

Incumbent Report 2017 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)(March 2014)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

OGE RECEIVED: AUG 2, 2017

Noyes, Julieta V.

Chief of Mission, U.S. Embassy Zagreb, Croatia, U.S. Department of State
Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months: None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

eSigned in FDM by:
Julieta V. Noyes
User ID: 047C0B964F6A26B0

05/11/2017

Agency Ethics Official's Opinion - On the basis of information contained in this report. I conclude that the filer is in compliance with applicable laws and regulations(Subject to any comments below).

eSigned in FDM by:
Clemon Wilson
User ID: 60C5071913134DFF

07/11/2017

Other review conducted by

Supervisor:
eSigned in FDM by:
Matthew J. Garrett
User ID: 38D65F2A0B4F6044

05/12/2017

U.S. Office of Government Ethics Certification

Comments of Reviewing Officials (public annotations):

PART #	REFERENCE	COMMENT
6.	1	SDFCU Personal Checking/Savings Account (07/11/17, Wilson, Clemon): This is a cash account. Filer is advised that accounts can be aggregated if held at the same U.S. financial institution (U.S. Credit Union account [cash]), or list them as separate accounts, as U.S. Credit Union account #1(cash), or U.S. Credit Union account #2 (cash), etc.. At a regular bank, "U.S. Bank account (cash)." Cash accounts held at U.S. financial institutions,

e.g. banks, the name of the entity should be omitted per OGE instructions.

6. 2 SDFCU Personal Checking/Savings Account (spouse) (07/11/17, Wilson, Clemon): This is a cash account. Filer is advised that accounts can be aggregated if held at the same U.S. financial institution (U.S. Credit Union account [cash]), or list them as separate accounts, as U.S. Credit Union account #1(cash), or U.S. Credit Union account #2 (cash), etc. At a regular bank, "U.S. Bank account (cash)." Cash accounts held at U.S. financial institutions, e.g. banks, the name of the entity should be omitted per OGE instructions.
6. 3 SDFCU Joint Checking/Savings Account (07/11/17, Wilson, Clemon): This is a cash account. Filer is advised that accounts can be aggregated if held at the same U.S. financial institution (U.S. Credit Union account [cash]), or list them as separate accounts, as U.S. Credit Union account #1(cash), or U.S. Credit Union account #2 (cash), etc. At a regular bank, "U.S. Bank account (cash)." Cash accounts held at U.S. financial institutions, e.g. banks, the name of the entity should be omitted per OGE instructions.

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	OGE TYPE	POSITION	FROM	TO
1	Trustee for Family Revocable Trust 1	Vienna, VA, USA	Trusts and Estates	Trustee	02/2008	Present
2	Trustee for Family Revocable Trust 2	Vienna, VA, USA	Trusts and Estates	Trustee	02/2008	Present

2. Filer's Employment Assets & Income and Retirement Accounts

This report has no reported **Assets**

3. Filer's Employment Agreements and Arrangements

This report has no reported **Agreements and Arrangements**

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

This report has no reported **Compensation Exceeding \$5,000**

5. Spouse's Employment Assets & Income and Retirement Accounts

This report has no reported **Assets**

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SDFCU Personal Checking/Savings Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	SDFCU Personal Checking/Savings Account (spouse)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	SDFCU Joint Checking/Savings Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Activision Blizzard Inc (ATVI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Blackstone Group (BX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

6	Citigroup Inc (C)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Corning Inc. (GLW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Expeditors International (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Ford Motor Co. (F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Pepsico Inc (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Ingersoll Rand PublicF (IR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Terex Corp (TEX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
13	WATSCO Inc. (WSO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
14	USAA Virginia Money Market Fund (UVAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	American New Perspective A (L) (ANWPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16	Synaptics Inc (SYNA) (IRA holding)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Templeton World A (TEMWX) (IRA Holding)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	Apple Inc (AAPL) (Spouse IRA Holding)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	Intel Corporation (INTC) (Spouse IRA holding)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Johnson and Johnson (JNJ) (Spouse IRA holding)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
21	Procter and Gamble Co (PG) (Spouse IRA Holding)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	American New Economy A (L) (ANEFX) (Spouse IRA Holding)	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
23	American New Perspective A (L) (ANWPX) (Spouse IRA Holding)	Yes	\$15,001 - \$50,000		None (or less than \$201)
24	American SmallCap World A (L) (SMCWX) (Spouse IRA Holding)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	USAA Money Market Fund (USAXX) (Spouse IRA Holding)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	RS Natural Resources Fund - RSNRX (Spouse IRA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	Infusystems	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	Allegion Public Limited Co (ALLE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Mastercard Inc CL (MA) (spouse IRA Holding)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	COGNEX Corp (CGNX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	USAA Money Market Fund (IRA Holding)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Nike Corp (NIKE) (IRA holding)	N/A	\$1,001 - \$15,000		None (or less than \$201)

33	VASCO Data Security (VDSI)	N/A	\$1,001 - \$15,000	None (or less than \$201)
34	GILD (spouse IRA)	N/A	\$1,001 - \$15,000	None (or less than \$201)
35	ILMIN (spouse IRA)	N/A	\$1,001 - \$15,000	None (or less than \$201)
36	Anika Therapeutics (ANIK)	N/A	\$1,001 - \$15,000	None (or less than \$201)
37	USAA S&P 500 Index Fund	N/A	\$1,001 - \$15,000	None (or less than \$201)
38	Kinder Morgan inc - KMI	N/A	\$1,001 - \$15,000	None (or less than \$201)
39	Textainer Group Holdings	N/A	\$1,001 - \$15,000	None (or less than \$201)
40	Under Armor - UA	N/A	\$1,001 - \$15,000	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Coca Cola - KO (Spouse IRA)	sale	10/18/2016	\$1,001 - \$15,000
2	Ilumina - ILMN (Spouse IRA)	purchase	04/21/2016	\$1,001 - \$15,000
3	ILUMINA Corp (ILMN)	purchase	12/01/2016	\$1,001 - \$15,000
4	Kinder Morgan Inc - KMI	purchase	01/11/2016	\$1,001 - \$15,000
5	Netflix - NFLX (Spouse IRA)	purchase	01/15/2016	\$1,001 - \$15,000
6	Netflix - NFLX (Spouse IRA)	sale	10/18/2016	\$1,001 - \$15,000
7	Nintendo Co. (NTDOY)	purchase	07/15/2016	\$1,001 - \$15,000
8	RS Natural Resources Fund - RSNRX (Spouse IRA)	purchase	01/05/2016	\$1,001 - \$15,000
9	Textainer - TGH	sale	04/13/2016	\$1,001 - \$15,000
10	Textainer Group	purchase	08/26/2016	\$1,001 - \$15,000
11	Textainer Group (TGH)	sale	12/30/2016	\$1,001 - \$15,000
12	TIVO	sale	12/30/2016	\$1,001 - \$15,000
13	Under Armor- UAA (Spouse IRA)	purchase	12/28/2016	\$1,001 - \$15,000
14	USAA S&P 500 Index Fund (Spouse and My IRAs)	purchase	01/13/2016	\$1,001 - \$15,000
15	USAA S&P 500 Index Fund (Spouse IRA)	purchase	06/09/2016	\$1,001 - \$15,000
16	Watsco - WSO	sale	04/13/2016	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	BB&T Bank	Mortgage, Residence - Currently Rented, VA, USA	\$250,001 - \$500,000	2011	3.68%	20 years fixed

9. Gifts and Travel Reimbursements

This report has no reported **Gifts and Reimbursements**

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account).

Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting

period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes, and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gift and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and the dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosure agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service, or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW, Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).