



**U.S. Department
of Transportation**
Office of the Secretary
of Transportation

GENERAL COUNSEL

1200 New Jersey Avenue, S.E.
Washington, D.C. 20590

Emory A. Rounds, III
Director
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington DC 20005-3917

Dear Mr. Rounds:

I am enclosing supplements to Peter Buttigieg's nominee public financial disclosure report, signed on December 28, 2020, and to his ethics agreement, signed on January 14, 2021. Based on my review of this additional information, I continue to believe that Secretary Buttigieg is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

**JUDITH SUE
KALETA** Digitally signed by
JUDITH SUE KALETA
Date: 2022.07.07
12:58:53 -04'00'

Judith S. Kaleta
Deputy General Counsel
Designated Agency Ethics Official

Enclosures

June 30, 2022

Judith S. Kaleta
Deputy General Counsel and
Designated Agency Ethics Official
1200 New Jersey Ave, SE
Washington, DC 20590

Re: Supplement to Financial Disclosure Report of Peter Buttigieg

Dear Ms. Kaleta:

The purpose of this letter is to correct an inadvertent omission in the financial disclosure report that I signed on December 28, 2020. In my initial disclosure, I inadvertently omitted an advance that I received from the publisher Ullstein Verlag, for my book "Shortest Way Home." This correction is noted below.

Part 2

#	Description	EIF	Value	Income Type	Income Amount
	Ullstein Verlag, German Print edition, "Shortest Way Home" (value not readily ascertainable)	N/A		advance	\$8609

I understand that this supplement will be attached to the financial disclosure report that I signed on December 28, 2020, and released with that report.

Sincerely,



Pete Buttigieg

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Buttigieg, Peter

Secretary, Department of Transportation

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Commerce, Science, and Transportation
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Buttigieg, Peter [electronically signed on 12/28/2020 by Buttigieg, Peter in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 01/14/2021 by Kaleta, Judith in Integrity.gov]

Other review conducted by

/s/ Carlson, Terence W, Ethics Official [electronically signed on 01/14/2021 by Carlson, Terence W in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 01/14/2021 by Rounds, Emory in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	City of South Bend	South Bend, Indiana	Municipality	Mayor	1/2012	1/2020
2	South Bend Symphony Orchestra	South Bend, Indiana	Non-Profit	Board Member	11/2013	12/2019
3	Veterans Community Connections	South Bend, Indiana	Non-Profit	Board Member	5/2018	3/2019
4	South Bend Educational Foundation	South Bend, Indiana	Non-Profit	Board Member	1/2013	12/2019
5	Accelerate Indiana's Municipalities (AIM)	Indianapolis, Indiana	IRC sec. 115 entity comprised of Indiana cities and towns	Board Member	1/2012	12/2019
6	University of Notre Dame	Notre Dame, Indiana	University/College	Faculty Fellow	6/2020	Present
7	PB Projects, Inc.	South Bend, Indiana	S-Corp	Managing Member	7/2020	Present
8	Win the Era	South Bend, Indiana	Non-Profit	Public Representative	4/2020	12/2020
9	PT Fund, Inc. (Presidential Transition Team)	Washington, District of Columbia	Non-Profit	Advisory Board Member	9/2020	Present
10	iHeartMedia + Entertainment, Inc.	New York, New York	Corporation	Podcast Host	9/2020	12/2020

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Salary as Mayor of South Bend, IN (1/2019-1/2020)	N/A		Salary	\$111,607
2	University of Notre Dame	N/A		Salary	\$36,667
3	McKinsey & Company Defined Contribution Retirement Plan	No			
3.1	Special Situations Enhanced - Liquidity USD	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Passive U.S. Equities	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	Passive Non-US Equities	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	SEP IRA #1	No			
4.1	Cash - Sweep Account; U.S. brokerage (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Liveright Publishing Corp., division of W.W. Norton and Co. Print edition book "Shortest Way Home" (value not readily ascertainable)	N/A		Rent or Royalties	\$100,001 - \$1,000,000
6	Bookie Publishing, Korean Foreign Rights, "Shortest Way Home" (value not readily ascertainable)	N/A		Advance	\$2,070
7	John Murray Press, U.K. Foreign Rights, "Shortest Way Home" (value not readily ascertainable)	N/A		Advances	\$53,658
8	12:05 AM Productions LLC, Jimmy Kimmel Live - 3/12/2020	N/A		Honorarium	\$1,825
9	PB Projects, Inc.	No			
9.1	Liveright Publishing Corp., division of W.W. Norton and Co. Print edition book "Trust" (value not readily ascertainable).	N/A		Advances	\$311,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	iHeartMedia + Entertainment, Inc., Podcast, "The Deciding Decade" (value not readily ascertainable)	See Endnote	N/A		Minimum Guarantee Payment	\$150,000
9.3	Simon & Schuster, Inc Audio Book Edition "Trust" (value not readily ascertainable)		N/A		Narration fee	\$3,750
9.4	PB Projects Inc. (S-corp), South Bend, IN (U.S. credit union #1 - cash)		N/A	\$250,001 - \$500,000	Dividends	\$201 - \$1,000
9.5	Liveright Publishing Corp., division of W.W. Norton and Co. Print edition book "Trust" (anticipated advances)		N/A	\$100,001 - \$250,000		None (or less than \$201)
9.6	Liveright Publishing Corp., division of W.W. Norton and Co. Print edition book "Trust" (potential bonus payment)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
9.7	iHeartMedia + Entertainment, Inc., Podcast, "The Deciding Decade" (anticipated minimum guarantee payment)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	Liveright Publishing Corp., division of W.W. Norton and Co. Print edition book "Shortest Way Home" (value not readily ascertainable)		N/A		Advance	\$16,970

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	McKinsey & Company	New York, New York	I will continue to participate in the McKinsey & Company Defined Contribution Fund. Neither McKinsey & Company, nor I, have contributed to the plan since I left McKinsey in 2010, and neither McKinsey & Company, nor I, will make any further contributions to the plan.	8/2007

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	City of South Bend	South Bend, Indiana	Served as Mayor
2	University of Notre Dame	Notre Dame, Indiana	Serving as Faculty Fellow at Notre Dame's Institute of Advanced Study (NDIAS)
3	iHeartMedia + Entertainment, Inc.	New York, New York	Podcast Host, "The Deciding Decade"
4	PB Projects, Inc.	South Bend, Indiana	Managing Member

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Montessori Academy, Mishawaka, IN (Resigned January 5, 2019)	N/A		Salary	
2	South Bend Civic Theatre	N/A		part-time hourly fee	
3	Harvard University (Fellowship ended December 2020)	N/A		Salary	
4	"I Have Something to Tell You," Atria Books (an imprint of Simon & Schuster, Inc.) (value not readily ascertainable)	N/A		Advances	
5	"I Have Something to Tell You," Simon & Schuster, Inc. Audio (value not readily ascertainable)	N/A		Narration fee	\$4,250
6	"I Have Something to Tell You," Atria Books (an imprint of Simon & Schuster, Inc.) (potential receipt of conditional advances)	See Endnote	N/A		\$50,001 - \$100,000 None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Account #1				
1.1	Alphabet Inc Cap Stk Cl A (GOOGL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.2	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
1.3	USAA Growth Fund (USAAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.4	USAA Immediate Term Bond (USIBX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.5	Invesco Exchange Traded Fund S&P 500 Equal Weight (RSP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.6	iShares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.7	USAA Aggressive Growth (USAUX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.8	USAA Cornerstone Conservative (USCCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2	U.S. bank #1 (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3	U.S. credit union #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	U.S. credit union #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Stafford loans serviced by American Education Services (The loans in lines 2-6 aggregate to more than the reporting threshold, but balances of the individual loans were below the reporting threshold). The loans have all been paid off.	Student Loan	\$10,001 - \$15,000			
2	Stafford Loan (American Education Services)	Student Loan		2009	5.75%	131 months
3	Stafford Loan (American Education Services)	Student Loan		2009	5.35%	130 months
4	Stafford Loan (American Education Services)	Student Loan		2009	6.55%	159 months
5	Stafford Loan (American Education Services)	Student Loan		2009	6.55%	159 months
6	Stafford Loan (American Education Services)	Student Loan		2009	6.55%	159 months
7	Stafford loans serviced by Great Lakes Higher Education Corp. (the loans in lines 10-22 aggregate to more than the reporting threshold, but balances of the individual loans are below the reporting threshold)	Student Loan	\$100,001 - \$250,000			
8	Stafford loan (Great Lakes Higher Education Corp.) - paid in full Sept 2020	Student Loan	\$15,001 - \$50,000	2016	5.31	Up to 25 years under an income-based repayment plan
9	Stafford loan (Great Lakes Higher Education Corp) - paid in full May 2020	Student Loan	\$15,001 - \$50,000	2015	5.84%	Up to 25 years under an income-based repayment plan.

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
10	Stafford loan (Great Lakes Higher Education Corp)	Student Loan		2010	5.6%	Up to 25 years under an income-based repayment plan
11	Stafford load (Great Lakes Higher Education Corp.)	Student Loan		2010	5.6%	Up to 25 years under an income-based repayment plan
12	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2010	4.5%	Up to 25 years under an income-based repayment plan
13	Stafford Loan (Great Lakes Higher Education Corp.)	Student Loan		2010	6.8%	Up to 25 years under an income-based repayment plan
14	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2010	6.8%	Up to 25 years under an income-based repayment plan
15	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2010	6.8%	Up to 25 years under an income-based repayment plan
16	Stafford loan (Great Lakes Higher Education Corp)	Student Loan		2010	6.8%	Up to 25 years under an income-based repayment plan
17	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2011	6.8%	Up to 25 years under an income-based repayment plan

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
18	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2011	3.4%	Up to 25 years under an income-based repayment plan
19	Stafford Loan (Great Lakes Higher Education Corp)	Student Loan		2011	6.8%	Up to 25 years under an income-based repayment plan
20	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2014	3.86%	Up to 25 years under an income-based repayment plan
21	Stafford Loan (Great Lakes Higher Education Corp.)	Student Loan		2016	5.84%	Up to 25 years under an income-based repayment plan
22	Stafford loan (Great Lakes Higher Education Corp.)	Student Loan		2017	5.31%	Up to 25 years under an income-based repayment plan
23	USAA Federal Savings Bank	Mortgage on Personal Residence	\$50,001 - \$100,000	2013	3.50%	30 years
24	Notre Dame Federal Credit Union	Mortgage on Personal Residence	\$250,001 - \$500,000	2020	2.75%	15 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	9.2	future right to a share of net profits after minimum guarantee amount is met
2.	9.6	additional contractual payment due if total advance has earned out within 12 months after initial publication
2.	9.7	final minimum guarantee payment received January 4, 2021
5.	6	conditional advances are dependent upon book sales

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
