

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Hruby, Jill

Under Secretary for Nuclear Security and Administrator for Nuclear Security, Department of Energy

Other Federal Government Positions Held During the Preceding 12 Months:

Special Government Employee, DOD Defense Science Board (3/2019 - 2/2021)

Special Government Employee, NNSA Defense Programs Advisory Committee Member (6/2018 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Armed Services
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hruby, Jill [electronically signed on 03/09/2021 by Hruby, Jill in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Beard, Susan F, Certifying Official [electronically signed on 05/10/2021 by Beard, Susan F in Integrity.gov]

Other review conducted by

/s/ Comfort, Melinda, Ethics Official [electronically signed on 05/10/2021 by Comfort, Melinda in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/16/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Nuclear Threat Initiative	Washington, District of Columbia	Non-Profit	Sam Nunn Distinguished Fellow	11/2018	11/2019
2	Nuclear Threat Initiative	Washington	Non-Profit	Distinguished Fellow	11/2019	Present
3	Ford Motor Company	Detroit, Michigan	Corporation	Technical Advisory Committee Member	6/2020	Present
4	Ojeda's Technical Solutions LLC	Colorado Springs, Colorado	Corporation	Consultant	4/2019	Present
5	Aerospace Corporation	El Segundo, California	Corporation	Consultant	3/2018	Present
6	Fermi Research Alliance LLC/ Fermi National Accelerator Laboratory	Batavia, Illinois	LLC that manages a National Laboratory	Consultant	5/2019	Present
7	TRIAD National Security LLC, Los Alamos National Laboratory	Los Alamos, New Mexico	LLC that manages a National Laboratory	Mission Committee Member	2/2019	Present
8	Georgia Tech Research Institute	Atlanta, Georgia	University Affiliated Research Center	Advisory Board Member	1/2020	Present
9	UChicago Argonne LLC/ Argonne National Laboratory	Lemont, Illinois	LLC that manages a National Laboratory	Consultant	4/2019	4/2019

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
10	CRDF Global	Arlington, Virginia	Non-Profit	Board of Directors Member	7/2019	Present
11	Nuclear Threat Initiative	Washington, District of Columbia	Non-Profit	Board of Directors Member	12/2019	Present
12	Center for Arms Control and NonProliferation	Washington, District of Columbia	Non-Profit	Board of Directors Member	9/2018	Present
13	National Institute for Hometown Security	Somerset, Kentucky	Non-Profit	Board of Directors Member	10/2018	7/2019
14	Association of Universities for Research in Astronomy	Washington, District of Columbia	Non-Profit	Board of Directors Member	7/2018	Present
15	Battelle Memorial Institute /Pacific Northwest National Laboratory	Richland, Washington	Non-Profit	U.S. High Performance Research Reactor Project - Independent Strategic Review	11/2017	9/2020
16	UT-Battelle LLC/Oak Ridge National Laboratory	Oak Ridge, Tennessee	Non-Profit	Advisory Committee Member	9/2018	Present
17	Jill M. Hruby	Bernalillo County, New Mexico	Sole Proprietorship	Sole Proprietor	1/2020	Present
18	Jill M. Hruby Revocable Living Trust	Albuquerque, New Mexico	Trust	Trustee	1/2013	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ford Motor Company	N/A		Advisory committee fees	\$20,000
2	Carnegie Endowment for International Peace (anticipated honorarium) - March 4, 2021	N/A	None (or less than \$1,001)		None (or less than \$201)
3	Nuclear Threat Initiative	N/A		Consulting Fees	\$167,039
4	Ojeda's Technical Solutions LLC (Consulting services firm)	N/A		Consulting Fees (from ongoing services)	\$52,875
5	Fermi Research Alliance LLC	N/A		Consulting Fees (ad hoc)	\$6,875
6	TRIAD National Security LLC, Los Alamos National Laboratory	N/A		Mission committee fees	\$8,000
7	Georgia Tech Research Institute	N/A		Advisory board fees	\$4,500
8	Center for Strategic and International Studies - 9/15/2020	N/A		Honorarium	\$750
9	National Technology and Engineering Solutions of Sandia, LLC (NTESS)/Sandia National Laboratories 401k	No			
9.1	Vanguard Target 2025	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
9.2	Vanguard Target 2030	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.3	International Equity Index Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.4	Vanguard Target 2050	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.5	Intermediate Bond Custom Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	NTESS/ Sandia National Laboratories, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments (annual)	\$191,576
11	Fidelity Rollover IRA	No			
11.1	Fidelity IT Sevices (FBSOX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.2	Fidelity Puritan (FPURX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.3	Fidelity Select Defense & Aerospace (FSDAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.4	Fidelity Select Med Tech (FSMEX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.5	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Vanguard Rollover IRA	No			
12.1	Vanguard Wellington Fund Admiral Shares (VWENX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
13	Individual (401k)	No			
13.1	Vanguard Federal Money Market (VMFXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.2	Vanguard LifeStrategy Moderate Growth Fund Investor Shares (VASM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Individual Roth 401k	No			
14.1	Vanguard Federal Money Market (VMFXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	Fidelity Roth IRA	No			
15.1	Janus Henderson Global Technology and Innovation Fund Class T Shares (JAGTX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.2	Invesco Global Opportunities (OPGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16	Lockheed Martin Corporation Stock	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
17	Lockheed Martin Corporation Deferred Management Incentive Compensation Plan	No		cash distributions	\$70,914
17.1	Target Date Fund 2020	Yes	\$100,001 - \$250,000		None (or less than \$201)
17.2	Broad Market Bond Index Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
17.3	Treasury Inflation Protected Securities	Yes	\$250,001 - \$500,000		None (or less than \$201)
17.4	S&P 500 Indexed Equity Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
18	Georgia Institute of Technology (anticipated honorarium) - 3/11/2021	N/A	None (or less than \$1,001)		None (or less than \$201)
19	"Porous Electrode Apparatus for Electrodeposition of Detailed Metal Structures or Microelectronic Interconnections" US Patent #6,355,0147 B1 (value not readily ascertainable)	N/A			None (or less than \$201)
20	"Sacrificial Plastic Mold with Electroplatable Base, Docket SD-8268" US Patent #6,422,528 B1 (value not readily ascertainable)	N/A			None (or less than \$201)
21	"Sacrificial Plastic Mold with Electroplatable Base" US Patent #6,929733 B2 (value not readily ascertainable)	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	NTESS/Sandia National Laboratories	Albuquerque, New Mexico	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/1983
2	NTESS/Sandia National Laboratories	ALBUQUERQUE, New Mexico	I will continue to participate in this defined benefit plan.	1/1983
3	Lockheed Martin Corporation	Bethesda, Maryland	I will continue to participate in this deferred compensation program.	1/2014

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Ford Motor Company	Detroit, Michigan	Served on Technical Advisory Committee that meets two times per year.
2	Nuclear Threat Initiative	Washington, District of Columbia	Served as a distinguished fellow consultant. From November 16, 2019 to November 15, 2020 the work was 50% time. From November 16, 2020 to present the work is 25% time.
3	Ojeda's Technical Solutions LLC	Colorado Springs, Colorado	Performed consulting duties via Ojeda Technical Solutions for Lockheed Martin Corporation. Performed a one-day consulting project on the structure of Sandia to help them partner on hypersonic weapons. Also consulted on a larger project to independently review safety at the Atomic Weapons Establishment.
4	Fermi Research Alliance LLC/ Fermi National Accelerator Laboratory	Batavia, Illinois	I served as a member of the Special Projects Advisory Committee.
5	TRIAD National Security LLC, Los Alamos National Laboratory	Los Alamos, New Mexico	I served as a member of the Missions Committee. Meets three times per year.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard Rollover IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Vanguard Wellington Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	NTESS 401(K) Plan	No			
2.1	Interest Income Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3	Fidelity Rollover IRA	No			
3.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Fidelity Roth IRA	No			
4.1	Fidelity Government Money Market Fund(SPAXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	AT&T 401(K)	No			
5.1	AT&T Stable Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	AT&T Shares Fund (fund holdings are only AT&T shares)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	NTESS/Sandia National Labs, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	\$107,501
7	"Vitreous Carbon Mask Substrate for X-Ray Lithography," US Patent Number 7.608,367 (value not readily ascertainable)	N/A			None (or less than \$201)
8	"Portable Apparatus for Separating Sample and Detecting Target Analytes," US Patent Number 7.452,507 (value not readily ascertainable)	N/A			None (or less than \$201)
9	"Apparatus for Producing a Thin Sample Band in a Microchannel System," US Patent Number 7.452,507 (value not ascertainable)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	"Axially Tapered and Bilayer Microchannels for Evaporative Cooling Devices," US Patent Number 6,951,243 (value not readily ascertainable)	N/A			None (or less than \$201)
11	"Method for Producing a Thin Sample Band in Microchannel Devices" US Patent Number 6,770,182 (value not readily ascertainable)	N/A			None (or less than \$201)
12	"Method and Apparatus for Reducing Sample Dispersion in Turns and Junctions of Microchannel Systems," US Patent Number 6,733,730 (value not readily ascertainable)	N/A			None (or less than \$201)
13	"Method and Apparatus for Reducing Sample Dispersion in Turns and Junctions of Microchannel Systems," US Patent Number 6,733,730 (value not readily ascertainable)	N/A			None (or less than \$201)
14	"Support Apparatus for Semiconductor Wafer Processing," US Patent Number 6,576,064 (value not readily ascertainable)	N/A			None (or less than \$201)
15	"Method and Apparatus for Thermal Processing of Semiconductor Substrates," US Patent Number 6,355,909 (value not readily ascertainable)	N/A			None (or less than \$201)
16	"Porous Electrode Method and Apparatus for Electroforming of Detailed Metal Structures or Microelectronic Interconnections," US Patent Number 6,355,147 (value not readily ascertainable)	N/A			None (or less than \$201)
17	"Method and Apparatus for Reducing Sample Dispersion in Turns and Junctions of Microchannel Systems," US Patent Number 6,270,641 (value not readily ascertainable)	N/A			None (or less than \$201)
18	"Method and Apparatus for Thermal Processing of Semiconductor Wafers," Taiwan Patent Number 129800 (value not readily ascertainable)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	"Method and Apparatus for Thermal Processing of Semiconductor Wafers," US Patent Number 6,133,550 (value not readily ascertainable)	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. brokerage account	No			
1.1	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.2	Vanguard STAR Fund Investor Shares (VGSTX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.3	Vanguard Cash Reserves (VMRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Vanguard Target Retirement Income Fund (VTINX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.5	Vanguard Institutional Target Retirement 2025 Fund Institutional Class Shares (VRIVX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.6	Vanguard Global Wellesley Income Fund Admiral Shares (VGYAX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
2	U.S. brokerage account	No			
2.1	Fidelity Treasury Money Market Fund Fidelity Treasury Money Market (FZFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Russell Tax Exempt Bond Fund Class M Shares (RBCUX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.3	Russell Tax Exempt High Yield Bond Fund Class M Shares (RHYTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	RussellTax-Managed International Equity Fund Class M Shares (RTIUX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.5	RussellTax-Managed US Large Cap Fund Class M Shares (RTMTX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
2.6	RussellTax-Managed US Mid & Small Cap Fund Class M Shares (RTOUX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
2.7	RussellTax-Managed Real Assets Fund Class M Shares (RTXMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3	U.S. credit union #1 cash account	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
4	Pruco Life Insurance Company, universal life insurance	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	U.S. bank #1 cash account	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	U.S. bank #1 cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. brokerage account	No			
7.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
7.2	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	None (or less than \$1,001)		None (or less than \$201)
7.3	Vanguard Wellesley Income Fund Admiral Shares (VWIAX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8	U.S. credit union #1 cash account	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
9	U.S. bank cash account	N/A	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
