

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Klubes, Benjamin B

Principal Deputy General Counsel, Department of Housing and Urban Development

Date of Appointment: 05/09/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Klubes, Benjamin B [electronically signed on 06/08/2022 by Klubes, Benjamin B in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 07/25/2022 by Baxter, Daniel in Integrity.gov]

Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 07/07/2022 by Slye, Brandi in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/13/2022

Data Revised 07/12/2022

Data Revised 07/11/2022

Data Revised 07/07/2022

Data Revised 06/30/2022

Data Revised 06/28/2022

Data Revised 06/27/2022

Data Revised 06/22/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Buckley LLP	Washington, DC, District of Columbia	Law Firm	Managing Partner/Co-Managing Partner	1/2020	5/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Buckley, cash balance pension plan	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
2	Buckley LLP capital account	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
3	Buckley LLP (law firm)	N/A		Partnership Share	\$3,148,349
4	Buckley LLP (law firm) anticipated partnership share	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Skadden Arps Slate Meagher & Flom Savings Plan	No			
5.1	American Funds EuroPacific Gr R6	Yes	\$15,001 - \$50,000		
5.2	Vanguard Explorer Value Inv	Yes	\$50,001 - \$100,000		
5.3	T Rowe Price Growth Stock Tr B	Yes	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	Vanguard Institutional Index Instl PL	Yes	\$250,001 - \$500,000		
5.5	Vanguard Wellington Adm	Yes	\$50,001 - \$100,000		
5.6	Vanguard total Bond Market Index Inst	Yes	\$15,001 - \$50,000		
5.7	Vanguard Federal Money Market Inv	Yes	\$15,001 - \$50,000		
5.8	American Century Ultra Fund	Yes	\$15,001 - \$50,000		
5.9	American Century Emerging Markets Fund	Yes	\$15,001 - \$50,000		
5.10	James Henderson Global Select Fund Class T	Yes	\$15,001 - \$50,000		
5.11	James Henderson Enterprise Fund Class T	Yes	\$15,001 - \$50,000		
5.12	Red Oak Technology Select Portfolio	Yes	\$15,001 - \$50,000		
5.13	T Rowe Price Short Term Bond	Yes	\$15,001 - \$50,000		
6	IRA Account - Capital Group American Funds	Yes			
6.1	New Perspective Fund A		\$15,001 - \$50,000		
7	Buckley LLP Profit Sharing and 401(k) Plan	No	\$1,000,001 - \$5,000,000		
7.1	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	\$250,001 - \$500,000		
7.2	Loomis Sayles Core Plus Bond Fund Class Y Shares (NERYX)	Yes	\$500,001 - \$1,000,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR)	Yes	\$500,001 - \$1,000,000		
7.4	Vanguard Mid-Cap Index Fund Institutional Shares (VMCIX)	Yes	\$500,001 - \$1,000,000		
7.5	Vanguard Small-Cap Index Fund Institutional Shares (VSCIX)	Yes	\$500,001 - \$1,000,000		
7.6	Goldman Sachs Small Cap Value Fund Class R6 Shares (GSSUX)	Yes	\$500,001 - \$1,000,000		
7.7	Vanguard Total International Stock Index Fund Institutional Shares (VTSNX)	Yes	\$100,001 - \$250,000		

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Buckley Cash Balance Plan	Washington, DC, District of Columbia	I will continue to participate in this cash balance pension plan, but the plan sponsor no longer makes contributions.	3/2009
2	Buckley Profit Sharing and Section 401(k) Plan	Washington DC, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	3/2009
3	Buckley LLP	Washington, DC, District of Columbia	Capital must be returned within two years of my departure date. The firm may make full or partial payments at any time within that period at its discretion.	3/2009
4	Skadden Arps Slate Meagher & Flom Retirement Plan	New York, New York	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	4/2002
5	Skadden Arps Slate Meagher & Flom Savings Plan	New York, New York	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	11/1994

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
6	Buckley LLP	Washington, DC, District of Columbia	Potential for bonus payment calculated at end of 2022 based on pro rata portion of year worked and payable consistent with bonus payments to all other partners in 2023 after amount determined at close of 2022.	3/2009

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Buckley LLP	Washington, DC, District of Columbia	Partner at Firm
2	Bedford and Victoria Station LLP	Langley Park, Maryland	Legal Client - represent in class action fair housing case
3	Confidential individuals	Washington, District of Columbia	Legal Client - represent in matter involving vacation residence
4	Eagle Bancorp, Inc.	Bethesda, Maryland	Legal Client - representation in regulatory and enforcement matter
5	First Solar Inc.	Tempe, Arizona	Legal client - represent witness in investigation
6	Krooth & Altman	Washington, District of Columbia	Legal client - assist in subpoena responses
7	John Stone	Newport News, Virginia	Legal Client - provide advise regarding employment and investigation issues
8	Trustees of Columbia University	New York, New York	Legal Client - represent in litigation matter in SNDY
9	Universal Entertainment Corporation	Tokyo, Outside U.S.	Legal Client - represent in litigation in Las Vegas, NV relating to patent claims and other matters
10	US Bank	Minneapolis, Minnesota	Legal Client - represent in regulatory investigation matter

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
11	XMTT, Inc.	Potomac, Maryland	Legal Client - represent in connection with subpoena matter

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Oppenheimer & Co. IRA for Spouse	No	\$50,001 - \$100,000		None (or less than \$201)
1.1	Citrix Systems, Inc. (CTXS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	Juniper Networks, Inc. (JNPR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	Lumentum Holdings, Inc. (LITE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	Macy's, Inc. (M)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.5	Rambus, Inc. (RMBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Viavi Solutions, Inc. (VIAV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	JPM Morgan Savings and Checking (Cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	City National Bank Checking/Savings Accts (Cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
3	CapitalOne Checking/Savings Accounts (Cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
4	Oppenheimer Cash Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	RBC Investment Account 402	No			
5.1	American Century Ultra Fund Investor Class Shares (TWCUX)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
5.2	Berkshire Hathaway Inc. (BRKB)	N/A	\$250,001 - \$500,000		None (or less than \$201)
5.3	The Boeing Co. (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	American Funds Capital World Growth and Income Fund	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
5.5	American Funds EuroPacific Growth Fund Class A	No	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
5.6	American Funds International Growth and Income Fund Class A	No	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
5.7	Janus Henderson Growth & Income Fund Class T Shares (JAGIX)	No	\$50,001 - \$100,000	Capital Gains Dividends	\$15,001 - \$50,000
5.8	Janus Henderson Global Research Fund Class T Shares (JAWWX)	No	\$100,001 - \$250,000	Capital Gains Dividends	\$201 - \$1,000
5.9	Vanguard 500 Index Fund Admiral Shares (VFIAX)	No	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
6	Dependent 2 RBC Investment Account	No			
6.1	The Boeing Co. (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.2	The Kroger Co. (KR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.3	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Schwalb Investment Account	No			
7.1	Curtiss-Wright Corp. (CW)	N/A	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
8	RBC Investment Account 365	No			
8.1	ANSYS, Inc. (ANSS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.2	Allegion Plc (ALLE)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.3	Aspen Technology, Inc. (AZPN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.4	Bright Horizons Family Solutions Inc. (BFAM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.5	Bentley Systems, Inc. (BSY)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.6	W R Berkeley Corp. (WRB)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.7	The Cooper Cos., Inc. (COO)	N/A	\$50,001 - \$100,000		None (or less than \$201)
8.8	CRL	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.9	Chemed Corp. (CHE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.10	CPRT	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.11	Dolby Laboratories, Inc. (DLB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.12	Exponent Inc. (EXPO)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.13	Elanco Animal Health, Inc. (ELAN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.14	Equifax, Inc. (EFX)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.15	Fair Isaac Corp. (FICO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.16	Globus Medical, Inc. (GMED)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.17	Interactive Brokers Group, Inc. (IBKR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.18	Jack Henry & Associates, Inc. (JKHY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.19	Lennox International, Inc. (LII)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.20	LPL Financial Holdings, Inc. (LPLA)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.21	Nordson Corp. (NDSN)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.22	Pool Corp. (POOL)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.23	Rollins, Inc. (ROL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.24	SiteOne Landscape Supply, Inc. (SITE)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.25	Scotts Miracle-Gro Co. (SMG)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
8.26	Teledyne Technologies, Inc. (TDY)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.27	Teradyne, Inc. (TER)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.28	Thor Industries, Inc. (THO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.29	Watsco, Inc. (WSO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.30	Zebra Technologies Corp. (ZBRA)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9	RBC Investment Account 370	No			
9.1	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.3	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.4	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.5	NXP Semiconductors NV (NXPI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.6	Seagate Technology Plc (STX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.7	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.8	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.9	Advance Auto Parts, Inc. (AAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.10	Air Products and Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.11	Alexandria Real Estate Equities, Inc. (ARE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.12	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.13	AmerisourceBergen Corp. (ABC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.14	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.15	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.16	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.17	AvalonBay Communities, Inc. (AVB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.18	Bank of America Corp. (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.19	Becton, Dickinson and Company (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.20	Best Buy Co., Inc. (BBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.21	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.22	Boston Properties, Inc. (BXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.23	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.24	Capital One Financial Corp. (COF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.25	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.26	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.27	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.28	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.29	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.30	CMS Energy Corp. (CMS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.31	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.32	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.33	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.34	Corning, Inc. (GLW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.35	CVS Health Corp. (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.36	Deere & Company (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.37	Dover Corp. (DOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.38	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.39	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.40	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.41	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.42	Arthur J. Gallagher & Co. (AJG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.43	General Dynamics Corp. (GD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.44	The Hartford Financial Services Group, Inc. (HIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.45	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.46	International Business Machines Corp. (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.47	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.48	Marsh & McLennan Cos., Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.49	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.50	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.51	MetLife, Inc. (MET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.52	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.53	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.54	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.55	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.56	Norfolk Southern Corp. (NSC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.57	Northern Trust Corp. (NTRS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.58	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.59	Parker-Hannifin Corp. (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.60	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.61	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.62	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.63	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.64	PPG Industries, Inc. (PPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.65	T. Rowe Price Group, Inc. (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.66	The Proctor & Gamble Company (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.67	Progressive Corp. (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.68	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.69	Public Service Enterprise Group, Inc. (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.70	Raytheon Co. (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.71	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.72	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.73	Sysco Corp. (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.74	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.75	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.76	Truist Financial Corporation (TFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.77	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.78	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.79	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.80	Ventas, Inc. (VTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.81	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.82	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.83	Wells Fargo & Company (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.84	Xcel Energy, Inc. (XEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	RBC Investment Account 381	No			
10.1	AMC Networks Inc. (AMCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.2	AbbVie, Inc. (ABBV)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10.3	Airbnb, Inc. (ABNB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.4	Autodesk, Inc. (ADSK)	N/A	\$50,001 - \$100,000		None (or less than \$201)
10.5	Biogen Inc. (BIIB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.6	Broadcom, Inc. (AVGO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
10.7	Charles River Laboratories International, Inc. (CRL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.8	Comcast Corp. (CMCSA)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.9	CrowdStrike Holdings, Inc. (CRWD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.10	Diageo plc (DEO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.11	Dolby Laboratories, Inc. (DLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.12	DocuSign, Inc. (DOCU)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.13	Doximity, Inc (DOCS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.14	Etsy, Inc. (ETSY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.15	Freeport-McMoRan, Inc. (FCX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.16	Guardant Health, Inc. (GH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.17	Insulet Corp. (PODD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.18	HubSpot, Inc. (HUBS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.19	Ionis Pharmaceuticals, Inc. (IONS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.20	ImmunoGen, Inc. (IMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.21	Johnson Controls International Plc (JCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.22	Liberty Broadband Corp. (LBRDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.23	Liberty Broadband Corp. (LBRDK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.24	Formula One Group (FWONK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.25	Liberty Media Corp. Liberty SiriusXM (LSXMK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.26	Liberty Media Corp. Liberty SiriusXM (LSXMA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.27	Formula One Group (FWONA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.28	Lyft, Inc. (LYFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.29	L3Harris Technologies, Inc. (LHX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.30	Match Group, Inc. (MTCH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.31	10x Genomics, Inc. (TXG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.32	Snowflake, Inc. (SNOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.33	Seagate Technology Plc (STX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10.34	TE Connectivity Ltd. (TEL)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
10.35	Twitter, Inc. (TWTR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.36	UnitedHealth Group, Inc. (UNH)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.37	Unity Software, Inc. (U)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.38	Vertex Pharmaceuticals, Inc. (VRTX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
10.39	Wolfspeed Inc. (WOLF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.40	Warner Bros. Discovery, Inc. (WBD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.41	Western Digital Corp. (WDC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	RBC Investment Account 393	No			
11.1	Abbott Laboratories (ABT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.2	American Tower Corporation (REIT) (AMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.3	AbbVie Inc. (ABBV)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.4	Air Products and Chemicals, Inc. (APD)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.5	Automatic Data Processing, Inc. (ADP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.6	BlackRock, Inc. (BLK)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.7	Broadcom, Inc. (AVGO)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.8	Best Buy Co., Inc. (BBY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.9	Chevron Corp. (CVX)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.10	Comcast Corp. (CMCSA)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.11	Crown Castle International Corp. (CCI)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.12	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.13	Corning, Inc. (GLW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.14	Eaton Corp. Plc (ETN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.15	Eversource Energy (ES)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.16	Fidelity National Information Services, Inc. (FIS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.17	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.18	Illinois Tool Works, Inc. (ITW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.19	JPMorgan Chase & Co. (JPM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.20	Kuerig Dr Pepper Inc. (KDP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.21	Lockheed Martin Corp. (LMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.22	Eli Lilly & Co. (LLY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.23	Merck & Co., Inc. (MRK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.24	Mondelez International, Inc. (MDLZ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.25	Medtronic Plc (MDT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.26	Marsh & McLennan Companies Inc (MMC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.27	McDonald's Corp. (MCD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.28	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.29	NextEra Energy, Inc. (NEE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.30	Phillips 66 (PSX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.31	Prologis, Inc. (PLD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.32	The PNC Financial Services Group, Inc. (PNC)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.33	Paychex, Inc. (PAYX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.34	PepsiCo, Inc. (PEP)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.35	The Proctor & Gamble Company (PG)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.36	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.37	Realty Income Corp. (O)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.38	Sempra Energy (SRE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.39	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.40	Truist Financial Corporation (TFC)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.41	Texas Instruments Incorporated (TXN)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.42	United Parcel Service, Inc. (UPS)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.43	U.S. Bancorp (USB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.44	WEC Energy Group, Inc. (WEC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
12	RBC Investment Account 406	No			
12.1	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2	Accenture Plc (ACN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
12.3	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.4	Antilles Gold Limited (ANTMF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.5	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.6	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12.7	Broadcom, Inc. (AVGO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.8	Baxter International, Inc. (BAX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.9	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.10	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.11	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.12	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.13	Duke Realty Corp. (DRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.14	Eaton Corporation plc (ETN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.15	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.16	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.17	International Flowers & Fragrances Inc. (IFF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.18	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.19	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.20	Lowe's Companies Inc. (LOW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.21	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.22	Motorola Solutions, Inc. (MSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.23	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.24	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.25	Marsh & McLennan Cos., Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.26	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.27	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
12.28	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.29	Packaging Corporation of America (PKG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.30	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.31	Phillips 66 (PSX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.32	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.33	The Charles Schwab Corporation (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.34	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.35	Trane Technologies (TT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.36	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.37	UnitedHealth Group, Inc. (UNH)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
12.38	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.39	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12.40	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	RBC Investment Account 98	No			
13.1	Cook Illinois High School District Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2	Mooreville NC Go Ref Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.3	Rhode Island Health & EDL BLDG Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.4	Wisconsin State for Issues DTD Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.5	Ohio St Hospital FAC Rev Acting Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
13.6	Garland TX WTR & SWR REV REF Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.7	Cherry Hill TWP NJ 2018 General Purpose Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.8	Massachusetts State GO Bonds 2018	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
13.9	NY Sate Dorm Auth St Pers Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
13.10	Board of Regents of TX Public Higher Ed Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.11	Montgomery County MD GO Cons General Purpose Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.12	Wisconsin State Environmental General Purpose	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
13.13	Baltimore CTY MD Bonds General Purpose	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.14	State of Minnesota G/O ST Var General Purpose	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.15	Nevada ST HWY IMPT REV Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.16	Lumberton TX Indpt School District Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.17	Round Rock TX Indpt Sch Dist Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
13.18	Clark County NV GO Park Impt Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
13.19	Washington St CTFS Partner General Purpose Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
13.20	New York NY City General Purpose Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
14	JP Morgan Investment Account	Yes			
14.1	Vintage 2016 Private Investments	Yes	\$250,001 - \$500,000		None (or less than \$201)
14.2	Vintage 2017 Private Investments	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.3	Vintage 2018 Private Investments	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.4	CRP VIII (Carlyle) Private	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.5	Vintage 2020 Private Investments	Yes	\$50,001 - \$100,000		None (or less than \$201)
14.6	Vintage 2021 Private Investments	Yes	\$50,001 - \$100,000		None (or less than \$201)
15	Mahi LLC Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Dependent 1 Maryland 529 Investment Account - Portfolio for Education Today	Yes	\$100,001 - \$250,000		None (or less than \$201)
17	Dependent 2 Maryland 529 Investment Account - Balanced Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Dependent 1 Maryland 529 Investment Account - Balanced Portfolio	Yes	\$250,001 - \$500,000		None (or less than \$201)
19	Dependent 2 Maryland 529 Investment Account - Portfolio for Education Today	Yes	\$100,001 - \$250,000		None (or less than \$201)
20	Undeveloped lot in Bethesda, Maryland	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
21	Israeli Bonds (Joint and Dependents)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22	CNB Joint Investment Account	No			
22.1	APPLE INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22.2	COCA COLA CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.3	INTEL CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.4	INTERPUBLIC GROUP OF COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.5	AMERICAN ELEC PWR INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.6	AMERICAN EXPRESS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.7	DISNEY WALT CO NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.8	EMERSON ELEC CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.9	INTL. BUSINESS MACHINES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.10	INTERNATIONAL PAPER CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.11	MCDONALDS CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.12	ENTERGY CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.13	PEPSICO INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.14	PFIZER INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.15	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.16	HOME DEPOT INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22.17	BECTON DICKINSON	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.18	MICROSOFT CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22.19	ADOBE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.20	FIFTH THIRD BANCORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.21	WILLIAMS COS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.22	CINTAS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.23	STARBUCKS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.24	CISCO SYSTEMS INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.25	LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.26	SHERWIN WILLIAMS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.27	NORTHROP GRUMMAN CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.28	APPLIED MATERIALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.29	FRANKLIN RESOURCES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.30	HARTFORD FINL SVCS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.31	KEYCORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.32	AMAZON.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.33	GENUINE PARTS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.34	DANAHER CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.35	SEMPRA	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.36	CINCINNATI FINANCIAL CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.37	UNITED RENTALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.38	NEWELL BRANDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.39	THERMO FISHER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.40	ROPER TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.41	SONOCO PRODUCTS CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.42	COSTCO WHOLESALE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.43	EOG RES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.44	DOMINION ENERGY INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.45	EDWARDS LIFESCIENCES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.46	METLIFE INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.47	UNITEDHEALTH GROUP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22.48	CHARLES RIV LABORATORIES INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.49	VERIZON COMMUNICATIONS INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.50	JP MORGAN CHASE & CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.51	US BANCORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.52	MID-AMER APARTMENT COMM REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.53	CHEVRON CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.54	PRINCIPAL FINANCIAL GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.55	COMCAST CORP-CL A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.56	ALTRIA GROUP INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.57	FIRST HORIZON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.58	ARES CAPITAL CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.59	OLD DOMINION FREIGHT LINE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.60	AT&T INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.61	NATIONAL RETAIL PROPERTIES REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.62	MASTERCARD INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.63	BCE INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.64	HANESBRANDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.65	CME GROUP INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.66	VISA INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.67	PHILIP MORRIS INTERNATIONAL	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.68	AMERICAN WATER WORKS CO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.69	ANHEUSER-BUSCH INBEV SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.70	MERCK & CO INC	N/A	\$1,001 - \$15,000	Dividends Interest	\$201 - \$1,000
22.71	BERKSHIRE HATHAWAY INC-CL B	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.72	LYONDELLBASELL INDUSTRIES NV	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.73	NXP SEMICONDUCTORS NV	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.74	HCA HEALTHCARE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.75	PROLOGIS INC REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.76	MARATHON PETROLEUM CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.77	EXPEDIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.78	META PLATFORMS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.79	DUKE ENERGY CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.80	ASML HOLDING N.V. SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.81	ZOETIS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
22.82	T-MOBILE US INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.83	CITIZENS FINANCIAL GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.84	CROWN CASTLE INTL CORP REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.85	EQUINIX INC REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.86	EVERSOURCE ENERGY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.87	TRANSUNION	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.88	ALPHABET INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.89	AVANGRID INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
22.90	S&P GLOBAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.91	NEXTERA ENERGY PARTNERS LP	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.92	BLACKSTONE INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
22.93	TRANE TECHNOLOGIES PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.94	CITY NATL ROCHDALE SELECT STRAT FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.95	FED HER OPP HIGH YIELD BD-IN	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
22.96	CNR FIXED INCOME OPPORT CL N 1236	Yes	\$100,001 - \$250,000		None (or less than \$201)
22.97	CNR MUNI HIGH INC SERV FD2668	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
22.98	BALTIMORE MD PROJ 5.000% 7/01/22	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.99	HOWARD CNTY MD 5.000% 2/15/24	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.100	HARRIS CNTY TX FLOO 5.000% 10/01/28	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.101	ANNE ARUNDEL CNTY MD 5.000% 4/01/25	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.102	MARYLAND ST 4.000% 8/15/22	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.103	MARYLAND ST DEPT 5.000% 6/01/24	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.104	MARYLAND ST STADIUM 5.000% 5/01/27	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.105	PRINCE GEORGES MD 4.000% 8/01/24	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.106	MONTGOMERY CNTY MD 5.000% 10/01/27	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.107	CLEVELAND OH PRE 5.000% 10/01/29	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
22.108	ORLANDO FL UTILITIES 5.000% 10/01/25	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.109	CLARK CNTY WA PUBLI 5.000% 1/01/30	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.110	MICHIGAN ST TRUNK 5.000% 11/15/31	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.111	CTRL PUGET SOUND WA 5.000% 11/01/30	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.112	COLORADO ST COPS 5.000% 12/15/32	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.113	UTAH ST TRANSIT AUT 5.250% 6/15/29	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.114	VIRGINIA ST PUBLIC 4.000% 10/01/30	N/A	\$15,001 - \$50,000		None (or less than \$201)
22.115	FIRST TR EXCHANGE TRADED FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22.116	CITY NATL ROCHDALE GOV MM-SV	Yes	\$15,001 - \$50,000		None (or less than \$201)
22.117	DRUG ROYALTIES FUND II LLC	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
22.118	MONTAUK TRIGUARD FUND VIII	Yes	\$100,001 - \$250,000		None (or less than \$201)
23	RBC Investment Account 385 (IRA)	No			
23.1	American Funds Capital World Growth Income Fund Class A (CWGIX)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
23.2	American Funds Fundamental Investors Class A Shares (ANCFX)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
24	MAHI LLC Investment Account	No			
24.1	ABBVIE INC 3.200% 5/14/26	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.2	ACCENTURE PLC CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
24.3	ADOBE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.4	ALPHABET INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.5	ALTRIA GROUP INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.6	AMAZON.COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.7	AMERICAN EXPRESS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.8	AMERICAN WATER WORKS CO INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.9	ANHEUSER-BUSCH INBEV SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.10	APPLE INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
24.11	APPLE INC 3.250% 2/23/26	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
24.12	APPLIED MATERIALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.13	ARES CAPITAL CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.14	ASML HOLDING N.V. SPONS ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.15	AT&T INC	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$201 - \$1,000
24.16	AVANGRID INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.17	BANK OF AMER CRP MTN 4.125% 1/22/24	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24.18	BECTON DICKINSON	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.19	BERKSHIRE HATHAWAY 3.125% 3/15/26	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24.20	BERKSHIRE HATHAWAY INC-CL B	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.21	BLACKSTONE INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
24.22	CHARLES RIV LABORATORIES INTL INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.23	CHEVRON CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.24	CINTAS CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.25	CITIZENS FINANCIAL GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.26	CITY NATL ROCHDALE GOV MM-SV	Yes	\$100,001 - \$250,000		None (or less than \$201)
24.27	CME GROUP INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.28	CNR FIXED INCOME OPPORT CL N 1236	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
24.29	CNR MUNI HIGH INC SERV FD2668	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
24.30	COMCAST CORP-CL A	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.31	COSTCO WHOLESALE CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.32	CROWN CASTLE INTL CORP REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.33	CVS HEALTH CORP 4.300% 3/25/28	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$1,001 - \$2,500
24.34	DANAHER CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.35	DISNEY WALT CO NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.36	DOMINION ENERGY INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.37	DUKE ENERGY CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.38	EDWARDS LIFESCIENCES CORP	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
24.39	EMERSON ELEC CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.40	EOG RES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.41	EQUINIX INC REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.42	EVERSOURCE ENERGY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.43	EXPEDIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.44	FIFTH THIRD BANCORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.45	GENERAL MILLS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.46	GOLDMAN SACHS MTN 4.000% 3/03/24	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24.47	HARTFORD FINL SVCS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.48	HCA HEALTHCARE INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.49	HOME DEPOT INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.50	INTERNATIONAL PAPER CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.51	ISHARES MSCI ALL COUNTRY ASIA	Yes	\$15,001 - \$50,000		None (or less than \$201)
24.52	ISHARES RUSSELL 2000 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
24.53	LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.54	MARATHON PETROLEUM CORPORATION	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.55	MASTERCARD INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
24.56	MCDONALDS CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.57	META PLATFORMS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.58	METLIFE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.59	MICROSOFT CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.60	MID-AMER APARTMENT COMM REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.61	MORGAN STANLEY MTN 4.000% 7/23/25	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24.62	NATIONAL RETAIL PROPERTIES REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.63	NEWELL BRANDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.64	NEXTERA ENERGY INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.65	NIKE INC 2.400% 3/27/25	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.66	NORTHROP GRUMMAN CORPORATION	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.67	NXP SEMICONDUCTORS NV	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.68	OLD DOMINION FREIGHT LINE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.69	ORACLE CORP 3.625% 7/15/23	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$1,001 - \$2,500
24.70	PEPSICO INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.71	PFIZER INC 3.450% 3/15/29	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24.72	PHILIP MORRIS INTERNATIONAL	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.73	PROLOGIS INC REIT	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.74	ROPER TECHNOLOGIES INC	N/A	\$15,001 - \$50,000		
24.75	S&P GLOBAL INC	N/A	\$1,001 - \$15,000		
24.76	SHELL INTERNATIONAL 3.250% 5/11/25	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24.77	SHERWIN WILLIAMS CO	N/A	\$15,001 - \$50,000		
24.78	SOUTHERN CO 2.950% 7/01/23	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24.79	STARBUCKS CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.80	TARGET CORP 3.500% 7/01/24	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
24.81	THERMO FISHER SCIENTIFIC INC	N/A	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.82	T-MOBILE US INC	N/A	\$15,001 - \$50,000		
24.83	TRANE TECHNOLOGIES PLC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
24.84	TRANSUNION	N/A	\$1,001 - \$15,000		
24.85	TYSON FOODS INC 4.350% 3/01/29	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$201 - \$1,000
24.86	UNITED RENTALS INC	N/A	\$1,001 - \$15,000		
24.87	UNITEDHEALTH GROUP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.88	VANGUARD S&P 500 ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
24.89	VERIZON COMMUNICATIONS INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.90	VISA INC CL A	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.91	WALMART INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24.92	WESTPAC BANKING CORP 3.350% 3/08/27	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
24.93	WESTPAC BANKING CORP 3.400% 1/25/28	N/A	\$1,001 - \$15,000		
24.94	ZOETIS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
25	Cobia Risk Management LLC Investment Account	No			
25.1	APPLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.2	AMERICAN EXPRESS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.3	DISNEY WALT CO NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.4	MCDONALDS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.5	PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.6	WALMART INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.7	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.8	BECTON DICKINSON	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.9	MICROSOFT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.10	ADOBE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.11	CINTAS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.12	STARBUCKS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.13	SHERWIN WILLIAMS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.14	NORTHROP GRUMMAN CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.15	APPLIED MATERIALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.16	AMAZON.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.17	DANAHER CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.18	UNITED RENTALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.19	THERMO FISHER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.20	ROPER TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.21	COSTCO WHOLESALE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.22	EOG RES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.23	EDWARDS LIFESCIENCES CORP	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
25.24	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.25	JP MORGAN CHASE & CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.26	COMCAST CORP-CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.27	OLD DOMINION FREIGHT LINE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.28	MASTERCARD INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.29	CME GROUP INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.30	VISA INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.31	AMERICAN WATER WORKS CO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.32	ANHEUSER-BUSCH INBEV SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.33	ACCENTURE PLC CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
25.34	BERKSHIRE HATHAWAY INC-CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.35	NEXTERA ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.36	NXP SEMICONDUCTORS NV	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.37	HCA HEALTHCARE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.38	EXPEDIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.39	META PLATFORMS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.40	ASML HOLDING N.V. SPONS ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.41	ZOETIS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
25.42	T-MOBILE US INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.43	EQUINIX INC REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.44	TRANSUNION	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.45	ALPHABET INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.46	S&P GLOBAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.47	BLACKSTONE INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
25.48	VANGUARD REAL ESTATE ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
25.49	GOLDMAN SACHS GROU P 5.500% PFD SER J	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.50	WELLS FARGO & CO 5.850% PFD SER Q	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.51	CITIGROUP INC 7.125% PFD SER J	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.52	MORGAN STANLEY 7.125% PFD SER E	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.53	CITIGROUP INC PFD SER K	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.54	MORGAN STANLEY PFD SER F	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.55	GOLDMAN SACHS GROUP 6.375% PFD SER K	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.56	REGIONS FINANCIAL 6.375% PFD SER B	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.57	STATE STREET CORP 5.900% PFD SER D	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.58	WELLS FARGO & COMP 6.625% PFD SER R	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.59	MORGAN STANLEY PFD SER I	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.60	FIFTH THIRD BANCORP PFD SER I	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.61	ALLSTATE CORP 5.625% PFD SER G	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25.62	CVS CAREMARK CORP 2.750% 12/01/22	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.63	ORACLE CORP 3.625% 7/15/23	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.64	AMAZON.COM INC 2.500% 11/29/22	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.65	AMERICAN EXPRESS CO 2.650% 12/02/22	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.66	CISCO SYSTEMS INC 3.625% 3/04/24	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.67	ABBVIE INC 3.200% 5/14/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
25.68	BANK OF AMERICA MTN 4.100% 7/24/23	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.69	SOUTHERN CO 2.950% 7/01/23	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.70	MASTERCARD INC 3.375% 4/01/24	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.71	DOWDUPONT INC 4.205% 11/15/23	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.72	UNION PACIFIC CORP 3.500% 6/08/23	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.73	INTEL CORP 3.400% 3/25/25	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.74	AMERICAN HONDA MTN 1.200% 7/08/25	N/A	\$15,001 - \$50,000		None (or less than \$201)
25.75	CVS HEALTH CORP 3.000% 8/15/26	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.76	KINDER MORGAN INC 4.300% 6/01/25	N/A	\$15,001 - \$50,000		None (or less than \$201)
25.77	CAPITAL ONE FINL CO 3.500% 6/15/23	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.78	GENERAL MOTORS CO 4.875% 10/02/23	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25.79	HONEYWELL INTL 0.483% 8/19/22	N/A	\$1,001 - \$15,000		None (or less than \$201)
25.80	JOHNSON & JOHNSON 2.450% 3/01/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
25.81	UNITEDHEALTH GROUP 2.750% 2/15/23	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.82	WAL-MART STORES INC 2.650% 12/15/24	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.83	PRAXAIR INC 3.200% 1/30/26	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.84	PROCTER & GAMBLE CO 1.000% 4/23/26	N/A	\$15,001 - \$50,000		None (or less than \$201)
25.85	BANK OF MONTREAL MTN 2.500% 6/28/24	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
25.86	GLAXOSMITHKLINE CAP 3.000% 6/01/24	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.87	SUMITOMO MITSUI FINL 2.784% 7/12/22	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
25.88	CITY NATL ROCHDALE GOV MM-SV	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	New Perspective Fund Class A Shares (ANWPX) - IRA	Yes	\$15,001 - \$50,000		None (or less than \$201)
27	Project Capital 2008	No	\$50,001 - \$100,000	Capital Gains Dividends Interest	\$15,001 - \$50,000
27.1	Bain Capital Fund X, L.P.	Yes	\$15,001 - \$50,000		
27.2	Bain Capital Europe Fund III	Yes	\$1,001 - \$15,000		

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.3	Francisco Partners Fund III LP		Yes	\$1,001 - \$15,000		
27.4	JCF Private Investors III LLC		Yes	\$1,001 - \$15,000		
27.5	Sentinel Capital Partners IV, LP		Yes	\$1,001 - \$15,000		
28	Benjamin B. Klubes 2013 Irrevocable Insurance Trust - WLI Policy and Checking Account		No	\$1,000,001 - \$5,000,000	Interest	None (or less than \$201)
29	Sidney Bender 2021 Irrevocable Trust	See Endnote	No	Over \$1,000,000	Capital Gains	Over \$1,000,000
29.1	Alphabet, Inc. (GOOGL)		N/A	Over \$1,000,000	Capital Gains	Over \$1,000,000
30	Sidney Bender Revocable Trust	See Endnote	No	\$250,001 - \$500,000	Capital Gains	Over \$1,000,000
30.1	Alphabet, Inc. (GOOGL)		N/A	\$100,001 - \$250,000	Capital Gains	Over \$1,000,000
31	Dependent 1 RBC Investment Account		No			
31.1	The Boeing Co. (BA)		N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	City National Bank	Exercised Line of Credit	\$100,001 - \$250,000	2022	Prime	2 years

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Chase Visa		Credit Card	\$15,001 - \$50,000	2022	16.74 (variable)	Revolving
3	Charles Schwab & Co.		Personal Loan	\$100,001 - \$250,000	2022	Federal Funds Rate + .6	No term
4	City National Bank	See Endnote	Loan to Bender/Klubes LLC	\$1,000,001 - \$5,000,000	2021	1.65%/Interest Only	3 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	29	Spouse and dependents are beneficiaries
6.	30	Spouse and dependents are beneficiaries
8.	4	Filer and spouse personally guarantee loan

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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