

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Weiner, Richard

US Executive Director, European Bank for Reconstruction and Development, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Weiner, Richard [electronically signed on 01/18/2023 by Weiner, Richard in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 02/08/2023 by Sonfield, Brian in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/09/2023 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Sidley Austin LLP	Washington, District of Columbia	Law Firm	Partner	8/2003	Present
2	Family Revocable Trust	Washington, District of Columbia	Trust	Trustee	3/2012	Present
3	Family Revocable Trust 2	Washington, District of Columbia	Trust	Trustee	3/2012	Present
4	Family Irrevocable Life Insurance Trust 1	Washington, District of Columbia	Trust	Trustee	3/2012	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sidley Austin LLP (law firm) anticipated partnership share	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Sidley Austin LLP (law firm) capital account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	Sidley Austin LLP (law firm) Retirement Differential (cash account)	See Endnote	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
4	Sidley Austin LLP (law firm)	N/A		partnership share	\$2,666,430
5	Sidley Austin LLP (law firm) cash balance pension plan	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Sidley Austin LLP Retirement Plan for Partners - 401 (K)	No			
6.1	Vanguard Target Ret Tr 2025 II	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Vanguard Equity-Income Adm	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.3	State Street S&P 500 Index Non-Lending Series CI K	Yes	\$250,001 - \$500,000		None (or less than \$201)
6.4	Vanguard Extended Market Index InstIPlus	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	Harbor International Institutional	Yes	None (or less than \$1,001)		None (or less than \$201)
6.6	American Funds Europacific Growth R6	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.7	Vanguard Emerging Mkts Stock Idx Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.8	American Funds American Balanced R6	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Sidley Austin LLP Savings and Investment Plan 401 (k)	No			
7.1	Vanguard Equity-Income Adm	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7.2	State Street S&P 500 Index Non-Lending Series CI K	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7.3	Firm Directed Portfolio	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
7.4	American Funds American Balanced R6	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.5	PIMCO Total Return A		Yes	\$250,001 - \$500,000		None (or less than \$201)
7.6	American Funds Europacific Growth R6		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.7	Harbor Capital Appreciation CIT CL 4		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.8	Vanguard Emerging Mkts Stock Idx Instl		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.9	Vanguard Ret Savings Trust III		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.10	Vanguard Target Retirement 2030 Trust II (VTR30SAS)		Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Sidley Austin Investment Partnership III	See Endnote	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
9	Sidley Austin Investment Partnership V	See Endnote	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
10	Sidley Austin Investment Partnership VI	See Endnote	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	Sidley Austin Investment Partnership VII	See Endnote	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12	WilmerHale (law firm), Brussels Belgium Defined Benefit Plan		N/A	\$100,001 - \$250,000		None (or less than \$201)
13	IRA 1		No			
13.1	ACAP STRATEGIC FUND CL A M/F		Yes	\$100,001 - \$250,000		None (or less than \$201)
13.2	COOPER SQUARE OFFSHORE FUND, LTD. - CLASS B		Yes	\$100,001 - \$250,000		None (or less than \$201)
13.3	HUDSON BAY FUND (INTL) LTD - TRANCHE I-RJ1		Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.4	NUVEEN GLOBAL CITIES REIT INCORPORATED COM CLASS T REIT	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
14	IRA2	No			
14.1	AB SELECT US LONG SHORT PORT FUND ADVISOR CL N/L	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.2	AMG YACKTMAN FUND CL I N/L	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.3	CALAMOS MARKET NEUTRAL INCOME FUND CL I N/L	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.4	JPMORGAN EQUITY PREMIUM INCOME ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.5	LOOMIS SAYLES STRATEGIC ALPHA FUND CL Y N/L	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.6	JP Morgan NASDAQ Equity Premium ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
14.7	VANGUARD VALUE INDEX FUND ADMIRAL SHARES N/L	Yes	\$100,001 - \$250,000		None (or less than \$201)
15	Roth IRA 2	No			
15.1	VANGUARD INTERNATIONAL HIGH DIVIDEND YIELD ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.2	VANGUARD SMALL CAP INDEX FUND ADMIRAL SHARES N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.3	VANGUARD EQUITY INCOME FUND ADMIRAL SHARES N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.4	PIMCO INCOME FUND CLASS I2 N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.5	T. ROWE PRICE GLOBAL TECHNOLOGY FUND INVESTOR CLASS	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.6	VANGUARD DIVIDEND GROWTH FUND INVESTOR CLASS N/L	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.7	HARTFORD BALANCED INCOME FUND CLASS I N/L	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.8	ALLSPRING GROWTH FUND CL I N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.9	ALLSPRING PREMIER LARGE CO GROWTH FUND CL I N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.10	AMERICAN CENTURY MID CAP VALUE FD CLASS I N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.11	AMG YACKTMAN FUND CLASS I N/L	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.12	CALAMOS MARKET NEUTRAL INCOME FUND CLASS I N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.13	FIDELITY 500 INDEX FUND N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Sidley Austin LLP	Washington, District of Columbia	My 2023 partnership share will be calculated as of the date of my withdrawal from the firm and paid prior to assuming the duties of the position.	8/2003
2	Sidley Austin LLP	Washington, District of Columbia	I will receive a lump sum payment of my retirement differential pursuant to the firm's partnership agreement prior to assuming the duties of the position.	8/2003
3	Sidley Austin LLP	Washington, District of Columbia	I will receive a lump sum repayment of my capital contribution to the firm prior to assuming the duties of the position.	8/2003

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Sidley Austin LLP Savings and Investment Plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	8/2003
5	Sidley Austin LLP Retirement Plan for Partners	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	8/2003
6	Sidley Austin LLP Cash Balance Pension Plan	Washington, District of Columbia	I will continue to participate in this cash balance pension plan. The plan sponsor will not make further contributions after my separation.	8/2003
7	WilmerHale LLP Defined Benefit Plan	Brussels Belgium, Outside U.S.	I will continue to participate in this defined benefit plan.	1/1990

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Sidley Austin LLP	Washington, District of Columbia	Partner
2	Canadian Solar Inc.	Walnut Creek, California	Legal services by Firm
3	Chaleur Sawmills LP	Belledune, New Brunswick Canada, Outside U.S.	Legal services by Firm
4	Enel Green Power North America Inc.	Andover, Massachusetts	Legal services by Firm
5	EuroChem Trading GmbH	Mannheim, Germany, Outside U.S.	Legal services by Firm
6	Exxon Mobil Corporation	Spring, Texas	Legal services by Firm

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
7	Federal Tire North America LLC	Torrance , California	Legal services by Firm
8	Flexi-Van Leasing, LLC	Scottsdale, Arizona	Legal services by Firm
9	Hindalco Industries Limited	Mumbai, India, Outside U.S.	Legal services by Firm
10	J.D. Irving, Limited	Saint John, New Brunswick, Canada, Outside U.S.	Legal services by Firm
11	Jera Energy America LLC	Houston, Texas	Legal services by firm
12	Jinko Solar Co., Ltd.	San Francisco, California	Legal services by Firm
13	LONGi Solar Technology US Inc.	San Ramon, California	Legal services by Firm
14	Lonza America, Inc.	Morristown, New Jersey	Legal services by Firm
15	Nippon Paper Industries Co., Ltd.	Tokyo, Japan, Outside U.S.	Legal services by Firm
16	Nippon Steel Corporation	Tokyo, Japan, Outside U.S.	Legal services by Firm
17	Nippon Steel Trading Corporation	Schaumburg, Illinois	Legal services by Firm
18	Osaka Titanium Technologies Co.	Amagasaki Hyogo, Japan, Outside U.S.	Legal services by Firm
19	PAO TMK	Moscow Russia, Outside U.S.	Legal services by Firm
20	Paper Products Marketing (USA) Inc.	Portland, Oregon	Legal services by Firm
21	RWE Renewables Services LLC	Austin, Texas	Legal services by Firm

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
22	Yingli Green Energy Americas, Inc.	Malvern, Pennsylvania	Legal services by Firm
23	Brookfield Renewable Power, Inc.	New York, New York	Legal services by Firm
24	ConnectGen LLC	Houston, Texas	Legal services by Firm
25	Diamondback Energy, Inc.	Midland, Texas	Legal services by Firm
26	Hennessy Capital Investment Corp.	Wilson, Wyoming	Legal services by Firm
27	Nomura Securities International Inc.	New York, New York	Legal services by Firm
28	Swift Current Energy	Boston, Massachusetts	Legal services by Firm

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	George Washington University	N/A		salary	
2	George Washington University 401 (A) Account	No			
2.1	Fidelity Freedom 2025 Class K	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	George Washington University 403 (B) Account	No			
3.1	Fidelity Blue Chip Growth Class K	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Fidelity Contrafund Class K Shares (FCNKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Fidelity Emerging Markets Class K	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Fidelity Low-Priced Stock Class K	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Fidelity Overseas Class K	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Fidelity Freedom 2025 Class K	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Fidelity Freedom 2030 Class K	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Vanguard GNMA	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	Vanguard FTSE Social Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.10	Vanguard US Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Roth IRA 1	No			
4.1	AMG YACKTMAN FUND CLASS I N/L	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	ARK GENOMIC REVOLUTION ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	BNY MELLON RESEARCH GROWTH FUND CLASS I N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	INVESCO STEELPATH MLP ALPHA FUND CLASS Y N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	MORGAN STANLEY INSTITUTIONAL FUND GLOBAL OPPORTUNITY PORTFOLIO CLASS I N/L	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	VANGUARD DIVIDEND GROWTH FUND INVESTOR CLASS N/L	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.7	VANGUARD SMALL CAP INDEX FUND ADMIRAL SHARES N/L	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Roth IRA 3	No			
5.1	Principal Equity Income Fund Class A Shares (PQIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Principal Global Emerging Markets Fund (PIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Principal Large Cap Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	Principal Mid Cap Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.5	Principal Small Cap S&P 600 Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Bloomberg L.P, 401(k)	No			
6.1	Blackrock Lifepath Index 2025 Non-Lendable M	Yes	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	CIC Bank, Paris France (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
4	ING Bank Brussels Belgium (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Lincoln National Life Insur. Co., Universal Life Policy (Long-Term Care) #1		N/A	\$100,001 - \$250,000	gross distribution	\$2,489
6	Lincoln National Life Insur. Co., Universal Life Policy (Long-Term Care) #2		N/A	\$100,001 - \$250,000	gross distribution	\$2,814
7	Residential real estate, Tahoe City, CA		N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
8	NB Private Debt ASP Fund	See Endnote	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
9	BlackRock Special Credit Opportunities ASP Fund	See Endnote	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
10	Investment Account 1		No			
10.1	U.S. brokerage (cash)		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
10.2	AMERICAN CENTURY ULTRA CLASS I N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)
10.3	ARK INNOVATION ETF	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
10.4	CALAMOS MARKET NEUTRAL INCOME FUND CL I N/L		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.5	DELAWARE IVY SCIENCE AND TECHNOLOGY FUND CL I N/L	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
10.6	FIDELITY 500 INDEX FUND N/L		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.7	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS I N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.8	JANUS HENDERSON GLOBAL TECH AND INNOV FUND CLASS I N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)
10.9	JANUS HENDERSON GROWTH AND INCOME FUND CLASS I N/L		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	NUVEEN SHORT DURATION HIGH YIELD MUNI BD FD CL I N/L		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
10.11	T. ROWE PRICE COMMUNICATIONS AND TECH FD INVSTR CLS N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)
10.12	T. ROWE PRICE MID CAP GROWTH FUND INVESTOR CLASS N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)
10.13	T. ROWE PRICE NEW HORIZONS FUND INVESTOR CLASS N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)
10.14	T. ROWE PRICE SCIENCE AND TECH FUND INVESTOR CLASS N/L	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
10.15	T. ROWE PRICE SPECTRUM MOD GR ALLOC FD INVSTR CL N/L		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.16	VANGUARD 500 INDEX FUND ADMIRAL SHARES N/L		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.17	VANGUARD DIVIDEND GROWTH FUND INVESTOR CLASS N/L		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.18	VANGUARD EXPLORER FUND ADMIRAL SHARES N/L		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.19	VANGUARD GROWTH INDEX FUND ADMIRAL SHARES N/L		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.20	VANGUARD HEALTH CARE FUND ADMIRAL SHARES N/L		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.21	VANGUARD REAL ESTATE INDEX FUND ADMIRAL SHARES CLASS N/L		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.22	VANGUARD STAR FUND INVESTOR CLASS N/L	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.23	VANGUARD TOTAL STOCK MARKET INDEX FUND ADMIRAL SHARES N/L	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11	Inherited IRA 1	No		cash distribution	\$6,340
11.1	AIR PRODUCTS & CHEMICALS INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2	APPLE INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.3	CALAMOS MARKET NEUTRAL INCOME FUND CL I N/L	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	CHUBB LIMITED (SWITZERLAND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.5	CISCO SYSTEMS INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.6	CME GROUP INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.7	COMCAST CORPORATION NEW CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.8	EATON CORPORATION PLC SHS (IRELAND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.9	HOME DEPOT INCORPORATED	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.10	JOHNSON & JOHNSON	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.11	JPMORGAN CHASE & COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.12	JPMORGAN HEDGED EQUITY 2 FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.13	MARATHON PETROLEUM CORPORATION		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.14	MEDTRONIC PLC SHS (IRELAND)		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.15	MERCK & COMPANY. INCORPORATED		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.16	MICROSOFT CORPORATION		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.17	MOTOROLA SOLUTIONS INCORPORATED COM NEW		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.18	PIMCO SHORT TERM FUND CLASS I2 N/L		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.19	UNION PACIFIC CORPORATION		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.20	VERIZON COMMUNICATIONS INCORPORATED		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.21	WALMART INCORPORATED		N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Investment Account 2		No			
12.1	AMERICAN CENTURY ULTRA FUND CLASS I N/L		Yes	\$15,001 - \$50,000		None (or less than \$201)
12.2	AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND CL I N/L	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
12.3	AMG YACKTMAN FUND CLASS I N/L		Yes	\$50,001 - \$100,000		\$201 - \$1,000
12.4	CALAMOS MARKET NEUTRAL INCOME FUND CLASS I N/L		Yes	\$50,001 - \$100,000		\$201 - \$1,000
12.5	CISCO SYSTEMS INCORPORATED		N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.6	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS I N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.7	JANUS HENDERSON GLOBAL TECH AND INNOV FUND CLASS I N/L	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.8	JANUS HENDERSON GROWTH AND INCOME FUND CLASS I N/L	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.9	JP MORGAN HEDGED EQUITY 2 FUND CL I N/L	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
12.10	MICROSOFT	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
12.11	NUVEEN SHORT DURATION HIGH YIELD MUNI BOND FD CL I N/L	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.12	PROCTER AND GAMBLE COMPANY	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
12.13	T. ROWE PRICE COMMUNICATIONS AND TECH FD INVSTR CLS N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.14	T. ROWE PRICE INTERNATIONAL DISCOVERY FD INV CLASS N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.15	T. ROWE PRICE MID CAP GROWTH FUND INVESTOR CLASS N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.16	T. ROWE PRICE NEW HORIZONS FUND INVESTOR CLASS N/L	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.17	T. ROWE PRICE SPECTRUM MOD GR ALLOC FD INVSTR CL N/L	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.18	VANGUARD HEALTH CARE FUND ADMIRAL SHARES N/L	Yes	\$50,001 - \$100,000		\$201 - \$1,000
12.19	VANGUARD REAL ESTATE INDEX FUND ADMIRAL SHARES N/L	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.20	VANGUARD SMALL CAP INDEX FUND ADMIRAL SHARES N/L	Yes	\$50,001 - \$100,000		\$201 - \$1,000
12.21	VANGUARD VALUE INDEX FUND ADMIRAL SHARES N/L	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
12.22	U.S. brokerage (cash)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
13	Investment Account 3	No			
13.1	T. Rowe Price All-Cap Opportunities Fund - I Class	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
13.2	T. Rowe PriceBlue Chip Growth Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.3	T. Rowe Price Cash Reserves Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.4	T. Rowe Price Communications & Technology Fund - I Class	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
13.5	T. Rowe PriceFinancial Services Fund - I Class	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
13.6	T. Rowe PriceGlobal Allocation Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.7	T. Rowe PriceGlobal Technology Fund - I Class	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
13.8	T. Rowe PriceHealth Sciences Fund - I Class	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
13.9	T. Rowe Price QM U.S. Small-Cap Growth Equity Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.10	T Rowe Price Equity Index 500	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	Investment Account 4	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.1	U.S. brokerage (cash)	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
14.2	3M CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.3	A T & T INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.4	ALLSTATE CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.5	AMERICAN EXPRESS CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
14.6	AMERIPRISE FINL	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.7	APPLE INC	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
14.8	ARCHER-DANIELS-MIDLN	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.9	BOEING CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.10	CATERPILLAR INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.11	CHEMOURS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.12	CHEVRON CORP	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
14.13	CIGNA CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.14	CISCO SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.15	COMCAST CORP CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.16	CONOCOPHILLIPS	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.17	CORTEVA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.18	COTY INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.19	DOW INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.20	DUPONT DE NEMOURS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.21	EXXON MOBIL CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.22	FEDEX CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.23	Fortune Brands Home & Security, Inc. (FBHS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.24	Hewlett-Packard Enterprise Co. (HPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.25	HP INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.26	IBM CORP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
14.27	INTEL CORP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
14.28	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.29	KRAFT HEINZ CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.30	KROGER CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.31	KYNDRYL HLDGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.32	Linde Plc (LIN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.33	MARSH & MC LENNAN CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.34	MATTEL INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.35	MERCK & CO. INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.36	META PLATFORMS INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.37	MICROSOFT CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.38	MONDELEZ INTL CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.39	MOODYS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.40	MOTOROLA SOLUTIONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.41	OLIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.42	ORACLE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.43	PEPSICO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14.44	PFIZER	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.45	PHILLIPS 66	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.46	PROCTER & GAMBLE	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
14.47	U S BANCORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.48	VERIZON COMMUNICATN	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
14.49	VIATRIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.50	WESTERN UNION CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14.51	XEROX HOLDINGS CORP	N/A	None (or less than \$1,001)		None (or less than \$201)
14.52	YUM BRANDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.53	ZOETIS INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.54	AMERICAN CENTURY HERITAGE INV	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.55	AMERICAN CENTURY ULTRA I NV	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
14.56	BARON ASSET RETAIL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.57	BNY MELLON ACTIVE MIDCAP A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.58	COLUMBIA CONTRARIAN CORE INST	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
14.59	COLUMBIA LARGE CAP GROWTH INST	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.60	FEDERATED HERMES KAUFMAN N R	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.61	HEARTLAND VALUE INVESTOR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.62	Janus Henderson Forty Fund Class T Shares (JACTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.63	Janus Henderson Venture Fund Class T Shares (JAVTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
14.64	OAKMARK INVESTOR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.65	SCHWAB 1000 INDEX	Yes	\$50,001 - \$100,000		\$201 - \$1,000
14.66	SCHWAB LARGE-CAP GROWTH	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.67	SCHWAB S&P 500 INDEX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.68	SCHWAB SMALL CAP INDEX	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.69	SCHWAB US LARGE-CAP GROWTH IDX	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.70	VANGUARD 500 INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.71	VANGUARD DIVIDEND APPRECIATION INDEX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.72	VANGUARD EMERGING MKTS S TOCK IDX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.73	VANGUARD GLOBAL MINIMUM VOLATILITY INV	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.74	VANGUARD HEALTH CARE INV	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.75	VANGUARD INTL DIV APPREC IDX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.76	VANGUARD LARGE CAP INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.77	VANGUARD MID-CAP GROWTH INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.78	VANGUARD MID-CAP VALUE INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.79	VANGUARD REAL ESTATE IND EX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.80	VANGUARD SMALL CAP VALUE INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.81	VANGUARD TOTAL BOND MARKET INDEX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.82	VANGUARD TOTAL INTL STOCK INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.83	The Travelers Cos., Inc. (TRV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.84	Yum China Holdings Inc. (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Inherited IRA 2	No		cash distribution	\$45,000
15.1	U.S. brokerage (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	A T & T INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.3	AGILENT TECHNOLOGIES	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.4	APPLE INC	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.5	AUTOLIV INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	CHEVRON CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.7	COMCAST CORP CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.8	CONOCOPHILLIPS	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.9	CORTEVA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.10	DOW INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.11	DUPONT DE NEMOURS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.12	FEDEX CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.13	HP INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.14	IBM CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.15	INTEL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.16	KROGER CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.17	MARSH & MC LENNAN CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.18	MONDELEZ INTL CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.19	MOODYS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.20	MOTOROLA SOLUTIONS	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.21	PARAMOUNT GLOBAL CLASS B	N/A	None (or less than \$1,001)		None (or less than \$201)
15.22	PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.23	PFIZER INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.24	PHILLIPS 66	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.25	PROCTER & GAMBLE	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.26	SHELL PLC F UNSPONSORED ADR 1 ADR REPS 2 ORD SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.27	U S BANCORP	N/A	None (or less than \$1,001)		None (or less than \$201)
15.28	WESTERN UNION CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
15.29	AMERICAN CENTURY ULTRA I NV	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.30	COLUMBIA CONTRARIAN CORE INST	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.31	FEDERATED HERMES KAUFMAN N R	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.32	INVESCO DIVERSIFIED DIVIDEND INVESTOR	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.33	JANUS HENDERSON RESEARCH T	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.34	OAKMARK INVESTOR	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.35	SCHWAB 1000 INDEX	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.36	SCHWAB FDMTL INTL LG CO IDX	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.37	SCHWAB S&P 500 INDEX	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.38	SCHWAB SMALL CAP INDEX	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.39	Schwab Total Stock Market Index Fund (SWTSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.40	SCHWAB US LARGE-CAP GROWTH IDX	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	Inherited IRA 3	No		cash distribution	\$16,558
16.1	U.S. brokerage (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2	APPLE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.3	ALPHABET INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.4	AMAZON.COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.5	VISA INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.6	CHEVRON CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.7	BLACKROCK INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.8	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.9	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.10	WALT DISNEY CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.11	QWEST CAP FDG INC NOTE SEMI	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.12	U S WEST CAP FDG INC DEBENTURE	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.13	STATE STREET CORP DEP SHS REPSTG 1/4000TH PFD SER D PERPTL FXD/VAR	N/A	\$15,001 - \$50,000		None (or less than \$201)
17	Alaska 529 (child 1)	No			
17.1	Portfolio 2036	Yes	\$100,001 - \$250,000		None (or less than \$201)
18	Northwest Permanente Profit Sharing 401 (k) (inherited)	No		cash distribution	\$1,371
18.1	Vanguard Total Stock Market Index Fund Institutional Plus Class Shares (VSMPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	Northwest Permanente Money Purchase 401 (A) (defined contribution) (inherited)	No		cash distribution	\$1,236
19.1	Vanguard Total Stock Market Index Fund Institutional Plus Class Shares (VSMPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	Investment Account 5	No			
20.1	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	Health Saving Account	No		Gross distribution	\$1,433
21.1	Optum Cash Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.2	American Funds Cap World Growth and Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.3	Vanguard 500 Index - A	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.4	Vanguard Extended Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.5	Vanguard Real Estate Index Fund Institutional Class Shares (VGSNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	Alaska 529 (child 2)	No			
22.1	Portfolio 2036	Yes	\$50,001 - \$100,000		None (or less than \$201)
23	Alaska 529 (child 3)	No			
23.1	Portfolio 2036	Yes	\$50,001 - \$100,000		None (or less than \$201)
24	Family Irrevocable Life Insurance Trust 1	No			
24.1	USAA Insurance Company, Whole Life Policy 2	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
25	Family Irrevocable Life Insurance Trust 2	No			
25.1	USAA Insurance Company, Whole Life Policy 1	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
26	Family Irrevocable Life Insurance Trust 3	No			
26.1	Brighthouse Life Insur. Co, Universal Life Policy (Second-to-Die)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	Investment Account 6	No			
27.1	Harbourvest Access Co. Investment Fund VI (1HVA6)	Yes	\$50,001 - \$100,000		None (or less than \$201)
28	Investment Account 7	No			
28.1	Harbourvest Access Co. Investment Fund VI (1HVA6)	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Cenlar	See Endnote	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2017	3.0%	15 years
2	Credit Foncier		Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2018	1.85%	20 years
3	Raymond James	See Endnote	Exercised Line of Credit	\$250,001 - \$500,000	2019	6.97254 (variable)	Revolving
4	Sidley Austin Investment Partnership VIII	See Endnote	Capital Commitment	\$100,001 - \$250,000	2022	N/A	On demand
5	HarbourVest Access –Co-Investment Fund VI LLC		Capital Commitment	\$100,001 - \$250,000	2022	N/A	On demand

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
6	HarbourVest Access –Co-Investment Fund VI LLC	Capital Commitment	\$100,001 - \$250,000	2022	N/A	On demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	3	This is a retirement pay-out, as mandated by the Firm's partnership agreement.
2.	7.3	As required, I sold my holding in the Sidley Firm-Directed Portfolio, effective January 1, 2023.
2.	8	This asset was sold in August 2022, as required. Income is estimated and based on 2021 K-1. 2022 K-1 available August 2023.
2.	9	This asset was sold in August 2022, as required. Income is estimated and based on 2021 K-1. 2022 K-1 available August 2023.
2.	10	Income is estimated and based on 2021 K-1. 2022 K-1 available August 2023.
2.	11	Income is estimated and based on 2021 K-1. 2022 K-1 available August 2023.
6.	8	Estimate based on 2021 income amount.
6.	9	Estimate based on 2021 income amount.
6.	10.3	Sold.
6.	10.5	Sold.
6.	10.14	Sold.

PART	#	ENDNOTE
6.	12.2	Sold.
6.	12.9	Sold.
8.	1	Paid in full.
8.	3	Balance is zero as of 12/31/2022.
8.	4	As required, this commitment was rescinded in full in August 2022.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
