

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Tobias, Robert M

Member IRS Oversight Board, Department of the Treasury

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Tobias, Robert M [electronically signed on 05/08/2021 by Tobias, Robert M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 08/11/2021 by Sonfield, Brian in Integrity.gov]

Other review conducted by

/s/ Weinman, Greg, Ethics Official [electronically signed on 07/30/2021 by Weinman, Greg in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/16/2021 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	American University	Washington, DC, District of Columbia	University/College	Professor	9/1999	Present
2	Federal Employee Education and Assistance Fund	Lakewood, Colorado	Non-Profit	President of Board	9/2000	Present
3	National Academy of Public Administration	Washington DC, District of Columbia	Non-Profit	Fellow	9/2003	Present
4	MJT Trust	Ann Arbor, Michigan	Trust	Trustee	10/1992	Present
5	MacFadden and Associates	Washington, District of Columbia	Corporation	Consultant	6/2016	11/2018
6	Knowledgbank	Washington, District of Columbia	Corporation	Consultant	6/2016	Present
7	PAE Corporation	Washington, District of Columbia	Corporation	Consultant	12/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	National Treasury Employees Union, deferred compensation: received	N/A		cash payment	\$0

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	TIAA Creff, annuity	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	R&C Boating (Key West/out island tours, snorkeling, and sightseeing excursions)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	McFadden and Associates	N/A		Consulting Fees	\$16,357
5	Knowledgbank	N/A		Consulting Fees	\$2,750
6	Federal Aviation Administration	N/A		Teaching	\$18,720
7	MJT Trust	N/A		Trustee Fees	\$9,408
8	American University	N/A		Salary	\$170,345
9	National Academy of Public Administration	N/A		Consulting Fees	\$800

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American University	Washington, DC, District of Columbia	I will continue to participate in the American University 401k plan. Both American University and I will continue to make contributions to this plan (plan includes participation in a TIAA-CREF deferred annuity).	8/1999
2	National Treasury Employees Union	Washington, District of Columbia	Deferred Compensation Agreement - Pursuant to the agreement, which partially terminated on 12/31/2005, I receive a payment of \$519/month until September 2019.	2/1999

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA Annuity	N/A	\$50,001 - \$100,000	(mandatory distribution)	
2	U.S. bank account (cash) (UBS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	SG Structured Products 00.000% 013026 DTD013111 FC 042611 INC NTS B/E	N/A	None (or less than \$1,001)		None (or less than \$201)
4	13 D Activist Fund Class I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	AIG Focused Dividend Strategy	Yes	None (or less than \$1,001)		None (or less than \$201)
6	AMG Yacktman Focused Fund Class N	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7	Berkshire Hathaway Inc New CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Cohen and Steers Real Estate Securities Fund Class I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	Danaher Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Eventide Gilead Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	First Trust Dorsey Wright Focus 5 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Fortive Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Parnissus Core Equity Fund Class Investor	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
14	Undiscovered MG BH VL Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	John Hancock Global Absolute Retn Strategies CL 1	Yes	None (or less than \$1,001)		None (or less than \$201)
16	IVA Worldwide Fund Class 1	Yes	None (or less than \$1,001)		None (or less than \$201)
17	Putnam Capital Spectrum Fund Class Y	Yes	None (or less than \$1,001)		None (or less than \$201)
18	Wells Fargo Asset Allocation Fund	Yes	None (or less than \$1,001)		None (or less than \$201)
19	Eaton Vance Global Macro Absolute Return Adv Fund	Yes	None (or less than \$1,001)		None (or less than \$201)
20	T Rowe Price Global Tech Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	Black Rock Strategic Income I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
22	Black Rock Global Allocation Fund Institutional See Endnote	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
23	Chiron Capital Allocation Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
24	JP Morgan Global Allocation	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
25	Blackrock Strategic Income Opportunities See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
26	ALPS Disruptive Technologies ETF (DTEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	ALPS Medical Breakthroughs ETF (SBIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	ETFMG Prime Mobile Payments ETF (IPAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Aberdeen Std Physical Gold	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	Invesco S&P 500 Low Volatility ETF (SPLV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	iShares Edge MSCI Min Vol USA Index Fund Institutional Class Shares (BIMVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	Loomis Sayles Global Allocation Fund Class Y Shares (LSWWX)	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
33	Black Rock Dynamic High Income	N/A	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TIAA-CREF Stock	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
2	U.S. bank account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Nuveen Symphony Credit Opportunities Fund 1	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	SG Structured Products 00.000% 013026 DTD01311 FC042611 INC NTS B/E	N/A	None (or less than \$1,001)		None (or less than \$201)
5	13D Activist Fund Class I	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6	AIG Focused Dividend Strategy Fund Class W	Yes	None (or less than \$1,001)		None (or less than \$201)
7	AMG Yacktman Focused Fund Class N	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8	Berkshire Hathaway INC New Class B	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Cohen and Steers Real Estate Securities Fund Class I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10	Danaher Corp	N/A	\$100,001 - \$250,000		\$201 - \$1,000
11	Eventide Gilead Fund Class A	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
12	First Trust Dorsey Wright Focus 5 ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
13	Fortive Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Nurveen Core Dividend Fund Class Instl	Yes	None (or less than \$1,001)		None (or less than \$201)
15	Parnassus Core Dividend Fund Class Investor	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
16	Undiscovered MG BH VL Fund Class I	Yes	None (or less than \$1,001)		\$201 - \$1,000
17	Onex Corp Canada ORD CAD	N/A	None (or less than \$1,001)		None (or less than \$201)
18	John Hancock Global Absolute RTN Strategies CL 1	Yes	None (or less than \$1,001)		None (or less than \$201)
19	Blackrock Global Allocation Fund Inc Institutional	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
20	Putnam Capital Spectrum Fund Class Y	Yes	None (or less than \$1,001)		None (or less than \$201)
21	Wells Fargo Asset Allocation Fund Class Inst	Yes	None (or less than \$1,001)		None (or less than \$201)
22	Rental Property: Ann Arbor, Michigan	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Thornburg LTD Term Income CL	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	IVA Worldwide Fund	Yes	None (or less than \$1,001)		None (or less than \$201)
25	Virtus Kar Small-Cap Growth Fund Class I	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
26	Eaton VanceGlobal Macro Absolute Return	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
27	Guggenheim S&P Global Water	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	T Rowe Price Global Tech Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
29	Alps/Red Rocks Listed Private Equity Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Nuveen Large Cap Value	Yes	None (or less than \$1,001)		None (or less than \$201)
31	Robo Global Robotics&Automation ETF (ROBO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
32	Chiron Capital Allocation Fund	Yes	None (or less than \$1,001)		None (or less than \$201)
33	JP Morgan Global Allocation	Yes	\$1,001 - \$15,000		\$201 - \$1,000
34	ALPS Medical Breakthroughs ETF (SBIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	ARK Genomic Revolution	N/A	\$15,001 - \$50,000		
36	First Trust ISE Cloud Computing Index	N/A	\$15,001 - \$50,000		
37	Global X Fintech	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38	Abdereen Standard Physical Gold	N/A	\$15,001 - \$50,000		None (or less than \$201)
39	Invesco S&P MidCap Low Volatility ETF (XMLV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
40	iShares Edge MSCI Min Vol USA Index Fund Class K Shares (BKMVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Ivy Science & Technology Fund Class I Shares (ISTIX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
42	Nuveen Dividend Value Fund	N/A	\$15,001 - \$50,000		None (or less than \$201)
43	Loomis sayles global allocation fund	N/A	\$15,001 - \$50,000		\$15,001 - \$50,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Putnam Cap Spectrum Fund	Sale	03/20/2020	\$15,001 - \$50,000
2	Chiron Capital Allocation Fund Class I Shares (CCAPX)	Sale	03/20/2020	\$1,001 - \$15,000
3	IVA Worldwide Fund Class I Shares (IVWIX)	Sale	01/28/2020	\$50,001 - \$100,000
4	IVA Worldwide Fund Class I Shares (IVWIX)	Sale	01/17/2020	\$15,001 - \$50,000
5	ETFMG Prime Mobile Payments ETF (IPAY)	Sale	12/08/2020	\$1,001 - \$15,000
6	Aberdeen Standard Physical Gold Shares ETF (SGOL)	Purchase	01/29/2020	\$1,001 - \$15,000
7	Invesco S&P 500 Low Volatility ETF (SPLV)	Purchase	01/29/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	iShares Edge MSCI Min Vol USA Index Fund Institutional Class Shares (BIMVX)	Purchase	01/29/2020	\$1,001 - \$15,000
9	Loomis Sayles Global Allocation Fund Class Y Shares (LSWWX)	Purchase		\$15,001 - \$50,000
10	Blackrock dynamic high income	Purchase	01/29/2020	\$1,001 - \$15,000
11	Loomis Sayles Global Allocation Fund Class Y Shares (LSWWX)	Purchase	01/29/2020	\$1,001 - \$15,000
12	uneven large cap value	Sale	12/09/2020	\$15,001 - \$50,000
13	IVA Worldwide Fund Class I Shares (IVWIX)	Sale	01/28/2020	\$100,001 - \$250,000
14	Nuveen Dividend Value Fund Class I Shares (FAQIX)	Purchase	12/09/2020	\$15,001 - \$50,000
15	Aberdeen Standard Physical Gold Shares ETF (SGOL)	Purchase	01/29/2020	\$15,001 - \$50,000
16	ALPS Medical Breakthroughs ETF (SBIO)	Purchase	01/29/2020	\$15,001 - \$50,000
17	Eventide Gilead Fund Class A Shares (ETAGX)	Purchase	01/29/2020	\$15,001 - \$50,000
18	Invesco S&P MidCap Low Volatility ETF (XMLV)	Purchase	01/29/2020	\$15,001 - \$50,000
19	iShares Edge MSCI Min Vol USA Index Fund Institutional Class Shares (BIMVX)	Purchase	01/29/2020	\$15,001 - \$50,000
20	Ivy Science & Technology Fund	Purchase	01/29/2020	\$15,001 - \$50,000
21	Loomis sales global allocation	Purchase	01/29/2020	\$15,001 - \$50,000
22	blackrock dynamic high income fund	Purchase	01/29/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Loomis sales global allocation fund	Purchase	07/30/2019	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	American Express	Credit Card	\$15,001 - \$50,000	2018	18%	Revolving Credit
2	Wells Fargo	Credit Card	\$10,001 - \$15,000	2018	18%	Revolving Credit

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	22	Blackrock Global Allocation Fund Inc Institutional changed its name to Black Rock Global Allocation Fund Institutional.
5.	25	Blackrock Strategic Income I - Black Rock Strategic Income I changed its name to Blackrock Strategic Income Opportunities.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
