Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

RESTAINO, GARY M

United States Attorney - District of Arizona, Department of Justice - Executive Office for United States Attorneys

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

Acting Director, Bureau of Alcohol, Tobacco, Firearms and Explosives (4/2022 - 7/2022) See endnote

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ RESTAINO, GARY M [electronically signed on 05/11/2023 by RESTAINO, GARY M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Elise Alvidrez, for James E. Macklin, Certifying Official [electronically signed on 05/15/2023 by Elise Alvidrez, for James E. Macklin in Integrity.gov]

Other review conducted by
/s/ Noel, Caitlin, Ethics Official [electronically signed on 05/15/2023 by Noel, Caitlin in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/02/2023 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AZ Smart Save Deferred Compensation Plan	No			
1.1	Arizona Fixed Fund	Yes	$50,001 - $100,000		None (or less than $201)
2	Arizona State Retirement System (Defined Benefit Plan) (unvested)	N/A	$15,001 - $50,000		None (or less than $201)
3	Roth IRA	No			
3.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$100,001 - $250,000		None (or less than $201)
4	Traditional IRA	No			
4.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$50,001 - $100,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	State of Arizona	Phoenix, Arizona	I am not fully vested in this defined benefit plan, and I will continue to participate. The plan sponsor has not made any contributions to this plan since I departed from my position with the State of Arizona in 2003.	7/1999

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	State of Arizona	Phoenix, Arizona	I will continue to participate in this deferred compensation plan. The plan sponsor has not made any contributions to this plan since I departed from my position with the State of Arizona in 2003. Upon reaching the age of 59 1/2, I am entitled to receive the entire amount of my deferred compensation plan as a lump sum, roll it over into another tax-sheltered account, or leave it in the Plan.	7/1999

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fox Restaurant Concepts, a subsidiary of The Cheesecake Factory	N/A		Salary	
2	Fox Restaurant Concepts deferred compensation	No			
2.1	Vanguard VIF Equity Index Div.	Yes	$250,001 - $500,000		None (or less than $201)
2.2	Vanguard VIF Midcap Index Div.	Yes	$100,001 - $250,000		None (or less than $201)
3	Quarles and Brady Retirement Plan (Deferred Comp)	No			
3.1	Vanguard International Growth Admiral Shares	Yes	$15,001 - $50,000		None (or less than $201)
3.2	Vanguard U.S. Growth Fund	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Vanguard Total Stock Market Index Fund Institutional Shares	Yes	$50,001 - $100,000		None (or less than $201)
4	The Cheesecake Factory Incorporated Executive Savings Plan (Deferred Comp)	No			
4.1	NVIT Mid Cap Index -- Class 1	Yes	$100,001 - $250,000		None (or less than $201)
5	Arizona State Retirement System (Defined Benefit Plan) (unvested: value not readily ascertainable)	N/A			None (or less than $201)
6	Rollover IRA	No			
6.1	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
6.2	Apple, Inc. (AAPL)	N/A	$15,001 - $50,000		None (or less than $201)
6.3	Accenture Plc (ACN)	N/A	$1,001 - $15,000		None (or less than $201)
6.4	Adobe, Inc. (ADBE)	N/A	$1,001 - $15,000		None (or less than $201)
6.5	Amgen Inc. (AMGN)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
6.6	Amphenol Corporation (APH)	N/A	$1,001 - $15,000		None (or less than $201)
6.7	American Express Co. (AXP)	N/A	$1,001 - $15,000		None (or less than $201)
6.8	BCE Inc. (BCE)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
6.9	Becton, Dickinson and Company (BDX)	N/A	$1,001 - $15,000		None (or less than $201)
6.10	Berkshire Hathaway Inc. (BRKB)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.11	Church & Dwight Co., Inc. (CHD)	N/A	$1,001 - $15,000		None (or less than $201)
6.12	Costco Wholesale Corp. (COST)	N/A	$1,001 - $15,000		None (or less than $201)
6.13	Cisco Systems, Inc. (CSCO)	N/A	$1,001 - $15,000		None (or less than $201)
6.14	CVS Health Corp. (CVS)	N/A	$1,001 - $15,000		None (or less than $201)
6.15	Estee Lauder Cos. (EL)	N/A	$1,001 - $15,000		None (or less than $201)
6.16	Fastenal Co. (FAST)	N/A	$1,001 - $15,000		None (or less than $201)
6.17	Alphabet, Inc. (GOOG)	N/A	$1,001 - $15,000		None (or less than $201)
6.18	The Home Depot, Inc. (HD)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
6.19	WisdomTree tr intl hedged (IHDG)	Yes	$1,001 - $15,000		None (or less than $201)
6.20	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	$15,001 - $50,000		None (or less than $201)
6.21	Johnson & Johnson (JNJ)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
6.22	Linde Plc (LIN)	N/A	$1,001 - $15,000		None (or less than $201)
6.23	Mastercard, Inc. (MA)	N/A	$1,001 - $15,000		None (or less than $201)
6.24	McDonald's Corp. (MCD)	N/A	$1,001 - $15,000		None (or less than $201)
6.25	Medtronic Plc (MDT)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.26	Merck & Co., Inc. (MRK)	N/A	$1,001 - $15,000		None (or less than $201)
6.27	Microsoft Corp. (MSFT)	N/A	$15,001 - $50,000		None (or less than $201)
6.28	NextEra Energy, Inc. (NEE)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
6.29	NIKE, Inc. (NKE)	N/A	$1,001 - $15,000		None (or less than $201)
6.30	NVIDIA Corp. (NVDA)	N/A	$15,001 - $50,000		None (or less than $201)
6.31	PepsiCo, Inc. (PEP)	N/A	$1,001 - $15,000		None (or less than $201)
6.32	Procter & Gamble Co. (PG)	N/A	$1,001 - $15,000		None (or less than $201)
6.33	Raytheon Co. (RTN)	N/A	$1,001 - $15,000		None (or less than $201)
6.34	Royal Bank of Canada (RY)	N/A	$1,001 - $15,000		None (or less than $201)
6.35	Stryker Corp. (SYK)	N/A	$1,001 - $15,000		None (or less than $201)
6.36	The TJX Cos., Inc. (TJX)	N/A	$1,001 - $15,000		None (or less than $201)
6.37	Thermo Fisher Scientific, Inc. (TMO)	N/A	$1,001 - $15,000		None (or less than $201)
6.38	UnitedHealth Group, Inc. (UNH)	N/A	$1,001 - $15,000		None (or less than $201)
6.39	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Yes	$1,001 - $15,000		None (or less than $201)
6.40	Vulcan Materials Co. (VMC)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.41	Waste Management, Inc. (WM)		N/A	$1,001 - $15,000		None (or less than $201)
6.42	Walmart, Inc. (WMT)		N/A	$1,001 - $15,000		None (or less than $201)
6.43	Exxon Mobil Corp. (XOM)		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
6.44	Fidelity Advisor Floating Rate High Income Fund Class Z Shares (FIQSX)		Yes	$1,001 - $15,000		None (or less than $201)
6.45	iShares Core S&P 500 ETF (IVV)		Yes	$50,001 - $100,000		None (or less than $201)
6.46	Vanguard Real Estate Index Fund ETF Shares (VNQ)	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
6.47	US Treasury SER BA-2024 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)
6.48	US Treasury SER Z-2023 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)
6.49	US Treasury SER AD-2023 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)
6.50	FEDERAL HOME LOAN BA SER I-8024		Yes	$15,001 - $50,000		None (or less than $201)
6.51	US TREASURY SER AF-2023 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)
6.52	FEDERAL HOME LOAN BANKS BOND COUPON 4.37500% MATURITY DATE 09/13/2024		Yes	$15,001 - $50,000		None (or less than $201)
7	Fox Restaurant Concepts LLC (Note receivable)	See Endnote	N/A	$500,001 - $1,000,000	Capital Gains Interest	$100,001 - $1,000,000
8	Roth IRA		No			
8.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Traditional IRA	No			
9.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$50,001 - $100,000		None (or less than $201)
10	CrossFirst Bank	N/A		Member of Arizona Advisory Board.	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	$1,000,001 - $5,000,000	Interest	$201 - $1,000
2	U.S. bank #2 (cash)	N/A	$1,000,001 - $5,000,000	Interest	$201 - $1,000
3	PARROT PROPERTIES LLC	No			
3.1	Residential real estate, Phoenix, AZ	N/A	$100,001 - $250,000	Rent or Royalties	$5,001 - $15,000
4	U.S. savings bonds	N/A	$1,001 - $15,000		None (or less than $201)
5	Brokerage account	No			
5.1	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
5.2	Apple, Inc. (AAPL)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.3	AbbVie, Inc. (ABBV)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.4	Accenture Plc (ACN)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.5	Amphenol Corporation (APH)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.6	American Express Co. (AXP)	N/A	$15,001 - $50,000		None (or less than $201)
5.7	BCE Inc. (BCE)	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
5.8	Becton, Dickinson and Company (BDX)	N/A	$1,001 - $15,000		None (or less than $201)
5.9	Berkshire Hathaway Inc. (BRKB)	N/A	$15,001 - $50,000		None (or less than $201)
5.10	Church & Dwight Co., Inc. (CHD)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.11	Costco Wholesale Corp. (COST)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.12	Cisco Systems, Inc. (CSCO)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.13	CVS Health Corp. (CVS)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.14	Consolidated Edison, Inc. (ED)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.15	Estee Lauder Cos. (EL)	N/A	$1,001 - $15,000		None (or less than $201)
5.16	Fastenal Co. (FAST)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.17	American New World Fund (FNWFX)	Yes	$15,001 - $50,000		None (or less than $201)
5.18	Alphabet, Inc. (GOOG)	N/A	$15,001 - $50,000		None (or less than $201)
5.19	The Home Depot, Inc. (HD)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.20	iShares Gold Trust (IAU)	Yes	$15,001 - $50,000		None (or less than $201)
5.21	WisdomTree International Hedged Quality Dividend Growth Fund (IHDG)	Yes	$1,001 - $15,000		None (or less than $201)
5.22	Illinois Tool Works, Inc. (ITW)	N/A	$1,001 - $15,000		None (or less than $201)
5.23	Johnson & Johnson (JNJ)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.24	Linde Plc (LIN)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.25	Lockheed Martin Corp. (LMT)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.26	McDonald's Corp. (MCD)	N/A	$1,001 - $15,000		None (or less than $201)
5.27	Medtronic Plc (MDT)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.28	Merck & Co., Inc. (MRK)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.29	Microsoft Corp. (MSFT)	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
5.30	NextEra Energy, Inc. (NEE)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.31	NIKE, Inc. (NKE)	N/A	$1,001 - $15,000		None (or less than $201)
5.32	NVIDIA Corp. (NVDA)	N/A	$15,001 - $50,000		None (or less than $201)
5.33	novo nordisk (NVO)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.34	PepsiCo, Inc. (PEP)	N/A	$1,001 - $15,000		None (or less than $201)
5.35	Procter & Gamble Co. (PG)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.36	Roper Technologies, Inc. (ROP)		N/A	$1,001 - $15,000		None (or less than $201)
5.37	Raytheon Co. (RTN)		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.38	Royal Bank of Canada (RY)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.39	Stryker Corp. (SYK)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.40	The TJX Cos., Inc. (TJX)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.41	Thermo Fisher Scientific, Inc. (TMO)		N/A	$1,001 - $15,000		None (or less than $201)
5.42	UnitedHealth Group, Inc. (UNH)		N/A	$1,001 - $15,000		None (or less than $201)
5.43	Vulcan Materials Co. (VMC)		N/A	$1,001 - $15,000		None (or less than $201)
5.44	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)		Yes	$1,001 - $15,000		$201 - $1,000
5.45	Waste Management, Inc. (WM)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.46	Walmart, Inc. (WMT)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.47	Energy Select Sector SPDR Fund (XLE)		Yes	$15,001 - $50,000		None (or less than $201)
5.48	JPMorgan Ultra-Short Income ETF (JPST)	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
5.49	US Treasury SER BA-2024 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)
5.50	US Treasury SER AD-2023 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.51	federal home loan BA SER I-8024		Yes	$15,001 - $50,000		None (or less than $201)
5.52	US Treasury SER AF-2023 NTS NOTE		Yes	$15,001 - $50,000		None (or less than $201)
5.53	FEDERAL HOME LOAN BANKS BOND COUPON 4.37500% MATURITY DATE 09/13/2024		Yes	$15,001 - $50,000		None (or less than $201)
5.54	Invesco S&P 500 Equal Weight ETF (RSP)		Yes	$100,001 - $250,000		None (or less than $201)
6	Phoenix Life Insurance Company dba Nassau Life Insurance Company (Whole Life)		N/A	$15,001 - $50,000		None (or less than $201)
7	U.S. Bank # 3 (cash)		N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
8	CBDG Tucson LLC (Investment in limited liability company that owns commercial building in Tucson, Arizona.)	See Endnote	N/A	None (or less than $1,001)	Capital Gains Interest	$2,501 - $5,000
9	CBDG Gilbert LLC (Investment in limited liability company that owns leasehold rights to a commercial restaurant building in Gilbert, Arizona.)		N/A	$50,001 - $100,000	Capital Gains Interest	$5,001 - $15,000
10	Nickle Bee Investments LLC (Investment in restaurant in Nashville, TN.)		N/A	$50,001 - $100,000	Capital Gains Interest	$5,001 - $15,000
11	Fox 44 LLC (Investment in hotel in Phoenix, AZ.)	See Endnote	N/A	$250,001 - $500,000		None (or less than $201)
12	CDBG Campbell LLC (investment in limited liability company that owns commercial buildings in Tucson, Arizona)	See Endnote	N/A	$50,001 - $100,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Chevron Corp. (CVX)	Sale	01/14/2022	$1,001 - $15,000
2	Chevron Corp. (CVX)	Sale	01/14/2022	$1,001 - $15,000
3	Verizon Communications, Inc. (VZ)	Sale	01/14/2022	$1,001 - $15,000
4	Verizon Communications, Inc. (VZ)	Sale	01/14/2022	$1,001 - $15,000
5	Amazon.com, Inc. (AMZN)	Sale	01/14/2022	$15,001 - $50,000
6	Amazon.com, Inc. (AMZN)	Sale	01/19/2022	$1,001 - $15,000
7	JP Morgan Chase &Co. (JPM)	Sale	01/19/2022	$1,001 - $15,000
8	JP Morgan Chase & Co. (JPM)	Sale	01/19/2022	$15,001 - $50,000
9	Starbucks Corp. (SBUX)	Sale	01/28/2022	$1,001 - $15,000
10	salesforce.com, inc. (CRM)	Sale	02/14/2022	$1,001 - $15,000
11	salesforce.com, inc. (CRM)	Sale	02/14/2022	$1,001 - $15,000
12	PayPal Holdings, Inc. (PYPL)	Sale	02/14/2022	$1,001 - $15,000
13	Comcast Corp. (CMCSA)	Sale	05/26/2022	$1,001 - $15,000
14	Comcast Corp. (CMCSA)	Sale	05/26/2022	$15,001 - $50,000
15	NVIDIA Corp. (NVDA)	Sale	07/05/2022	$1,001 - $15,000
16	NVIDIA Corp. (NVDA)	Sale	07/05/2022	$1,001 - $15,000
17	3M Co. (MMM)	Sale	07/28/2022	$1,001 - $15,000
18	Western Alliance Bancorporation (WAL)	Sale	11/10/2022	$1,001 - $15,000
19	Western Alliance Bancorporation (WAL)	Sale	11/10/2022	$1,001 - $15,000
20	Dodge & Cox Income Fund (DODIX)	Sale	01/18/2022	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
21	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Sale	01/19/2022	$50,001 - $100,000
22	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	01/19/2022	$15,001 - $50,000
23	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	01/19/2022	$1,001 - $15,000
24	JPMorgan Ultra-Short Income ETF (JPST)	Sale	01/19/2022	$1,001 - $15,000
25	JPMorgan Income ETF JPMorgan Income ETF (JPIE)	Purchase	01/20/2022	$15,001 - $50,000
26	JPMorgan Income ETF JPMorgan Income ETF (JPIE)	Purchase	01/20/2022	$1,001 - $15,000
27	Fidelity Advisor Floating Rate High Income Fund Class Z Shares (FIQSX)	Purchase	01/21/2022	$15,001 - $50,000
28	Fidelity Advisor Floating Rate High Income Fund Class Z Shares (FIQSX)	Purchase	01/21/2022	$1,001 - $15,000
29	American Mutual Fund Class F3 Shares (AFMFX)	Purchase	01/28/2022	$15,001 - $50,000
30	Vanguard Whitehall FDS Intl DVD ETF	Purchase	01/28/2022	$1,001 - $15,000
31	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	02/09/2022	$15,001 - $50,000
32	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	02/10/2022	$15,001 - $50,000
33	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	02/10/2022	$1,001 - $15,000
34	iShares Core S&P 500 ETF (IVV)	Purchase	02/10/2022	$1,001 - $15,000
35	American Mutual Fund Class F3 Shares (AFMFX)	Purchase	03/23/2022	$15,001 - $50,000
36	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	03/23/2022	$1,001 - $15,000
37	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	03/23/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	03/23/2022	$1,001 - $15,000
39	American Mutual Fund Class F3 Shares (AFMFX)	Purchase	03/23/2022	$15,001 - $50,000
40	Dodge & Cox Income Fund (DODIX)	Sale	03/23/2022	$15,001 - $50,000
41	iShares Core US Aggregate Bond ETF (AGG)	Sale	03/23/2022	$15,001 - $50,000
42	ishares trust 1-3 year treasury bond etf (shy)	Sale	03/23/2022	$1,001 - $15,000
43	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	Purchase	03/23/2022	$15,001 - $50,000
44	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Sale	03/23/2022	$15,001 - $50,000
45	Brown Capital Management Small Company Fund Institutional Share (BCSSX)	Sale	07/15/2022	$1,001 - $15,000
46	Brown Capital Management Small Company Fund Institutional Share (BCSSX)	Sale	07/15/2022	$1,001 - $15,000
47	Fidelity National Financial, Inc. (FNF)	Sale	07/29/2022	$1,001 - $15,000
48	Fidelity National Financial, Inc. (FNF)	Sale	07/29/2022	$1,001 - $15,000
49	American Mutual Fund Class F3 Shares (AFMFX)	Purchase	08/16/2022	$15,001 - $50,000
50	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	08/16/2022	$15,001 - $50,000
51	American Mutual Fund Class F3 Shares (AFMFX)	Purchase	08/16/2022	$15,001 - $50,000
52	JPMorgan Income ETF JPMorgan Income ETF (JPIE)	Sale	10/14/2022	$15,001 - $50,000
53	JPMorgan Income ETF JPMorgan Income ETF (JPIE)	Sale	10/13/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	Sale	10/26/2022	$15,001 - $50,000
55	US Treasury SER BA-2024 NTS NOTE	Purchase	11/09/2022	$15,001 - $50,000
56	US Treasury SER AD-2023 NTS NOTE	Purchase	11/09/2022	$15,001 - $50,000
57	US Treasury SER BA-2024 NTS NOTE	Purchase	11/09/2022	$15,001 - $50,000
58	US Treasury SER Z-2023 NTS NOTE	Purchase	11/09/2022	$15,001 - $50,000
59	US Treasury SER AD-2023 NTS NOTE	Purchase	11/09/2022	$15,001 - $50,000
60	Fidelity Emerging Markets Fund (FEMKX)	Sale	11/10/2022	$1,001 - $15,000
61	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	11/10/2022	$1,001 - $15,000
62	American Mutual Fund Class F3 Shares (AFMFX)	Sale	11/17/2022	$50,001 - $100,000
63	T Rowe Price New Horizons Fund, Inc (PRNHX)	Sale	11/17/2022	$1,001 - $15,000
64	T Rowe Price New Horizons Fund, Inc Class I Shares (PRJIX)	Sale	11/17/2022	$1,001 - $15,000
65	American Mutual Fund Class F3 Shares (AFMFX)	Sale	11/17/2022	$50,001 - $100,000
66	New World Fund, Inc Class F2 Shares (NFFFX)	Sale	11/17/2022	$1,001 - $15,000
67	T Rowe Price New Horizons Fund, Inc (PRNHX)	Sale	11/17/2022	$1,001 - $15,000
68	Invesco S&P 500 Equal Weight ETF (RSP)	Sale	12/13/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	iShares S&P Small-Cap 600 Value ETF (IJS)	Sale	12/13/2022	$50,001 - $100,000
70	WisdomTree International Hedged Quality Dividend Growth Fund (IHDG)	Sale	12/13/2022	$1,001 - $15,000
71	federal home loan BA SER I-8024	Purchase	12/27/2022	$15,001 - $50,000
72	US Treasury SER AF-2023 NTS NOTE	Purchase	12/27/2022	$15,001 - $50,000
73	FEDERAL HOME LOAN BA SER I-8024	Purchase	12/27/2022	$15,001 - $50,000
74	US TREASURY SER AF-2023 NTS NOTE	Purchase	12/27/2022	$15,001 - $50,000
75	Fidelity Advisor Floating Rate High Income Fund Class Z Shares (FIQSX)	Sale	12/29/2022	$15,001 - $50,000
76	VANGUARD WHITEHALL FDS INTL DVD ETF	Sale	12/29/2022	$1,001 - $15,000
77	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Sale	12/29/2022	$15,001 - $50,000
78	FEDERAL HOME LOAN BANKS BOND COUPON 4.37500% MATURITY DATE 09/13/2024	Purchase	12/30/2022	$15,001 - $50,000
79	FEDERAL HOME LOAN BANKS BOND COUPON 4.37500% MATURITY DATE 09/13/2024	Purchase	12/30/2022	$15,001 - $50,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Fox 44 Hotel Project	See Endnote	Captial Commitment	$100,001 - $250,000	2021	N/A	On Demand

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
Filer's Information	1	Appointed by the President pursuant to Section 3345(a) of Title 5, United States Code, to temporarily administer the agency pending the confirmation of its nominee.
5.	6.46	Acquired in November 2021, after submission of nominee statement, and outside of the transactional reporting for this report.
5.	7	This entity is responsible for the payment of the annual installment payments (and, as applicable, the back end earnout) to the filer's spouse. Because the payments are deferred and are subject to offset, they are held in a separate LLC (also a subsidiary of The Cheesecake Factory).
6.	5.48	Acquired in November 2021, after the submission of my nominee statement and prior to the current reporting period.
6.	8	Underlying asset sold in 2022; final distribution paid out.
6.	11	See Part 8, Line item 3 for associated capital commitments.
6.	12	New investment since last reporting period. We invested on October 22, 2021, after the submission of my nominee financial statement, but before the reporting period for this OGE-278, which is the first OGE-278 in my tenure as US Attorney.
8.	1	Last tranche paid off in 2022.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
