

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Wong, Marcia Kim

Deputy Assistant Administrator, BHA, U.S. Agency for International Development

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Wong, Marcia Kim [electronically signed on 04/29/2023 by Wong, Marcia Kim in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ohlweiler, John, Certifying Official [electronically signed on 11/02/2023 by Ohlweiler, John in Integrity.gov]

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Other review conducted by

/s/ Mason-Gale, Treyer A, Ethics Official [electronically signed on 10/19/2023 by Mason-Gale, Treyer A in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 08/14/2023

Data Revised 08/09/2023

Data Revised 05/30/2023

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	International Committee of the Red Cross	Washington, District of Columbia	International Organization	Head of Policy	10/2015	4/2022

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	International Committee of the Red Cross	N/A		Salary	\$218,592
2	International Committee of the Red Cross Retirement Plan	No			
2.1	AmerCent One Choice 2025A/AC25 Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	IRA	No			
3.1	Vanguard Explorer Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	Vanguard Health Care Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.3	Vanguard Global Cycles Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Vanguard International Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Morgan Stanley IRA Standard	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	US Institute of Peace TIAA Account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	CREF Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	CREF Social Choice	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	CREF Bond Market	Yes	\$15,001 - \$50,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	US Institute of Peace	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2012
2	International Committee of the Red Cross	Washington	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	9/2015

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Population Services International	N/A		Salary	
2	Syracuse University - 04/22/2021	N/A		Honorarium	\$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Population Services International 403(b) Retirement Plan	No			
3.1	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
4	Population Services International 401(a) Retirement Plan	No			
4.1	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTV)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1	MD 529 College Savings Account	No				
1.1	Balanced Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500	
2	Residential Real Estate (Apartment) - Silver Spring, MD	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000	
3	Residential Rental Property (House) - Arlington, VA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000	
4	State Dept Credit Union (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)	
5	Capitol One (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
6	Johnson & Johnson	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
7	Rocket Companies	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
8	Cloudflare	See Endnote	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
9	Apple		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
10	Astrazeneca		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11	Gilead		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12	Merck		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13	Pfizer		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	Walgreens		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	Victory Capital/USAA Growth and Income Fund		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
16	Dominion Energy		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	USAA Federal Savings Bank (cash)		N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
18	AT&T		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19	Beyond Meat		N/A	None (or less than \$1,001)		None (or less than \$201)
20	Moderna		N/A	\$15,001 - \$50,000		None (or less than \$201)
21	Viatis		N/A	None (or less than \$1,001)		None (or less than \$201)
22	Morgan Stanley Account		No			
22.1	Blackstone REIT		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
22.2	Goldman Sachs Bank CD		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.3	Abbott Laboratories	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.4	Abvie	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.5	Accenture	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.6	Actavision	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
22.7	Adobe	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.8	AMD	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.9	Alphabet	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
22.10	Amazon	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.11	AIG	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.12	American Water Works	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.13	AmGen	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.14	Apple	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22.15	Arrow Electronics	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.16	ASML Holding	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.17	Assurant	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.18	AT&T	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.19	ADP	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.20	BJFS Wholesale	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.21	Bank of Montreal	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
22.22	Blackrock	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.23	Bristol Meyers	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.24	Cactus Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.25	Tesla	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.26	United Health Care	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.27	Nvidia	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.28	Johnson & Johnson	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.29	Royal Bank of Canada	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.30	Meta Platforms	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.31	Danaher Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.32	Thermo Fisher	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.33	JP Morgan Chase	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.34	S&P Global	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.35	Schneider Electronics	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.36	Devon Energy	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.37	McDonalds	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.38	Shell	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.39	T Mobile	N/A	\$1,001 - \$15,000		\$201 - \$1,000
22.40	Salesforce	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22.41	Nike	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.42	P&G	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.43	Cummins	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.44	Pfizer	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.45	Chubb	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.46	Travelers Companies Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.47	Visa	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.48	Estee Lauder	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.49	Qualcom	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.50	Coca Cola	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.51	Cisco Systems	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.52	Prudential Financial	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.53	Merck	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.54	Deere and Co	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.55	Sun Life Financial Services	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.56	GSK	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.57	Eversource Energy	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.58	Texas Instruments	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.59	CBRE Group, Inc. (CBRE)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.60	General Mills	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.61	UBS Group	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.62	US Bank Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.63	Lowes	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.64	WW Grainger	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.65	Comerica	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.66	Stryker Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.67	Walmart	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.68	Novo Nordisk	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.69	Lockheed Martin	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.70	Sociedad Chimica y Minera	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.71	Eli Lilly	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.72	Softbank	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.73	Target	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.74	Home Depot	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.75	Robert Half International	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.76	Morningstar Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.77	Huntington Bank Shares	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.78	Met Life	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.79	TE Connectivity	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.80	Discover	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.81	LPL Financial Holdings	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
22.82	Clorox	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.83	Raytheon Tech	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.84	Monolithic Power Systems	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.85	Medtronic	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.86	Realty Income Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.87	Jacobs Engineering Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.88	Caterpillar	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.89	Vertex Pharmaceuticals	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.90	Palo Alto Networks	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.91	Comcast	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.92	KAO Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.93	Wells Fargo	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.94	Schnitzer Steel	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.95	Hershey	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.96	Novartis	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.97	Northrop Gruman	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.98	Hong Kong Exchanges and Clearing	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.99	Fidelity National Info	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.100	Colgate Palmolive	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.101	Schlumberger	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.102	Synopsis Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.103	Kellogg	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.104	Humana Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.105	Lattice Semiconductor	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.106	Williams Sonoma	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.107	MGM Resorts	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.108	Murphy Oil Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.109	Centene Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.110	Rockwell Automation	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.111	L3harris Tech	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.112	Synchrony Financial	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.113	Wheaton Precious Metal	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.114	Principal Financial Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.115	UNUM Provident	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.116	Resmed Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.117	Moodys Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.118	Walgreens Boots Alliance	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.119	Park Hotels & Resorts, Inc. (PK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.120	Korn Ferry	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.121	Fuller HB and Co	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.122	Factset	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.123	Live Nation Entertainment	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.124	Old Dominion Freight Line	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.125	Travel Plus Leisure	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.126	Expedia	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.127	Interpublic Group of Companies	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.128	Marvell Tech	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.129	Kraft Heinz	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.130	McQuarrie Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.131	Citizens Financial Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.132	Iron Mountain	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.133	NASDAQ	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.134	Microsoft	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.135	WABTEC	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
22.136	Warner Bros Discovery Inc	N/A	None (or less than \$1,001)		None (or less than \$201)
22.137	Anaheim Calif UN High School Dist Cpn bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.138	Arcadia Calif UNI SCH DIS OID bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22.139	Arcadia Calif UNI SCH DIS OID bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22.140	Baltimore Cnty M OID bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.141	California Health Facilities Financing Authority Rev-A bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.142	California State Genl Obligation Ref bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.143	Calif State Genl Var Purp genl Oblig Ref-C bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.144	Healdsburg Calif Wastewater Rev--A bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.145	Jefferson Calif Elem Sch Dist San Mateo Cnty bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22.146	Jefferson Calif UN High Sch Dist San Mateo Cnty bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.147	Livermore Valley Calif JT Uni SCH Dist bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.148	Miami Dade Cnty Fla bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22.149	Miami Dade Cnty Fla School Dist bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.150	Placentia Yorba-Linda Calif Uni Sch Dist bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.151	Prince Georges Cnty Cons Pub Impt Genl Oblig bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
22.152	San Francisco Calif BART bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.153	Sunnyvale Ca Sch Dist Ser-A bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.154	Washoe Cnty Nev Sch Dist bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.155	West Vly Mission Cmnty Coll Dist Calif bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.156	Wicomico Cnty MD bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
22.157	Morgan Stanley Institutional Fund Class A Shares (MSEGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	USAA CDs	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24	First Trust Municipal ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
25	First Trust North American Energy Infrastructure Fund (EMLP)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
26	Truist (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
27	Charles Schwab IRA	No			
27.1	USAA Cornerstone Aggressive Fund (UCAGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27.2	USAA Cornerstone Moderately Aggressive Fund (USCRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Charles Schwab Roth IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.1	USAA Cornerstone Aggressive Fund (UCAGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28.2	USAA Cornerstone Moderately Aggressive Fund (USCRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Morgan Stanley Globally Diversified IRA	No			
29.1	JPMorgan Large Cap Growth Fund Class I Shares (SEEGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.2	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.3	Congress Mid Cap Growth Fund Institutional Class Shares (IMIDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.4	Nuance Mid Cap Value Fund Institutional Class Shares (NMVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.5	First Trust/Confluence Small Cap Value Fund Class I Shares (FOVIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.6	ClearBridge International Growth Fund Class I Shares (LMGNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.7	BlackRock Core Bond Portfolio Institutional Shares (BFMCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.8	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.9	BlackRock High Yield Bond Portfolio Institutional Shares (BHYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.10	JP Morgan Large Cap Grw A	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
30	Charles Schwab (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
31	Treasury Series I Savings Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Capitol One CD	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
34	Sumitomo Mitsui Financial Group Inc Un-sponsored American Depositary Shares (Japan) (SMFG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	Sumitomo Mitsui Financial Group Inc Un-sponsored American Depositary Shares (Japan) (SMFG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	Takeda Pharmaceutical Company Limited American Depositary Shares (each representing 1/2 of a share of Common Stock) (TAK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
37	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	Thomson Reuters Corp Ordinary Shares (TRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	SAP AG	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Walgreens Boots Alliance, Inc. (WBA)	Sale	10/24/2022	\$1,001 - \$15,000
2	Maryland529 College Investment Plan	See Endnote	08/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
3	Blackrock Core Bond PTFI	Sale	07/07/2022	\$1,001 - \$15,000
4	NVIT BlackRock Equity Dividend Fund Class I	Sale	07/07/2022	\$1,001 - \$15,000
5	JPMorgan Large Cap Growth Fund Class I Shares (SEEGX)	Purchase	07/19/2022	\$1,001 - \$15,000
6	Blackrock Core Bond PTFI	Purchase	10/28/2022	\$1,001 - \$15,000
7	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA)	Purchase	10/28/2022	\$1,001 - \$15,000
8	Meta Platforms Inc. Class A Common Stock (META)	Purchase	10/26/2022	\$1,001 - \$15,000
9	KAO Corp	Sale	10/26/2022	\$1,001 - \$15,000
10	Takeda Pharmaceutical Company Limited American Depositary Shares (each representing 1/2 of a share of Common Stock) (TAK)	Sale	10/28/2022	\$1,001 - \$15,000
11	Sumitomo Mitsui Financial Group Inc Un-sponsored American Depositary Shares (Japan) (SMFG)	Purchase	10/28/2022	\$1,001 - \$15,000
12	Comcast Corp. (CMCSA)	Purchase	10/28/2022	\$1,001 - \$15,000
13	Verizon Communications, Inc. (VZ)	Purchase	09/23/2022	\$1,001 - \$15,000
14	Walmart, Inc. (WMT)	Sale	07/11/2022	\$1,001 - \$15,000
15	Cummins, Inc. (CMI)	Sale	07/11/2022	\$1,001 - \$15,000
16	Eversource Energy (ES)	Sale	07/11/2022	\$1,001 - \$15,000
17	Alphabet, Inc. (GOOG)	Sale	07/11/2022	\$1,001 - \$15,000
18	Meta Platforms Inc. Class A Common Stock (META)	Sale	07/11/2022	\$1,001 - \$15,000
19	Softbank Corp (SOBKY)	Sale	07/11/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Medtronic Plc (MDT)	Sale	07/11/2022	\$1,001 - \$15,000
21	salesforce.com, inc. (CRM)	Sale	07/11/2022	\$1,001 - \$15,000
22	Novartis AG Common Stock (NVS)	Sale	07/11/2022	\$1,001 - \$15,000
23	Blackrock Core Bond Equity Dividend Fund Class I	Sale	07/07/2022	\$1,001 - \$15,000
24	BLACKROCK Core Bond PTFI	Purchase	12/05/2022	\$1,001 - \$15,000
25	Verizon Communications, Inc. (VZ)	Sale	10/28/2022	\$1,001 - \$15,000
26	Takeda Pharmaceutical Company Limited American Depositary Shares (each representing 1/2 of a share of Common Stock) (TAK)	Purchase	09/23/2022	\$1,001 - \$15,000
27	AT&T	Sale	09/23/2022	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage (investment/rental property)	\$50,001 - \$100,000	2013	4.25%	20 years
2	Truist	Mortgage (investment/rental property)	\$100,001 - \$250,000	2012	4.375%	20 years
3	Citizens Bank	Mortgage (investment/rental property)	\$250,001 - \$500,000	2015	3.875%	30 years
4	Citizens Bank	Construction Loan	\$500,001 - \$1,000,000	2022	3.0%	30 years

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
5	Morgan Stanley	Line of Credit	\$500,001 - \$1,000,000	2021	3.4%	N/A

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
6.	6	stock sale
6.	7	stock sale: loss
6.	8	stock sale
7.	2	Distribution from TRowePrice Maryland 529 College Investment Plan for graduate school expenses of son

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).



## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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