

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Nolan, Janet

Deputy Associate General Counsel, Department of Health & Human Services

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Nolan, Janet [electronically signed on 02/12/2019 by Nolan, Janet in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hall, Randall, Certifying Official [electronically signed on 02/26/2019 by Hall, Randall in Integrity.gov]

Other review conducted by

/s/ Blanchette, Jason, Ethics Official [electronically signed on 02/21/2019 by Blanchette, Jason in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 02/26/2019

Data Revised 02/22/2019

Data Revised 02/21/2019

Data Revised 02/19/2019

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(02/19/2019, Blanchette, Jason): IR 2/19/19 (JB)
N/A	N/A	General	(02/26/2019, Blanchette, Jason): Filer was reminded to keep Target, GE, and IBM below the regulatory threshold.
6.	4.1	American Funds Money Market Fund - 529C	(02/22/2019, Blanchette, Jason): Information provided by filer
6.	4.2	American Funds Money Market Fund - 529C	(02/22/2019, Blanchette, Jason): Information provided by filer.
7.	90	American Funds Money Market Fund - 529C	(02/22/2019, Blanchette, Jason): Information provided by filer.
7.	91	American Funds Money Market Fund - 529C	(02/22/2019, Blanchette, Jason): Information provided by filer.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Mercy Health Clinic	Gaithersburg, Maryland	Non-Profit	Board member (non-officer)	2/2017	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	FIDELITY S/T TRSY INDEX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	Fidelity IRA	No			
2.1	MALOX - Blackrock Global Allocation I	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2.2	DODIX - Dodge and Cox Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.3	SGIIX - First Eagle Global I	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.4	FPACX - FPA Crescent	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2.5	Fidelity Spartan 500 Index Advtg Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	United Technologies Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Intl Business Machines Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	AT&T Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	Caterpillar Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Emerson Electric Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Schlumberger Ltd	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	T. Rowe Price Group, Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Target Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	Starbucks Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	Walt Disney Co	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	McCormick and Co.	N/A		salary, bonus	
2	McCormick and Co., defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
3	Fidelity IRA	No			
3.1	MALOX - Blackrock Global Allocation I	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.2	DODIX - Dodge and Cox Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.3	SGIIX - First Eagle Global I	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.4	FPACX - FPA Crescent	Yes	\$100,001 - \$250,000		\$201 - \$1,000
3.5	FIDELITY S/T TRSY INDEX	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	Fidelity Spartan 500 Index Advtg Instl	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.7	Apple Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8	Emerson Electric Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.9	United Technologies Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	Intl Business Machines Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.11	AT&T Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.12	Schlumberger Ltd	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.13	Caterpillar Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.14	Exxon Mobil Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	T. Rowe Price Group, Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	Hilton Worldwide Holdings	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	Target Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	Park Hotels & Resorts - Spinoff from Hilton	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	Hilton Grand Vacations	N/A	None (or less than \$1,001)		None (or less than \$201)
3.20	Cisco Systems, Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	McCormick and Co Stock Options	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	McCormick & Co Stock	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
6	Starbucks Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Walt Disney Co	N/A	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	1/2 ownership of beach rental property, Avalon, NJ	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	US bank account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3	AmeriFund 529 Plan/Virginia 1	See Endnote	No		
3.1	The Bond Fund of America - 529C	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Capital World Bond Fund - 529C	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Capital World Growth and Income - 529C	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	The Income Fund of America - 529C	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	The Investment Company of America - 529C	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	The New Economy Fund - 529C	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	U.S. Government Securities Fund - 529C	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	AmeriFund 529 Plan/Virginia 2	See Endnote	No		
4.1	American Funds Money Market Fund - 529C	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.2	American Funds Money Market Fund - 529C	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
5	Tesla Motors, Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Electronic Arts Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Sale of investment property	Sale	09/30/2018	\$500,001 - \$1,000,000
2	Purchase of investment property	Purchase	10/1/2018	\$1,000,001 - \$5,000,000
3	McCormick & Co. Stock	Sale	1/26/2018	\$250,001 - \$500,000
4	Caterpillar Inc (CAT)	Sale	12/06/2018	\$1,001 - \$15,000
5	Intl Business Machines Corp (IBM)	Sale	12/06/2018	\$1,001 - \$15,000
6	Walt Disney Co (DIS)	Sale	12/06/2018	\$1,001 - \$15,000
7	Starbucks Corp (SBUX)	Sale	12/06/2018	\$1,001 - \$15,000
8	Target Corp (TGT)	Sale	12/06/2018	\$1,001 - \$15,000
9	United Technologies Corp (UTX)	Sale	12/06/2018	\$1,001 - \$15,000
10	AT&T Inc (T)	Sale	12/06/2018	\$1,001 - \$15,000
11	Schlumberger Ltd (SLB)	Sale	12/06/2018	\$1,001 - \$15,000
12	First Eagle Global FD (SGIIX)	Sale	12/06/2018	\$50,001 - \$100,000
13	Vanguard Short Term Treasury Fd Admiral Shares (VFIRX)	Sale	12/03/2018	\$15,001 - \$50,000
14	Vanguard Total Stock Market Index Fd Admiral Shares (VTSAX)	Sale	12/03/2018	\$15,001 - \$50,000
15	Vanguard Total International Stock Index Fd Admiral (VTIAX)	Sale	12/03/2018	\$15,001 - \$50,000
16	Amazon (AMZN)	Sale	11/28/2018	\$15,001 - \$50,000
17	Emerson Electric Co (EMR)	Sale	12/06/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	T ROWE PRICE GROUP INC. (TROW)	Sale	12/06/2018	\$1,001 - \$15,000
19	FPA Crescent Port Instl (FPACX)	Sale	12/06/2018	\$50,001 - \$100,000
20	Blackrock GLBL ALLOC (MALOX)	Sale	12/06/2018	\$50,001 - \$100,000
21	Dodge & Cox Income Fund (DODIX)	Sale	12/06/2018	\$15,001 - \$50,000
22	Fidelity 500 Index Fund (FXAIX)	Purchase	12/03/2018	\$15,001 - \$50,000
23	Fidelity S/T TRSY INDEX (FUMBX)	Purchase	12/03/2018	\$15,001 - \$50,000
24	Emerson Electric Co (EMR)	Purchase	12/06/2018	\$1,001 - \$15,000
25	T Rowe Price Group Inc (TROW)	Purchase	12/06/2018	\$1,001 - \$15,000
26	United Technologies Corp (UTX)	Purchase	12/06/2018	\$1,001 - \$15,000
27	Starbucks Corp (SBUX)	Purchase	12/06/2018	\$1,001 - \$15,000
28	Caterpillar Inc (CAT)	Purchase	12/06/2018	\$1,001 - \$15,000
29	Walt Disney Co (DIS)	Purchase	12/06/2018	\$1,001 - \$15,000
30	Target Corp (TGT)	Purchase	12/06/2018	\$1,001 - \$15,000
31	Intl Business Machines Corp (IBM)	Purchase	12/06/2018	\$1,001 - \$15,000
32	AT&T Inc (T)	Purchase	12/06/2018	\$1,001 - \$15,000
33	Schlumberger Ltd (SLB)	Purchase	12/06/2018	\$1,001 - \$15,000
34	First Eagle (SGIIX)	Purchase	12/06/2018	\$50,001 - \$100,000
35	FPA CRESCENT PORT INSTL (FPACX)	Purchase	12/06/2018	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
36	Blackrock GLBL Alloc (MALOX)	Purchase	12/06/2018	\$50,001 - \$100,000
37	Dodge & Cox Income Fund (DODIX)	Purchase	12/06/2018	\$15,001 - \$50,000
38	Cisco Systems Inc (CSCO)	Sale	12/06/2018	\$1,001 - \$15,000
39	Apple Inc. (AAPL)	Sale	12/06/2018	\$1,001 - \$15,000
40	Emerson Electric Co (EMR)	Sale	12/06/2018	\$1,001 - \$15,000
41	T Rowe Price Group Inc (TROW)	Sale	12/06/2018	\$1,001 - \$15,000
42	Caterpillar Inc (CAT)	Sale	12/06/2018	\$1,001 - \$15,000
43	United Technologies Corp (UTX)	Sale	12/06/2018	\$1,001 - \$15,000
44	Hilton Worldwide (HLT)	Sale	12/06/2018	\$1,001 - \$15,000
45	Starbucks Corp (SBUX)	Sale	12/06/2018	\$1,001 - \$15,000
46	Exxon Mobil Corp (XOM)	Sale	12/06/2018	\$1,001 - \$15,000
47	Walt Disney Co (DIS)	Sale	12/06/2018	\$1,001 - \$15,000
48	Target Corp (TGT)	Sale	12/06/2018	\$1,001 - \$15,000
49	Intl Business Machines Corp (IBM)	Sale	12/06/2018	\$1,001 - \$15,000
50	AT&T Inc (T)	Sale	12/06/2018	\$1,001 - \$15,000
51	Schlumberger Ltd (SLB)	Sale	12/06/2018	\$1,001 - \$15,000
52	Park Hotels & Resorts INC (PK)	Sale	12/06/2018	\$1,001 - \$15,000
53	First Eagle Global FD (SGIIX)	Sale	12/06/2018	\$100,001 - \$250,000
54	Blackrock GLBL Alloc (MALOX)	Sale	12/06/2018	\$100,001 - \$250,000
55	FPA Crescent Port Instl (FPACX)	Sale	12/06/2018	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
56	Dodge & Cox Income Fund (DODIX)	Sale	12/06/2018	\$15,001 - \$50,000
57	Vanguard Short Term Treasury Fd Admiral Shares (VFIRX)	Sale	12/03/2018	\$15,001 - \$50,000
58	Vanguard Total International Stock Index Fd Admiral (VTIAX)	Sale	12/03/2018	\$15,001 - \$50,000
59	Vanguard Total Stock Market Index Fd Admiral Shs (VTSAX)	Sale	12/03/2018	\$15,001 - \$50,000
60	Apple Inc. (AAPL)	Purchase	12/06/2018	\$1,001 - \$15,000
61	Cisco Systems Inc (CSCO)	Purchase	12/06/2018	\$1,001 - \$15,000
62	Emerson Electric Co (EMR)	Purchase	12/06/2018	\$1,001 - \$15,000
63	T Rowe Price Group Inc (TROW)	Purchase	12/06/2018	\$1,001 - \$15,000
64	Caterpillar Inc (CAT)	Purchase	12/06/2018	\$1,001 - \$15,000
65	United Technologies Corp (UTX)	Purchase	12/06/2018	\$1,001 - \$15,000
66	Hilton Worldwide (HLT)	Purchase	12/06/2018	\$1,001 - \$15,000
67	Starbucks Corp (SBUX)	Purchase	12/06/2018	\$1,001 - \$15,000
68	Exxon Mobil Corp (XOM)	Purchase	12/06/2018	\$1,001 - \$15,000
69	Walt Disney Co (DIS)	Purchase	12/06/2018	\$1,001 - \$15,000
70	Target Corp (TGT)	Purchase	12/06/2018	\$1,001 - \$15,000
71	Intl Business Machines Corp (IBM)	Purchase	12/06/2018	\$1,001 - \$15,000
72	AT&T Inc (T)	Purchase	12/06/2018	\$1,001 - \$15,000
73	Schlumberger Ltd (SLB)	Purchase	12/06/2018	\$1,001 - \$15,000
74	Park Hotels & Resorts INC (PK)	Purchase	12/06/2018	\$1,001 - \$15,000
75	First Eagle Global FD (SGIIX)	Purchase	12/06/2018	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	FPA Crescent Port Instl (FPACX)	Purchase	12/06/2018	\$100,001 - \$250,000
77	Blackrock GLBL Alloc (MALOX)	Purchase	12/06/2018	\$100,001 - \$250,000
78	Fidelity 500 Index Fund (FXAIX)	Purchase	12/03/2018	\$15,001 - \$50,000
79	Dodge & Cox Income Fund (DODIX)	Purchase	12/06/2018	\$15,001 - \$50,000
80	Fidelity S/T TRSY Index (FUMBX)	Purchase	12/03/2018	\$15,001 - \$50,000
81	Stock purchase of Disney (Self)	Purchase	2/7/2018	\$1,001 - \$15,000
82	Stock Purchase of Disney (Spouse)	Purchase	2/7/2018	\$1,001 - \$15,000
83	Stock purchase of Starbucks (self)	Purchase	2/7/2018	\$1,001 - \$15,000
84	Stock purchase of Starbucks (Spouse)	Purchase	2/7/2018	\$1,001 - \$15,000
85	McCormick stock	Sale	06/29/2018	\$100,001 - \$250,000
86	McCormick stock	Sale	7/5/2018	\$100,001 - \$250,000
87	General Electric Co	Purchase	10/01/2018	\$1,001 - \$15,000
88	Amazon.com, Inc. - Common Stock	Purchase	10/16/2018	\$15,001 - \$50,000
89	OLLI	Purchase	10/16/2018	\$15,001 - \$50,000
90	American Funds Money Market Fund - 529C	Sale	8/14/2018	\$1,001 - \$15,000
91	American Funds Money Market Fund - 529C	Sale	8/14/2018	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2018	3.875	30 years
2	Suntrust	Exercised Line of Credit	\$100,001 - \$250,000	2018	5%	indefinite

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	AHLA	Chicago, Illinois	One day attendance at conference where I was not a speaker	\$500

Endnotes

PART	#	ENDNOTE
6.	3	Inadvertently omitted from previous reports.
6.	4	Inadvertently omitted from previous reports.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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