopaxi (Manufacturer of outdoor products)	N/A			
3.2.48.43	Glow Technologies, Inc. (Provides podcast services)	N/A			
3.2.48.44	GoGuardian Holdings, Inc. (Software company providing services to schools)	N/A			
3.2.48.45	Gramercy Technologies, Inc. d/b/a Blanket.AI (Provider of event based technology)	N/A			
3.2.48.46	Guardhat, Inc. (Provider of multi-product safety systems)	N/A			
3.2.48.47	Hatch Technologies, Inc. (Technology company that assists businesses in managing software)	N/A			
3.2.48.48	Healthcare Interactive, Inc. (Provider of online education for dementia care)	N/A			
3.2.48.49	Hermeus Corporation (Airline design company)	N/A			
3.2.48.50	Huge Legal Technology Company, Inc. d/b/a Trust & Will (Online legal service provider)	N/A			
3.2.48.51	ICX Media, Inc. (Video intelligence provider)	N/A			
3.2.48.52	IdealSpot, Inc. (Provides analytics of hyper-local economic activity)	N/A			
3.2.48.53	Immersive Tech, Inc. (Developer of automation and telecommunications)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.54	Increase BVI Holding Inc. (B2B fintech company)	N/A			
3.2.48.55	Inscope Medical Solutions, Inc. (Medical device company)	N/A			
3.2.48.56	Insightin Health, Inc. (fka Universal Learning, Inc.) (Provides data driven decision-making technology for the healthcare industry)	N/A			
3.2.48.57	Jackson Square Group, LLC (A market research technology firm)	N/A			
3.2.48.58	JMM Partners DE, Inc. d/b/a Engage Talent - Escrow (An AI software company)	N/A			
3.2.48.59	K4Connect, Inc. (Developer of solutions to serve older adults living with disabilities)	N/A			
3.2.48.60	Kidizen, Inc. (Peer-to-peer marketplace for kids' clothing)	N/A			
3.2.48.61	Kiswe Mobile Inc. (Live streaming video technology company)	N/A			
3.2.48.62	Ledger Investing, Inc. (Provider of capital market solutions)	N/A			
3.2.48.63	LifeLoop, LLC (Creates technology to assist in senior living)	N/A			
3.2.48.64	LockerDome, Inc. (Advertising platform)	N/A			
3.2.48.65	Losant IoT, Inc. (Provides a development platform for IoT products and services.)	N/A			
3.2.48.66	Lunar labs, Inc. (d/b/a Sweet) (A mobile phone service company)	N/A			
3.2.48.67	Lynk Global, Inc. (fka Ubiquitilink, Inc.) (Provides mobile broadband services)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.68	MANTL, Inc. (fka Take the Helm, Inc.) (A skincare company for men)	N/A			
3.2.48.69	MCH Ventures, Inc. d/b/a Mahmee (Provides a prenatal and postpartum healthcare platform)	N/A			
3.2.48.70	Medici Technologies, LLC f/k/a Chiron Health, Inc. (Telemedicine solution provider company)	N/A			
3.2.48.71	Megh Computing, Inc. (Provides a real-time analytics platform with AI+ acceleration using CPUs, GPUs, and FPGAs from edge to cloud)	N/A			
3.2.48.72	Mend Tech, Inc. (Online self-help provider company)	N/A			
3.2.48.73	Mint Apartment Cleaning, Inc. d/b/a The Minte (Vacation home rental company)	N/A			
3.2.48.74	Mixtroz, LLC (Event-management software company)	N/A			
3.2.48.75	Narya Capital Fund I, L.P.	No			
3.2.48.75.1	Acretrader (Helps farmers scale through innovative sales and leasing opportunities)	N/A			
3.2.48.75.2	Amplifybio (Provides digital tools and platforms to the health care industry)	N/A			
3.2.48.75.3	AppHarvest (Agricultural business focused on local foods)	N/A			
3.2.48.75.4	Kriya Therapeutics (Company focused on gene therapy)	N/A			
3.2.48.75.5	Rumble (Video management system)	N/A			
3.2.48.76	Neighbor Storage, Inc. (Home storage company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.77	Neighborhood Goods, Inc. (Clothing company)	N/A			
3.2.48.78	NeoLight, LLC (Neonatal phototherapy systems company)	N/A			
3.2.48.79	NeuScience, Inc. (Healthcare company focused on cancer detection)	N/A			
3.2.48.80	Nickson, Inc. (Furniture leasing company)	N/A			
3.2.48.81	Off the Record, Inc. (Provides assistance in contesting traffic tickets)	N/A			
3.2.48.82	Ordway Labs, Inc. (Business management software company)	N/A			
3.2.48.83	PartySlate, Inc. (Event planning company)	N/A			
3.2.48.84	PatientOne, Inc. (Provides a virtual care platform for hospitals and healthcare providers)	N/A			
3.2.48.85	Peanut Butter, Inc. (Provides comprehensive resources for student loan assistance)	N/A			
3.2.48.86	Pop Biotechnologies, LLC (Healthcare company dedicated to addressing critical medical challenges with advanced nanotechnologies)	N/A			
3.2.48.87	POPS! Diabetes Care, Inc. (Healthcare company providing diabetes management systems)	N/A			
3.2.48.88	Pryon Incorporated (An AI company that delivers an augmented intelligence platform)	N/A			
3.2.48.89	Qwick, Inc. (Provides an on-demand staffing as a service platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.90	Raptor Acquisition Holdings, LLC (HR Tech Company)	N/A			
3.2.48.91	Ready Responders, Inc. (Home healthcare company)	N/A			
3.2.48.92	Replica, Inc. (A data platform that makes complex, rapidly-changing urban ecosystems easier to understand)	N/A			
3.2.48.93	SaaS Industries, Inc. d/b/a CHASSI (Business management software company)	N/A			
3.2.48.94	Scholly, Inc. (College scholarship mobile application)	N/A			
3.2.48.95	ShearShare, Inc. (Platform to allow hair care providers to rent space in salons)	N/A			
3.2.48.96	Sisu Global Health Inc. (Medical technology company)	N/A			
3.2.48.97	Slingshot Aerospace, Inc. (Space technology company)	N/A			
3.2.48.98	Smartwyre, Inc. (Agricultural software company)	N/A			
3.2.48.99	Sole Power, LLC (Wearable technology company)	N/A			
3.2.48.100	Soundways, Inc. (Audio recording company)	N/A			
3.2.48.101	SparkCharge, Inc. (Provides an EV charging network)	N/A			
3.2.48.102	StockX Inc. (Provides a sneaker selling platform)	N/A			
3.2.48.103	Stord, Inc. (Logistics and software company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.104	Structural, Inc. (Networking company designed for hybrid work)	N/A			
3.2.48.105	Summersalt, Inc. (Clothing company)	N/A			
3.2.48.106	Tap Projects, Inc. (Technology company focused on eliminating single use plastic water bottles)	N/A			
3.2.48.107	The Next One's On Me, Inc. d/b/a Loop & Tie (Provides a gift giving platform)	N/A			
3.2.48.108	Thrive Global Holdings, Inc. (Employee benefits consulting firm)	N/A			
3.2.48.109	Thrive Global Holdings, Inc. - Escrow (Employee benefits consulting firm)	N/A			
3.2.48.110	Understory, Inc. (Provides insurance solutions built for the era of climate change)	N/A			
3.2.48.111	Vemos, LLC (Contactless payment system for restaurants and bars)	N/A			
3.2.48.112	Visage Enterprise, Inc. d/b/a Gigwage (Payment platform for independent contractors)	N/A			
3.2.48.113	Waymark, Inc. (Video editing platform)	N/A			
3.2.48.114	We Quilt, Inc. d/b/a Quilt (Social wellness platform)	N/A			
3.2.48.115	WealthForge Holdings, Inc. (Alternative investment platform)	N/A			
3.2.48.116	WhyHotel, Inc. (Short-term rental platform)	N/A			
3.2.48.117	WireWheel, Inc. (Privacy technology company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.48.118	World View Enterprises, Inc. (Provides satellite imagery technology)	N/A			
3.2.48.119	World Waters Holdings, LLC d/b/a WTRMLN WTR (Cold pressed juice company)	N/A			
3.2.48.120	Xendoo, Inc. (Provides online bookkeeping services)	N/A			
3.2.48.121	ZenBusiness PBC (Provides business filing services)	N/A			
3.2.48.122	Zylo, Inc. (Provides software as a service technology)	N/A			
3.2.49	Sankaty Credit Opportunities	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.2.50	Sankaty Credit Opportunities II	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
3.2.51	Shenkman Energy Opportunity Select LLC	See Endnote	No	\$50,001 - \$100,000	None (or less than \$201)
3.2.52	Solamere Capital Fund III	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2.53	Solamere Portfolio Company Investments II LLC	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.54	TCV V LP	Yes	\$100,001 - \$250,000		\$100,001 - \$1,000,000
3.2.55	TCV VII LP	Yes	\$500,001 - \$1,000,000		\$1,000,001 - \$5,000,000
3.2.56	TCV VIII LP	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.2.57	TCV X	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.58	Thoma Bravo XII	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$100,001 - \$1,000,000
3.2.58.1	ABC Fitness Solutions, LLC (fka ABC Financial Services, LLC) (U.S. based software company)	N/A			
3.2.58.2	Apttus Corporation (dba Conga) (U.S. based software application company)	N/A			
3.2.58.3	Barracuda Parent, LLC (Barracuda Networks, Inc.) (U.S. based software company)	N/A			
3.2.58.4	Frontline Technologies Parent, LLC (Frontline Technologies Group LLC) (U.S. based software application company)	N/A			
3.2.58.5	Imprivata Parent, Inc. (Imprivata, Inc.) (U.S. based software application company)	N/A			
3.2.58.6	LogRhythm Parent, LP (LogRhythm, Inc.) (U.S. based software company)	N/A			
3.2.58.7	McAfee Corp. (Nasdaq: MCFE) and subsidiary Foundation Technology Worldwide LLC (U.S. based software application company)	N/A			
3.2.58.8	N-able, Inc. (Canadian based software management platform)	N/A			
3.2.58.9	Plaza Holdings, Inc. (Planview, Inc.) (U.S. based software company)	N/A			
3.2.58.10	Qlik Parent, Inc. (Qlik Technologies Inc.) (U.S. based software application company)	N/A			
3.2.58.11	Q&A Ultimate Parent, L.P. (Quorum Software) (U.S. based software company)	N/A			
3.2.58.12	RealPage Parent, LP (RealPage, Inc.) (U.S. based software application company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.58.13	SolarWinds Corporation (NYSE: SWI) (United Kingdom based software company)	N/A			
3.2.58.14	Sophos Ultimate Parent, L.P. (Sophos Group Limited) (United Kingdom based software company)	N/A			
3.2.58.15	Project Auto Ultimate Parent B.V. (Trader Corporation) (U.S. based software company)	N/A			
3.2.59	Thoma Bravo XIII	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	Over \$5,000,000
3.2.59.1	AxiomSL Parent, LP (AxiomSL Group, Inc.) (U.S. based software application company)	N/A			
3.2.59.2	ConnectWise Parent, LP (ConnectWise, LLC) (U.S. based software company)	N/A			
3.2.59.3	Ellie Mae, Inc. (U.S. based software company)	N/A			
3.2.59.4	Imperva Parent, LP (Imperva, Inc.) (U.S. based software company)	N/A			
3.2.59.5	Instructure Parent, L.P. (Instructure, Inc.) (U.S. based software application company)	N/A			
3.2.59.6	Boost Parent, LP (J.D. Power) (U.S. based software application company)	N/A			
3.2.59.7	Proofpoint, Inc. (U.S. based enterprise security company)	N/A			
3.2.59.8	RealPage Parent, LP (RealPage, Inc.) (U.S. based software application company)	N/A			
3.2.59.9	Sophos Ultimate Parent, L.P. (Sophos Group Limited) (United Kingdom based software company)	N/A			
3.2.59.10	Venafi Parent, LP (Venafi, Inc.) (U.S. based software company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.59.11	Veracode Parent, LP (Veracode, Inc.) (U.S. based software company)	N/A			
3.2.60	TINICUM	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
3.2.61	Towerbrook Investors II	See Endnote	No	Capital Gains Interest	\$15,001 - \$50,000
3.2.62	Vintage III LP	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.2.63	VINTAGE REAL ESTATE PARTNERS II LP	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.2.64	Vintage V GMBH & CO KG	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
3.2.65	Vintage VI LP	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2.66	Vintage VII LP	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2.67	Vintage VIII LP	Yes	\$5,000,001 - \$25,000,000		\$50,001 - \$100,000
3.2.68	Whitehall Street Global Real Estate LP 2001	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2.69	Whitehall Street Real Estate LP 2005	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.3	Brokerage Account #6 (RT)	No			
3.3.1	S&P 500 Index (SPX), closed position for written put option @ 2840 EXP 01/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.3.2	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 01/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.3.3	S&P 500 Index (SPX), closed position for written put option @ 2870 EXP 02/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3.4	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.3.5	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 02/28/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.4	Brokerage Account #7 (RT)	No			
3.4.1	Paypal (PYPL), closed position for written call option @ 114 EXP 01/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.4.2	Paypal (PYPL), closed position for written call option @ 114 EXP 01/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.4.3	Paypal (PYPL), closed position for written call option @ 121 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.4.4	Paypal (PYPL), closed position for written call option @ 125 EXP 03/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.4.5	Paypal (PYPL), closed position for written call option @ 125 EXP 03/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.4.6	Paypal (PYPL), closed position for written call option @ 130 EXP 03/06/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
3.4.7	Paypal (PYPL), closed position for written call option @ 120 EXP 01/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
3.4.8	Paypal (PYPL), closed position for written call option @ 133 EXP 04/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
3.4.9	Paypal (PYPL), closed position for written call option @ 140 EXP 05/15/2020	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
3.4.10	Paypal (PYPL), closed position for written call option @ 130 EXP 04/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.4.11	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	None (or less than \$1,001)	Capital Gains	Over \$5,000,000
3.5	Brokerage Account #8 (RT)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5.1	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
3.6	Brokerage Account #9 (RT)	No			
3.6.1	US bank #1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3.7	Brokerage Account #10 (RT)	No			
3.7.1	US bank #1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.8	Brokerage Account #11 (RT)	No			
3.8.1	BERKSHIRE HATHAWAY INC CL-A (DEL) CLASS A (BRKA)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.8.2	BERKSHIRE HATHAWAY INC. CLASS B (BRKB)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.8.3	CARA THERAPEUTICS, INC. CMN (CARA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.8.4	HEWLETT PACKARD ENTERPRISE CO CMN (HPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8.5	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	\$25,000,001 - \$50,000,000		None (or less than \$201)
3.8.6	PROCTER & GAMBLE COMPANY (THE) CMN (PG)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
3.8.7	SNAP INC. CMN CLASS A (SNAP)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.8.8	DOCUSIGN, INC. CMN (DOCU)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
3.8.9	FACEBOOK, INC. CMN CLASS A (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.8.10	JD.COM, INC. SPONSORED ADR CMN (JD)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.8.11	SQUARE, INC. CMN CLASS A (SQ)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
3.8.12	SVMK INC. CMN	See Endnote	N/A	Capital Gains	\$100,001 - \$1,000,000
3.8.13	UBER TECHNOLOGIES, INC. CMN (UBER)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.8.14	SPOTIFY TECHNOLOGY S.A. CMN (SPOT)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.8.15	ELECTRONIC ARTS CMN (EA)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
3.9	Receivable due from Palmyra Peak LP	N/A	\$5,000,001 - \$25,000,000	Interest	\$50,001 - \$100,000
3.10	Receivable due from Sweetwater Partners LP	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
3.11	Audax Private Equity Fund VI-A	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.12	Audax Pvt Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	Audax Pvt Equity II	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	Brookfield Opportunity Zone Partners REIT LLC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.15	Crestview Partners IV	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.16	Immortals LLC	See Endnote	No		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.16.1	Legacy Esports & Entertainment, LLC (Esports gaming team competing in the League of Legends)	N/A			
3.16.2	Los Angeles Esports, LLC (Esports gaming team competing in the Overwatch game)	N/A			
3.16.3	Brazilian Esports, LLC (Esports gaming team)	N/A			
3.16.4	Gamers Club Holdings, LLC (Owns a game matchmaking platform and community hub)	N/A			
3.17	Perry Partners	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.18	Makena Capital Associates LP	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
3.19	Belshire Capital Fund LLC	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.20	Belrose Capital Fund LLC	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
3.21	Dropbox (DBX)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.22	Sweetwater Aviation LLC	No			
3.22.1	Bombardier Challenger 350 airplane	See Endnote	N/A	\$5,000,001 - \$25,000,000	None (or less than \$201)
3.23	Advance To Go LLC	No			
3.23.1	Industrial real estate development in Linden NJ	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.24	Day at the Beach LLC	No			
3.24.1	Mixed use real estate development in Columbia, SC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.24.2	Mixed use real estate development in Charleston, SC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.25	Concrete Brick & Steel LLC	See Endnote	No	\$250,001 - \$500,000	None (or less than \$201)
3.26	Wonder LP Series II	See Endnote	No	\$1,000,001 - \$5,000,000	None (or less than \$201)
3.27	Tryline Fund I LLC	No			
3.27.1	US bank #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.27.2	Commercial real estate investment in New Brunswick, NJ	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.28	Winged Lion LLC	See Endnote	No		
3.28.1	US bank #1 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
3.28.2	FC Cincinnati Holdings, LLC (MLS Soccer Team)	N/A	Over \$50,000,000		None (or less than \$201)
3.29	Alta Lakes LLC	No			
3.29.1	Investment in vacant land in Telluride, CO	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.30	Alta Townsite LLC	No			
3.30.1	Investment in vacant land in Telluride, CO	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.31	Residential real estate in Los Angeles, CA	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.32	HRC Castle Hills, LLC	No			
3.32.1	Investment real estate (apartment building) in Dallas, TX	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.33	HRC One MCS, LLC	No			
3.33.1	Investment real estate (office building) in Nashville, TN	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.34	HRC Lake Highlands, LLC	No			
3.34.1	Investment real estate (apartment building) in Dallas, TX	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.35	Oxford Sonterra Partners	See Endnote			
3.35.1	Investment real estate (apartment building) in San Antonio, TX	N/A	None (or less than \$1,001)	Rent or Royalties	\$2,501 - \$5,000
3.36	Fall River Springs LLC	No			
3.36.1	US bank #3 (cash)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.36.2	Commercial real estate in Fall River Mills, CA	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
3.37	RR Montrose LLC	No			
3.37.1	US bank #5 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.38	Commercial real estate in Montrose, CO	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$15,001 - \$50,000
4	FIGS, INC. (FIGS)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
5	Pressed Roots, Inc (Luxury express salons for women with highly textured hair.) Convertible Promissory Note	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Brokerage Account #15	No			
6.1	US bank #1 (cash)	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Brokerage Account #16	No			
7.1	US brokerage #6 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Receivable due from Family Irrevocable Trust #1	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
9	Receivable due from Family Irrevocable Trust #2	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
10	Receivable due from Family Irrevocable Trust #6	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
11	Receivable due from Family Irrevocable Trust #5	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
12	Family Irrevocable Trust #3	See Endnote	No		
12.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
12.2	Whitford Limited Partnership	See Endnote	No		
12.2.1	Brokerage Account #12 (WLP)	No			
12.2.1.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
12.2.1.2	ABAG FIN AUTH FOR NONPROFIT REV 5.0000% 08/01/27 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1.3	SAN FRANCISCO CALIF REV 5.0000% 11/01/32 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1.4	STATE OF CALIFORNIA GO 5.0000% 02/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1.5	CALIF ST PUB WKS BRD LEASE REV 5% 12/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1.6	CALIFORNIA ST UNIV REV REV 5.0000% Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 7	SAN FRANCISCO CA CITY & CNTY REV 5.0000% 11/01/25 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 8	ALAMEDA CNTY CALIF JT PWRS AUT REV 4.0000% 12/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 9	CA INF & ECO DV BK PR 11/16/11 REV 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 10	CALIFORNIA ST GO 5% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 11	UNIV CALIF REGTS MED CTR REV 5% 05/15/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 12	MUNI IMPROVEMENT CORP OF LA REV 4.0000% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 13	SAN LEANDRO CALIF PUB FING REV 5% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 14	SAN FRANCISCO CALIF CITY & REV 5.0000% 11/01/28-CA Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 15	SAN LEANDRO CALIF PUB FING REV 5% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 16	ANAHEIM HSING & PUBL IMPR AUTH REV 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 17	LOS ANGELES CALIF MUN IMPT REV 5% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 18	SD CNTY CA TRANS COMM REV 5.0000% 04/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 19	LOS ANGELES CALIF WASTEWATER REV 5% 06/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 20	LARKSPUR CALIF PUB FING AUTH REV 5% 06/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 21	SONOMA-MARIN AREA RAIL TRAN REV 5.0000% 03/01/24 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 22	PEACH CNTY GA SCH DIST DB 5% 03/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 23	LOS ANGELES CALIF DEPT ARPTS REV 5% 05/15/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 24	EAST BAY CALIF MUN UTIL DIST REV 5% 06/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 25	ORANGE CNTY CALIF SANTN REV 5% 02/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 26	BAY AREA TOLL AUTHORITY REV 4.0000% 04/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 27	RIVERSIDE CNTY CALIF REV 2% 06/30/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 28	CALIFORNIA ST PUB WKS BRD REV 5.0000% 10/01/21-CA Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 29	SAN JOSE CALIF ARPT REV REV 5.0000% 03/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 30	CALIF ST DEPT WTR RES CENT VY REV 4.0000% 12/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 31	UNIVERSITY CALIF REVS REV 4% 05/15/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 32	CA ST DEPT WTR RES CENT VY PRJ REV 5.0000% 12/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 33	CUCAMONGA VY CALIF WTR DIST REV 5.2500% 09/01/31 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 34	FOLSOM CORDOVA CALIF UNI SCH GO 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 35	OAKLAND CALIF GO 5.0000% 01/15/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 36	SAN FRANCISCO CA CITY & CNTY PUB UTILS COMMN WTR 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 37	SAN LEANDRO CALIF PUB FING REV 5% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 38	MOULTON NIGUEL CALIF WTR DIST REV 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12.2.1. 39	CALIFORNIA (STATE OF) GO 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
12.2.1. 40	COSTA MESA CALIFORNIA PUBLIC REV 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
12.2.1. 41	CALIF ST PUB WKS BRD LEASE REV 5.0000% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
12.2.1. 42	LOS ANGELES CALIF GO 5% 09/01/26 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
12.2.1. 43	SAN FRANCISCO CALIF CITY & REV 5.0000% 11/01/28 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
12.2.1. 44	SAN FRANCISCO CALIF MUN TRANSN REV 5% 03/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
12.2.1. 45	CALIFORNIA ST FOR PREVIOUS GO 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$2,501 - \$5,000
12.2.1. 46	LOS ANGELES CALIF GO 5% 09/01/26 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 47	ANAHEIM CALIF UN HIGH SCH DIST GO 5.0000% 08/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 48	GLENDALE CALIF UNI SCH DIST GO 5% 09/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 49	CALF INFRASTRUCTURE & ECONOMIC REV 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$2,501 - \$5,000
12.2.1. 50	EAST BAY CALIF MUN UTIL DIST REV 5% 06/01/28 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 51	MOUNT KISCO N Y GO 2% 10/06/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 52	MAHONING CNTY OHIO GO 1% 09/13/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 53	STATE OF CALIFORNIA GO 5.0000% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 54	PIEDMONT CALIF UNI SCH DIST GO 5% 08/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 55	CALIF ST DEPT WTR RES CENT VY REV 5.0000% 12/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 56	EAST SIDE UN HIGH SCH DIST CA GO 5.0000% 08/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 57	REGENTS OF THE UNIV OF CALI REV 5.0000% 05/15/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
12.2.1. 58	STATE OF CALIFORNIA GO 5.0000% 09/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 59	WAPPINGERS CENT SCH DIST N Y GO 2% 08/12/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 60	SAN DIEGO CALIF CMNTY COLLEGE GO 5% 08/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 61	TEXAS WATER DEV BRD REV 5% 10/15/27 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 62	AUBURN N Y GO 1.5000% 08/18/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 63	JOHNSON CITY N Y CENT SCH DIST GO 1.5000% 08/06/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 64	KENTUCKY RURAL WTR FIN CORP REV 0.4250% 12/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 65	PORT WASH N Y UN FREE SCH DIST GO 1.5000% 08/05/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 66	CALIF ST DEPT WTR RES CENT VY REV 5.0000% 12/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 67	FOOTHILL-DE ANZA CALIF CMNTY GO 4% 08/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 68	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 69	MASSACHUSETTS ST GO 5% 07/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 70	METROPOLITAN WTR DIST SOUTHN REV 5% 07/01/29 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 71	NEBRASKA PUB PWR DIST REV REV 5% 01/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 72	SACRAMENTO CALIF MUN UTIL DIST REV 5.0000% 07/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 73	SAN DIEGO CALIF PUB FACS FING REV 5% 05/15/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 74	HILLSBOROUGH CALIF SCH DIST GO 4% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 75	SANTA MONICA-MALIBU UNI SCH GO 0.5050% 07/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 76	EAST SIDE UN HIGH SCH DIST GO 5% 08/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 77	SAN FRANCISCO CALIF CITY & GO 4% 06/15/26 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 78	STATE OF CALIFORNIA GO 5.0000% 08/01/26 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 79	STATE OF CALIFORNIA GO 5% 11/01/30 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 80	CALIFORNIA ST DEPT WTR RES REV 5% 12/01/29 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 81	STATE OF CALIFORNIA GO 5% 11/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 82	IRVINE CALIFORNIA UNI SCHOOL GO 5.0000% 09/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 83	STATE CA GEN OB BNDS VAR GO 5.0000% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 84	LOS ANGELES CALIF DEPT ARPTS REV 5% 05/15/28 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1. 85	CALIFORNIA ST UNIV REV REV 5.0000% 11/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 86	STATE OF CALIFORNIA GO 5.0000% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 87	METROPOLITAN WATER DISTRICT REV 5.0000% 09/01/28 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1. 88	PARSIPPANY-TROY HILLS TWP N J GO 2% 11/11/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
12.2.1. 89	SAN JUAN CALIF UNI SCH DIST GO 4% 08/01/27- Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1. 90	STATE OF CALIFORNIA GO 4% 11/01/27 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1.91	CHABOT-LAS POSITAS CALIF CMNTY GO 1.2870% 08/01/27 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1.92	SAN ANTONIO TEX ELEC & GAS REV REV 5% 02/01/26 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1.93	KING CNTY WASH SWR REV REV 5% 07/01/24 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1.94	SAN JOSE CALIF UNI SCH DIST GO 5% 08/01/22 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1.95	DENVER COLO CITY & CNTY GO 5% 08/01/28 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.96	CALIFORNIA ST GO 3.0000% 04/01/24 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.2.1.97	UNIVERSITY ALA GEN REV REV 5% 07/01/27 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.98	CALIFORNIA ST GO 5% 11/01/31 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.99	SAN DIEGO CALIF UNI SCH DIST GO 5.2500% 07/01/28 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.100	SOUTHN CALIF PUB PWR AUTH REV 5% 07/01/27 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.101	CALIFORNIA ST UNIV REV REV 5.0000% 11/01/26- Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.102	CONTRA COSTA CALIF CMNTY GO 2.2630% 08/01/29 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.103	SAN JOSE CALIF GO 09/01/29- Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1.104	WISCONSIN ST GO 5% 05/01/25 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 105	LONG BEACH CALIF CMNTY COLLEGE GO 5% 08/01/23 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
12.2.1. 106	CALIF ST PUBLIC WORKS BRD REV 5.0000% 04/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 107	SAN DIEGO CALIF UNI SCH DIST GO 5.5000% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 108	WASHINGTON D C MET AREA TRAN REV 5% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 109	PENNSYLVANIA ST GO 5% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 110	FOOTHILL-DE ANZA CALIF CMNTY GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 111	LOS ANGELES CALIF DEPT WTR & REV 5% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 112	TOMS RIVER N J BRD ED GO 4.0000% 07/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 113	WEST VALLEY-MISSION COMMUNITY GO 5.0000% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 114	DUBLIN CALIF UNI SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 115	LA COMMUNITY COLLEGE DISTRICT GO 5.0000% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 116	RO HND CLF CMNTY CLLEGE DIST GO 5% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 117	SANTA CLARITA CALIF CMNTY GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 118	NORTH ORANGE CNTY CALIF CMNTY GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 119	LOS ANGELES CALIF UNI SCH DIST GO 5.0000% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 120	MANTECA CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 121	OHLONE CALIF CMNTY COLLEGE GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 122	CERRITOS CALIF CMNTY COLLEGE GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 123	AZUSA CALIF UNI SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 124	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 125	SAN DIEGO UNIFIED SCH DIST GO 4.0000% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12.2.1. 126	SADDLEBACK VY UNI SCH DIST GO 5.0000% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12.2.1. 127	STATE OF CALIFORNIA GO 5.0000% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12.2.1. 128	LOS ANGELES CALIF UNI SCH DIST GO 4% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
12.2.1. 129	PHILADELPHIA PA SCH DIST REV 4% 06/30/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 130	SANTA CRUZ CNTY CALIF REV 3% 07/09/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 131	CALIFORNIA SCH CASH RESERVE REV 2% 06/30/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 132	SOUTHN CALIF PUB PWR AUTH REV 5% 07/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 133	NEW JERSEY HEALTH CARE FACS GO 5.0000% 07/01/26 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 134	SAN FRANCISCO CALIF BAY AREA RAPID TRAN DIST ELECTION OF 2016 GO BDS 2019 B-1 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 135	SAN DIEGO CALIF CMNTY COLLEGE GO 5% 08/01/24 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 136	CALIF STATEWIDE CMNTYS DEV AT REV 5.2500% 11/01/30 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 137	CALIFORNIA ST FOR PREVIOUS GO 5.0000% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 138	CALIFORNIA ST UNIV REV REV 5.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 139	CARLSBAD CALIF UNI SCH DIST GO 4% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 140	CERES CALIF UNI SCH DIST GO 0% 08/01/29 Municipal Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
12.2.1. 141	CHABOT-LAS POSITAS CALIF CMNTY GO 4% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 142	CHICAGO ILL O HARE INTL ARPT REV 5.0000% 01/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
12.2.1. 143	EAST BAY CALIF MUN UTIL DIST REV 5% 06/01/30 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 144	EL MONTE CALIF UN HIGH SCH GO 5% 06/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 145	HARLINGEN TEX GO 2% 02/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 146	HARRISON TWP N J GO 4.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 147	KENTUCKY STATE TURNPIKE AUTH REV 5.0000% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 148	LONG BEACH CALIF HBR REV REV 5% 12/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 149	LOS ANGELES CALIF CMNTY GO 1.6500% 03/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 150	LOS ANGELES CALIF CMNTY GO 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 151	LOS ANGELES CALIF REV 5% 06/25/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 152	LOS ANGELES CALIF UNI SCH DIST GO 4% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 153	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 154	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 155	METROPOLITAN TRANSN AUTH N Y REV 4% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 156	MOUNT SAN ANTONIO CALIF CMNTY GO 5% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 157	MUNI IMPROVEMENT CORP OF LA REV 5.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 158	NASSAU CNTY N Y GO 2% 09/14/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 159	NEW YORK ST HSG FIN AGY REV REV 1.6000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 160	OCEANSIDE CALIF UNI SCH DIST GO 0% 08/01/49 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 161	RNR SCH FING AUTH CALIF SPL REV 4% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 162	ROCHESTER MICH CMNTY SCH DIST GO 4.5000% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 163	SACRAMENTO CALIF (COUNTY OF) REV 5.2500% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 164	SAN DIEGO CALIF CMNTY COLLEGE GO 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 165	SAN FRANCISCO CALIF (CITY & REV 5.2500% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 166	STATE OF CALIFORNIA GO 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 167	STATE OF CALIFORNIA, CALI GO 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 168	STATE OF CALIFORNIA, CALI GO 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 169	TEXAS ST REV 4.0000% 08/26/21 Municipal Bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
12.2.1. 170	U.S. TREASURY BILL DUE 02/06/2020	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 171	U.S. TREASURY NOTE 1.250000% 01/31/2020	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
12.2.1. 172	WASHINGTON ST GO 5.0000% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 173	ANAHEIM CALIF PUB FING AUTH REV 5.0000% 10/01/26- Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 174	CALIF CMNTY REV 4% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.1. 175	CALIFORNIA ED NTS PROGRAM NT REV 4% 01/29/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 176	CALIFORNIA ED NTS PROGRAM NT REV 4% 05/28/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 177	CONNECTICUT ST GO 3% 01/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.1. 178	EAST BAY CALIF MUN UTIL DIST REV 5% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 179	MARYSVILLE CALIF REV REV 5.1250% 01/01/33 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 180	NASSAU CNTY N Y GO 4% 03/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 181	PROSPER TEX INDPT SCH DIST GO 5% 02/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 182	SAN DIEGO CNTY CALIF REGL REV 4% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 183	SAN FRANCISCO CALIF CITY & CNTY REV 5.2500% 05/01/26 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 184	SAN FRANCISCO CALIF GO 2% 06/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 185	SANTA CLARA CNTY CALIF FING REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 186	SONOMA-MARIN AREA RAIL TRAN REV 5.0000% 03/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
12.2.1. 187	ST LOUIS MO GEN FD REV REV 2% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
12.2.2	Brokerage Account #13 (WLP)	No			
12.2.2. 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 2	S&P 500 Index (SPX), open position for written put option @ 3820 EXP 09/30/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 3	S&P 500 Index (SPX), open position for written put option @ 3850 EXP 08/20/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 4	S&P 500 Index (SPX), open position for written put option @ 3890 EXP 09/17/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 5	S&P 500 Index (SPX), open position for written put option @ 3920 EXP 08/20/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 6	S&P 500 Index (SPX), open position for written put option @ 3960 EXP 10/15/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 7	S&P 500 Index (SPX), open position for written put option @ 4050 EXP 11/19/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 8	S&P 500 Index (SPX), open position for written put option @ 4000 EXP 08/27/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 9	S&P 500 Index (SPX), open position for written put option @ 4190 EXP 09/03/2021 - value not readily ascertainable	N/A			None (or less than \$201)
12.2.2. 10	S&P 500 Index (SPX), closed position for written put option @ 3000 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 11	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 01/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 12	S&P 500 Index (SPX), closed position for written put option @ 3290 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 13	S&P 500 Index (SPX), closed position for written put option @ 3660 EXP 03/05/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 14	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 15	S&P 500 Index (SPX), closed position for written put option @ 2870 EXP 02/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 16	S&P 500 Index (SPX), closed position for written put option @ 2570 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 17	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 18	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 19	S&P 500 Index (SPX), closed position for written put option @ 2480 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 20	S&P 500 Index (SPX), closed position for written put option @ 3240 EXP 02/26/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 21	S&P 500 Index (SPX), closed position for written put option @ 2650 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 22	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 23	S&P 500 Index (SPX), closed position for written put option @ 2800 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 24	S&P 500 Index (SPX), closed position for written put option @ 2540 EXP 05/15/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 25	S&P 500 Index (SPX), closed position for written put option @ 4000 EXP 07/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 26	S&P 500 Index (SPX), closed position for written put option @ 4050 EXP 08/06/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 27	S&P 500 Index (SPX), closed position for written put option @ 3380 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 28	S&P 500 Index (SPX), closed position for written put option @ 3900 EXP 06/04/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
12.2.2. 29	S&P 500 Index (SPX), closed position for written put option @ 3990 EXP 06/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 30	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 31	S&P 500 Index (SPX), closed position for written put option @ 2890 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 32	S&P 500 Index (SPX), closed position for written put option @ 3610 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 33	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 34	S&P 500 Index (SPX), closed position for written put option @ 3750 EXP 06/18/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 35	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 36	S&P 500 Index (SPX), closed position for written put option @ 2980 EXP 09/04/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 37	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 38	S&P 500 Index (SPX), closed position for written put option @ 2880 EXP 08/14/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 39	S&P 500 Index (SPX), closed position for written put option @ 2990 EXP 12/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 40	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 05/29/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 41	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 12/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 42	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 09/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 43	S&P 500 Index (SPX), closed position for written put option @ 3280 EXP 03/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 44	S&P 500 Index (SPX), closed position for written put option @ 3280 EXP 03/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 45	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 46	S&P 500 Index (SPX), closed position for written put option @ 3290 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 47	S&P 500 Index (SPX), closed position for written put option @ 3270 EXP 10/09/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 48	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 02/28/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 49	S&P 500 Index (SPX), closed position for written put option @ 3650 EXP 05/07/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 50	S&P 500 Index (SPX), closed position for written put option @ 2570 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 51	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 03/05/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 52	S&P 500 Index (SPX), closed position for written put option @ 2820 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 53	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 54	S&P 500 Index (SPX), closed position for written put option @ 2990 EXP 10/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 55	S&P 500 Index (SPX), closed position for written put option @ 2350 EXP 08/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 56	S&P 500 Index (SPX), closed position for written put option @ 2520 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 57	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 58	S&P 500 Index (SPX), closed position for written put option @ 3240 EXP 02/26/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 59	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 60	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 10/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 61	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 62	S&P 500 Index (SPX), closed position for written put option @ 3630 EXP 04/09/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 63	S&P 500 Index (SPX), closed position for written put option @ 3550 EXP 06/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 64	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 65	S&P 500 Index (SPX), closed position for written put option @ 2480 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 66	S&P 500 Index (SPX), closed position for written put option @ 2930 EXP 12/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 67	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 68	S&P 500 Index (SPX), closed position for written put option @ 2250 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 69	S&P 500 Index (SPX), closed position for written put option @ 3380 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 70	S&P 500 Index (SPX), closed position for written put option @ 3780 EXP 07/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 71	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 72	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.2.2. 73	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 74	S&P 500 Index (SPX), closed position for written put option @ 3420 EXP 04/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 75	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 76	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 77	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 78	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 79	S&P 500 Index (SPX), closed position for written put option @ 2470 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 80	S&P 500 Index (SPX), closed position for written put option @ 3950 EXP 07/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 81	S&P 500 Index (SPX), closed position for written put option @ 2750 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 82	S&P 500 Index (SPX), closed position for written put option @ 2800 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 83	S&P 500 Index (SPX), closed position for written put option @ 3030 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 84	S&P 500 Index (SPX), closed position for written put option @ 3530 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 85	S&P 500 Index (SPX), closed position for written put option @ 3680 EXP 06/18/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 86	S&P 500 Index (SPX), closed position for written put option @ 3490 EXP 04/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 87	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 88	S&P 500 Index (SPX), closed position for written put option @ 2960 EXP 11/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 89	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 90	S&P 500 Index (SPX), closed position for written put option @ 2890 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 91	S&P 500 Index (SPX), closed position for written put option @ 3000 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 92	S&P 500 Index (SPX), closed position for written put option @ 2690 EXP 09/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 93	S&P 500 Index (SPX), closed position for written put option @ 3570 EXP 04/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 94	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 11/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 95	S&P 500 Index (SPX), closed position for written put option @ 1850 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 96	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 97	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.2. 98	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 99	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 08/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 100	S&P 500 Index (SPX), closed position for written put option @ 2490 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 101	S&P 500 Index (SPX), closed position for written put option @ 3950 EXP 07/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
12.2.2. 102	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.2.3	Brokerage Account #14 (WLP)	No			
12.2.3. 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$5,000,001 - \$25,000,000		\$201 - \$1,000
12.2.3. 2	WELLS FARGO FINANCE LLC LNKD TO MSCI EMERGING MKT DUE 09/20/2021 EQUITY INDEX LINKED NOTE	N/A	\$250,001 - \$500,000		None (or less than \$201)
12.2.3. 3	THE BANK OF NOVA SCOTIA LINKED TO MSCI EAFE DUE 01/23/2023 EQUITY INDEX LINKED NOTE	N/A	\$250,001 - \$500,000		None (or less than \$201)
12.2.3. 4	BNP PARIBAS LINKED TO S&P 500 INDEX DUE 06/17/2022 EQUITY INDEX LINKED NOTE	N/A	\$100,001 - \$250,000		None (or less than \$201)
12.2.3. 5	JPMORGAN CHASE & CO. LINKED TO RUSSELL 2000 INDEX DUE 02/24/2022 EQUITY INDEX LINKED NOTE	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
12.2.3. 6	NATIONAL BANK OF CANADA-NEW YO LINKED TO S&P 500 INDEX DUE 02/24/2022 EQUITY INDEX LINKED NOTE	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
12.2.3. 7	GS FINANCE CORP. LINKED TO S&P 500 INDEX DUE 06/17/2020 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.3. 8	CANADIAN IMPERIAL BANK OF COMM LINKED TO MSCI EAFE DUE 01/21/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
12.2.3. 9	JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES (EURO STOXX 50 INDEX, TOPIX INDEX, FTSE 100 INDEX, SWISS MARKET INDEX AND S&P/ASX 200 INDEX) DUE 01/21/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
12.2.3. 10	GS FINANCE CORP. LINKED TO RUSSELL 2000 INDEX DUE 01/21/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
12.2.3. 11	MORGAN STANLEY FINANCE LLC LINKED TO S&P 500 INDEX 01/21/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
12.2.3. 12	SAILPOINT TECHNOLOGIES HOLDING CMN (SAIL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2.3. 13	RELAY THERAPEUTICS INC CMN (RLAY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.2.3. 14	VANGUARD INDEX FUNDS - VANGUAR VANGUARD SMALL-CAP ETF	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
12.2.3. 15	ISHARES MSCI EAFE ETF	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
12.2.3. 16	ISHARES RUSSELL 1000 GROWTH ETF	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
12.2.3. 17	ISHARES RUSSELL 1000 VALUE ETF	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
12.2.3. 18	INVESCO QQQ TRUST ETF, SERIES ETF	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
12.2.3. 19	VIVINT SMART HOME INC CMN (VVNT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.3.20	UNITY SOFTWARE INC. CMN (U)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
12.2.3.21	NUVEEN FLOATING RATE INCOME FUND (NFRIX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
12.2.4	Brokerage Account #15 (WLP)	No			
12.2.4.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
12.2.4.2	OLEMA PHARMACEUTICALS INC CMN (OLMA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2.4.3	RANGE RESOURCES CORPORATION CMN (RRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2.4.4	ALX ONCOLOGY HOLDINGS INC. CMN (ALXO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.2.4.5	TALEND ADR CMN (TLND)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.2.4.6	NURIX THERAPEUTICS, INC. CMN (NRIX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.2.4.7	COINBASE GLOBAL, INC. CMN CLASS A (COIN)	N/A	\$100,001 - \$250,000	Capital Gains	\$1,000,001 - \$5,000,000
12.2.4.8	KEROS THERAPEUTICS INC CMN (KROS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.2.4.9	ASANA INC CMN (ASAN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.2.4.10	UBER TECHNOLOGIES, INC. CMN (UBER)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
12.2.5	Receivable due from Family Revocable Trust #1	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
12.2.6	SEG Partners II LLC	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.7	Samlyn Onshore FD		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
12.2.8	Viking Global Equities		Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
12.2.9	HFS: Exoduspoint LLC	See Endnote	No	\$500,001 - \$1,000,000	Distributive share of income	\$76,905
12.2.10	Axon Partners		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.2.11	Thematic Select: PFM Therapeutics LLC Class A	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
12.2.12	AIMS Private Credit Opportunities/Private Credit Managers LP		Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
12.2.13	Apollo Investment Fund IX		Yes	\$100,001 - \$250,000		None (or less than \$201)
12.2.14	Apollo VIII		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
12.2.15	Arclight Energy Partners Fund VI	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
12.2.16	Audax Private Equity Fund VI-A		Yes	\$100,001 - \$250,000		None (or less than \$201)
12.2.17	Bain Capital Fund XI		Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
12.2.18	Bain Capital Fund XII		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
12.2.19	Bain Capital Europe Fund IV		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
12.2.20	Blackstone Real Estate Partners V		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
12.2.21	Blackstone Real Estate Partners VI		Yes	\$1,001 - \$15,000		\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.22	CADRE ACCESS LP		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
12.2.23	CA Resources Fund, L.P. – Series Bain X		No			
12.2.23 .1	Bain Capital Fund X		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
12.2.24	Carlyle Riverstone Global E&P III	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
12.2.25	Crestview Capital Partners III		Yes	\$250,001 - \$500,000		None (or less than \$201)
12.2.26	Crestview Partners IV		Yes	\$250,001 - \$500,000		None (or less than \$201)
12.2.27	DFJ Growth 2013 LP		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
12.2.28	EXPA Capital		Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
12.2.29	EXPA Capital II		Yes	\$250,001 - \$500,000		None (or less than \$201)
12.2.30	Farallon Special Situations Master Fund		Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
12.2.31	First Reserve Fund XI		No	\$1,001 - \$15,000		None (or less than \$201)
12.2.31 .1	9Ren Holdings S.a.r.l., (Luxembourg holding entity that held certain solar photovoltaic assets and at this point just holds cash and a potential tax liability)		N/A			
12.2.32	Foresite Capital Fund IV	See Endnote	No	\$500,001 - \$1,000,000	Capital Gains Interest	\$5,001 - \$15,000
12.2.33	Fortress Credit Opportunities IV		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.34	FREO Access LP/Progress Residential Access		Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
12.2.35	Global Atlantic Access Fund L.P.		Yes	\$500,001 - \$1,000,000		None (or less than \$201)
12.2.36	Greenfield Acquisition Partners V, L.P.	See Endnote	No	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
12.2.37	Greenfield Land Finance Partners		No	\$1,001 - \$15,000		None (or less than \$201)
12.2.37 .1	Loan to Greenfield Land Partners I, LP and Greenfield Land Parallel Partners, LP		N/A			
12.2.38	Greenfield Land Partners II		No	\$1,001 - \$15,000		None (or less than \$201)
12.2.38 .1	Interests in land located in Flagler, FL, Statesville, NC, Hendry/Lee Counties, FL and Orlando, FL.		N/A			
12.2.39	H.I.G. Middle Market LBO Fund II		Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
12.2.40	HRJ Growth Capital II (NQ) LP		No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
12.2.40 .1	Apollo Investment Fund VI, L.P.		Yes			
12.2.40 .2	Bain Capital Fund IX , L.P.		Yes			
12.2.40 .3	Bain Capital IX Co-Investment Fund, L.P.		Yes			
12.2.40 .4	Blackstone Capital Partners V, L.P.		Yes			
12.2.40 .5	First Reserve Fund XI, L.P.	See Endnote	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.40 .6	Francisco Partners II, L.P.	Yes			
12.2.40 .7	HIG Capital Partners IV, L.P.	Yes			
12.2.40 .8	KKR Fund 2006, L.P.	Yes			
12.2.40 .9	Sun Capital Securities Fund, L.P.	Yes			
12.2.40 .10	TPG FOF V-QP, L.P.	Yes			
12.2.40 .11	Vestar Capital Partners V, L.P.	Yes			
12.2.40 .12	Weston Presidio V, L.P.	Yes			
12.2.40 .13	New Omaha Holdings Co-Invest, L.P.	No			
12.2.40 .13.1	Fiserv (ticker FISV)	N/A			
12.2.41	Lead Edge Capital IV	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
12.2.42	Makena Capital Associates LP	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
12.2.43	MOUNT KELLETT CAPITAL PARTNERS II ACCESS LP	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
12.2.44	MOUNT KELLETT CAPITAL PARTNERS ACCESS	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
12.2.45	Natural Gas Partners IX, LP	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.45 .1	Chrysaor Holdings Limited (Exploration and production of oil and natural gas (now Harbour Energy))	N/A			
12.2.45 .2	NGPMR, LP (Focuses on non-U.S. mining and minerals)	N/A			
12.2.45 .3	Mosaic Energy Ltd (Holds escrow position in non-U.S. acquisition, exploitation & development of energy resources)	N/A			
12.2.46	NCH Agribusiness Partners, LP	No	\$100,001 - \$250,000	Dividends Capital Gains Interest Rent or Royalties	\$5,001 - \$15,000
12.2.46 .1	Agroprosperis 2 Limited (Company that controls, trades and operates Ukrainian agricultural products)	N/A			
12.2.46 .2	Agroterra Group (Company that controls, trades and operates Russian agricultural products)	N/A			
12.2.46 .3	Agri Terenuri SA (Romanian-based real estate company)	N/A			
12.2.46 .4	Rural Agri Dolj (Romanian-based real estate company)	N/A			
12.2.46 .5	Lamerc Grain SIA (Company that controls, trades and operates Kazakh agricultural products)	N/A			
12.2.46 .6	Lamerc Agro TOO (Company that controls, trades and operates Kazakh agricultural products)	N/A			
12.2.46 .7	Lamerc Land TOO (Company that controls, trades and operates Kazakh agricultural products)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.46 .8	Prirechny LA (Company that controls, trades and operates Kazakh agricultural products)	N/A			
12.2.46 .9	Sia Gaizeni (Latvian-based company that breeds and trades pigs)	N/A			
12.2.46 .10	Urnekskoe LA (Company that controls, trades and operates Kazakh agricultural products)	N/A			
12.2.46 .11	Consoliterra SRL (Moldovan-based real estate company)	N/A			
12.2.46 .12	ICS Parsec-Pro SRL (Moldovan-based real estate company)	N/A			
12.2.46 .13	BG Agroproekt EOOD (Bulgarian-based real estate company)	N/A			
12.2.46 .14	Balkan Agroinvest EOOD (Bulgarian-based real estate company)	N/A			
12.2.46 .15	Bulgar Agro EOOD (Bulgarian-based real estate company)	N/A			
12.2.46 .16	Dunav Agroprogress EOOD (Bulgarian-based real estate company)	N/A			
12.2.47	NEW MOUNTAIN PARTNERS IV ACCESS LP	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
12.2.48	NEW MOUNTAIN PARTNERS V ACCESS LLC	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
12.2.49	Northgate Venture Growth I, LP	No	\$50,001 - \$100,000		None (or less than \$201)
12.2.49 .1	Agrivida (Agricultural biotech company)	N/A			
12.2.49 .2	Panasas (Data storage solutions company)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.2.49 .3	Zazzle (Online marketplace for customizable merchandise)		N/A			
12.2.50	OZRE III ACCESS LP		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
12.2.51	PCCP Equity VI		Yes	\$50,001 - \$100,000		None (or less than \$201)
12.2.52	Perry Partners		Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.2.53	Rialto Real Estate Fund II		Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
12.2.54	Shenkman Energy Opportunity Select LLC	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
12.2.55	Solamere Capital Fund II-A		Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
12.2.56	Thoma Bravo XII	See Endnote	No	\$500,001 - \$1,000,000	Dividends Capital Gains	\$50,001 - \$100,000
12.2.57	Towerbrook Investors II	See Endnote	No	\$15,001 - \$50,000	Capital Gains Interest	\$5,001 - \$15,000
12.2.58	TPG V		Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
12.2.59	US REAL PROPERTY INCOME FUND		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.2.60	Vintage Real Estate II		Yes	\$50,001 - \$100,000		None (or less than \$201)
12.2.61	VINTAGE VI LP		Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
12.2.62	VINTAGE VII LP		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
12.2.63	Vintage VIII LP		Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Family Irrevocable Trust #4	See Endnote	No			
13.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
13.2	Whitford Limited Partnership.	See Endnote	No	\$25,000,001 - \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	\$1,000,001 - \$5,000,000
14	Exchange Place (2001) LP		No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$100,001 - \$1,000,000
14.1	ACTIVISION BLIZZARD, INC.		N/A			
14.2	ALPHABET INC. CLASS A		N/A			
14.3	ALPHABET INC. CLASS C		N/A			
14.4	AMC NETWORKS INC. CLASS A		N/A			
14.5	AT&T INC.		N/A			
14.6	CARS.COM, INC.		N/A			
14.7	CHARTER COMMUNICATIONS, INC. CLASS A		N/A			
14.8	CINCINNATI BELL INC.		N/A			
14.9	COMCAST CORPORATION CLASS A		N/A			
14.10	DEUTSCHE TELEKOM AG SPONSORED ADR		N/A			
14.11	DISCOVERY, INC. CLASS A		N/A			
14.12	DISCOVERY, INC. CLASS C		N/A			
14.13	E. W. SCRIPPS COMPANY CLASS A		N/A			
14.14	ELECTRONIC ARTS INC.		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.15	FACEBOOK, INC. CLASS A	N/A			
14.16	FOX CORPORATION CLASS A	N/A			
14.17	GANNETT CO., INC.	N/A			
14.18	GLOBALSTAR, INC.	N/A			
14.19	HARTE-HANKS, INC.	N/A			
14.20	IAC/INTERACTIVECORP.	N/A			
14.21	INTERPUBLIC GROUP OF COMPANIES, INC.	N/A			
14.22	JOHN WILEY & SONS, INC. CLASS A	N/A			
14.23	LEE ENTERPRISES, INCORPORATED	N/A			
14.24	LIBERTY BROADBAND CORP. CLASS A	N/A			
14.25	LIBERTY BROADBAND CORP. CLASS C	N/A			
14.26	LIBERTY GLOBAL PLC CLASS A	N/A			
14.27	LIBERTY GLOBAL PLC CLASS C	N/A			
14.28	LIBERTY LATIN AMERICA LTD. CLASS A	N/A			
14.29	LIBERTY LATIN AMERICA LTD. CLASS C	N/A			
14.30	LIBERTY MEDIA CORP. SERIES A LIBERTY BRAVES	N/A			
14.31	LIBERTY MEDIA CORP. SERIES A LIBERTY FORMULA ONE	N/A			
14.32	LIBERTY MEDIA CORP. SERIES A LIBERTY SIRIUSXM	N/A			
14.33	LIBERTY MEDIA CORP. SERIES C LIBERTY BRAVES	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.34	LIBERTY MEDIA CORP. SERIES C LIBERTY SIRIUSXM	N/A			
14.35	LIBERTY TRIPADVISOR HOLDINGS INC CLASS A	N/A			
14.36	LIONS GATE ENTERTAINMENT CORP CLASS B	N/A			
14.37	LIVE NATION ENTERTAINMENT, INC.	N/A			
14.38	LUMEN TECHNOLOGIES, INC.	N/A			
14.39	MADISON SQUARE GARDEN ENTERTAINMENT CORP CLASS A	N/A			
14.40	MADISON SQUARE GARDEN SPORTS CORP. CLASS A	N/A			
14.41	MATCH GROUP, INC.	N/A			
14.42	MEREDITH CORPORATION	N/A			
14.43	MSG NETWORKS INC. CLASS A	N/A			
14.44	NETFLIX, INC.	N/A			
14.45	NEW YORK TIMES COMPANY CLASS A	N/A			
14.46	NEWS CORPORATION CLASS A	N/A			
14.47	OMNICOM GROUP INC	N/A			
14.48	PINTEREST, INC. CLASS A	N/A			
14.49	ROKU, INC. CLASS A	N/A			
14.50	SHENANDOAH TELECOMMUNICATIONS COMPANY	N/A			
14.51	SIRIUS XM HOLDINGS, INC.	N/A			
14.52	SNAP, INC. CLASS A	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.53	SPOTIFY TECHNOLOGY SA	N/A			
14.54	T-MOBILE US, INC.	N/A			
14.55	TAKE-TWO INTERACTIVE SOFTWARE, INC.	N/A			
14.56	TRIPADVISOR, INC.	N/A			
14.57	TWITTER, INC.	N/A			
14.58	VERIZON COMMUNICATIONS INC.	N/A			
14.59	VIACOMCBS INC. CLASS B	N/A			
14.60	VIMEO, INC.	N/A			
14.61	VODAFONE GROUP PLC SPONSORED ADR	N/A			
14.62	WALT DISNEY COMPANY	N/A			
14.63	WORLD WRESTLING ENTERTAINMENT, INC. CLASS A	N/A			
14.64	ZILLOW GROUP, INC. CLASS A	N/A			
14.65	ZILLOW GROUP, INC. CLASS C	N/A			
14.66	ADIANT PLC	N/A			
14.67	AIRBNB, INC. CLASS A CLASS A	N/A			
14.68	ALIBABA GROUP HOLDING LTD. SPONSORED ADR	N/A			
14.69	AMAZON.COM, INC.	N/A			
14.70	AMERICAN EAGLE OUTFITTERS, INC.	N/A			
14.71	AUTONATION, INC.	N/A			
14.72	BARNES & NOBLE EDUCATION, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.73	BEST BUY CO., INC.	N/A			
14.74	BLINK CHARGING CO	N/A			
14.75	BOOKING HOLDINGS INC.	N/A			
14.76	BORGWARNER INC.	N/A			
14.77	BURLINGTON STORES, INC.	N/A			
14.78	CARMAX, INC.	N/A			
14.79	CARNIVAL CORPORATION	N/A			
14.80	CARVANA CO. CLASS A	N/A			
14.81	CHIPOTLE MEXICAN GRILL, INC.	N/A			
14.82	CHOICE HOTELS INTERNATIONAL, INC.	N/A			
14.83	CHURCHILL DOWNS INCORPORATED	N/A			
14.84	COOPER-STANDARD HOLDINGS INC.	N/A			
14.85	D.R. HORTON, INC.	N/A			
14.86	DARDEN RESTAURANTS, INC.	N/A			
14.87	DICK'S SPORTING GOODS, INC.	N/A			
14.88	DOLLAR TREE, INC.	N/A			
14.89	DOMINO'S PIZZA, INC.	N/A			
14.90	EBAY INC.	N/A			
14.91	EXPEDIA GROUP, INC.	N/A			
14.92	FORD MOTOR COMPANY	N/A			
14.93	GAMESTOP CORP. CLASS A	N/A			
14.94	GAP, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.95	GARMIN LTD.	N/A			
14.96	GENERAL MOTORS COMPANY	N/A			
14.97	GENTEX CORPORATION	N/A			
14.98	GENUINE PARTS COMPANY	N/A			
14.99	GROUP 1 AUTOMOTIVE, INC.	N/A			
14.100	GRUBHUB, INC.	N/A			
14.101	H&R BLOCK, INC.	N/A			
14.102	HANESBRANDS INC.	N/A			
14.103	HASBRO, INC.	N/A			
14.104	HOME DEPOT, INC.	N/A			
14.105	HYATT HOTELS CORPORATION CLASS A	N/A			
14.106	JD.COM, INC. SPONSORED ADR CLASS A	N/A			
14.107	KB HOME	N/A			
14.108	KONTOOR BRANDS, INC.	N/A			
14.109	L BRANDS, INC.	N/A			
14.110	LANDS' END, INC.	N/A			
14.111	LAS VEGAS SANDS CORP.	N/A			
14.112	LEGGETT & PLATT, INCORPORATED	N/A			
14.113	LENNAR CORPORATION CLASS A	N/A			
14.114	LKQ CORPORATION	N/A			
14.115	LOWE'S COMPANIES, INC.	N/A			
14.116	LULULEMON ATHLETICA INC	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.117	M.D.C. HOLDINGS, INC.	N/A			
14.118	MACY'S INC	N/A			
14.119	MARRIOTT INTERNATIONAL, INC. CLASS A	N/A			
14.120	MARRIOTT VACATIONS WORLDWIDE CORPORATION	N/A			
14.121	MATTEL, INC.	N/A			
14.122	MCDONALD'S CORPORATION	N/A			
14.123	MURPHY USA, INC.	N/A			
14.124	NEWELL BRANDS INC	N/A			
14.125	NIKE, INC. CLASS B	N/A			
14.126	NORDSTROM, INC.	N/A			
14.127	NORWEGIAN CRUISE LINE HOLDINGS LTD.	N/A			
14.128	NVR, INC.	N/A			
14.129	O'REILLY AUTOMOTIVE, INC.	N/A			
14.130	ODP CORPORATION	N/A			
14.131	PAPA JOHN'S INTERNATIONAL, INC.	N/A			
14.132	PELTON INTERACTIVE, INC. CLASS A	N/A			
14.133	PENN NATIONAL GAMING, INC.	N/A			
14.134	PENSKE AUTOMOTIVE GROUP, INC.	N/A			
14.135	POLARIS INC.	N/A			
14.136	POOL CORPORATION	N/A			
14.137	PULTEGROUP, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.138	QURATE RETAIL INC 8 % CUM RED PFD REGISTERED SHS SERIES A	N/A			
14.139	QURATE RETAIL, INC. CLASS A	N/A			
14.140	RALPH LAUREN CORPORATION CLASS A	N/A			
14.141	ROSS STORES, INC.	N/A			
14.142	SALLY BEAUTY HOLDINGS, INC.	N/A			
14.143	SEARS HOLDINGS CORPORATION	N/A			
14.144	SERVICE CORPORATION INTERNATIONAL	N/A			
14.145	SHUTTERSTOCK, INC.	N/A			
14.146	STARBUCKS CORPORATION	N/A			
14.147	SUPERIOR INDUSTRIES INTERNATIONAL, INC.	N/A			
14.148	TAPESTRY, INC.	N/A			
14.149	TARGET CORPORATION	N/A			
14.150	TEMPUR SEALY INTERNATIONAL INC	N/A			
14.151	TENNECO INC. CLASS A	N/A			
14.152	TESLA INC	N/A			
14.153	TEXAS ROADHOUSE, INC.	N/A			
14.154	TJX COMPANIES INC	N/A			
14.155	TOLL BROTHERS, INC.	N/A			
14.156	TOYOTA MOTOR CORP. SPONSORED ADR	N/A			
14.157	TRACTOR SUPPLY COMPANY	N/A			
14.158	TRAVEL + LEISURE CO.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.159	ULTA BEAUTY INC	N/A			
14.160	UNDER ARMOUR, INC. CLASS A	N/A			
14.161	UNDER ARMOUR, INC. CLASS C	N/A			
14.162	V.F. CORPORATION	N/A			
14.163	VISTEON CORPORATION	N/A			
14.164	WENDY'S COMPANY	N/A			
14.165	WHIRLPOOL CORPORATION	N/A			
14.166	WILLIAMS-SONOMA, INC.	N/A			
14.167	WOLVERINE WORLD WIDE, INC.	N/A			
14.168	WYNDHAM HOTELS & RESORTS, INC.	N/A			
14.169	WYNN RESORTS, LIMITED	N/A			
14.170	YUM CHINA HOLDINGS, INC.	N/A			
14.171	YUM! BRANDS, INC.	N/A			
14.172	ALTRIA GROUP INC	N/A			
14.173	ARCHER-DANIELS-MIDLAND COMPANY	N/A			
14.174	BEYOND MEAT, INC.	N/A			
14.175	BOSTON BEER COMPANY, INC. CLASS A	N/A			
14.176	BROWN-FORMAN CORPORATION CLASS A	N/A			
14.177	BROWN-FORMAN CORPORATION CLASS B	N/A			
14.178	CAMPBELL SOUP COMPANY	N/A			
14.179	CASEY'S GENERAL STORES, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.180	CHURCH & DWIGHT CO., INC.	N/A			
14.181	CLOROX COMPANY	N/A			
14.182	COCA-COLA COMPANY	N/A			
14.183	COCA-COLA EUROPACIFIC PARTNERS PLC	N/A			
14.184	COLGATE-PALMOLIVE COMPANY	N/A			
14.185	CONAGRA BRANDS, INC.	N/A			
14.186	COSTCO WHOLESALE CORPORATION	N/A			
14.187	ESTEE LAUDER COMPANIES INC. CLASS A	N/A			
14.188	GENERAL MILLS, INC.	N/A			
14.189	HAIN CELESTIAL GROUP, INC.	N/A			
14.190	HERSHEY COMPANY	N/A			
14.191	HORMEL FOODS CORPORATION	N/A			
14.192	J.M. SMUCKER COMPANY	N/A			
14.193	KELLOGG COMPANY	N/A			
14.194	KIMBERLY-CLARK CORPORATION	N/A			
14.195	KRAFT HEINZ COMPANY	N/A			
14.196	KROGER CO.	N/A			
14.197	LAMB WESTON HOLDINGS, INC.	N/A			
14.198	MCCORMICK & COMPANY, INCORPORATED	N/A			
14.199	MOLSON COORS BEVERAGE COMPANY CLASS B	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.200	MONDELEZ INTERNATIONAL, INC. CLASS A	N/A			
14.201	MONSTER BEVERAGE CORPORATION	N/A			
14.202	NESTLE S.A.	N/A			
14.203	NESTLE S.A. SPONSORED ADR	N/A			
14.204	PEPSICO, INC.	N/A			
14.205	PHILIP MORRIS INTERNATIONAL INC.	N/A			
14.206	POST HOLDINGS, INC.	N/A			
14.207	PROCTER & GAMBLE COMPANY	N/A			
14.208	SPECTRUM BRANDS HOLDINGS, INC.	N/A			
14.209	SPROUTS FARMERS MARKETS, INC.	N/A			
14.210	SYSCO CORPORATION	N/A			
14.211	UNITED NATURAL FOODS, INC.	N/A			
14.212	VECTOR GROUP LTD.	N/A			
14.213	WALGREENS BOOTS ALLIANCE INC	N/A			
14.214	WALMART INC.	N/A			
14.215	ANTERO RESOURCES CORPORATION	N/A			
14.216	APA CORP.	N/A			
14.217	BAKER HUGHES COMPANY CLASS A	N/A			
14.218	BP P.L.C. SPONSORED ADR	N/A			
14.219	CABOT OIL & GAS CORPORATION	N/A			
14.220	CHENIERE ENERGY, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.221	CHEVRON CORPORATION	N/A			
14.222	CIMAREX ENERGY CO.	N/A			
14.223	CONOCOPHILLIPS	N/A			
14.224	DEVON ENERGY CORPORATION	N/A			
14.225	DIAMONDBACK ENERGY, INC.	N/A			
14.226	ENBRIDGE INC.	N/A			
14.227	EOG RESOURCES, INC.	N/A			
14.228	EQT CORPORATION	N/A			
14.229	EQUITRANS MIDSTREAM CORP.	N/A			
14.230	EXXON MOBIL CORPORATION	N/A			
14.231	HELMERICH & PAYNE, INC.	N/A			
14.232	HESS CORPORATION	N/A			
14.233	HOLLYFRONTIER CORPORATION	N/A			
14.234	KINDER MORGAN INC CLASS P	N/A			
14.235	MARATHON PETROLEUM CORPORATION	N/A			
14.236	MURPHY OIL CORPORATION	N/A			
14.237	NOV INC.	N/A			
14.238	OCCIDENTAL PETROLEUM CORPORATION	N/A			
14.239	OCCIDENTAL PETROLEUM CORPORATION WARRANT 2020-03.08.27 ON OCCIDENTAL PETE	N/A			
14.240	ONEOK, INC.	N/A			
14.241	PATTERSON-UTI ENERGY, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.242	PHILLIPS 66	N/A			
14.243	PIONEER NATURAL RESOURCES COMPANY	N/A			
14.244	RANGE RESOURCES CORPORATION	N/A			
14.245	ROYAL DUTCH SHELL PLC SPONSORED ADR CLASS A	N/A			
14.246	SCHLUMBERGER NV	N/A			
14.247	SM ENERGY COMPANY	N/A			
14.248	TARGA RESOURCES CORP.	N/A			
14.249	U.S. SILICA HOLDINGS, INC.	N/A			
14.250	VALERO ENERGY CORPORATION	N/A			
14.251	WILLIAMS COMPANIES, INC.	N/A			
14.252	WORLD FUEL SERVICES CORPORATION	N/A			
14.253	AEGON NV ADR	N/A			
14.254	AFFILIATED MANAGERS GROUP, INC.	N/A			
14.255	AFLAC INCORPORATED	N/A			
14.256	ALLSTATE CORPORATION	N/A			
14.257	AMERICAN EXPRESS COMPANY	N/A			
14.258	AMERICAN FINANCIAL GROUP, INC.	N/A			
14.259	AMERICAN INTERNATIONAL GROUP, INC.	N/A			
14.260	AMERIPRISE FINANCIAL, INC.	N/A			
14.261	AON PLC CLASS A	N/A			
14.262	ARTHUR J. GALLAGHER & CO.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.263	ASSOCIATED BANC-CORP	N/A			
14.264	BANCO BILBAO VIZCAYA ARGENTARIA, S.A. SPONSORED ADR	N/A			
14.265	BANK OF AMERICA CORP	N/A			
14.266	BANK OF MONTREAL	N/A			
14.267	BANK OF NEW YORK MELLON CORPORATION	N/A			
14.268	BERKSHIRE HATHAWAY INC. CLASS A	N/A			
14.269	BERKSHIRE HATHAWAY INC. CLASS B	N/A			
14.270	BLACKROCK, INC.	N/A			
14.271	BLUCORA, INC.	N/A			
14.272	BOK FINANCIAL CORPORATION	N/A			
14.273	BRIGHTHOUSE FINANCIAL, INC.	N/A			
14.274	CAPITAL ONE FINANCIAL CORPORATION	N/A			
14.275	CATHAY GENERAL BANCORP	N/A			
14.276	CBOE GLOBAL MARKETS INC	N/A			
14.277	CHARLES SCHWAB CORPORATION	N/A			
14.278	CHUBB LIMITED	N/A			
14.279	CIT GROUP INC.	N/A			
14.280	CITIGROUP INC.	N/A			
14.281	CITIZENS FINANCIAL GROUP, INC.	N/A			
14.282	CME GROUP INC. CLASS A	N/A			
14.283	COMERICA INCORPORATED	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.284	CULLEN/FROST BANKERS, INC.	N/A			
14.285	CVB FINANCIAL CORP.	N/A			
14.286	DISCOVER FINANCIAL SERVICES	N/A			
14.287	DONNELLEY FINANCIAL SOLUTIONS, INC.	N/A			
14.288	EVERCORE INC CLASS A	N/A			
14.289	FEDERAL NATIONAL MORTGAGE ASSOCIATION	N/A			
14.290	FEDERATED HERMES, INC. CLASS B	N/A			
14.291	FIFTH THIRD BANCORP	N/A			
14.292	FIRST AMERICAN FINANCIAL CORPORATION	N/A			
14.293	FIRST FINANCIAL BANCORP.	N/A			
14.294	FIRST HORIZON CORPORATION	N/A			
14.295	FIRST MIDWEST BANCORP, INC.	N/A			
14.296	FIRST NATIONAL OF NEBRASKA, INC.	N/A			
14.297	FRANKLIN RESOURCES, INC.	N/A			
14.298	FREDDIE MAC	N/A			
14.299	FULTON FINANCIAL CORPORATION	N/A			
14.300	HANCOCK WHITNEY CORPORATION	N/A			
14.301	HANOVER INSURANCE GROUP, INC.	N/A			
14.302	HARTFORD FINANCIAL SERVICES GROUP, INC.	N/A			
14.303	HSBC HOLDINGS PLC SPONSORED ADR	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.304	HUNTINGTON BANCSHARES INCORPORATED	N/A			
14.305	INTERCONTINENTAL EXCHANGE, INC.	N/A			
14.306	JEFFERIES FINANCIAL GROUP INC.	N/A			
14.307	JPMORGAN CHASE & CO.	N/A			
14.308	KEMPER CORPORATION	N/A			
14.309	KEYCORP	N/A			
14.310	KKR & CO. INC.	N/A			
14.311	LEDYARD FINANCIAL GROUP, INC.	N/A			
14.312	LENDINGCLUB CORP	N/A			
14.313	LENDINGTREE, INC.	N/A			
14.314	LINCOLN NATIONAL CORPORATION	N/A			
14.315	LOEWS CORPORATION	N/A			
14.316	M&T BANK CORPORATION	N/A			
14.317	MARKEL CORPORATION	N/A			
14.318	MARLIN BUSINESS SERVICES CORP.	N/A			
14.319	MARSH & MCLENNAN COMPANIES, INC.	N/A			
14.320	MOODY'S CORPORATION	N/A			
14.321	MORGAN STANLEY	N/A			
14.322	MR. COOPER GROUP, INC.	N/A			
14.323	NASDAQ, INC.	N/A			
14.324	NMI HOLDINGS, INC. CLASS A	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.325	NORTHERN TRUST CORPORATION	N/A			
14.326	OLD NATIONAL BANCORP	N/A			
14.327	OLD REPUBLIC INTERNATIONAL CORPORATION	N/A			
14.328	ONEMAIN HOLDINGS, INC.	N/A			
14.329	PACWEST BANCORP	N/A			
14.330	PIPER SANDLER COMPANIES	N/A			
14.331	PNC FINANCIAL SERVICES GROUP, INC.	N/A			
14.332	PROGRESSIVE CORPORATION	N/A			
14.333	PRUDENTIAL FINANCIAL, INC.	N/A			
14.334	RADIAN GROUP INC.	N/A			
14.335	RAYMOND JAMES FINANCIAL, INC.	N/A			
14.336	REGIONS FINANCIAL CORPORATION	N/A			
14.337	REINSURANCE GROUP OF AMERICA, INCORPORATED	N/A			
14.338	ROYAL BANK OF CANADA	N/A			
14.339	S&P GLOBAL, INC.	N/A			
14.340	SIMMONS FIRST NATIONAL CORPORATION CLASS A	N/A			
14.341	STATE STREET CORPORATION	N/A			
14.342	STERLING BANCORP	N/A			
14.343	SVB FINANCIAL GROUP	N/A			
14.344	SYNCHRONY FINANCIAL	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.345	SYNOVUS FINANCIAL CORP.	N/A			
14.346	T. ROWE PRICE GROUP	N/A			
14.347	TCF FINANCIAL CORPORATION	N/A			
14.348	TORONTO-DOMINION BANK	N/A			
14.349	TRAVELERS COMPANIES, INC.	N/A			
14.350	TRUIST FINANCIAL CORPORATION	N/A			
14.351	U.S. BANCORP	N/A			
14.352	UBS GROUP AG	N/A			
14.353	UBS GROUP AG	N/A			
14.354	UMB FINANCIAL CORPORATION	N/A			
14.355	UNUM GROUP	N/A			
14.356	VALLEY NATIONAL BANCORP	N/A			
14.357	WELLS FARGO & COMPANY	N/A			
14.358	WESTERN ALLIANCE BANCORP	N/A			
14.359	WILLIS TOWERS WATSON PUBLIC LIMITED COMPANY	N/A			
14.360	ZIONS BANCORPORATION, N.A.	N/A			
14.361	10X GENOMICS INC CLASS A	N/A			
14.362	ABBOTT LABORATORIES	N/A			
14.363	ABBVIE, INC.	N/A			
14.364	ABIOMED, INC.	N/A			
14.365	ACADIA HEALTHCARE COMPANY, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.366	ADAPTIVE BIOTECHNOLOGIES CORP.	N/A			
14.367	AGILENT TECHNOLOGIES, INC.	N/A			
14.368	ALEXION PHARMACEUTICALS, INC.	N/A			
14.369	ALIGN TECHNOLOGY, INC.	N/A			
14.370	ALLAKOS, INC.	N/A			
14.371	AMERISOURCEBERGEN CORPORATION	N/A			
14.372	AMGEN INC.	N/A			
14.373	ANTHEM, INC.	N/A			
14.374	AVANOS MEDICAL, INC.	N/A			
14.375	BAXTER INTERNATIONAL INC.	N/A			
14.376	BECTON, DICKINSON AND COMPANY	N/A			
14.377	BIOGEN INC.	N/A			
14.378	BIOMARIN PHARMACEUTICAL INC.	N/A			
14.379	BOSTON SCIENTIFIC CORPORATION	N/A			
14.380	BRIDGEBIO PHARMA, INC.	N/A			
14.381	BRISTOL-MYERS SQUIBB COMPANY	N/A			
14.382	CARDINAL HEALTH, INC.	N/A			
14.383	CATALENT INC	N/A			
14.384	CENTENE CORPORATION	N/A			
14.385	CERNER CORPORATION	N/A			
14.386	CHARLES RIVER LABORATORIES INTERNATIONAL, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.387	CHEMED CORPORATION	N/A			
14.388	CIGNA CORPORATION	N/A			
14.389	COMMUNITY HEALTH SYSTEMS, INC.	N/A			
14.390	COOPER COMPANIES, INC.	N/A			
14.391	COVETRUS, INC.	N/A			
14.392	CVS HEALTH CORPORATION	N/A			
14.393	DANAHER CORPORATION	N/A			
14.394	DENTSPLY SIRONA, INC.	N/A			
14.395	DEXCOM, INC.	N/A			
14.396	EDWARDS LIFESCIENCES CORPORATION	N/A			
14.397	ELI LILLY AND COMPANY	N/A			
14.398	ENCOMPASS HEALTH CORPORATION	N/A			
14.399	ENVISTA HOLDINGS CORP.	N/A			
14.400	EXACT SCIENCES CORPORATION	N/A			
14.401	FIBROGEN, INC.	N/A			
14.402	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONSORED ADR	N/A			
14.403	GILEAD SCIENCES, INC.	N/A			
14.404	GLAXOSMITHKLINE PLC SPONSORED ADR	N/A			
14.405	GREAT ELM GROUP, INC.	N/A			
14.406	HAEMONETICS CORPORATION	N/A			
14.407	HCA HEALTHCARE INC	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.408	HEALTH EQUITY INC	N/A			
14.409	HENRY SCHEIN, INC.	N/A			
14.410	HILL-ROM HOLDINGS, INC.	N/A			
14.411	HUMANA INC.	N/A			
14.412	IDEXX LABORATORIES, INC.	N/A			
14.413	ILLUMINA, INC.	N/A			
14.414	INCYTE CORPORATION	N/A			
14.415	INOVALON HOLDINGS, INC. CLASS A	N/A			
14.416	INTELLIA THERAPEUTICS, INC.	N/A			
14.417	INTUITIVE SURGICAL, INC.	N/A			
14.418	IQVIA HOLDINGS INC	N/A			
14.419	JAZZ PHARMACEUTICALS PUBLIC LIMITED COMPANY	N/A			
14.420	JOHNSON & JOHNSON	N/A			
14.421	KARUNA THERAPEUTICS, INC.	N/A			
14.422	LABORATORY CORPORATION OF AMERICA HOLDINGS	N/A			
14.423	MASIMO CORPORATION	N/A			
14.424	MCKESSON CORPORATION	N/A			
14.425	MEDTRONIC PLC	N/A			
14.426	MERCK & CO., INC.	N/A			
14.427	METTLER-TOLEDO INTERNATIONAL INC.	N/A			
14.428	MODERNA, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.429	NOVO NORDISK A/S SPONSORED ADR CLASS B	N/A			
14.430	OAK STREET HEALTH, INC.	N/A			
14.431	OPKO HEALTH, INC.	N/A			
14.432	ORTHOFIX MEDICAL, INC.	N/A			
14.433	PERRIGO CO. PLC	N/A			
14.434	PFIZER INC.	N/A			
14.435	PRECIGEN INC	N/A			
14.436	PRESTIGE CONSUMER HEALTHCARE INC	N/A			
14.437	PROGYNY, INC.	N/A			
14.438	PROTHENA CORP. PLC	N/A			
14.439	QUEST DIAGNOSTICS INCORPORATED	N/A			
14.440	REGENERON PHARMACEUTICALS, INC.	N/A			
14.441	REGENXBIO, INC.	N/A			
14.442	REPLIGEN CORPORATION	N/A			
14.443	RESMED INC.	N/A			
14.444	ROCHE HOLDING LTD	N/A			
14.445	SAGE THERAPEUTICS, INC.	N/A			
14.446	SEAGEN, INC.	N/A			
14.447	STRYKER CORPORATION	N/A			
14.448	TAKEDA PHARMACEUTICAL CO. LTD. SPONSORED ADR	N/A			
14.449	TENET HEALTHCARE CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.450	TEVA PHARMACEUTICAL INDUSTRIES LIMITED SPONSORED ADR	N/A			
14.451	TG THERAPEUTICS, INC.	N/A			
14.452	THERMO FISHER SCIENTIFIC INC.	N/A			
14.453	TURNING POINT THERAPEUTICS, INC.	N/A			
14.454	UNITED THERAPEUTICS CORPORATION	N/A			
14.455	UNITEDHEALTH GROUP INCORPORATED	N/A			
14.456	UNIVERSAL HEALTH SERVICES, INC. CLASS B	N/A			
14.457	VEEVA SYSTEMS INC CLASS A	N/A			
14.458	VERTEX PHARMACEUTICALS INCORPORATED	N/A			
14.459	VIATRIS, INC.	N/A			
14.460	WEST PHARMACEUTICAL SERVICES, INC.	N/A			
14.461	Y-MABS THERAPEUTICS, INC.	N/A			
14.462	ZIMMER BIOMET HOLDINGS, INC.	N/A			
14.463	3M COMPANY	N/A			
14.464	AAR CORP.	N/A			
14.465	ACCO BRANDS CORPORATION	N/A			
14.466	ACUITY BRANDS, INC.	N/A			
14.467	AECOM	N/A			
14.468	AGCO CORPORATION	N/A			
14.469	ALLEGiant TRAVEL COMPANY	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.470	ALLEGION PLC	N/A			
14.471	AMERICAN AIRLINES GROUP, INC.	N/A			
14.472	AMETEK, INC.	N/A			
14.473	ARCOSA, INC.	N/A			
14.474	ASGN INC	N/A			
14.475	AVIS BUDGET GROUP, INC.	N/A			
14.476	AZZ INC.	N/A			
14.477	BLOOM ENERGY CORPORATION CLASS A	N/A			
14.478	BOEING COMPANY	N/A			
14.479	BOOZ ALLEN HAMILTON HOLDING CORPORATION CLASS A	N/A			
14.480	C.H. ROBINSON WORLDWIDE, INC.	N/A			
14.481	CARRIER GLOBAL CORP.	N/A			
14.482	CASELLA WASTE SYSTEMS, INC. CLASS A	N/A			
14.483	CATERPILLAR INC.	N/A			
14.484	CBIZ, INC.	N/A			
14.485	CHART INDUSTRIES, INC.	N/A			
14.486	CINTAS CORPORATION	N/A			
14.487	COLFAX CORPORATION	N/A			
14.488	COSTAR GROUP, INC.	N/A			
14.489	CRANE CO.	N/A			
14.490	CSX CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.491	CUMMINS INC.	N/A			
14.492	CURTISS-WRIGHT CORPORATION	N/A			
14.493	DEERE & COMPANY	N/A			
14.494	DELUXE CORPORATION	N/A			
14.495	DONALDSON COMPANY, INC.	N/A			
14.496	DOVER CORPORATION	N/A			
14.497	DYCOM INDUSTRIES, INC.	N/A			
14.498	EATON CORP. PLC	N/A			
14.499	EMERSON ELECTRIC CO.	N/A			
14.500	EXPONENT, INC.	N/A			
14.501	FASTENAL COMPANY	N/A			
14.502	FEDEX CORPORATION	N/A			
14.503	FLOWSERVE CORPORATION	N/A			
14.504	FORTIVE CORP.	N/A			
14.505	FORTUNE BRANDS HOME & SECURITY, INC.	N/A			
14.506	FRANKLIN COVEY CO.	N/A			
14.507	GENERAC HOLDINGS INC.	N/A			
14.508	GENERAL DYNAMICS CORPORATION	N/A			
14.509	GENERAL ELECTRIC COMPANY	N/A			
14.510	GRACO INC.	N/A			
14.511	HEALTHCARE SERVICES GROUP, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.512	HERMAN MILLER, INC.	N/A			
14.513	HONEYWELL INTERNATIONAL INC.	N/A			
14.514	HOWMET AEROSPACE INC.	N/A			
14.515	HUDSON GLOBAL, INC.	N/A			
14.516	HUNTINGTON INGALLS INDUSTRIES, INC.	N/A			
14.517	ILLINOIS TOOL WORKS INC.	N/A			
14.518	INGERSOLL RAND INC.	N/A			
14.519	ITT, INC.	N/A			
14.520	J.B. HUNT TRANSPORT SERVICES, INC.	N/A			
14.521	JOHNSON CONTROLS INTERNATIONAL PLC	N/A			
14.522	KADANT INC.	N/A			
14.523	KANSAS CITY SOUTHERN	N/A			
14.524	KBR, INC.	N/A			
14.525	L3HARRIS TECHNOLOGIES INC	N/A			
14.526	LEIDOS HOLDINGS, INC.	N/A			
14.527	LINCOLN ELECTRIC HOLDINGS, INC.	N/A			
14.528	LOCKHEED MARTIN CORPORATION	N/A			
14.529	LYFT, INC. CLASS A	N/A			
14.530	MANPOWERGROUP INC.	N/A			
14.531	MONITRONICS INTERNATIONAL, INC.	N/A			
14.532	MSA SAFETY, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.533	NL INDUSTRIES INC	N/A			
14.534	NORDSON CORPORATION	N/A			
14.535	NORFOLK SOUTHERN CORPORATION	N/A			
14.536	NORTHROP GRUMMAN CORPORATION	N/A			
14.537	NVENT ELECTRIC PLC	N/A			
14.538	OTIS WORLDWIDE CORPORATION	N/A			
14.539	PACCAR INC	N/A			
14.540	PARKER-HANNIFIN CORPORATION	N/A			
14.541	PENTAIR PLC	N/A			
14.542	PITNEY BOWES INC.	N/A			
14.543	PLUG POWER INC.	N/A			
14.544	QUANTA SERVICES, INC.	N/A			
14.545	R.R. DONNELLEY & SONS COMPANY	N/A			
14.546	RAYTHEON TECHNOLOGIES CORPORATION	N/A			
14.547	REPUBLIC SERVICES, INC.	N/A			
14.548	RESIDEO TECHNOLOGIES, INC.	N/A			
14.549	ROCKWELL AUTOMATION, INC.	N/A			
14.550	ROLLINS, INC.	N/A			
14.551	ROPER TECHNOLOGIES, INC.	N/A			
14.552	SOUTHWEST AIRLINES CO.	N/A			
14.553	SPIRIT AEROSYSTEMS HOLDINGS, INC. CLASS A	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.554	STANDEX INTERNATIONAL CORPORATION	N/A			
14.555	STANLEY BLACK & DECKER, INC.	N/A			
14.556	SUNRUN INC.	N/A			
14.557	TELEDYNE TECHNOLOGIES INCORPORATED	N/A			
14.558	TEXTRON INC.	N/A			
14.559	THOMSON REUTERS CORPORATION	N/A			
14.560	TRANE TECHNOLOGIES PLC	N/A			
14.561	TRANSUNION	N/A			
14.562	TREX COMPANY, INC.	N/A			
14.563	TRINITY INDUSTRIES, INC.	N/A			
14.564	UBER TECHNOLOGIES, INC.	N/A			
14.565	UNION PACIFIC CORPORATION	N/A			
14.566	UNITED PARCEL SERVICE, INC. CLASS B	N/A			
14.567	UNITED RENTALS, INC.	N/A			
14.568	VECTRUS INC	N/A			
14.569	VERISK ANALYTICS INC	N/A			
14.570	VERITIV CORP	N/A			
14.571	W.W. GRAINGER, INC.	N/A			
14.572	WASTE MANAGEMENT, INC.	N/A			
14.573	WATSCO, INC.	N/A			
14.574	WELBILT INC	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.575	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORPORATION	N/A			
14.576	WOODWARD, INC.	N/A			
14.577	XPO LOGISTICS, INC.	N/A			
14.578	XYLEM INC.	N/A			
14.579	ACCENTURE PLC CLASS A	N/A			
14.580	ACI WORLDWIDE, INC.	N/A			
14.581	ADOBE INC.	N/A			
14.582	ADVANCED ENERGY INDUSTRIES, INC.	N/A			
14.583	ADVANCED MICRO DEVICES, INC.	N/A			
14.584	ANALOG DEVICES, INC.	N/A			
14.585	ANAPLAN, INC.	N/A			
14.586	ANSYS, INC.	N/A			
14.587	APPFOLIO INC CLASS A	N/A			
14.588	APPLE INC.	N/A			
14.589	APPLIED MATERIALS, INC.	N/A			
14.590	ARISTA NETWORKS, INC.	N/A			
14.591	ASPEN TECHNOLOGY, INC.	N/A			
14.592	AUTODESK, INC.	N/A			
14.593	AUTOMATIC DATA PROCESSING, INC.	N/A			
14.594	BILL.COM HOLDINGS, INC.	N/A			
14.595	BROADCOM INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.596	CADENCE DESIGN SYSTEMS, INC.	N/A			
14.597	CALIX, INC.	N/A			
14.598	CDK GLOBAL, INC.	N/A			
14.599	CDW CORP.	N/A			
14.600	CHECK POINT SOFTWARE TECHNOLOGIES LTD.	N/A			
14.601	CIENA CORPORATION	N/A			
14.602	CISCO SYSTEMS, INC.	N/A			
14.603	CLOUDFLARE INC CLASS A	N/A			
14.604	CMC MATERIALS, INC.	N/A			
14.605	COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A	N/A			
14.606	COHERENT, INC.	N/A			
14.607	COHU, INC.	N/A			
14.608	CONCENTRIX CORPORATION	N/A			
14.609	CORNING INC	N/A			
14.610	COUPA SOFTWARE, INC.	N/A			
14.611	CREE, INC.	N/A			
14.612	CROWDSTRIKE HOLDINGS, INC. CLASS A	N/A			
14.613	DATADOG INC CLASS A	N/A			
14.614	DELL TECHNOLOGIES INC CLASS C	N/A			
14.615	DIGI INTERNATIONAL INC.	N/A			
14.616	DOCUSIGN, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.617	DXC TECHNOLOGY CO.	N/A			
14.618	DYNATRACE, INC.	N/A			
14.619	ENTEGRIS, INC.	N/A			
14.620	EPAM SYSTEMS, INC.	N/A			
14.621	EURONET WORLDWIDE, INC.	N/A			
14.622	EXFO INC.	N/A			
14.623	FIDELITY NATIONAL INFORMATION SERVICES, INC.	N/A			
14.624	FIREEYE, INC.	N/A			
14.625	FIRST SOLAR, INC.	N/A			
14.626	FISERV, INC.	N/A			
14.627	GARTNER, INC.	N/A			
14.628	GENPACT LIMITED	N/A			
14.629	GLOBAL PAYMENTS INC.	N/A			
14.630	HEWLETT PACKARD ENTERPRISE CO.	N/A			
14.631	HP INC.	N/A			
14.632	HUBSPOT, INC.	N/A			
14.633	II-VI INCORPORATED	N/A			
14.634	INFOSYS LIMITED SPONSORED ADR	N/A			
14.635	INTEL CORPORATION	N/A			
14.636	INTERNATIONAL BUSINESS MACHINES CORPORATION	N/A			
14.637	INTUIT INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.638	JABIL INC.	N/A			
14.639	JUNIPER NETWORKS, INC.	N/A			
14.640	KEYSIGHT TECHNOLOGIES INC	N/A			
14.641	KLA CORPORATION	N/A			
14.642	LAM RESEARCH CORPORATION	N/A			
14.643	LUMENTUM HOLDINGS, INC.	N/A			
14.644	MANHATTAN ASSOCIATES, INC.	N/A			
14.645	MARVELL TECHNOLOGY, INC.	N/A			
14.646	MASTERCARD INCORPORATED CLASS A	N/A			
14.647	MAXEON SOLAR TECHNOLOGIES, LTD.	N/A			
14.648	MAXIM INTEGRATED PRODUCTS, INC.	N/A			
14.649	MAXLINEAR INC	N/A			
14.650	MICRO FOCUS INTERNATIONAL PLC SPONSORED ADR	N/A			
14.651	MICROCHIP TECHNOLOGY INCORPORATED	N/A			
14.652	MICRON TECHNOLOGY, INC.	N/A			
14.653	MICROSOFT CORPORATION	N/A			
14.654	MICROSTRATEGY INCORPORATED CLASS A	N/A			
14.655	MOTOROLA SOLUTIONS, INC.	N/A			
14.656	NCR CORPORATION	N/A			
14.657	NETAPP, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.658	NETSCOUT SYSTEMS, INC.	N/A			
14.659	NORTONLIFELOCK INC.	N/A			
14.660	NUANCE COMMUNICATIONS, INC.	N/A			
14.661	NUTANIX, INC. CLASS A	N/A			
14.662	NVIDIA CORPORATION	N/A			
14.663	ON SEMICONDUCTOR CORPORATION	N/A			
14.664	ORACLE CORPORATION	N/A			
14.665	PAGERDUTY, INC.	N/A			
14.666	PALANTIR TECHNOLOGIES INC. CLASS A	N/A			
14.667	PALO ALTO NETWORKS, INC.	N/A			
14.668	PAYCHEX, INC.	N/A			
14.669	PAYPAL HOLDINGS INC	N/A			
14.670	PTC INC.	N/A			
14.671	PURE STORAGE, INC. CLASS A	N/A			
14.672	QORVO, INC.	N/A			
14.673	QUALCOMM INC	N/A			
14.674	RIBBON COMMUNICATIONS, INC.	N/A			
14.675	SALESFORCE.COM, INC.	N/A			
14.676	SERVICENOW, INC.	N/A			
14.677	SKYWORKS SOLUTIONS, INC.	N/A			
14.678	SQUARE, INC. CLASS A	N/A			
14.679	SUNPOWER CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.680	SYNAPTICS INCORPORATED	N/A			
14.681	SYNNEX CORPORATION	N/A			
14.682	SYNOPSYS, INC.	N/A			
14.683	TE CONNECTIVITY LTD.	N/A			
14.684	TERADATA CORPORATION	N/A			
14.685	TERADYNE, INC.	N/A			
14.686	TEXAS INSTRUMENTS INCORPORATED	N/A			
14.687	TRADE DESK, INC. CLASS A	N/A			
14.688	TRIMBLE INC.	N/A			
14.689	TWILIO, INC. CLASS A	N/A			
14.690	TYLER TECHNOLOGIES, INC.	N/A			
14.691	UBIQUITI INC.	N/A			
14.692	VERISIGN, INC.	N/A			
14.693	VIAVI SOLUTIONS INC	N/A			
14.694	VISA INC. CLASS A	N/A			
14.695	VONTIER CORP	N/A			
14.696	WESTERN UNION COMPANY	N/A			
14.697	WORKDAY, INC. CLASS A	N/A			
14.698	XEROX HOLDINGS CORPORATION	N/A			
14.699	XILINX, INC.	N/A			
14.700	YEXT, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.701	ZEBRA TECHNOLOGIES CORPORATION CLASS A	N/A			
14.702	ZOOM VIDEO COMMUNICATIONS, INC. CLASS A	N/A			
14.703	ADVANSIX, INC.	N/A			
14.704	AIR PRODUCTS AND CHEMICALS, INC.	N/A			
14.705	ALBEMARLE CORPORATION	N/A			
14.706	ALCOA CORPORATION	N/A			
14.707	ALLEGHENY TECHNOLOGIES INCORPORATED	N/A			
14.708	AMCOR PLC	N/A			
14.709	ARCONIC CORP.	N/A			
14.710	ASHLAND GLOBAL HOLDINGS, INC.	N/A			
14.711	AVERY DENNISON CORPORATION	N/A			
14.712	BALL CORPORATION	N/A			
14.713	BERRY GLOBAL GROUP INC	N/A			
14.714	BHP GROUP LIMITED SPONSORED ADR	N/A			
14.715	CABOT CORPORATION	N/A			
14.716	CELANESE CORPORATION	N/A			
14.717	CEMEX SAB DE CV SPONSORED ADR	N/A			
14.718	CHEMOURS CO.	N/A			
14.719	COMMERCIAL METALS COMPANY	N/A			
14.720	CORTEVA INC	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.721	CROWN HOLDINGS, INC.	N/A			
14.722	DOW, INC.	N/A			
14.723	DUPONT DE NEMOURS, INC.	N/A			
14.724	EASTMAN CHEMICAL COMPANY	N/A			
14.725	ECOLAB INC.	N/A			
14.726	FREEMPORT-MCMORAN, INC.	N/A			
14.727	GCP APPLIED TECHNOLOGIES, INC.	N/A			
14.728	GRAPHIC PACKAGING HOLDING COMPANY	N/A			
14.729	HUNTSMAN CORPORATION	N/A			
14.730	INGEVITY CORPORATION	N/A			
14.731	INTERNATIONAL FLAVORS & FRAGRANCES INC.	N/A			
14.732	INTERNATIONAL PAPER COMPANY	N/A			
14.733	KRONOS WORLDWIDE, INC.	N/A			
14.734	LINDE PLC	N/A			
14.735	LOUISIANA-PACIFIC CORPORATION	N/A			
14.736	MOSAIC COMPANY	N/A			
14.737	NEWMARKET CORPORATION	N/A			
14.738	NEWMONT CORPORATION	N/A			
14.739	NUCOR CORPORATION	N/A			
14.740	OLIN CORPORATION	N/A			
14.741	PPG INDUSTRIES, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.742	SEALED AIR CORPORATION	N/A			
14.743	SHERWIN-WILLIAMS COMPANY	N/A			
14.744	STEEL DYNAMICS, INC.	N/A			
14.745	VULCAN MATERIALS COMPANY	N/A			
14.746	W R GRACE & CO	N/A			
14.747	WESTROCK COMPANY	N/A			
14.748	ALEXANDER & BALDWIN, INC.	N/A			
14.749	COLLIERS INTERNATIONAL GROUP INC.	N/A			
14.750	FIRSTSERVICE CORP	N/A			
14.751	HOST HOTELS & RESORTS, INC.	N/A			
14.752	HOWARD HUGHES CORPORATION	N/A			
14.753	IRSA INVERSIONES Y REPRESENTACIONES SA SPONSORED ADR	N/A			
14.754	IRSA PROPIEDADES COMERCIALES S.A. SPONSORED ADR	N/A			
14.755	JONES LANG LASALLE INCORPORATED	N/A			
14.756	RAYONIER INC.	N/A			
14.757	REDFIN CORPORATION	N/A			
14.758	UNITI GROUP INC.	N/A			
14.759	AES CORPORATION	N/A			
14.760	AMEREN CORPORATION	N/A			
14.761	AMERICAN ELECTRIC POWER COMPANY, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.762	ATMOS ENERGY CORPORATION	N/A			
14.763	CLEARWAY ENERGY, INC. CLASS C	N/A			
14.764	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO SABESP SPONSORED ADR	N/A			
14.765	CONSOLIDATED EDISON, INC.	N/A			
14.766	DOMINION ENERGY INC	N/A			
14.767	DUKE ENERGY CORPORATION	N/A			
14.768	EDISON INTERNATIONAL	N/A			
14.769	ESSENTIAL UTILITIES, INC.	N/A			
14.770	FIRSTENERGY CORP.	N/A			
14.771	NEXTERA ENERGY, INC.	N/A			
14.772	NISOURCE INC	N/A			
14.773	NRG ENERGY, INC.	N/A			
14.774	OGE ENERGY CORP.	N/A			
14.775	PG&E CORPORATION	N/A			
14.776	PINNACLE WEST CAPITAL CORPORATION	N/A			
14.777	PPL CORPORATION	N/A			
14.778	PUBLIC SERVICE ENTERPRISE GROUP INC	N/A			
14.779	SEMPRA ENERGY	N/A			
14.780	SOUTHERN COMPANY	N/A			
14.781	UGI CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.782	WEC ENERGY GROUP INC	N/A			
14.783	XCEL ENERGY INC.	N/A			
15	Exchange Place (2002) LP	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$100,001 - \$1,000,000
15.1	ACTIVISION BLIZZARD, INC.	N/A			
15.2	ALPHABET INC. CLASS A	N/A			
15.3	ALPHABET INC. CLASS C	N/A			
15.4	AMC NETWORKS INC. CLASS A	N/A			
15.5	AT&T INC.	N/A			
15.6	CARS.COM, INC.	N/A			
15.7	CHARTER COMMUNICATIONS, INC. CLASS A	N/A			
15.8	CINCINNATI BELL INC.	N/A			
15.9	COMCAST CORPORATION CLASS A	N/A			
15.10	DEUTSCHE TELEKOM AG SPONSORED ADR	N/A			
15.11	DISCOVERY, INC. CLASS A	N/A			
15.12	DISCOVERY, INC. CLASS C	N/A			
15.13	E. W. SCRIPPS COMPANY CLASS A	N/A			
15.14	ELECTRONIC ARTS INC.	N/A			
15.15	FACEBOOK, INC. CLASS A	N/A			
15.16	FOX CORPORATION CLASS A	N/A			
15.17	GANNETT CO., INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.18	GLOBALSTAR, INC.	N/A			
15.19	IAC/INTERACTIVECORP.	N/A			
15.20	INTERPUBLIC GROUP OF COMPANIES, INC.	N/A			
15.21	JOHN WILEY & SONS, INC. CLASS A	N/A			
15.22	LEE ENTERPRISES, INCORPORATED	N/A			
15.23	LIBERTY BROADBAND CORP. CLASS A	N/A			
15.24	LIBERTY BROADBAND CORP. CLASS C	N/A			
15.25	LIBERTY GLOBAL PLC CLASS A	N/A			
15.26	LIBERTY GLOBAL PLC CLASS C	N/A			
15.27	LIBERTY LATIN AMERICA LTD. CLASS A	N/A			
15.28	LIBERTY LATIN AMERICA LTD. CLASS C	N/A			
15.29	LIBERTY MEDIA CORP. SERIES A LIBERTY BRAVES	N/A			
15.30	LIBERTY MEDIA CORP. SERIES A LIBERTY FORMULA ONE	N/A			
15.31	LIBERTY MEDIA CORP. SERIES A LIBERTY SIRIUSXM	N/A			
15.32	LIBERTY MEDIA CORP. SERIES C LIBERTY BRAVES	N/A			
15.33	LIBERTY MEDIA CORP. SERIES C LIBERTY SIRIUSXM	N/A			
15.34	LIBERTY TRIPADVISOR HOLDINGS INC CLASS A	N/A			
15.35	LIONS GATE ENTERTAINMENT CORP CLASS B	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.36	LIVE NATION ENTERTAINMENT, INC.	N/A			
15.37	LUMEN TECHNOLOGIES, INC.	N/A			
15.38	MADISON SQUARE GARDEN ENTERTAINMENT CORP CLASS A	N/A			
15.39	MADISON SQUARE GARDEN SPORTS CORP. CLASS A	N/A			
15.40	MATCH GROUP, INC.	N/A			
15.41	MEREDITH CORPORATION	N/A			
15.42	MSG NETWORKS INC. CLASS A	N/A			
15.43	NETFLIX, INC.	N/A			
15.44	NEW YORK TIMES COMPANY CLASS A	N/A			
15.45	NEWS CORPORATION CLASS A	N/A			
15.46	OMNICOM GROUP INC	N/A			
15.47	PINTEREST, INC. CLASS A	N/A			
15.48	ROKU, INC. CLASS A	N/A			
15.49	SHENANDOAH TELECOMMUNICATIONS COMPANY	N/A			
15.50	SIRIUS XM HOLDINGS, INC.	N/A			
15.51	SNAP, INC. CLASS A	N/A			
15.52	SPOTIFY TECHNOLOGY SA	N/A			
15.53	T-MOBILE US, INC.	N/A			
15.54	TAKE-TWO INTERACTIVE SOFTWARE, INC.	N/A			
15.55	TRIPADVISOR, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.56	TWITTER, INC.	N/A			
15.57	VERIZON COMMUNICATIONS INC.	N/A			
15.58	VIACOMCBS INC. CLASS B	N/A			
15.59	VIMEO, INC.	N/A			
15.60	WALT DISNEY COMPANY	N/A			
15.61	WORLD WRESTLING ENTERTAINMENT, INC. CLASS A	N/A			
15.62	ZILLOW GROUP, INC. CLASS A	N/A			
15.63	ZILLOW GROUP, INC. CLASS C	N/A			
15.64	ADIANT PLC	N/A			
15.65	AIRBNB, INC. CLASS A CLASS A	N/A			
15.66	ALIBABA GROUP HOLDING LTD. SPONSORED ADR	N/A			
15.67	AMAZON.COM, INC.	N/A			
15.68	AMERICAN EAGLE OUTFITTERS, INC.	N/A			
15.69	AUTONATION, INC.	N/A			
15.70	BARNES & NOBLE EDUCATION, INC.	N/A			
15.71	BEST BUY CO., INC.	N/A			
15.72	BLINK CHARGING CO	N/A			
15.73	BOOKING HOLDINGS INC.	N/A			
15.74	BORGWARNER INC.	N/A			
15.75	BURLINGTON STORES, INC.	N/A			
15.76	CARMAX, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.77	CARNIVAL CORPORATION	N/A			
15.78	CARVANA CO. CLASS A	N/A			
15.79	CHIPOTLE MEXICAN GRILL, INC.	N/A			
15.80	CHOICE HOTELS INTERNATIONAL, INC.	N/A			
15.81	CHURCHILL DOWNS INCORPORATED	N/A			
15.82	COOPER-STANDARD HOLDINGS INC.	N/A			
15.83	D.R. HORTON, INC.	N/A			
15.84	DARDEN RESTAURANTS, INC.	N/A			
15.85	DICK'S SPORTING GOODS, INC.	N/A			
15.86	DOLLAR TREE, INC.	N/A			
15.87	DOMINO'S PIZZA, INC.	N/A			
15.88	EBAY INC.	N/A			
15.89	EXPEDIA GROUP, INC.	N/A			
15.90	FORD MOTOR COMPANY	N/A			
15.91	GAMESTOP CORP. CLASS A	N/A			
15.92	GAP, INC.	N/A			
15.93	GARMIN LTD.	N/A			
15.94	GENERAL MOTORS COMPANY	N/A			
15.95	GENTEX CORPORATION	N/A			
15.96	GENUINE PARTS COMPANY	N/A			
15.97	GROUP 1 AUTOMOTIVE, INC.	N/A			
15.98	GRUBHUB, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.99	H&R BLOCK, INC.	N/A			
15.100	HANESBRANDS INC.	N/A			
15.101	HASBRO, INC.	N/A			
15.102	HOME DEPOT, INC.	N/A			
15.103	HYATT HOTELS CORPORATION CLASS A	N/A			
15.104	JD.COM, INC. SPONSORED ADR CLASS A	N/A			
15.105	KB HOME	N/A			
15.106	KONTOOR BRANDS, INC.	N/A			
15.107	L BRANDS, INC.	N/A			
15.108	LAS VEGAS SANDS CORP.	N/A			
15.109	LEGGETT & PLATT, INCORPORATED	N/A			
15.110	LENNAR CORPORATION CLASS A	N/A			
15.111	LKQ CORPORATION	N/A			
15.112	LOWE'S COMPANIES, INC.	N/A			
15.113	LULULEMON ATHLETICA INC	N/A			
15.114	M.D.C. HOLDINGS, INC.	N/A			
15.115	MACY'S INC	N/A			
15.116	MARRIOTT INTERNATIONAL, INC. CLASS A	N/A			
15.117	MARRIOTT VACATIONS WORLDWIDE CORPORATION	N/A			
15.118	MATTEL, INC.	N/A			
15.119	MCDONALD'S CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.120	MURPHY USA, INC.	N/A			
15.121	NEWELL BRANDS INC	N/A			
15.122	NIKE, INC. CLASS B	N/A			
15.123	NORDSTROM, INC.	N/A			
15.124	NORWEGIAN CRUISE LINE HOLDINGS LTD.	N/A			
15.125	NVR, INC.	N/A			
15.126	O'REILLY AUTOMOTIVE, INC.	N/A			
15.127	PAPA JOHN'S INTERNATIONAL, INC.	N/A			
15.128	PELOTON INTERACTIVE, INC. CLASS A	N/A			
15.129	PENN NATIONAL GAMING, INC.	N/A			
15.130	PENSKE AUTOMOTIVE GROUP, INC.	N/A			
15.131	POLARIS INC.	N/A			
15.132	POOL CORPORATION	N/A			
15.133	PULTEGROUP, INC.	N/A			
15.134	QURATE RETAIL INC 8 % CUM RED PFD REGISTERED SHS SERIES A	N/A			
15.135	QURATE RETAIL, INC. CLASS A	N/A			
15.136	RALPH LAUREN CORPORATION CLASS A	N/A			
15.137	ROSS STORES, INC.	N/A			
15.138	SALLY BEAUTY HOLDINGS, INC.	N/A			
15.139	SERVICE CORPORATION INTERNATIONAL	N/A			
15.140	SHUTTERSTOCK, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.141	STARBUCKS CORPORATION	N/A			
15.142	TAPESTRY, INC.	N/A			
15.143	TARGET CORPORATION	N/A			
15.144	TEMPUR SEALY INTERNATIONAL INC	N/A			
15.145	TENNECO INC. CLASS A	N/A			
15.146	TESLA INC	N/A			
15.147	TEXAS ROADHOUSE, INC.	N/A			
15.148	TJX COMPANIES INC	N/A			
15.149	TOLL BROTHERS, INC.	N/A			
15.150	TOYOTA MOTOR CORP. SPONSORED ADR	N/A			
15.151	TRACTOR SUPPLY COMPANY	N/A			
15.152	TRAVEL + LEISURE CO.	N/A			
15.153	ULTA BEAUTY INC	N/A			
15.154	UNDER ARMOUR, INC. CLASS A	N/A			
15.155	UNDER ARMOUR, INC. CLASS C	N/A			
15.156	V.F. CORPORATION	N/A			
15.157	VISTEON CORPORATION	N/A			
15.158	WENDY'S COMPANY	N/A			
15.159	WHIRLPOOL CORPORATION	N/A			
15.160	WILLIAMS-SONOMA, INC.	N/A			
15.161	WOLVERINE WORLD WIDE, INC.	N/A			
15.162	WYNDHAM HOTELS & RESORTS, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.163	WYNN RESORTS, LIMITED	N/A			
15.164	YUM CHINA HOLDINGS, INC.	N/A			
15.165	YUM! BRANDS, INC.	N/A			
15.166	ALTRIA GROUP INC	N/A			
15.167	ARCHER-DANIELS-MIDLAND COMPANY	N/A			
15.168	BEYOND MEAT, INC.	N/A			
15.169	BOSTON BEER COMPANY, INC. CLASS A	N/A			
15.170	BROWN-FORMAN CORPORATION CLASS A	N/A			
15.171	BROWN-FORMAN CORPORATION CLASS B	N/A			
15.172	CAMPBELL SOUP COMPANY	N/A			
15.173	CASEY'S GENERAL STORES, INC.	N/A			
15.174	CHURCH & DWIGHT CO., INC.	N/A			
15.175	CLOROX COMPANY	N/A			
15.176	COCA-COLA COMPANY	N/A			
15.177	COCA-COLA EUROPACIFIC PARTNERS PLC	N/A			
15.178	COLGATE-PALMOLIVE COMPANY	N/A			
15.179	CONAGRA BRANDS, INC.	N/A			
15.180	COSTCO WHOLESALE CORPORATION	N/A			
15.181	ESTEE LAUDER COMPANIES INC. CLASS A	N/A			
15.182	GENERAL MILLS, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.183	HAIN CELESTIAL GROUP, INC.	N/A			
15.184	HERSHEY COMPANY	N/A			
15.185	HORMEL FOODS CORPORATION	N/A			
15.186	J.M. SMUCKER COMPANY	N/A			
15.187	KELLOGG COMPANY	N/A			
15.188	KIMBERLY-CLARK CORPORATION	N/A			
15.189	KRAFT HEINZ COMPANY	N/A			
15.190	KROGER CO.	N/A			
15.191	LAMB WESTON HOLDINGS, INC.	N/A			
15.192	MCCORMICK & COMPANY, INCORPORATED	N/A			
15.193	MOLSON COORS BEVERAGE COMPANY CLASS B	N/A			
15.194	MONDELEZ INTERNATIONAL, INC. CLASS A	N/A			
15.195	MONSTER BEVERAGE CORPORATION	N/A			
15.196	NESTLE S.A.	N/A			
15.197	NESTLE S.A. SPONSORED ADR	N/A			
15.198	PEPSICO, INC.	N/A			
15.199	PHILIP MORRIS INTERNATIONAL INC.	N/A			
15.200	POST HOLDINGS, INC.	N/A			
15.201	PROCTER & GAMBLE COMPANY	N/A			
15.202	SPECTRUM BRANDS HOLDINGS, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.203	SPROUTS FARMERS MARKETS, INC.	N/A			
15.204	SYSCO CORPORATION	N/A			
15.205	UNITED NATURAL FOODS, INC.	N/A			
15.206	VECTOR GROUP LTD.	N/A			
15.207	WALGREENS BOOTS ALLIANCE INC	N/A			
15.208	WALMART INC.	N/A			
15.209	ANTERO RESOURCES CORPORATION	N/A			
15.210	APA CORP.	N/A			
15.211	BAKER HUGHES COMPANY CLASS A	N/A			
15.212	BP P.L.C. SPONSORED ADR	N/A			
15.213	CABOT OIL & GAS CORPORATION	N/A			
15.214	CHENIERE ENERGY, INC.	N/A			
15.215	CHEVRON CORPORATION	N/A			
15.216	CIMAREX ENERGY CO.	N/A			
15.217	CONOCOPHILLIPS	N/A			
15.218	DEVON ENERGY CORPORATION	N/A			
15.219	DIAMONDBACK ENERGY, INC.	N/A			
15.220	ENBRIDGE INC.	N/A			
15.221	EOG RESOURCES, INC.	N/A			
15.222	EQT CORPORATION	N/A			
15.223	EQUITRANS MIDSTREAM CORP.	N/A			
15.224	EXXON MOBIL CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.225	HELMERICH & PAYNE, INC.	N/A			
15.226	HESS CORPORATION	N/A			
15.227	HOLLYFRONTIER CORPORATION	N/A			
15.228	KINDER MORGAN INC CLASS P	N/A			
15.229	MARATHON PETROLEUM CORPORATION	N/A			
15.230	MURPHY OIL CORPORATION	N/A			
15.231	NOV INC.	N/A			
15.232	OCCIDENTAL PETROLEUM CORPORATION	N/A			
15.233	OCCIDENTAL PETROLEUM CORPORATION WARRANT 2020-03.08.27 ON OCCIDENTAL PETE	N/A			
15.234	ONEOK, INC.	N/A			
15.235	PATTERSON-UTI ENERGY, INC.	N/A			
15.236	PHILLIPS 66	N/A			
15.237	PIONEER NATURAL RESOURCES COMPANY	N/A			
15.238	RANGE RESOURCES CORPORATION	N/A			
15.239	ROYAL DUTCH SHELL PLC SPONSORED ADR CLASS A	N/A			
15.240	SCHLUMBERGER NV	N/A			
15.241	SM ENERGY COMPANY	N/A			
15.242	TARGA RESOURCES CORP.	N/A			
15.243	U.S. SILICA HOLDINGS, INC.	N/A			
15.244	VALERO ENERGY CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.245	WILLIAMS COMPANIES, INC.	N/A			
15.246	WORLD FUEL SERVICES CORPORATION	N/A			
15.247	AEGON NV ADR	N/A			
15.248	AFFILIATED MANAGERS GROUP, INC.	N/A			
15.249	AFLAC INCORPORATED	N/A			
15.250	ALLSTATE CORPORATION	N/A			
15.251	AMERICAN EXPRESS COMPANY	N/A			
15.252	AMERICAN FINANCIAL GROUP, INC.	N/A			
15.253	AMERICAN INTERNATIONAL GROUP, INC.	N/A			
15.254	AMERIPRISE FINANCIAL, INC.	N/A			
15.255	AON PLC CLASS A	N/A			
15.256	ARTHUR J. GALLAGHER & CO.	N/A			
15.257	ASSOCIATED BANC-CORP	N/A			
15.258	BANCO BILBAO VIZCAYA ARGENTARIA, S.A. SPONSORED ADR	N/A			
15.259	BANK OF AMERICA CORP	N/A			
15.260	BANK OF MONTREAL	N/A			
15.261	BANK OF NEW YORK MELLON CORPORATION	N/A			
15.262	BERKSHIRE HATHAWAY INC. CLASS A	N/A			
15.263	BERKSHIRE HATHAWAY INC. CLASS B	N/A			
15.264	BLACKROCK, INC.	N/A			
15.265	BLUCORA, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.266	BOK FINANCIAL CORPORATION	N/A			
15.267	BRIGHOUSE FINANCIAL, INC.	N/A			
15.268	CAPITAL ONE FINANCIAL CORPORATION	N/A			
15.269	CATHAY GENERAL BANCORP	N/A			
15.270	CBOE GLOBAL MARKETS INC	N/A			
15.271	CHARLES SCHWAB CORPORATION	N/A			
15.272	CHUBB LIMITED	N/A			
15.273	CIT GROUP INC.	N/A			
15.274	CITIGROUP INC.	N/A			
15.275	CITIZENS FINANCIAL GROUP, INC.	N/A			
15.276	CME GROUP INC. CLASS A	N/A			
15.277	COMERICA INCORPORATED	N/A			
15.278	CULLEN/FROST BANKERS, INC.	N/A			
15.279	CVB FINANCIAL CORP.	N/A			
15.280	DISCOVER FINANCIAL SERVICES	N/A			
15.281	DONNELLEY FINANCIAL SOLUTIONS, INC.	N/A			
15.282	EVERCORE INC CLASS A	N/A			
15.283	FEDERAL NATIONAL MORTGAGE ASSOCIATION	N/A			
15.284	FEDERATED HERMES, INC. CLASS B	N/A			
15.285	FIFTH THIRD BANCORP	N/A			
15.286	FIRST AMERICAN FINANCIAL CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.287	FIRST HORIZON CORPORATION	N/A			
15.288	FIRST NATIONAL OF NEBRASKA, INC.	N/A			
15.289	FRANKLIN RESOURCES, INC.	N/A			
15.290	FREDDIE MAC	N/A			
15.291	FULTON FINANCIAL CORPORATION	N/A			
15.292	HANOVER INSURANCE GROUP, INC.	N/A			
15.293	HARTFORD FINANCIAL SERVICES GROUP, INC.	N/A			
15.294	HSBC HOLDINGS PLC SPONSORED ADR	N/A			
15.295	HUNTINGTON BANCSHARES INCORPORATED	N/A			
15.296	INTERCONTINENTAL EXCHANGE, INC.	N/A			
15.297	JEFFERIES FINANCIAL GROUP INC.	N/A			
15.298	JPMORGAN CHASE & CO.	N/A			
15.299	KEMPER CORPORATION	N/A			
15.300	KEYCORP	N/A			
15.301	KKR & CO. INC.	N/A			
15.302	LEDYARD FINANCIAL GROUP, INC.	N/A			
15.303	LENDINGCLUB CORP	N/A			
15.304	LENDINGTREE, INC.	N/A			
15.305	LINCOLN NATIONAL CORPORATION	N/A			
15.306	LOEWS CORPORATION	N/A			
15.307	M&T BANK CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.308	MARKEL CORPORATION	N/A			
15.309	MARLIN BUSINESS SERVICES CORP.	N/A			
15.310	MARSH & MCLENNAN COMPANIES, INC.	N/A			
15.311	MOODY'S CORPORATION	N/A			
15.312	MORGAN STANLEY	N/A			
15.313	MR. COOPER GROUP, INC.	N/A			
15.314	NASDAQ, INC.	N/A			
15.315	NMI HOLDINGS, INC. CLASS A	N/A			
15.316	NORTHERN TRUST CORPORATION	N/A			
15.317	OLD NATIONAL BANCORP	N/A			
15.318	OLD REPUBLIC INTERNATIONAL CORPORATION	N/A			
15.319	ONEMAIN HOLDINGS, INC.	N/A			
15.320	PACWEST BANCORP	N/A			
15.321	PIPER SANDLER COMPANIES	N/A			
15.322	PNC FINANCIAL SERVICES GROUP, INC.	N/A			
15.323	PROGRESSIVE CORPORATION	N/A			
15.324	PRUDENTIAL FINANCIAL, INC.	N/A			
15.325	RADIAN GROUP INC.	N/A			
15.326	RAYMOND JAMES FINANCIAL, INC.	N/A			
15.327	REGIONS FINANCIAL CORPORATION	N/A			
15.328	REINSURANCE GROUP OF AMERICA, INCORPORATED	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.329	ROYAL BANK OF CANADA	N/A			
15.330	S&P GLOBAL, INC.	N/A			
15.331	SIMMONS FIRST NATIONAL CORPORATION CLASS A	N/A			
15.332	STATE STREET CORPORATION	N/A			
15.333	STERLING BANCORP	N/A			
15.334	SVB FINANCIAL GROUP	N/A			
15.335	SYNCHRONY FINANCIAL	N/A			
15.336	SYNOVUS FINANCIAL CORP.	N/A			
15.337	T. ROWE PRICE GROUP	N/A			
15.338	TCF FINANCIAL CORPORATION	N/A			
15.339	TORONTO-DOMINION BANK	N/A			
15.340	TRAVELERS COMPANIES, INC.	N/A			
15.341	TRUIST FINANCIAL CORPORATION	N/A			
15.342	U.S. BANCORP	N/A			
15.343	UBS GROUP AG	N/A			
15.344	UBS GROUP AG	N/A			
15.345	UMB FINANCIAL CORPORATION	N/A			
15.346	UNUM GROUP	N/A			
15.347	VALLEY NATIONAL BANCORP	N/A			
15.348	WELLS FARGO & COMPANY	N/A			
15.349	WESTERN ALLIANCE BANCORP	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.350	WILLIS TOWERS WATSON PUBLIC LIMITED COMPANY	N/A			
15.351	ZIONS BANCORPORATION, N.A.	N/A			
15.352	10X GENOMICS INC CLASS A	N/A			
15.353	ABBOTT LABORATORIES	N/A			
15.354	ABBVIE, INC.	N/A			
15.355	ABIOMED, INC.	N/A			
15.356	ACADIA HEALTHCARE COMPANY, INC.	N/A			
15.357	ADAPTIVE BIOTECHNOLOGIES CORP.	N/A			
15.358	AGILENT TECHNOLOGIES, INC.	N/A			
15.359	ALEXION PHARMACEUTICALS, INC.	N/A			
15.360	ALIGN TECHNOLOGY, INC.	N/A			
15.361	ALLAKOS, INC.	N/A			
15.362	AMERISOURCEBERGEN CORPORATION	N/A			
15.363	AMGEN INC.	N/A			
15.364	ANTHEM, INC.	N/A			
15.365	AVANOS MEDICAL, INC.	N/A			
15.366	BAXTER INTERNATIONAL INC.	N/A			
15.367	BECTON, DICKINSON AND COMPANY	N/A			
15.368	BIOGEN INC.	N/A			
15.369	BIOMARIN PHARMACEUTICAL INC.	N/A			
15.370	BOSTON SCIENTIFIC CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.371	BRIDGEBIO PHARMA, INC.	N/A			
15.372	BRISTOL-MYERS SQUIBB COMPANY	N/A			
15.373	CARDINAL HEALTH, INC.	N/A			
15.374	CATALENT INC	N/A			
15.375	CENTENE CORPORATION	N/A			
15.376	CERNER CORPORATION	N/A			
15.377	CHARLES RIVER LABORATORIES INTERNATIONAL, INC.	N/A			
15.378	CHEMED CORPORATION	N/A			
15.379	CIGNA CORPORATION	N/A			
15.380	COMMUNITY HEALTH SYSTEMS, INC.	N/A			
15.381	COOPER COMPANIES, INC.	N/A			
15.382	COVETRUS, INC.	N/A			
15.383	CVS HEALTH CORPORATION	N/A			
15.384	DANAHER CORPORATION	N/A			
15.385	DENTSPLY SIRONA, INC.	N/A			
15.386	DEXCOM, INC.	N/A			
15.387	EDWARDS LIFESCIENCES CORPORATION	N/A			
15.388	ELI LILLY AND COMPANY	N/A			
15.389	ENCOMPASS HEALTH CORPORATION	N/A			
15.390	ENVISTA HOLDINGS CORP.	N/A			
15.391	EXACT SCIENCES CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.392	FIBROGEN, INC.	N/A			
15.393	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONSORED ADR	N/A			
15.394	GILEAD SCIENCES, INC.	N/A			
15.395	GLAXOSMITHKLINE PLC SPONSORED ADR	N/A			
15.396	HAEMONETICS CORPORATION	N/A			
15.397	HCA HEALTHCARE INC	N/A			
15.398	HEALTH EQUITY INC	N/A			
15.399	HENRY SCHEIN, INC.	N/A			
15.400	HILL-ROM HOLDINGS, INC.	N/A			
15.401	HUMANA INC.	N/A			
15.402	IDEXX LABORATORIES, INC.	N/A			
15.403	ILLUMINA, INC.	N/A			
15.404	INCYTE CORPORATION	N/A			
15.405	INOVALON HOLDINGS, INC. CLASS A	N/A			
15.406	INTELLIA THERAPEUTICS, INC.	N/A			
15.407	INTUITIVE SURGICAL, INC.	N/A			
15.408	IQVIA HOLDINGS INC	N/A			
15.409	JAZZ PHARMACEUTICALS PUBLIC LIMITED COMPANY	N/A			
15.410	JOHNSON & JOHNSON	N/A			
15.411	KARUNA THERAPEUTICS, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.412	LABORATORY CORPORATION OF AMERICA HOLDINGS	N/A			
15.413	MASIMO CORPORATION	N/A			
15.414	MCKESSON CORPORATION	N/A			
15.415	MEDTRONIC PLC	N/A			
15.416	MERCK & CO., INC.	N/A			
15.417	METTLER-TOLEDO INTERNATIONAL INC.	N/A			
15.418	MODERNA, INC.	N/A			
15.419	NOVO NORDISK A/S SPONSORED ADR CLASS B	N/A			
15.420	OAK STREET HEALTH, INC.	N/A			
15.421	OPKO HEALTH, INC.	N/A			
15.422	PERRIGO CO. PLC	N/A			
15.423	PFIZER INC.	N/A			
15.424	PRECIGEN INC	N/A			
15.425	PRESTIGE CONSUMER HEALTHCARE INC	N/A			
15.426	PROGYNY, INC.	N/A			
15.427	QUEST DIAGNOSTICS INCORPORATED	N/A			
15.428	REGENERON PHARMACEUTICALS, INC.	N/A			
15.429	REGENXBIO, INC.	N/A			
15.430	REPLIGEN CORPORATION	N/A			
15.431	RESMED INC.	N/A			
15.432	ROCHE HOLDING LTD	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.433	SAGE THERAPEUTICS, INC.	N/A			
15.434	SEAGEN, INC.	N/A			
15.435	STRYKER CORPORATION	N/A			
15.436	TAKEDA PHARMACEUTICAL CO. LTD. SPONSORED ADR	N/A			
15.437	TENET HEALTHCARE CORPORATION	N/A			
15.438	TEVA PHARMACEUTICAL INDUSTRIES LIMITED SPONSORED ADR	N/A			
15.439	TG THERAPEUTICS, INC.	N/A			
15.440	THERMO FISHER SCIENTIFIC INC.	N/A			
15.441	TURNING POINT THERAPEUTICS, INC.	N/A			
15.442	UNITED THERAPEUTICS CORPORATION	N/A			
15.443	UNITEDHEALTH GROUP INCORPORATED	N/A			
15.444	UNIVERSAL HEALTH SERVICES, INC. CLASS B	N/A			
15.445	VEEVA SYSTEMS INC CLASS A	N/A			
15.446	VERTEX PHARMACEUTICALS INCORPORATED	N/A			
15.447	VIATRIS, INC.	N/A			
15.448	WEST PHARMACEUTICAL SERVICES, INC.	N/A			
15.449	Y-MABS THERAPEUTICS, INC.	N/A			
15.450	ZIMMER BIOMET HOLDINGS, INC.	N/A			
15.451	3M COMPANY	N/A			
15.452	AAR CORP.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.453	ACUITY BRANDS, INC.	N/A			
15.454	AECOM	N/A			
15.455	AGCO CORPORATION	N/A			
15.456	ALLEGIANT TRAVEL COMPANY	N/A			
15.457	ALLEGION PLC	N/A			
15.458	AMERICAN AIRLINES GROUP, INC.	N/A			
15.459	AMETEK, INC.	N/A			
15.460	ARCOSA, INC.	N/A			
15.461	ASGN INC	N/A			
15.462	AVIS BUDGET GROUP, INC.	N/A			
15.463	AZZ INC.	N/A			
15.464	BLOOM ENERGY CORPORATION CLASS A	N/A			
15.465	BOEING COMPANY	N/A			
15.466	BOOZ ALLEN HAMILTON HOLDING CORPORATION CLASS A	N/A			
15.467	C.H. ROBINSON WORLDWIDE, INC.	N/A			
15.468	CARRIER GLOBAL CORP.	N/A			
15.469	CASELLA WASTE SYSTEMS, INC. CLASS A	N/A			
15.470	CATERPILLAR INC.	N/A			
15.471	CBIZ, INC.	N/A			
15.472	CHART INDUSTRIES, INC.	N/A			
15.473	CINTAS CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.474	COLFAX CORPORATION	N/A			
15.475	COSTAR GROUP, INC.	N/A			
15.476	CRANE CO.	N/A			
15.477	CSX CORPORATION	N/A			
15.478	CUMMINS INC.	N/A			
15.479	CURTISS-WRIGHT CORPORATION	N/A			
15.480	DEERE & COMPANY	N/A			
15.481	DELUXE CORPORATION	N/A			
15.482	DONALDSON COMPANY, INC.	N/A			
15.483	DOVER CORPORATION	N/A			
15.484	DYCOM INDUSTRIES, INC.	N/A			
15.485	EATON CORP. PLC	N/A			
15.486	EMERSON ELECTRIC CO.	N/A			
15.487	EXPONENT, INC.	N/A			
15.488	FASTENAL COMPANY	N/A			
15.489	FEDEX CORPORATION	N/A			
15.490	FLOWSERVE CORPORATION	N/A			
15.491	FORTIVE CORP.	N/A			
15.492	FORTUNE BRANDS HOME & SECURITY, INC.	N/A			
15.493	FRANKLIN COVEY CO.	N/A			
15.494	GENERAC HOLDINGS INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.495	GENERAL DYNAMICS CORPORATION	N/A			
15.496	GENERAL ELECTRIC COMPANY	N/A			
15.497	GRACO INC.	N/A			
15.498	HEALTHCARE SERVICES GROUP, INC.	N/A			
15.499	HERMAN MILLER, INC.	N/A			
15.500	HONEYWELL INTERNATIONAL INC.	N/A			
15.501	HOWMET AEROSPACE INC.	N/A			
15.502	HUDSON GLOBAL, INC.	N/A			
15.503	HUNTINGTON INGALLS INDUSTRIES, INC.	N/A			
15.504	ILLINOIS TOOL WORKS INC.	N/A			
15.505	INGERSOLL RAND INC.	N/A			
15.506	ITT, INC.	N/A			
15.507	J.B. HUNT TRANSPORT SERVICES, INC.	N/A			
15.508	JOHNSON CONTROLS INTERNATIONAL PLC	N/A			
15.509	KADANT INC.	N/A			
15.510	KANSAS CITY SOUTHERN	N/A			
15.511	KBR, INC.	N/A			
15.512	L3HARRIS TECHNOLOGIES INC	N/A			
15.513	LEIDOS HOLDINGS, INC.	N/A			
15.514	LINCOLN ELECTRIC HOLDINGS, INC.	N/A			
15.515	LOCKHEED MARTIN CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.516	LYFT, INC. CLASS A	N/A			
15.517	MANPOWERGROUP INC.	N/A			
15.518	MONITRONICS INTERNATIONAL, INC.	N/A			
15.519	MSA SAFETY, INC.	N/A			
15.520	NORDSON CORPORATION	N/A			
15.521	NORFOLK SOUTHERN CORPORATION	N/A			
15.522	NORTHROP GRUMMAN CORPORATION	N/A			
15.523	NVENT ELECTRIC PLC	N/A			
15.524	OTIS WORLDWIDE CORPORATION	N/A			
15.525	PACCAR INC	N/A			
15.526	PARKER-HANNIFIN CORPORATION	N/A			
15.527	PENTAIR PLC	N/A			
15.528	PLUG POWER INC.	N/A			
15.529	QUANTA SERVICES, INC.	N/A			
15.530	R.R. DONNELLEY & SONS COMPANY	N/A			
15.531	RAYTHEON TECHNOLOGIES CORPORATION	N/A			
15.532	REPUBLIC SERVICES, INC.	N/A			
15.533	RESIDEO TECHNOLOGIES, INC.	N/A			
15.534	ROCKWELL AUTOMATION, INC.	N/A			
15.535	ROLLINS, INC.	N/A			
15.536	ROPER TECHNOLOGIES, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.537	SOUTHWEST AIRLINES CO.	N/A			
15.538	SPIRIT AEROSYSTEMS HOLDINGS, INC. CLASS A	N/A			
15.539	STANDEX INTERNATIONAL CORPORATION	N/A			
15.540	STANLEY BLACK & DECKER, INC.	N/A			
15.541	SUNRUN INC.	N/A			
15.542	TELEDYNE TECHNOLOGIES INCORPORATED	N/A			
15.543	TEXTRON INC.	N/A			
15.544	THOMSON REUTERS CORPORATION	N/A			
15.545	TRANE TECHNOLOGIES PLC	N/A			
15.546	TRANSUNION	N/A			
15.547	TREX COMPANY, INC.	N/A			
15.548	TRINITY INDUSTRIES, INC.	N/A			
15.549	UBER TECHNOLOGIES, INC.	N/A			
15.550	UNION PACIFIC CORPORATION	N/A			
15.551	UNITED PARCEL SERVICE, INC. CLASS B	N/A			
15.552	UNITED RENTALS, INC.	N/A			
15.553	VECTRUS INC	N/A			
15.554	VERISK ANALYTICS INC	N/A			
15.555	VERITIV CORP	N/A			
15.556	W.W. GRAINGER, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.557	WASTE MANAGEMENT, INC.	N/A			
15.558	WATSCO, INC.	N/A			
15.559	WELBILT INC	N/A			
15.560	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORPORATION	N/A			
15.561	WOODWARD, INC.	N/A			
15.562	XPO LOGISTICS, INC.	N/A			
15.563	XYLEM INC.	N/A			
15.564	ACCENTURE PLC CLASS A	N/A			
15.565	ACI WORLDWIDE, INC.	N/A			
15.566	ADOBE INC.	N/A			
15.567	ADVANCED ENERGY INDUSTRIES, INC.	N/A			
15.568	ADVANCED MICRO DEVICES, INC.	N/A			
15.569	ANALOG DEVICES, INC.	N/A			
15.570	ANAPLAN, INC.	N/A			
15.571	ANSYS, INC.	N/A			
15.572	APPFOLIO INC CLASS A	N/A			
15.573	APPLE INC.	N/A			
15.574	APPLIED MATERIALS, INC.	N/A			
15.575	ARISTA NETWORKS, INC.	N/A			
15.576	ASPEN TECHNOLOGY, INC.	N/A			
15.577	AUTODESK, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.578	AUTOMATIC DATA PROCESSING, INC.	N/A			
15.579	BILL.COM HOLDINGS, INC.	N/A			
15.580	BROADCOM INC.	N/A			
15.581	CADENCE DESIGN SYSTEMS, INC.	N/A			
15.582	CALIX, INC.	N/A			
15.583	CDK GLOBAL, INC.	N/A			
15.584	CDW CORP.	N/A			
15.585	CHECK POINT SOFTWARE TECHNOLOGIES LTD.	N/A			
15.586	CIENA CORPORATION	N/A			
15.587	CISCO SYSTEMS, INC.	N/A			
15.588	CLOUDFLARE INC CLASS A	N/A			
15.589	CMC MATERIALS, INC.	N/A			
15.590	COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A	N/A			
15.591	COHERENT, INC.	N/A			
15.592	COHU, INC.	N/A			
15.593	CONCENTRIX CORPORATION	N/A			
15.594	CORNING INC	N/A			
15.595	COUPA SOFTWARE, INC.	N/A			
15.596	CREE, INC.	N/A			
15.597	CROWDSTRIKE HOLDINGS, INC. CLASS A	N/A			
15.598	DATADOG INC CLASS A	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.599	DELL TECHNOLOGIES INC CLASS C	N/A			
15.600	DIGI INTERNATIONAL INC.	N/A			
15.601	DOCUSIGN, INC.	N/A			
15.602	DXC TECHNOLOGY CO.	N/A			
15.603	DYNATRACE, INC.	N/A			
15.604	ENTEGRIS, INC.	N/A			
15.605	EPAM SYSTEMS, INC.	N/A			
15.606	EURONET WORLDWIDE, INC.	N/A			
15.607	EXFO INC.	N/A			
15.608	FIDELITY NATIONAL INFORMATION SERVICES, INC.	N/A			
15.609	FIREEYE, INC.	N/A			
15.610	FIRST SOLAR, INC.	N/A			
15.611	FISERV, INC.	N/A			
15.612	GARTNER, INC.	N/A			
15.613	GENPACT LIMITED	N/A			
15.614	GLOBAL PAYMENTS INC.	N/A			
15.615	HEWLETT PACKARD ENTERPRISE CO.	N/A			
15.616	HP INC.	N/A			
15.617	HUBSPOT, INC.	N/A			
15.618	II-VI INCORPORATED	N/A			
15.619	INFOSYS LIMITED SPONSORED ADR	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.620	INTEL CORPORATION	N/A			
15.621	INTERNATIONAL BUSINESS MACHINES CORPORATION	N/A			
15.622	INTUIT INC.	N/A			
15.623	JABIL INC.	N/A			
15.624	JUNIPER NETWORKS, INC.	N/A			
15.625	KEYSIGHT TECHNOLOGIES INC	N/A			
15.626	KLA CORPORATION	N/A			
15.627	LAM RESEARCH CORPORATION	N/A			
15.628	LUMENTUM HOLDINGS, INC.	N/A			
15.629	MANHATTAN ASSOCIATES, INC.	N/A			
15.630	MARVELL TECHNOLOGY, INC.	N/A			
15.631	MASTERCARD INCORPORATED CLASS A	N/A			
15.632	MAXEON SOLAR TECHNOLOGIES, LTD.	N/A			
15.633	MAXIM INTEGRATED PRODUCTS, INC.	N/A			
15.634	MAXLINEAR INC	N/A			
15.635	MICRO FOCUS INTERNATIONAL PLC SPONSORED ADR	N/A			
15.636	MICROCHIP TECHNOLOGY INCORPORATED	N/A			
15.637	MICRON TECHNOLOGY, INC.	N/A			
15.638	MICROSOFT CORPORATION	N/A			
15.639	MICROSTRATEGY INCORPORATED CLASS A	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.640	MOTOROLA SOLUTIONS, INC.	N/A			
15.641	NCR CORPORATION	N/A			
15.642	NETAPP, INC.	N/A			
15.643	NETSCOUT SYSTEMS, INC.	N/A			
15.644	NORTONLIFELOCK INC.	N/A			
15.645	NUANCE COMMUNICATIONS, INC.	N/A			
15.646	NUTANIX, INC. CLASS A	N/A			
15.647	NVIDIA CORPORATION	N/A			
15.648	ON SEMICONDUCTOR CORPORATION	N/A			
15.649	ORACLE CORPORATION	N/A			
15.650	PAGERDUTY, INC.	N/A			
15.651	PALANTIR TECHNOLOGIES INC. CLASS A	N/A			
15.652	PALO ALTO NETWORKS, INC.	N/A			
15.653	PAYCHEX, INC.	N/A			
15.654	PAYPAL HOLDINGS INC	N/A			
15.655	PTC INC.	N/A			
15.656	PURE STORAGE, INC. CLASS A	N/A			
15.657	QORVO, INC.	N/A			
15.658	QUALCOMM INC	N/A			
15.659	RIBBON COMMUNICATIONS, INC.	N/A			
15.660	SALESFORCE.COM, INC.	N/A			
15.661	SERVICENOW, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.662	SKYWORKS SOLUTIONS, INC.	N/A			
15.663	SQUARE, INC. CLASS A	N/A			
15.664	SUNPOWER CORPORATION	N/A			
15.665	SYNAPTICS INCORPORATED	N/A			
15.666	SYNNEX CORPORATION	N/A			
15.667	SYNOPSYS, INC.	N/A			
15.668	TE CONNECTIVITY LTD.	N/A			
15.669	TERADATA CORPORATION	N/A			
15.670	TERADYNE, INC.	N/A			
15.671	TEXAS INSTRUMENTS INCORPORATED	N/A			
15.672	TRADE DESK, INC. CLASS A	N/A			
15.673	TRIMBLE INC.	N/A			
15.674	TWILIO, INC. CLASS A	N/A			
15.675	TYLER TECHNOLOGIES, INC.	N/A			
15.676	UBIQUITI INC.	N/A			
15.677	VERISIGN, INC.	N/A			
15.678	VIAVI SOLUTIONS INC	N/A			
15.679	VISA INC. CLASS A	N/A			
15.680	VONTIER CORP	N/A			
15.681	WESTERN UNION COMPANY	N/A			
15.682	WORKDAY, INC. CLASS A	N/A			
15.683	XEROX HOLDINGS CORPORATION	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.684	XILINX, INC.	N/A			
15.685	YEXT, INC.	N/A			
15.686	ZEBRA TECHNOLOGIES CORPORATION CLASS A	N/A			
15.687	ZOOM VIDEO COMMUNICATIONS, INC. CLASS A	N/A			
15.688	ADVANSIX, INC.	N/A			
15.689	AIR PRODUCTS AND CHEMICALS, INC.	N/A			
15.690	ALBEMARLE CORPORATION	N/A			
15.691	ALCOA CORPORATION	N/A			
15.692	ALLEGHENY TECHNOLOGIES INCORPORATED	N/A			
15.693	AMCOR PLC	N/A			
15.694	ARCONIC CORP.	N/A			
15.695	ASHLAND GLOBAL HOLDINGS, INC.	N/A			
15.696	AVERY DENNISON CORPORATION	N/A			
15.697	BALL CORPORATION	N/A			
15.698	BERRY GLOBAL GROUP INC	N/A			
15.699	BHP GROUP LIMITED SPONSORED ADR	N/A			
15.700	CABOT CORPORATION	N/A			
15.701	CELANESE CORPORATION	N/A			
15.702	CEMEX SAB DE CV SPONSORED ADR	N/A			
15.703	CHEMOURS CO.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.704	COMMERCIAL METALS COMPANY	N/A			
15.705	CORTEVA INC	N/A			
15.706	CROWN HOLDINGS, INC.	N/A			
15.707	DOW, INC.	N/A			
15.708	DUPONT DE NEMOURS, INC.	N/A			
15.709	EASTMAN CHEMICAL COMPANY	N/A			
15.710	ECOLAB INC.	N/A			
15.711	FREEPORT-MCMORAN, INC.	N/A			
15.712	GRAPHIC PACKAGING HOLDING COMPANY	N/A			
15.713	HUNTSMAN CORPORATION	N/A			
15.714	INGEVITY CORPORATION	N/A			
15.715	INTERNATIONAL FLAVORS & FRAGRANCES INC.	N/A			
15.716	INTERNATIONAL PAPER COMPANY	N/A			
15.717	LINDE PLC	N/A			
15.718	LOUISIANA-PACIFIC CORPORATION	N/A			
15.719	MOSAIC COMPANY	N/A			
15.720	NEWMARKET CORPORATION	N/A			
15.721	NEWMONT CORPORATION	N/A			
15.722	NUCOR CORPORATION	N/A			
15.723	OLIN CORPORATION	N/A			
15.724	PPG INDUSTRIES, INC.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.725	SEALED AIR CORPORATION	N/A			
15.726	SHERWIN-WILLIAMS COMPANY	N/A			
15.727	STEEL DYNAMICS, INC.	N/A			
15.728	VULCAN MATERIALS COMPANY	N/A			
15.729	W R GRACE & CO	N/A			
15.730	WESTROCK COMPANY	N/A			
15.731	ALEXANDER & BALDWIN, INC.	N/A			
15.732	COLLIERS INTERNATIONAL GROUP INC.	N/A			
15.733	FIRSTSERVICE CORP	N/A			
15.734	HOWARD HUGHES CORPORATION	N/A			
15.735	IRSA INVERSIONES Y REPRESENTACIONES SA SPONSORED ADR	N/A			
15.736	IRSA PROPIEDADES COMERCIALES S.A. SPONSORED ADR	N/A			
15.737	JONES LANG LASALLE INCORPORATED	N/A			
15.738	REDFIN CORPORATION	N/A			
15.739	AES CORPORATION	N/A			
15.740	AMEREN CORPORATION	N/A			
15.741	AMERICAN ELECTRIC POWER COMPANY, INC.	N/A			
15.742	ATMOS ENERGY CORPORATION	N/A			
15.743	CLEARWAY ENERGY, INC. CLASS C	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.744	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO SABESP SPONSORED ADR	N/A			
15.745	CONSOLIDATED EDISON, INC.	N/A			
15.746	DOMINION ENERGY INC	N/A			
15.747	DUKE ENERGY CORPORATION	N/A			
15.748	EDISON INTERNATIONAL	N/A			
15.749	ESSENTIAL UTILITIES, INC.	N/A			
15.750	FIRSTENERGY CORP.	N/A			
15.751	NEXTERA ENERGY, INC.	N/A			
15.752	NISOURCE INC	N/A			
15.753	NRG ENERGY, INC.	N/A			
15.754	OGE ENERGY CORP.	N/A			
15.755	PINNACLE WEST CAPITAL CORPORATION	N/A			
15.756	PPL CORPORATION	N/A			
15.757	PUBLIC SERVICE ENTERPRISE GROUP INC	N/A			
15.758	SEMPRA ENERGY	N/A			
15.759	SOUTHERN COMPANY	N/A			
15.760	UGI CORPORATION	N/A			
15.761	WEC ENERGY GROUP INC	N/A			
15.762	XCEL ENERGY INC.	N/A			
16	Family Irrevocable Trust #5	See Endnote	No		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.2	Lizard Head Peak LP	See Endnote	No		
16.2.1	Brokerage Account #22	No			
16.2.1.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$5,000,001 - \$25,000,000		\$2,501 - \$5,000
16.2.1.2	COURSERA INC. CMN (COUR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.2.1.3	SAILPOINT TECHNOLOGIES HOLDING CMN (SAIL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.2.1.4	QUANTUMSCAPE CORP CMN (QS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.2.1.5	TALEND ADR CMN	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.2.1.6	ASANA INC CMN (ASAN)	N/A	\$250,001 - \$500,000		None (or less than \$201)
16.2.1.7	LPL FINANCIAL HOLDINGS INC. CMN (LPLA)	N/A	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500
16.2.1.8	10X GENOMICS INC CMN CLASS A (TXG)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
16.2.1.9	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
16.2.1.10	UBER TECHNOLOGIES, INC. CMN (UBER)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
16.2.1.11	SPDR S&P 500 ETF TRUST (SPY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
16.2.2	Brokerage Account #23	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.2.1	GS FINANCIAL SQUARE FEDERAL INSTRUMENTS FUND (FIRXX)	Yes	\$5,000,001 - \$25,000,000		\$2,501 - \$5,000
16.2.2.2	GS STRATEGIC FACTOR ALLOCATION FUND CLASS P (GSQPX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16.2.2.3	GS SHORT DURATION TAX FREE FUND CLASS P (GANPX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
16.2.2.4	BNP PARIBAS LINKED TO S&P 500 INDEX DUE 03/08/2023 EQUITY INDEX LINKED NOTE	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
16.2.2.5	WELLS FARGO FINANCE LLC LNKD TO MSCI EMERGING MKT DUE 09/20/2021 EQUITY INDEX LINKED NOTE	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
16.2.2.6	THE BANK OF NOVA SCOTIA LINKED TO MSCI EAFE DUE 08/04/2021 EQUITY INDEX LINKED NOTE	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
16.2.2.7	NATIONAL BANK OF CANADA-NEW YO LINKED TO MSCI EAFE DUE 04/07/2022 EQUITY INDEX LINKED NOTE	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
16.2.2.8	JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES (EURO STOXX 50 Index, TOPIX Index, FTSE 100 Index, Swiss Market Index, and S&P/ASX 200 Index) DUE 10/06/2021 EQUITY INDEX LINKED NOTE	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
16.2.2.9	GS FINANCE CORP. LINKED TO MSCI EAFE DUE 03/09/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
16.2.2.10	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX DUE 03/03/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
16.2.2.11	GS FINANCE CORP. LINKED TO S&P 500 INDEX DUE 06/17/2020 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.2. 12	GS INTERNATIONAL EQUITY INSIGHTS FUND CLASS P (GGFPX)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
16.2.2. 13	WISDOMTREE EUR HDGD EQ FD CMN (HEDJ)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
16.2.2. 14	XTRACKERS MSCI EAFE HEDGED EQUITY ETF (DBEF)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
16.2.3	Brokerage Account #24	No			
16.2.3. 1	PAYPAL HOLDINGS, INC. CMN (PYPL)	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
16.2.3. 2	Paypal (PYPL), closed position for written call option @ 114 EXP 01/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.3. 3	Paypal (PYPL), closed position for written call option @ 121 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
16.2.3. 4	Paypal (PYPL), closed position for written call option @ 125 EXP 03/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.3. 5	Paypal (PYPL), closed position for written call option @ 130 EXP 03/06/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.3. 6	Paypal (PYPL), closed position for written call option @ 120 EXP 01/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.3. 7	Paypal (PYPL), closed position for written call option @ 133 EXP 04/03/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.3. 8	Paypal (PYPL), closed position for written call option @ 140 EXP 05/15/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.3. 9	Paypal (PYPL), closed position for written call option @ 130 EXP 04/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4	Brokerage Account #25	No			
16.2.4. 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 2	S&P 500 Index (SPX), open position for written put option @ 3820 EXP 09/30/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 3	S&P 500 Index (SPX), open position for written put option @ 3890 EXP 09/17/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 4	S&P 500 Index (SPX), open position for written put option @ 4050 EXP 08/06/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 5	S&P 500 Index (SPX), open position for written put option @ 3850 EXP 08/20/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 6	S&P 500 Index (SPX), open position for written put option @ 3920 EXP 08/20/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 7	S&P 500 Index (SPX), open position for written put option @ 4000 EXP 07/30/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 8	S&P 500 Index (SPX), open position for written put option @ 3950 EXP 07/16/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 9	S&P 500 Index (SPX), open position for written put option @ 3780 EXP 07/16/2021 - value not readily ascertainable	N/A			None (or less than \$201)
16.2.4. 10	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
16.2.4. 11	S&P 500 Index (SPX), closed position for written put option @ 2840 EXP 01/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
16.2.4. 12	S&P 500 Index (SPX), closed position for written put option @ 2050 EXP 04/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
16.2.4. 13	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 11/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 14	S&P 500 Index (SPX), closed position for written put option @ 2790 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
16.2.4. 15	S&P 500 Index (SPX), closed position for written put option @ 3290 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
16.2.4. 16	S&P 500 Index (SPX), closed position for written put option @ 3030 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
16.2.4. 17	S&P 500 Index (SPX), closed position for written put option @ 3000 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.4. 18	S&P 500 Index (SPX), closed position for written put option @ 2480 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.4. 19	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 01/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.4. 20	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.4. 21	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.4. 22	S&P 500 Index (SPX), closed position for written put option @ 2250 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.2.4. 23	S&P 500 Index (SPX), closed position for written put option @ 2870 EXP 02/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 24	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 12/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 25	S&P 500 Index (SPX), closed position for written put option @ 2480 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 26	S&P 500 Index (SPX), closed position for written put option @ 3240 EXP 02/26/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 27	S&P 500 Index (SPX), closed position for written put option @ 3900 EXP 06/04/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 28	S&P 500 Index (SPX), closed position for written put option @ 3380 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 29	S&P 500 Index (SPX), closed position for written put option @ 1850 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 30	S&P 500 Index (SPX), closed position for written put option @ 2880 EXP 08/14/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 31	S&P 500 Index (SPX), closed position for written put option @ 3990 EXP 06/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 32	S&P 500 Index (SPX), closed position for written put option @ 2980 EXP 09/04/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 33	S&P 500 Index (SPX), closed position for written put option @ 3270 EXP 10/09/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 34	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 05/29/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 35	S&P 500 Index (SPX), closed position for written put option @ 2950 EXP 09/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 36	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 37	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 38	S&P 500 Index (SPX), closed position for written put option @ 2800 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 39	S&P 500 Index (SPX), closed position for written put option @ 3750 EXP 06/18/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 40	S&P 500 Index (SPX), closed position for written put option @ 2990 EXP 12/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 41	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 42	S&P 500 Index (SPX), closed position for written put option @ 3290 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 43	S&P 500 Index (SPX), closed position for written put option @ 2650 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 44	S&P 500 Index (SPX), closed position for written put option @ 3280 EXP 03/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 45	S&P 500 Index (SPX), closed position for written put option @ 3280 EXP 03/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 46	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 02/07/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 47	S&P 500 Index (SPX), closed position for written put option @ 2480 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 48	S&P 500 Index (SPX), closed position for written put option @ 3650 EXP 05/07/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 49	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.2.4. 50	S&P 500 Index (SPX), closed position for written put option @ 3420 EXP 04/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 51	S&P 500 Index (SPX), closed position for written put option @ 3240 EXP 02/26/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 52	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 53	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 10/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 54	S&P 500 Index (SPX), closed position for written put option @ 3630 EXP 04/09/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 55	S&P 500 Index (SPX), closed position for written put option @ 3550 EXP 06/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 56	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 57	S&P 500 Index (SPX), closed position for written put option @ 3030 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 58	S&P 500 Index (SPX), closed position for written put option @ 3190 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 59	S&P 500 Index (SPX), closed position for written put option @ 2250 EXP 05/22/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 60	S&P 500 Index (SPX), closed position for written put option @ 3380 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 61	S&P 500 Index (SPX), closed position for written put option @ 2470 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 62	S&P 500 Index (SPX), closed position for written put option @ 3530 EXP 03/31/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 63	S&P 500 Index (SPX), closed position for written put option @ 3680 EXP 06/18/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 64	S&P 500 Index (SPX), closed position for written put option @ 2990 EXP 10/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 65	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 66	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 02/28/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 67	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 68	S&P 500 Index (SPX), closed position for written put option @ 2550 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 69	S&P 500 Index (SPX), closed position for written put option @ 2570 EXP 07/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 70	S&P 500 Index (SPX), closed position for written put option @ 3610 EXP 05/21/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 71	S&P 500 Index (SPX), closed position for written put option @ 2820 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 72	S&P 500 Index (SPX), closed position for written put option @ 2930 EXP 12/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 73	S&P 500 Index (SPX), closed position for written put option @ 2200 EXP 04/24/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 74	S&P 500 Index (SPX), closed position for written put option @ 2960 EXP 11/20/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 75	S&P 500 Index (SPX), closed position for written put option @ 2350 EXP 08/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 76	S&P 500 Index (SPX), closed position for written put option @ 2520 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 77	S&P 500 Index (SPX), closed position for written put option @ 3490 EXP 04/16/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 78	S&P 500 Index (SPX), closed position for written put option @ 3450 EXP 03/05/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 79	S&P 500 Index (SPX), closed position for written put option @ 2100 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 80	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 81	S&P 500 Index (SPX), closed position for written put option @ 1800 EXP 06/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 82	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 08/21/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 83	S&P 500 Index (SPX), closed position for written put option @ 2690 EXP 09/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.4. 84	S&P 500 Index (SPX), closed position for written put option @ 2850 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 85	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 86	S&P 500 Index (SPX), closed position for written put option @ 3150 EXP 01/15/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 87	S&P 500 Index (SPX), closed position for written put option @ 2750 EXP 09/18/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 88	S&P 500 Index (SPX), closed position for written put option @ 2450 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 89	S&P 500 Index (SPX), closed position for written put option @ 2800 EXP 01/29/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 90	S&P 500 Index (SPX), closed position for written put option @ 2490 EXP 07/17/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 91	S&P 500 Index (SPX), closed position for written put option @ 3570 EXP 04/30/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 92	S&P 500 Index (SPX), closed position for written put option @ 3090 EXP 11/30/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 93	S&P 500 Index (SPX), closed position for written put option @ 2890 EXP 10/16/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 94	S&P 500 Index (SPX), closed position for written put option @ 3000 EXP 02/19/2021	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 95	S&P 500 Index (SPX), closed position for written put option @ 1850 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 96	S&P 500 Index (SPX), closed position for written put option @ 1900 EXP 06/19/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.4. 97	S&P 500 Index (SPX), closed position for written put option @ 2560 EXP 08/31/2020	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
16.2.5	Brokerage Account #26	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
16.2.5. 2	MUNI IMPROVEMENT CORP OF LA REV 4.0000% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 3	SAN FRANCISCO CA CITY & CNTY PUB UTILS COMMN WTR 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 4	HIDALGO CNTY TEX DRAIN DIST NO GO 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 5	SAN JOSE CALIF UNI SCH DIST GO 5.0000% 08/01/26 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 6	PLEASANTON CALIF UNI SCH DIST GO 3% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 7	CALIFORNIA ST DEPT WTR RES REV 5% 12/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 8	SAN FRANCISCO CA CITY & CNTY PUB UTILS COMMN WASTEWATER REV 5% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 9	CALIFORNIA ST PUB WKS BRD REV 5.0000% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 10	LOS ANGELES CALIF GO 5.0000% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 11	LOS ANGELES CNTY CALIF PUB WKS REV 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 12	RIVERSIDE CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 13	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 14	LOS ANGELES CNTY CALIF MET REV 5% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 15	LOS ANGELES CNTY CALIF MET REV 5.0000% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 16	METROPOLITAN WTR DIST SOUTHN REV 5.7500% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 17	MADERA CNTY CALIF BRD ED CTFS COPS 6.2500% 10/01/41 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 18	CALIFORNIA ST DEPT WTR RES PWR REV 5% 05/01/22 Municipal Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2.5. 19	NATOMAS UNI SCH DIST CALIF GO 0.0000% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 20	SAN JUAN CALIF UNI SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 21	MARIN CALIF CMNTY COLLEGE DIST GO 4% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 22	REDLANDS CALIF UNI SCH DIST GO 4% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 23	TRUSTEES OF CALIFORNIA ST UNIV REV 5.0000% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 24	SAN FRANCISCO CALIF CITY & CNT REV 5.0000% 11/01/37 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 25	CALIFORNIA ST PUB WKS BRD REV 5.0000% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 26	EVERGREEN CALIF SCH DIST GO 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 27	SAN JOSE CALIF GO 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 28	CARLSBAD CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 29	RANCHO CALIF WTR DIST FING REV 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 30	SOUTH CALIF PUB PWR AUTH REV 5.0000% 07/01/26 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 31	LONG BEACH CALIF CMNTY COLLEGE GO 5% 05/01/25 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 32	EAST SIDE UN HIGH SCH DIST GO 3% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 33	EAST BAY CALIF REGL PK DIST GO 4% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 34	SAN JOSE EVERGREEN CALIF CMNTY GO 4% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 35	PALO ALTO CALIF UNI SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 36	CALIF HEALTH FACS FING AUTH REV 5.0000% 11/15/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 37	LA CNTY CA PB WKS FNG AT LSE REV 5.0000% 12/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 38	TEXAS WATER DEV BRD REV 5% 10/15/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 39	SAN FRANCISCO CALIF REV 5.0000% 11/01/34 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 40	CORONADO CALIF CMNTY DEV AGY REV 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 41	LA CANADA CALI UNI SCH DIST GO 5.0000% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 42	ROWLAND CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 43	BRENTWOOD CALIF INFRASTRUCTURE REV 5% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 44	SALINAS CALIF UN HIGH SCH DIST GO 3% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 45	WEST VY MISSION CMNTY COLLEGE GO 3% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 46	CALIFORNIA ST PUB WKS BRD REV 4% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 47	WILLIAM S HART CALIF UN HIGH GO 4% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 48	GAVILAN CALIF JT CMNTY COLLEGE GO 4% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 49	GAVILAN CALIF JT CMNTY COLLEGE GO 4% 08/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 50	LOS ANGELES CALIF MUN IMPT REV 5% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 51	CALIF ST PUB WKS BRD LEASE REV 5.0000% 11/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 52	CALIFORNIA MUNICIPAL FIN ATH REV 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 53	SAN DIEGO CALIF PUB FACS FING REV 5% 10/15/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 54	FREMONT CALIF PUB FING AUTH REV 5.0000% 10/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 55	SANTA ROSA CALIF WTR REV REV 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 56	CALIFORNIA ST FOR PREVIOUS GO 5% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 57	ABAG FINANCE AUTH FOR REV 5.0000% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 58	FALLBROOK CALIF UN ELEM SCH GO 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 59	BELMONT-REDWOOD SHORES CALIF GO 5.0000% 08/01/35 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 60	GALT CALIF JT UN HIGH SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 61	SAN RAFAEL CALIF ELEM SCH DIST GO 5% 08/01/25 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 62	TAMALPAIS CALIF UN HIGH SCH GO 5% 08/01/27 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 63	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 64	SAN DIEGO UNIFIED SCHL DIST GO 5.0000% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 65	ES SD UN HG SC DST CA SNT CL C GO 5.2500% 09/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 66	GROSSMONT CALIF UN HIGH SCH GO 5.5000% 08/01/33 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 67	SAN DIEGO CALIF UNI SCH DIST GO 5.5000% 07/01/21 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 68	LOS ANGELES CALIF MUN IMPT REV 4.5000% 03/01/37 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 69	PASADENA CALIF UNI SCH DIST GO 5% 05/01/34 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 70	ABAG FIN AUTH FOR NONPROFIT REV 5.0000% 08/01/28 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 71	SAN DIEGO CNTY CALIF REGL TRAN REV 5% 04/01/29 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 72	TURLOCK CALIF IRR DIST REV REV 5% 01/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 73	SAN FRANCISCO CALIF MUN TRANSN REV 5% 03/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 74	NOVATO CALIF SAN DIST REV 5% 02/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 75	TUOLUMNE WIND PROJ AUTH CALIF REV 5% 01/01/22 Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2.5. 76	CALIF INFRASTRUCTURE AND REV 5.0000% 10/01/31 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 77	STATE OF CALIFORNIA GO 5% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 78	RIVERSIDE CALIF WTR REV REV 5% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 79	SAN MATEO CNTY CALIF CMNTY GO 5% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 80	CARLSBAD CALIF UNI SCH DIST GO 5.0000% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 81	MILPITAS CALIF UNI SCH DIST GO 5.0000% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 82	TUSTIN CALIF UNI SCH DIST SCH GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 83	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 84	SOUTHN CALIF PUB PWR AUTH REV 5.0000% 07/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 85	STATE OF CALIFORNIA GO 5.0000% 02/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 86	CALIFORNIA ST UNIV REV REV 4% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 87	CALIF HEALTH FACS FING AUTH REV 5.0000% 11/15/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 88	CALIFORNIA ST UNIV REV REV 5% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 89	SAN FRANCISCO CALIF CITY & REV 5% 11/01/25 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 90	ROWLAND CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 91	PALO VERDE CALIF UNI SCH DIST COPS 4% 03/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 92	SILICON VY CLEAN WTR CALIF REV 5% 02/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 93	SAN LUIS OBISPO CNTY CALIF REV 4.0000% 11/15/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 94	LOS ALTOS CALIF SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 95	CALIF ST DEPT WTR RES CENT VY REV 5.0000% 12/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 96	ANAHEIM CALIF HSG & PUB IMPT REV 5% 10/01/32 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 97	LA CNTY CA MET TRANS AUTH REV 5.0000% 07/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 98	METROPOLITAN WTR DIST SOUTHN REV 5.0000% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 99	CALIFORNIA EDL FACS AUTH REV REV 4% 01/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 100	LOS ANGELES CALIF CMNTY GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 101	SACRAMENTO MUNI UTILITY DIST REV 5.0000% 08/15/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 102	PLEASANTON CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 103	CALIFORNIA EDL FACS AUTH REV REV 4% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 104	RANCHO SANTIAGO CALIF CMNTY GO 4% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 105	RANCHO SANTIAGO CALIF CMNTY GO 4% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 106	SAN MATEO CALIF UN HIGH SCH GO 4% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 107	YUBA CALIF CMNTY COLLEGE DIST GO 4% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 108	CAMPBELL CALIF UN HIGH SCH GO 4% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 109	LOS ANGELES CALIF UNI SCH DIST GO 4% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 110	CALIF ST DEPT WTR RES VY PROJ REV 5.0000% 12/01/27 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 111	CALIFORNIA EDL FACS AUTH REV REV 5% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 112	ANAHEIM HSING & PUBL IMPR AUTH REV 5.0000% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 113	SACRAMENTO CALIF CITY FING REV 5.0000% 12/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 114	LOS ANGELES CNTY CALIF REGL REV 5.0000% 11/15/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 115	LOS ANGELES CALIF GO 5% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 116	MOULTON NIGUEL CALIF WTR DIST REV 5% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 117	SAN JOSE EVERGREEN CALIF CMNTY GO 5% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 118	STATE OF CALIFORNIA, CALI GO 5.0000% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 119	EAST SIDE UN HIGH SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 120	FOOTHILL-DE ANZA COMMUNITY GO 5.0000% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 121	POWAY CALIF UNI SCH DIST GO 5.0000% 08/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 122	SAN DIEGO CALIF CMNTY COLLEGE GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 123	SAN JOSE CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 124	LOS ANGELES CALIF DEPT WTR & REV 5% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 125	LOS ANGELES CALIF UNI SCH DIST GO 5.0000% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 126	METROPOLITAN WTR DIST SOUTHN REV 5% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 127	SAN BUENAVENTURA CALIF REV 4.0000% 01/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 128	LOS ANGELES CALIF MUN IMPT REV 5.0000% 03/01/28 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 129	SONOMA-MARIN AREA RAIL TRAN REV 5.0000% 03/01/29 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 130	CALIF INFRASTRUCTURE & ECON REV 3.0000% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 131	LAS VIRGENES CALIF UNI SCH GO 4.0000% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 132	LONG BEACH CALIF CMNTY COLLEGE GO 4.0000% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 133	CALIFORNIA ST UNIV REV REV 5% 11/01/24 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 134	CALIF ST DEPT WTR RES CENT VY REV 5.0000% 12/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 135	CALF INFRASTRUCTURE & ECONOMIC REV 5.0000% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 136	CUCAMONGA VY CALIF WTR DIST REV 5% 09/01/26 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 137	BAY WTR SP & CNSVN AGCY REV REV 5.0000% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 138	CONTRA COSTA CALIF WTR DIST REV 5% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 139	WILLIAM S HART CALIF UN HIGH GO 5.0000% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 140	SAN ANSELMO CALIF GO 4% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 141	SAN FRANCISCO CA CITY & CNTY REV 5.0000% 11/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 142	CALIF HEALTH FACS FING AUTH REV 5.0000% 08/15/35 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 143	SAN JOSE CALIF UNI SCH DIST GO 5.0000% 08/01/23 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 144	SOUTHERN CALIF PUB PWR AUTH REV 5.0000% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.2.5. 145	STATE OF CALIFORNIA GO 5% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 146	LONG BEACH CALIF HBR REV REV 4% 07/15/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 147	CALIFORNIA ST FOR PREVIOUS GO 5.0000% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 148	TURLOCK CALIF IRR DIST REV REV 5.0000% 01/01/22 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 149	CALIFORNIA ST FOR PREVIOUS GO 3.2500% 09/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 150	GLENDALE CALIF CMNTY COLLEGE GO 4% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 151	MUNI IMPROVEMENT CORP OF LA REV 5.0000% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 152	COSTA MESA CALIFORNIA PUBLIC REV 5.0000% 10/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 153	LONG BEACH CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 154	SAN MARCOS UNIFIED SCH DIST GO 5.0000% 08/01/38 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 155	SANTA CRUZ CALIF CITY ELEM SCH GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 156	SOUTHERN CALIFORNIA PUBLIC REV 5.0000% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 157	SF CTY&CNTY PUB UTIL COMMN WTR REV 4.0000% 11/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 158	METROPOLITAN WTR DISTRICT REV 5.0000% 07/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 159	HUNTINGTON BEACH CALIF UN HIGH GO 5% 08/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 160	NEWPORT BEACH CALIF (CITY OF) REV 6.0000% 12/01/40 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 161	ALAMEDA CNTY CAL JT PWRS AUTH REV 5.0000% 12/01/21 Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.2.5. 162	FREMONT CALIF UNI SCH DIST GO 3.5000% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 163	SOLANA BEACH CALIF SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 164	SAN MATEO CALIF UN HIGH SCH GO 5% 09/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 165	SACRAMENTO CALIF MUN UTIL DIST REV 5.0000% 07/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 166	BEAUMONT CALIF FING AUTH LOC REV 6.3750% 09/01/42 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 167	SONOMA-MARIN AREA RAIL TRAN REV 5.0000% 03/01/24 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 168	CALIF ST PUB WKS BRD LEASE REV 5.0000% 09/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 169	SANTA CLARITA CALIF PUB FING REV 4% 10/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 170	MILPITAS CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 171	MT DIABLO CALIF UNI SCH DIST GO 5% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 172	LOS ANGELES CNTY CALIF MET REV 5.0000% 07/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 173	CHINO VY UNI SCH DIST CALIF GO 5% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 174	SANTA PAULA CALIF UNI SCH DIST GO 4% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 175	GROSSMONT-CUYAMACA CALIF CMNTY GO 4% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 176	SNTA MRGRITA/DANA PNT AU CLIF REV 4.0000% 08/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
16.2.5. 177	RIVERSIDE CNTY CALIF PUB FING REV 5.0000% 11/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
16.2.5. 178	LOS ANGELES CNTY CALIF MET REV 5.0000% 07/01/21 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
16.2.5. 179	CUCAMONGA VY CALIF WTR DIST REV 5.2500% 09/01/31 Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
16.2.5. 180	SAN DIEGO CALIF PUB FACS FING REV 5% 08/01/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.5. 181	SAN DIEGO CALIF PUB FACS FING REV 5% 08/01/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.5. 182	MAHONING CNTY OHIO GO 1.0000% 09/13/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 183	LOS ANGELES CALIF MUN IMPT REV 5% 11/01/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.5. 184	GROSSMONT HEALTHCARE DISTRICT GO 5.0000% 07/15/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.5. 185	SOUTHERN CALIF PUB PWR AUTH REV 5.0000% 07/01/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.5. 186	MOUNT KISCO N Y GO 2% 10/06/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.5. 187	NORTH SYRACUSE N Y CENT SCH GO 1.5000% 08/06/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.5. 188	AUBURN N Y GO 1.5000% 08/18/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.5. 189	RAVENA COEYMANS SELKIRK N Y GO 1.5000% 08/13/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.5. 190	PORT WASH N Y UN FREE SCH DIST GO 1.5000% 08/05/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.5. 191	CARMEL N Y GO 1.5000% 10/01/21 Municipal Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.2.5. 192	PARSIPPANY-TROY HILLS TWP N J GO 2% 11/11/21 Municipal Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.2.5. 193	SOUTHN CALIF PUB PWR AUTH PWR REV 5.0000% 07/01/23 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 194	TUOLUMNE WIND PROJ AUTH CALIF REV 5% 01/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 195	CHICAGO ILL O HARE INTL ARPT REV 5.0000% 01/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 196	ROSEMEAD CALIF SCH DIST SEE GO 4% 02/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 197	METROPOLITAN TRANSN AUTH N Y REV 4% 02/03/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 198	U.S. TREASURY BILL DUE 02/06/2020	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 199	HUMBLE TEX INDPT SCH DIST GO 5% 02/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 200	NEW YORK N Y CITY HSG DEV CORP REV 1.1500% 05/01/50 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 201	DESERT SANDS CALIF UNI SCH COPS 5% 03/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 202	SAN JOAQUIN CNTY CALIF TRANSN REV 4.0000% 03/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 203	LOS ANGELES CALIF CMNTY GO 1.6500% 03/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 204	SAN FRANCISCO, CALIFORNIA (CIT CP 1.680000 03/12/2020 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 205	BAY AREA TOLL AUTHORITY REV 4.0000% 04/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 206	SOUTH DAKOTA BRD REGTS HSG & REV 5% 04/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 207	CALIF ST PUB WKS BRD LEASE REV 4.0000% 04/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 208	LEE CNTY N C GO 5% 04/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 209	BAY AREA TOLL AUTH CALIF REV 5.0000% 04/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 210	CALIFORNIA EDL FACS AUTH REV REV 6.0000% 04/01/40 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 211	SAN DIEGO CALIF CONVENTION CTR REV 5.0000% 04/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 212	MEHLVILLE MO SCH DIST NO R-9 COPS 3% 04/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 213	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 214	KANSAS ST DEV FIN AUTH REV REV 5% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 215	SAN FRANCISCO CALIF CITY REV 5.0000% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 216	SAN FRANCISCO CALIF CITY REV 5.0000% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 217	SAN FRANCISCO CALIF CITY & CTY REV 5.2500% 05/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 218	LONG BEACH CALIFORNIA REV 5.0000% 05/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 219	SAN DIEGO CNTY CALIF PUB FACS REV 5.2500% 05/15/29 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 220	CALIFORNIA INFRASTRUCTURE & REV 5.0000% 05/15/33 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 221	REGENTS OF THE UNIV OF CALI REV 5.0000% 05/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 222	BEVERLY HILLS CALIF PUB FING REV 4.0000% 06/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 223	LOS ANGELES CALIF WASTEWTR SYS REV 5% 06/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 224	MARINA COAST WTR DIST CALIF REV 4% 06/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 225	LOS ANGELES CALIF WASTEWATER REV 5% 06/01/27 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 226	RVRSIDE CNTY CALI TRANS COMSN REV 5.0000% 06/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 227	SAN MATEO CNTY CALIF TRAN DIST REV 5.0000% 06/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 228	SAN FRANCISCO UNIFD SCH DIST GO 5.0000% 06/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 229	LOS ANGELES CALIF REV 5% 06/25/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 230	LOS ANGELES CALIF REV 5% 06/25/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 231	LOS ANGELES CALIF REV 5% 06/25/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 232	SAN FRANCISCO CALIFORNIA BAY REV 5.0000% 07/01/27 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 233	KENTUCKY STATE TURNPIKE AUTH REV 5.0000% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 234	METROPOLITAN WTR DIST SOUTHN REV 4% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 235	METROPOLITAN WTR DISTRICT REV 5.0000% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 236	FLORIDA ST TPK AUTH TPK REV REV 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 237	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 238	SAN DIEGO CNTY CALIF REGL REV 5.0000% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 239	SAN DIEGO CNTY CALIF REGL REV 5.0000% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 240	SAN DIEGO CNTY CALIF REGL REV 5.0000% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 241	SAN DIEGO CNTY CALIF REGL REV 4% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 242	SAN DIEGO CNTY CALIF REGL REV 4% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 243	SAN DIEGO CNTY CALIF REGL REV 4% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 244	ANAHEIM CALIF PUB FING AUTH REV 5% 10/01/22 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 245	TUSTIN CALIF PUB FING AUTH WTR REV 5% 04/01/36 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 246	BAY AREA TOLL AUTHORITY REV 5.0000% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 247	SAN DIEGO CNTY CALIF REGL REV 5.0000% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 248	SAN DIEGO CNTY CALIF REGL REV 5.0000% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 249	SAN FRANCISCO CALIF CITY & CNTY REV 5.0000% 05/01/22 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 250	SAN DIEGO CNTY CALIF WTR AUTH REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 251	SAN DIEGO CNTY CALIF WTR AUTH REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 252	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 253	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 254	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 255	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 256	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 257	CALIFORNIA EDL FACS AUTH REV REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 258	CALIFORNIA EDL FACS AUTH REV REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 259	CALIFORNIA EDL FACS AUTH REV REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 260	LOS ANGELES CALIF MUN IMPT REV 5% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 261	CALIFORNIA ST DEPT WTR RES PWR REV 4% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 262	EASTN CALIF MUN WTR DIST REV 5.0000% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 263	MANTECA CALIF WTR REV REV 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 264	SANTA CLARA CALIF UNI SCH DIST GO 4% 07/01/26 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 265	SOUTHERN CALIF PUB PWR AUTH REV 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 266	SAN FRANCISCO CALIF BAY AREA REV 4% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 267	SAN FRANCISCO CALIF BAY AREA REV 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 268	SANTA CLARA CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 269	LOS ANGELES CNTY CALIF MET REV 5.0000% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 270	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 271	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 272	LOS ANGELES CALIF UNI SCH DIST GO 5% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 273	METROPOLITAN TRANSN AUTH N Y REV 4% 07/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 274	CA HLTH FACS FING AUTH REV REV 5.1250% 07/01/31 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 275	SANTA MONICA-MALIBU UNI SCH GO 4% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 276	EL CAMINO CALIF CMNTY COLLEGE GO 4% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 277	VICTOR VALLEY CALIF CMNTY GO 5% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 278	CAMPBELL CALIF UN HIGH SCH GO 5.2500% 08/01/32 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 279	YOSEMITE CALIF UNI SCH DIST COPS 3.3750% 08/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 280	SANTA ANA CALIF UNI SCH DIST GO 3% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 281	RIO HONDO CALIF CMNTY COLLEGE GO 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 282	SONOMA CNTY CALIF JR COLLEGE GO 4% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 283	SAN BRUNO PK CALIF SCH DIST GO 4% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 284	SAN BERNARDINO CALIF GO 1.7540% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 285	CHINO BASIN CA REGL FING AUTH REV 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 286	STATE OF CALIFORNIA GO 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 287	CONN ST SPL TAX OBLIG REV 5% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 288	CALIFORNIA ST GO 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 289	OAK VIEW CALIF UN SCH DIST GO 0% 08/01/33 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 290	OCEANSIDE CALIF UNI SCH DIST GO 0% 08/01/49 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 291	LOS ANGELES CALIF CMNTY COLL GO 5.2500% 08/01/39 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 292	CLAREMONT CALIF UNI SCH DIST GO 5% 08/01/22 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 293	ANAHEIM CALIF UN HIGH SCH DIST GO 5.0000% 08/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 294	MICHIGAN FIN AUTH REV REV 2% 08/20/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 295	YORK CNTY PA GO 4% 03/01/31 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 296	LOS ANGELES CALIF GO 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 297	CUCAMONGA VY CALIF WTR DIST REV 4% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 298	CITY OF SACRAMENTO, CA REV 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 299	CALIF ST PUB WKS BRD LEASE REV 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 300	CALIFORNIA ST GO 5% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 301	SAN MATEO CALIF UN HIGH SCH GO 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 302	CALIFORNIA ST PUB WKS BRD REV 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 303	WALNUT CREEK CALIF SCH DIST GO 5% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 304	SAN DIEGO CALIF REDEV AGY REV 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 305	CHULA VISTA CALIF ELEM SCH COPS 4.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 306	HOLLISTER CALIF SCH DIST GO 5% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 307	CAPISTRANO CALIF UNI SCH DIST REV 5% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 308	METROPOLITAN TRANSN AUTH GO 4.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 309	STATE OF CALIFORNIA, CALI GO 5.0000% 09/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 310	NASSAU CNTY N Y GO 2% 09/14/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 311	CALIFORNIA MUNICIPAL FIN ATH REV 5.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 312	BAY AREA TOLL AUTH CA TLL BRDG REV 5.0000% 10/01/28 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 313	CALIFORNIA MUN FIN AUTH REV REV 5.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 314	MODESTO CA IRR DIST ELEC REV REV 5.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 315	ALAMEDA CORRIDOR TRANSN AUTH REV 5.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 316	BAY WTR SP & CNSVN AGCY REV REV 4.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 317	STATE OF CA GEN OBLIG BONDS GO 5% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 318	ANAHEIM HOUSING & PUBLIC REV 5.0000% 10/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 319	TEXAS ST REV 4.0000% 08/26/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 320	SAN FRANCISCO CALIF CITY & REV 5.0000% 11/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 321	IMPERIAL IRRIGATION DIST REV 5.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 322	SAN FRANCISCO CALIF CITY & REV 5% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 323	SOUTH PLACER WASTEWATER AUTH REV 5% 11/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 324	LOS ANGELES CALIF MUN IMPT REV 5% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 325	CALIFORNIA ST UNIV REV REV 5% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.2.5. 326	CALIFORNIA ST UNIV REV REV 4% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 327	STATE OF CALIFORNIA GO 5.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 328	CALIFORNIA ST UNIV REV REV 5.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 329	TRUSTEES OF CALIFORNIA ST UNIV REV 4.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 330	TRUSTEES OF CALIFORNIA ST UNIV REV 5.0000% 11/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 331	SAN FRANCISCO CALIFORNIA CITY REV 5.0000% 11/01/27 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 332	IMPERIAL IRRIGATION DIST REV 5.0000% 11/01/25 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 333	CALIFORNIA HEALTH FACS FING REV 4% 11/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 334	CALIFORNIA HEALTH FACS FING REV 5.7500% 11/15/31 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 335	CALIFORNIA ST PUB WKS BRD REV 5% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 336	MANTECA CALIF SWR REV REV 5% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 337	MANTECA CALIF SWR REV REV 5% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 338	CALIFORNIA ST FOR PREVIOUS GO 4% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 339	CALIFORNIA ST FOR PREVIOUS GO 5% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 340	CALIF ST DEPT WTR RES CENT VY REV 5.0000% 12/01/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 341	JERSEY CITY N J GO 2% 12/04/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 342	LONG BEACH CALIF HBR REV REV 5% 12/15/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 343	CALIF ST PUB WKS BRD LEASE REV 5.0000% 01/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 344	ALHAMBRA CALIF INSD REV REV 4.0000% 01/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 345	TURLOCK CALIF IRR DIST REV REV 5% 01/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 346	CONNECTICUT ST GO 3% 01/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 347	OAKLAND CALIF GO 4.2500% 01/15/32 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 348	LOS ANGELES CALIF SOLID WASTE REV 5% 02/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 349	NOVATO CALIF UNI SCH DIST GO 4% 02/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 350	SAN DIEGO CNTY & SCH DIST NT REV 3% 02/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 351	RIVERSIDE CALIF UNI SCH DIST GO 5.0000% 02/01/26 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 352	PLANO TEX INDPT SCH DIST GO 5% 02/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 353	PASADENA CALIF PUB FING REV 5.0000% 03/01/26 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 354	SAN JOAQUIN CNTY CALIF TRANSN REV 5.0000% 03/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 355	SAN JOAQUIN COUNTY REV 6.0000% 03/01/36 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 356	CALIFORNIA ST PUB WKS BRD REV 4% 03/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 357	ALAMEDA CNTY CALIF TRANSN AUTH REV 5% 03/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 358	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 359	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 360	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 361	CALIFORNIA ST DEPT WTR RES PWR REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 362	SF CA ARPTS COMMN INTLARPT D REV 5.0000% 05/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 363	SAN FRANCISCO CALIF CITY & CNTY REV 5.0000% 05/01/26 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 364	SAN FRAN CA CITY & CNTY ARPTS REV 5.0000% 05/01/24 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 365	SAN FRANCISCO CA CITY&CNTY REV 5.2500% 05/01/25 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 366	UNIVERSITY CALIF REVS REV 5% 05/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 367	LOS ANGELES CALIF DEPT REV 4.0000% 05/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 368	REGT OF THE UNIV OF CALIF REV 5.0000% 05/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 369	SAN DIEGO CALIF PUB FACS FING REV 4% 05/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 370	UNIVERSITY CALIF REVS REV 5% 05/15/23 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 371	RIVERSIDE CNTY CALIF TRANSN REV 5% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 372	SOUTH SAN FRANCISCO CALIF PUB REV 5.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 373	RIVERSIDE CNTY CALIF TRANSN REV 5% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 374	CALIFORNIA INFRASTRUCTURE & REV 5.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 375	CALIFORNIA INFRASTRUCTURE & REV 5.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.2.5. 376	CALIFORNIA INFRASTRUCTURE & REV 5.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 377	CALIFORNIA INFRASTRUCTURE & REV 5.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 378	BURBANK CALIF WTR & PWR ELEC REV 5% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.5. 379	LOS ANGELES CALIF WASTEWTR SYS REV 4.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 380	EAST BAY CALIF MUN UTIL DIST REV 5% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 381	EAST BAY CA MUNI UTY DST WTR REV 4.0000% 06/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 382	NTMS UN SCH DST CA CTF PRT COPS 4% 12/01/40 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.5. 383	CA STATEWIDE CMNTYS DEV AUTH REV 6.0000% 06/01/33 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 384	SAN FRANCISCO CALIF CITY & GO 5% 06/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 385	SAN FRANCISCO CALIF CITY & GO 5.0000% 06/15/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 386	LOS ANGELES CALIF REV 4% 06/24/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.5. 387	PHILADELPHIA PA SCH DIST REV 4% 06/30/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
16.2.5. 388	SAN DIEGO CALIF UNI SCH DIST REV 5% 06/30/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.2.6	Brokerage Account #27	No			
16.2.6. 1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16.2.6. 2	PA ST TPK COMMN TPK FOR REV 5.0000% 12/01/22 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 3	CALIFORNIA ST GO 5% 04/01/23 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 4	ORANGE CNTY CALIF SANTN DIST COPS 5.0000% 08/15/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.6. 5	NORTH CAROLINA ST LTD OBLIG REV 5.0000% 11/01/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 6	METROPOLITAN WTR DIST SOUTHN REV 5% 07/01/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 7	CALIFORNIA ST GO 5% 09/01/26 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 8	SANTA MONICA-MALIBU UNI SCH GO 4% 08/01/26 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 9	LOS ANGELES CALIF HBR DEPT REV REV 5% 08/01/22 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 10	ALABAMA ST GO 5% 08/01/24 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 11	CALIFORNIA ST GO 5% 08/01/24 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 12	UNIVERSITY CALIF REVS REV 3.7030% 05/15/25 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 13	ST OF CA DEPT OF WTR RES PWR REV 2.0000% 05/01/22 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 14	UNIVERSITY CALIF REVS REV 5.0000% 05/15/26 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 15	SILICON VY CLEAN WTR CALIF REV 3% 03/01/24 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 16	MINNESOTA ST GO 5% 08/01/25 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 17	CALIFORNIA ST GO 3.0000% 04/01/24 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 18	LOS ANGELES CALIF GO 3.4500% 09/01/25 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.6. 19	SAN JOAQUIN HILLS CALIF REV 0.0000% 01/01/23 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
16.2.6. 20	TEXAS ST REV 4.0000% 08/26/21 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 21	RVRSIDE CNTY CALI TRANS COMSN REV 5.2500% 06/01/30 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 22	ROSEVILLE CALIF JT UN HIGH SCH GO 4.0000% 08/01/22 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 23	LOS ANGELES CALIF HBR DEPT REV REV 5% 08/01/26 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 24	WILLIAM S HART CALIF UN HIGH GO 1.4290% 08/01/28 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 25	DES MOINES IOWA GO 5% 06/01/27 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 26	OHLONE CALIF CMNTY COLLEGE GO 2.0950% 08/01/26 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 27	SAN FRANCISCO CALIF REV 5.0000% 11/01/32 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 28	SAN DIEGO CALIF CMNTY COLLEGE GO 5.0000% 08/01/43 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 29	FOOTHILL/EASTERN TRANSPORT REV 6.0000% 01/15/49 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 30	CALIFORNIA ST GO 5% 11/01/27 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 31	SAN DIEGO CALIF PUB FACS FING REV 08/01/27 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 32	SONOMA CNTY CALIF JR COLLEGE GO 2.5390% 08/01/27 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.6. 33	CHULA VISTA CALIF ELEM SCH GO 2% 08/01/22 Municipal Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
16.2.6. 34	BAY AREA TOLL AUTH CALIF TOLL REV 2.3250% 04/01/25 Municipal Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.2.6. 35	SAN DIEGO CALIF CMNTY COLLEGE GO 2.2990% 08/01/26 Municipal Bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
16.2.6. 36	CONNECTICUT ST GO 5% 02/15/2005 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 37	U.S. TREASURY NOTE 1.875000% 01/31/202	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 38	LOS ANGELES CALIF REV 5% 06/25/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 39	HOUSTON TEX DB 3% 06/26/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 40	BROWARD CNTY FLA SCH DIST REV 3% 06/30/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 41	SEQUOIA CALIF UN HIGH SCH DIST REV 2% 06/30/20 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 42	COMMONWLTH OF MASS SPC OBLIG REV 5.2500% 01/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.6. 43	SAN DIEGO CNTY CALIF REGL REV 4% 04/01/21 Municipal Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16.2.7	Brokerage Account #28	No			
16.2.7. 1	US brokerage #7 cash	N/A	\$1,000,001 - \$5,000,000	Interest	\$2,501 - \$5,000
16.2.8	Receivable due from Family Revocable Trust #1	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
16.2.9	Anchorage Capital	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
16.2.10	Eton Park Fund LP	Yes	\$1,001 - \$15,000		None (or less than \$201)	
16.2.11	HFS Exoduspoint LLC	See Endnote	No	\$1,000,001 - \$5,000,000	Distributive share of income	\$283,409
16.2.12	Farallon Capital Partners	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)	
16.2.13	Lone Cascade	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000	
16.2.14	Lone Redwood	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	
16.2.15	SEG Partners II LLC	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)	
16.2.16	AIF V Private Investors LLC	Yes	\$15,001 - \$50,000		None (or less than \$201)	
16.2.17	AIF VI Private Investors LLC	Yes	\$15,001 - \$50,000		None (or less than \$201)	
16.2.18	AIF VII Private Investors LLC	Yes	\$15,001 - \$50,000		None (or less than \$201)	
16.2.19	Apollo Investment Fund IX	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)	
16.2.20	Audax Private Equity Fund V-A	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000	
16.2.21	Bain Capital Fund XI	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	
16.2.22	Bain Capital Fund XII	Yes	\$500,001 - \$1,000,000		None (or less than \$201)	
16.2.23	Bain Capital Europe Fund IV	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
16.2.24	Barton Venture Select LLC	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	
16.2.25	BG LLH, LLC	No	\$5,000,001 - \$25,000,000	Dividends	\$15,001 - \$50,000	
16.2.25 .1	Lineage Logistics LLC (Cold storage real estate company)	N/A				
16.2.26	BDT Capital Partners Fund 3	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)	
16.2.27	Blackstone Real Estate Partners IV	Yes	\$15,001 - \$50,000		None (or less than \$201)	
16.2.28	Blackstone Real Estate Partners V	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000	
16.2.29	Blackstone Real Estate Partners VI	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000	
16.2.30	Crestview Capital Partners	No	\$250,001 - \$500,000		None (or less than \$201)	
16.2.30 .1	Oxbow Carbon (Engages in the global sourcing, production, marketing and distribution of refinery byproducts and solid carbon fuel)	N/A				
16.2.31	Dragoneer Opportunities Fund V	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)	
16.2.32	Foresite Capital Fund II	See Endnote	No	\$500,001 - \$1,000,000	Capital Gains Interest	\$15,001 - \$50,000
16.2.33	Foresite Capital Fund III	See Endnote	No	\$500,001 - \$1,000,000	Dividends Capital Gains Interest	\$15,001 - \$50,000
16.2.34	Fuel Capital II	No	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.34 .1	Argent Mill (Produces and distributes women's clothing and apparel)	N/A			
16.2.34 .2	Awecom (Provides sports advertising through realtime AI-powered video augmentation)	N/A			
16.2.34 .3	Bark Technologies (Parental control phone monitoring app)	N/A			
16.2.34 .4	Collective Financial (dba GoodCover) (Provider of renters insurance)	N/A			
16.2.34 .5	Crowd Cow (Online meat delivery marketplace)	N/A			
16.2.34 .6	Dandy (Dental lab processing company)	N/A			
16.2.34 .7	Datadog (acquired Timber Technologies) (Monitoring and security platform for cloud applications)	N/A			
16.2.34 .8	Dishcraft Robotics (Robotics company focused on dish washing)	N/A			
16.2.34 .9	Dumpling (Grocery delivery company)	N/A			
16.2.34 .10	Feather Home (Home furniture rental company)	N/A			
16.2.34 .11	Flint Development (Commercial real estate development firm specializing in industrial, multi-family and senior living development)	N/A			
16.2.34 .12	Fly.io (Platform for applications that need to run globally)	N/A			
16.2.34 .13	Forestry.io (Content management system for sites built with static site generators)	N/A			
16.2.34 .14	Future Family (Fertility financing company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.34 .15	FuzzBuzz (platform that tests code for bugs and vulnerabilities using fuzz testing)	N/A			
16.2.34 .16	GoodDog (Dog buying platform)	N/A			
16.2.34 .17	Guided (Communication platform for gaming communities)	N/A			
16.2.34 .18	Insight Data Science (fka Noteleaf) (Education company focused on data science)	N/A			
16.2.34 .19	Interspace Technologies (fka Byte) (Provides voice, data and video infrastructure support services)	N/A			
16.2.34 .20	IUNU (Industrial computer vision company focused on horticulture)	N/A			
16.2.34 .21	Joy Life (Wedding planning company)	N/A			
16.2.34 .22	Kepler (Global digital marketing services company)	N/A			
16.2.34 .23	Kinship (Beauty and skincare company)	N/A			
16.2.34 .24	Lattice (fka Degree) (Management software platform)	N/A			
16.2.34 .25	Natalist (fka Baby Someday) (Fertility services company)	N/A			
16.2.34 .26	Oh My Green (fka Garten Wellbeing) (Concierge-style kitchen management for offices)	N/A			
16.2.34 .27	Play Productions (fka Drivetime) (Entertainment company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.34 .28	Prelim (fka Original Tech) (Mobile-first platform that powers in-person and online product originations for financial institutions)	N/A			
16.2.34 .29	Present Company (fka Bubble) (Location-based social network)	N/A			
16.2.34 .30	Quilt Data (Data management platform)	N/A			
16.2.34 .31	Radar Labs (Location data infrastructure platform)	N/A			
16.2.34 .32	Radian Aerospace (Space R&D company)	N/A			
16.2.34 .33	Reby (Sustainable public transportation company)	N/A			
16.2.34 .34	Stopwatch (Consumer photo app company)	N/A			
16.2.34 .35	Superplastic (Creator of animated celebs, vinyl toys & digital collectibles)	N/A			
16.2.34 .36	Swivel (Design experience platform)	N/A			
16.2.34 .37	Tesorio (Cash flow performance platform)	N/A			
16.2.34 .38	TrendMD (Platform that helps customers distribute scholarly works)	N/A			
16.2.34 .39	Upbound (Cloud-native computing company)	N/A			
16.2.34 .40	Validere Technologies (Develops oil and gas trading and logistic devices)	N/A			
16.2.34 .41	Verse Communications (dba Planetary) (Social networking and media platform)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.34 .42	Vet Cove (eCommerce platform for veterinarians)	N/A			
16.2.34 .43	Wealthfront (acquired Grove Holdings) (Automated investment service firm)	N/A			
16.2.34 .44	WeeCare (In-home preschools, daycares and childcare)	N/A			
16.2.34 .45	Werk Enterprises (Analytics platform for workplace flexibility)	N/A			
16.2.34 .46	WeWork (acquired Spacious) (Commercial real estate company that provides flexible shared workspaces)	N/A			
16.2.35	Griffin Gaming Partners II LP	No	\$5,000,001 - \$25,000,000		None (or less than \$201)
16.2.35 .1	Forte (Provider of economic technology for video games)	N/A			
16.2.35 .2	WinZo (Online gaming and entertainment platform)	N/A			
16.2.35 .3	One More Game (Developer of gaming experiences)	N/A			
16.2.36	GS Capital Partners VI LP	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
16.2.37	KPCB XIV	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
16.2.38	Lead Edge Capital Fund V	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16.2.39	Lead Edge Capital IV	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
16.2.40	MD VII PE	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.2.41	Mount Kellett Capital Partners	Yes	\$50,001 - \$100,000		None (or less than \$201)
16.2.42	New Mountain Partners IV	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
16.2.43	New Mountain Partners V	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
16.2.44	OZRE III	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
16.2.45	Perry Partners	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.2.46	Powerplant Ventures II LP	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
16.2.46 .1	Esoterra LLC (DBA Jot) (Coffee company)	N/A			
16.2.46 .2	Fermented Sciences, Inc. (DBA Flying Embers) (Provider of alcohol alternative beverages)	N/A			
16.2.46 .3	Only What You Need, Inc. (Producer of nutritional supplement products)	N/A			
16.2.46 .4	Rae Wellness PBC (Provider of nutritional supplements)	N/A			
16.2.46 .5	The Coconut Collaborative LTD (provider of plant-based desserts)	N/A			
16.2.46 .6	Thistle Health Inc. (Meal delivery company)	N/A			
16.2.46 .7	Wellness Shots Co., Inc. (DBA Vive Organic) (Health beverage company)	N/A			
16.2.46 .8	Your Super, Inc. (Direct-to-consumer superfoods supplement company)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
16.2.46 .9	Zero Egg Ltd. (Provider of a plant-based, vegan egg replacer)	N/A				
16.2.46 .10	ZICO Rising, Inc. (Health beverage company)	N/A				
16.2.47	Sherpa Ventures Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)	
16.2.48	Silver Lake Partners II	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000	
16.2.49	Silver Point Distressed Opportunities	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	
16.2.50	TCV XI	Yes	\$250,001 - \$500,000		None (or less than \$201)	
16.2.51	Texas-Pacific Group IV	Yes	\$15,001 - \$50,000		None (or less than \$201)	
16.2.52	Texas-Pacific Group V	Yes	\$50,001 - \$100,000		None (or less than \$201)	
16.2.53	Valiant Peregrine Fund LP	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)	
16.2.54	Vintage VII LP	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	
16.2.55	Makena Capital Associates LP	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000	
16.2.56	Belshire Capital Fund LLC	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)	
16.2.57	Belrose Capital Fund LLC	Yes	\$5,000,001 - \$25,000,000		None (or less than \$201)	
16.2.58	Exchange Place (2001) LP	See Endnote	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$100,001 - \$1,000,000
16.2.59	Exchange Place (2002) LP	See Endnote	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Family Irrevocable Trust #6	See Endnote	No			
17.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
17.2	Skyline Management Group LLC (investment management company)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
17.3	Lizard Head Peak LP	See Endnote	No	Over \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	Over \$5,000,000
18	Family Irrevocable Trust #7	See Endnote	No			
18.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18.2	Whitford Limited Partnership	See Endnote	No	\$25,000,001 - \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	Over \$5,000,000
18.3	Sheridan Investment Limited Liability Company	See Endnote	No			
18.3.1	Brokerage Account #18		No			
18.3.1.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
18.3.2	Brokerage Account #19		No			
18.3.2.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
18.3.2.2	VIVINT SMART HOME INC CMN (VVNT)		N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
18.3.3	Brokerage Account #20		No			
18.3.3.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.3.3. 2	GS STRATEGIC FACTOR ALLOCATION FUND CLASS P (GSQPX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
18.3.3. 3	GS SHORT DURATION TAX FREE FUND CLASS P (GANPX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
18.3.3. 4	WELLS FARGO FINANCE LLC LNKD TO MSCI EMERGING MKT DUE 09/20/2021 EQUITY INDEX LINKED NOTE	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
18.3.3. 5	THE BANK OF NOVA SCOTIA LINKED TO MSCI EAFE DUE 01/23/2023 EQUITY INDEX LINKED NOTE	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
18.3.3. 6	NATIONAL BANK OF CANADA-NEW YO LINKED TO S&P 500 INDEX DUE 02/24/2022 EQUITY INDEX LINKED NOTE	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
18.3.3. 7	JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES (EURO STOXX 50 Index, TOPIX Index, FTSE 100 Index, Swiss Market Index, and S&P/ASX 200 Index) DUE 01/21/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
18.3.3. 8	MORGAN STANLEY FINANCE LLC LINKED TO S&P 500 INDEX DUE 01/21/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
18.3.3. 9	WELLS FARGO FINANCE LLC LNKD TO MSCI EMERGING MKT DUE 09/20/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
18.3.3. 10	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX DUE 10/06/2021 EQUITY INDEX LINKED NOTE	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
18.3.3. 11	ISHARES MSCI EAFE ETF (EFA)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
18.3.4	Solamere Founders Fund	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.3.5	SFF Portfolio Company Investments, LLC Co-Investment Series II		Yes	\$1,001 - \$15,000		None (or less than \$201)
18.3.6	Fortress Credit Opportunities III		Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
18.3.7	Personal promissory note receivable from a family member		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
19	Family Irrevocable Trust #8	See Endnote	No			
19.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
19.2	Whitford Limited Partnership	See Endnote	No	\$25,000,001 - \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	Over \$5,000,000
19.3	Nellie Limited Liability Company	See Endnote	No			
19.3.1	Brokerage Account #21		No			
19.3.1.1	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND (FEDXX)		Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
19.3.2	Personal promissory note receivable from a family member		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
19.4	FINANCIAL SELECT SECTOR SPDR ETF (XLF)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.5	ISHARES RUSSELL 1000 GROWTH ETF (IWF)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
19.6	ISHARES RUSSELL 1000 VALUE ETF (IWD)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
19.7	ISHARES MSCI EAFE ETF (EFA)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
19.8	VANGUARD FTSE EMERGING MARKETS ETF (VWO)		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.9	WISDOM TREE MRKTS HIGH DIVIDEND FUND (DEM)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.10	REAL ESTATE SELECT SECT SPDR (XLRE)		Yes	\$1,001 - \$15,000		None (or less than \$201)
19.11	VANGUARD REAL ESTATE ETF (VNQ)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
20	Family Irrevocable Trust #9	See Endnote	No			
20.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.2	Whitford Limited Partnership	See Endnote	No	\$25,000,001 - \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	Over \$5,000,000
20.3	Sheridan Investment Limited Liability Company	See Endnote	No	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$1,000,001 - \$5,000,000
21	Family Irrevocable Trust #10	See Endnote	No			
21.1	Wilmington US Government Money Market Fund Select Class Shares (WGEXX)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
21.2	Whitford Limited Partnership	See Endnote	No	\$25,000,001 - \$50,000,000	Dividends Capital Gains Interest Rent or Royalties	Over \$5,000,000
21.3	Nellie Limited Liability Company	See Endnote	No	\$5,000,001 - \$25,000,000	Dividends	\$2,501 - \$5,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Goldman Sachs	See Endnote	Guarantee of Loan	Over \$50,000,000	2019	1m LIBOR + 190	6 months
2	Goldman Sachs	See Endnote	Guarantee of Loan	\$5,000,001 - \$25,000,000	2016	1m LIBOR + 125	on demand
3	Goldman Sachs	See Endnote	Margin	\$1,000,001 - \$5,000,000	2021	1m LIBOR + 100bps	on demand
4	Audax Private Equity Fund VI-A		Unfunded Capital Commitments	\$1,000,001 - \$5,000,000	2019	N/A	on demand
5	Crestview Partners IV		Unfunded Capital Commitments	\$5,000,001 - \$25,000,000	2020	N/A	on demand
6	Chase		Credit Card	\$15,001 - \$50,000	2021	N/A	revolving
7	Lizard Head Peak LP		Promissory note	\$5,000,001 - \$25,000,000	2020	1.53%	9 years
8	Lizard Head Peak LP		Promissory note	\$5,000,001 - \$25,000,000	2019	1.87%	9 years
9	Palmyra Peak LP		Promissory note	\$500,001 - \$1,000,000	2020	0.38%	9 years
10	Whitford Limited Partnership		Promissory note	\$5,000,001 - \$25,000,000	2020	1.53	9 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	10	Filer is not compensated for this position.
1.	11	Filer is not compensated for this position.
1.	12	Filer is not compensated for this position.
1.	13	Filer is not compensated for this position.
1.	14	Filer is not compensated for this position.
1.	15	Filer is not compensated for this position.
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1.	24	Filer is not compensated for this position.
1.	25	Filer is not compensated for this position.
1.	26	Filer is not compensated for this position.

PART	#	ENDNOTE
1.	27	Filer is not compensated for this position.
1.	28	Filer is not compensated for this position.
1.	29	Filer is not compensated for this position.
1.	30	Filer is not compensated for this position.
1.	31	Filer is not compensated for this position.
1.	32	Filer is not compensated for this position.
1.	33	Filer is not compensated for this position.
1.	34	Filer is not compensated for this position.
2.	6	The Filer's RSUs vested and were received as shares. Filer sold the Dropbox, Inc. shares.
2.	8	Filer joined the board of directors on March 25, 2021. The 2021 deferred compensation grant will be issued to Filer at year-end.
5.	10	UpToDate, Inc. is an online compendium of medical information. Filer's spouse is on retainer to provide current medical information to contribute to UpToDate's online platform. He receives yearly income for his work as an author and editor, but does not own any interest in UpToDate.
6.	1	Filer has a reportable interest in Family Irrevocable Trust #1 as Filer's spouse is a beneficiary of the trust. Filer reports the income and assets of Family Irrevocable Trust #1.
6.	1.2	Family Irrevocable Trust #1 owns a 49.5% interest in Palmyra Peak LP. Filer reports the proportionate entity holdings.
6.	1.2.11	The fund was liquidated and does not hold any investments.
6.	1.2.25	Filer is not aware of any other investments of Foresite Capital Fund III and reports information as provided by manager.
6.	1.2.26	Filer is not aware of any other investments of Foresite Capital Fund IV and reports information as provided by manager.
6.	1.2.30	Filer is not aware of any other investments of Greenoaks Capital Opportunities Fund III and reports information as provided by manager.
6.	1.2.36.31	Fund seeking to invest in: artificial intelligence (AI), consumer packaged goods (CPG), sustainability, e-commerce, and healthcare.

PART	#	ENDNOTE
6.	1.3	Family Irrevocable Trust #1 owns a 49.75% interest in Concrete Brick & Steel LLC. Concrete Brick & Steel owns and develops real estate in San Francisco, California. Filer reports the proportionate entity holdings.
6.	1.3.1	Associate People LLC provides business support for the management and development of real estate investments.
6.	1.3.2	California Barrel Company LLC owns real property for development in San Francisco, California.
6.	1.4	Family Irrevocable Trust #1 owns a 92.25% interest in Wonder LP Series II.
6.	1.4.2	Wonder LP Series II owns Class A Units and Class C Units of Quibi Holdings, LLC. Quibi Holdings, LLC was a short form video streaming service. Quibi Holdings, LLC is liquidating.
6.	2	Filer has a reportable interest in Family Irrevocable Trust #2 as Filer is a beneficiary of the trust. Filer reports the income and assets of Family Irrevocable Trust #2.
6.	2.2	Family Irrevocable Trust #2 owns a 49.5% interest in Palmyra Peak LP. Filer reports the proportionate entity holdings. The investments of Palmyra Peak LP are provided under Family Irrevocable Trust #1.
6.	2.3	Family Irrevocable Trust #2 owns a 49.75% interest in Concrete Brick & Steel LLC. The investments of Concrete Brick & Steel LLC are provided under Family Irrevocable Trust #1.
6.	3	Filer has a reportable interest in Family Revocable Trust #1. This trust is a joint revocable declaration of trust holding resources of Filer and her husband.
6.	3.2	Family Revocable Trust #1 owns nearly all of Sweetwater Partners LP. Filer reports the holdings of the entity.
6.	3.2.2	The fund is terminating and holds only cash and no interest in any company or security. The remaining balance of the fund will be distributed in 2021.
6.	3.2.4.15	MSRACEQ is a basket of publicly traded equities which the fund invests in through swaps. Information about the individual swap transactions is not provided to the investors of the fund.
6.	3.2.4.16	GROWTH SOFTWARE (MSXXGRSW) is a basket of publicly traded equities which the fund invests in through swaps. Information about the individual swap transactions is not provided to the investors of the fund.
6.	3.2.51	The fund is terminating and holds only cash and no interest in any company or security. The remaining balance of the fund will be distributed in 2021.

PART	#	ENDNOTE
6.	3.2.61	The fund was formally terminated in 2020 and Filer no longer owns any interest in the fund. Further, the liquidating trust formed to complete the liquidation of the fund is scheduled to be formally terminated in 2021 and holds no interest in any companies or securities. The reported value reflects the anticipated cash distribution from the liquidating trust, which has not yet occurred.
6.	3.8.12	SurveyMonkey, now known as Momentive Global Inc. (MNTV), trades on NASDAQ.
6.	3.16	Immortals LLC is a collective esports and gaming company. Filer owns approximately 12.4% of Immortals LLC.
6.	3.22.1	Used by Filer for personal use only to date and generates charter activity by leasing plane to third parties.
6.	3.25	Family Revocable Trust #1 owns a .5% interest in Concrete Brick & Steel LLC. The holdings of Concrete Brick & Steel LLC are provided under Family Irrevocable Trust #1.
6.	3.26	Family Revocable Trust #1 owns a 7.75% interest in Wonder LP Series II. The holdings of Wonder LP Series II are provided under Family Irrevocable Trust #1.
6.	3.28	Winged Lion LLC owns a twenty percent interest in FC Cincinnati Holdings, LLC, a major league soccer franchise. Filer reports the proportionate entity holdings.
6.	3.35	Oxford Sonterra Partners was liquidated in 2020.
6.	12	Filer has a reportable interest in Family Irrevocable Trust #3 as a beneficiary of the trust. Filer reports the income and assets of Family Irrevocable Trust #3.
6.	12.2	Family Irrevocable Trust #3 owns a 13.5% interest in Whitford Limited Partnership. Filer reports the proportionate entity holdings.
6.	12.2.9	Positions disclosed under same fund holding in Family Revocable Trust #1 through Sweetwater Partners LP.
6.	12.2.11	The fund was liquidated and does not hold any investments.
6.	12.2.15	Positions disclosed under same fund holding in Family Revocable Trust #1 through Sweetwater Partners LP.
6.	12.2.24	Positions disclosed under same fund holding in Family Irrevocable Trust #1 through Palmyra Peak LP.
6.	12.2.32	Positions disclosed under same fund holding in Family Irrevocable Trust #1 through Palmyra Peak LP.

PART	#	ENDNOTE
6.	12.2.36	Greenfield Acquisition Partners V, L.P. is in the process of liquidating. The remaining asset is a pending legal action, which if favorably determined could result in additional income. The pending action is: Greenpenz 2600 Virginia Avenue, LLC, et al. vs. Euro Capital Properties, LLC, et al (Superior Court Of The District Of Columbia Civil Division Case Numbers: 2016 CA 7101 B, 2016 CA 7230 C and 2018 CA 3067B). This is a claim in litigation between private parties and their respective insurance companies. The United States has no direct or substantial interest.
6.	12.2.40.5	Positions disclosed under same fund holding in Family Irrevocable Trust #3 through Whitford Limited Partnership.
6.	12.2.54	The fund is terminating and holds only cash and no interest in any company or security. The remaining balance of the fund will be distributed in 2021.
6.	12.2.56	Positions disclosed under same fund holding in Family Revocable Trust #1 through Sweetwater Partners LP.
6.	12.2.57	The fund was formally terminated in 2020 and Filer no longer owns any interest in the fund. Further, the liquidating trust formed to complete the liquidation of the fund is scheduled to be formally terminated in 2021 and holds no interest in any companies or securities. The reported value reflects the anticipated cash distribution from the liquidating trust, which has not yet occurred.
6.	13	Filer has a reportable interest in Family Irrevocable Trust #4 as a beneficiary of the trust. Filer reports the income and assets of Family Irrevocable Trust #4.
6.	13.2	Family Irrevocable Trust #4 owns a 13.5% interest in Whitford Limited Partnership. Filer reports the proportionate entity holdings. The investments of Whitford Limited Partnership are provided under Family Irrevocable Trust #3. During the reporting period, Family Irrevocable Trust #4's share of Whitford Limited Partnership earned \$76,905 from its interest in HFS Exoduspoint LLC and between \$1,000,001 and \$5,000,000 from Whitford Limited Partnership's other assets.
6.	16	Filer's spouse has a reportable interest in Family Irrevocable Trust #5. Filer reports the income and assets of the trust.
6.	16.2	Family Irrevocable Trust #5 owns a 49.75% interest in Lizard Head Peak LP. Filer reports the proportionate entity holdings.
6.	16.2.11	Positions disclosed under same fund holding in Family Revocable Trust #1 through Sweetwater Partners LP.
6.	16.2.32	Positions disclosed under same fund holding in Family Irrevocable Trust #1 through Palmyra Peak LP.
6.	16.2.33	Positions disclosed under same fund holding in Family Irrevocable Trust #1 through Palmyra Peak LP.
6.	16.2.58	Positions disclosed under same fund holding in asset #14.

PART	#	ENDNOTE
6.	16.2.59	Positions disclosed under same fund holding in asset #15.
6.	17	Filer has a reportable interest in Family Irrevocable Trust #6. Filer reports the income and assets of the trust.
6.	17.3	Family Irrevocable Trust #6 owns a 49.75% interest in Lizard Head Peak LP. Filer reports the proportionate entity holdings. The investments of Lizard Head Peak LP are provided under Family Irrevocable Trust #5. During the reporting period, Family Irrevocable Trust #6's share of Lizard Head Peak LP earned \$283,409 from its interest in HFS Exoduspoint LLC and over \$5,000,000 from Lizard Head Peak LP's other assets.
6.	18	Filer has a reportable interest in Family Irrevocable Trust #7. Filer reports the income and assets of the trust.
6.	18.2	Family Irrevocable Trust #7 owns a 18.1% interest in Whitford Limited Partnership. Filer reports the proportionate entity holdings. The investments of Whitford Limited Partnership are provided under Family Irrevocable Trust #3. During the reporting period, Family Irrevocable Trust #7's share of Whitford Limited Partnership earned \$103,110 from its interest in HFS Exoduspoint LLC and over and \$5,000,000 from Whitford Limited Partnership's other assets.
6.	18.3	Family Irrevocable Trust #7 owns a 50% interest in Sheridan Investment Limited Liability Company. Filer reports the proportionate entity holdings.
6.	19	Filer has a reportable interest in Family Irrevocable Trust #8. Filer reports the income and assets of the trust.
6.	19.2	Family Irrevocable Trust #8 owns a 18.1% interest in Whitford Limited Partnership. Filer reports the proportionate entity holdings. The investments of Whitford Limited Partnership are provided under Family Irrevocable Trust #3. During the reporting period, Family Irrevocable Trust #8's share of Whitford Limited Partnership earned \$103,110 from its interest in HFS Exoduspoint LLC and over and \$5,000,000 from Whitford Limited Partnership's other assets.
6.	19.3	Family Irrevocable Trust #8 owns a 50% interest in Nellie Limited Liability Company. Filer reports the proportionate entity holdings.
6.	20	Filer's spouse has a reportable interest in Family Irrevocable Trust #9. Filer reports the income and assets of the trust.
6.	20.2	Family Irrevocable Trust #9 owns a 18.1% interest in Whitford Limited Partnership. Filer reports the proportionate entity holdings. The investments of Whitford Limited Partnership are provided under Family Irrevocable Trust #3. During the reporting period, Family Irrevocable Trust #9's share of Whitford Limited Partnership earned \$103,110 from its interest in HFS Exoduspoint LLC and over and \$5,000,000 from Whitford Limited Partnership's other assets.

PART	#	ENDNOTE
6.	20.3	Family Irrevocable Trust #9 owns a 50% interest in Sheridan Investment Limited Liability Company. Filer reports the proportionate entity holdings. The investments of Sheridan Investment Limited Liability Company are provided under Family Irrevocable Trust #7.
6.	21	Filer's spouse has a reportable interest in Family Irrevocable Trust #10. Filer reports the income and assets of the trust.
6.	21.2	Family Irrevocable Trust #10 owns a 18.1% interest in Whitford Limited Partnership. Filer reports the proportionate entity holdings. The investments of Whitford Limited Partnership are provided under Family Irrevocable Trust #3. During the reporting period, Family Irrevocable Trust #10's share of Whitford Limited Partnership earned \$103,110 from its interest in HFS Exoduspoint LLC and over and \$5,000,000 from Whitford Limited Partnership's other assets.
6.	21.3	Family Irrevocable Trust #10 owns a 50% interest in Nellie Limited Liability Company. Filer reports the proportionate entity holdings. The investments of Nellie Limited Liability Company are provided under Family Irrevocable Trust #8.
8.	1	This recourse financing is associated with an investment in a closely held operating business.
8.	2	This recourse financing is associated with an investment in a closely held operating business.
8.	3	Filer and her husband use this margin lending facility for cash management purposes.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
