

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Lund, Elena D

Special Assistant, Department of State -- General Filers Only

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lund, Elena D [electronically signed on 01/26/2022 by Lund, Elena D in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Merron, Eric, Certifying Official [electronically signed on 02/14/2022 by Merron, Eric in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

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#### Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	AbbVie, Inc. (ABBV)	Sale	11/19/2021	No	\$1,001 - \$15,000
2	Adobe, Inc. (ADBE)	Sale	11/19/2021	No	\$15,001 - \$50,000
3	Alcon SA (ALC)	Sale	11/19/2021	No	\$1,001 - \$15,000
4	Align Technology, Inc. (ALGN)	Sale	11/19/2021	No	\$1,001 - \$15,000
5	Allstate Corp (ALL)	Sale	11/19/2021	No	\$15,001 - \$50,000
6	Alphabet, Inc. (GOOGL)	Sale	11/19/2021	No	\$15,001 - \$50,000
7	Amadeus IT Group SA (AMADY)	Sale	11/19/2021	No	\$1,001 - \$15,000
8	Amazon.com, Inc. (AMZN)	Sale	11/19/2021	No	\$50,001 - \$100,000
9	Ameren Corp. (AEE)	Sale	11/19/2021	No	\$1,001 - \$15,000
10	American International Group, Inc. (AIG)	Sale	11/19/2021	No	\$50,001 - \$100,000
11	American Tower Corporation (REIT) (AMT)	Sale	11/19/2021	No	\$1,001 - \$15,000
12	American Electric Power Co., Inc. (AEP)	Sale	11/19/2021	No	\$15,001 - \$50,000
13	Analog Devices, Inc. (ADI)	Sale	11/19/2021	No	\$15,001 - \$50,000
14	Anthem, Inc. (ANTM)	Sale	11/19/2021	No	\$50,001 - \$100,000
15	apollo global management llc (apo)	Sale	11/19/2021	No	\$15,001 - \$50,000
16	Apple, Inc. (AAPL)	Sale	11/19/2021	No	\$15,001 - \$50,000
17	asml holdings (asml)	Sale	11/19/2021	No	\$15,001 - \$50,000
18	Assurant, Inc. (AIZ)	Sale	11/19/2021	No	\$1,001 - \$15,000
19	astrazeneca plc. (azn)	Sale	11/19/2021	No	\$15,001 - \$50,000
20	bae sys. plc. (baesy)	Sale	11/19/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
21	Ball Corporation (BLL)	Sale	11/19/2021	No	\$1,001 - \$15,000
22	bayer ag (bayry)	Sale	11/19/2021	No	\$1,001 - \$15,000
23	bp plc. (bp)	Sale	11/19/2021	No	\$15,001 - \$50,000
24	Bristol-Myers Squibb (BMY)	Sale	11/19/2021	No	\$1,001 - \$15,000
25	british american tobacco (bat)	Sale	11/19/2021	No	\$15,001 - \$50,000
26	CACI International, Inc. (CACI)	Sale	11/19/2021	No	\$1,001 - \$15,000
27	Capital One Financial Corp. (COF)	Sale	11/19/2021	No	\$15,001 - \$50,000
28	Catalent, Inc. (CTLT)	Sale	11/19/2021	No	\$1,001 - \$15,000
29	Caterpillar, Inc. (CAT)	Sale	11/19/2021	No	\$15,001 - \$50,000
30	CenterPoint Energy, Inc. (CNP)	Sale	11/19/2021	No	\$1,001 - \$15,000
31	Cigna Corp. (CI)	Sale	11/19/2021	No	\$15,001 - \$50,000
32	Cisco Systems, Inc. (CSCO)	Sale	11/19/2021	No	\$50,001 - \$100,000
33	Citigroup, Inc. (C)	Sale	11/19/2021	No	\$50,001 - \$100,000
34	Citizens Financial Group, Inc. (Rhode Island) (CFG)	Sale	11/19/2021	No	\$1,001 - \$15,000
35	The Coca-Cola Co. (KO)	Sale	11/19/2021	No	\$15,001 - \$50,000
36	Cognizant Technology Solutions Corp. (CTSH)	Sale	11/19/2021	No	\$50,001 - \$100,000
37	Comcast Corp. (CMCSA)	Sale	11/19/2021	No	\$15,001 - \$50,000
38	ConocoPhillips (COP)	Sale	11/19/2021	No	\$50,001 - \$100,000
39	Constellation Brands, Inc. (STZ)	Sale	11/19/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
40	Corteva, Inc. (CTVA)	Sale	11/19/2021	No	\$15,001 - \$50,000
41	CoStar Group, Inc. (CSGP)	Sale	11/19/2021	No	\$1,001 - \$15,000
42	crowdstrike holdings inc. (crwd)	Sale	11/19/2021	No	\$1,001 - \$15,000
43	CVS Health Corp. (CVS)	Sale	11/19/2021	No	\$15,001 - \$50,000
44	CyrusOne, Inc. (CONE)	Sale	11/19/2021	No	\$1,001 - \$15,000
45	Danaher Corp. (DHR)	Sale	11/19/2021	No	\$1,001 - \$15,000
46	Dentsply Sirona, Inc. (XRAY)	Sale	11/19/2021	No	\$1,001 - \$15,000
47	diageo plc.	Sale	11/19/2021	No	\$1,001 - \$15,000
48	Dollar General Corp. (DG)	Sale	11/19/2021	No	\$15,001 - \$50,000
49	Dollar Tree, Inc. (DLTR)	Sale	11/19/2021	No	\$1,001 - \$15,000
50	Domino's Pizza, Inc. (DPZ)	Sale	11/19/2021	No	\$1,001 - \$15,000
51	DuPont de Nemours, Inc. (DD)	Sale	11/19/2021	No	\$15,001 - \$50,000
52	Edison International (EIX)	Sale	11/19/2021	No	\$15,001 - \$50,000
53	edp energias de portugal (edpfy)	Sale	11/19/2021	No	\$1,001 - \$15,000
54	Eli Lilly & Co. (LLY)	Sale	11/19/2021	No	\$1,001 - \$15,000
55	enn energy holdings ltd. (xngsy)	Sale	11/19/2021	No	\$1,001 - \$15,000
56	EQT Corp. (EQT)	Sale	11/19/2021	No	\$1,001 - \$15,000
57	equinor asa (eqnr)	Sale	11/19/2021	No	\$15,001 - \$50,000
58	Equitable Holdings, Inc. (EQH)	Sale	11/19/2021	No	\$15,001 - \$50,000
59	essilorluxottica sa (esloy)	Sale	11/19/2021	No	\$1,001 - \$15,000
60	Etsy, Inc. (ETSY)	Sale	11/19/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
61	evolution gaming group (evvty)	Sale	11/19/2021	No	\$1,001 - \$15,000
62	Exelon Corp. (EXC)	Sale	11/19/2021	No	\$1,001 - \$15,000
63	ferguson plc. (ferg)	Sale	11/19/2021	No	\$1,001 - \$15,000
64	Fidelity National Financial, Inc. (FNF)	Sale	11/19/2021	No	\$15,001 - \$50,000
65	Fidelity National Information Services, Inc. (FIS)	Sale	11/19/2021	No	\$15,001 - \$50,000
66	Fiserv, Inc. (FISV)	Sale	11/19/2021	No	\$1,001 - \$15,000
67	FleetCor Technologies, Inc. (FLT)	Sale	11/19/2021	No	\$1,001 - \$15,000
68	Fortive Corp. (FTV)	Sale	11/19/2021	No	\$1,001 - \$15,000
69	Fox Corp. (FOXA)	Sale	11/19/2021	No	\$15,001 - \$50,000
70	Arthur J. Gallagher & Co. (AJG)	Sale	11/19/2021	No	\$15,001 - \$50,000
71	General Electric Co. (GE)	Sale	11/19/2021	No	\$15,001 - \$50,000
72	General Motors Co. (GM)	Sale	11/19/2021	No	\$50,001 - \$100,000
73	gildan activewear inc. (gil)	Sale	11/19/2021	No	\$1,001 - \$15,000
74	Hasbro, Inc. (HAS)	Sale	11/19/2021	No	\$1,001 - \$15,000
75	Humana, Inc. (HUM)	Sale	11/19/2021	No	\$15,001 - \$50,000
76	Huntington Ingalls Industries, Inc. (HII)	Sale	11/19/2021	No	\$1,001 - \$15,000
77	Intercontinental Exchange, Inc. (ICE)	Sale	11/19/2021	No	\$1,001 - \$15,000
78	Intuit, Inc. (INTU)	Sale	11/19/2021	No	\$15,001 - \$50,000
79	Intuitive Surgical, Inc. (ISRG)	Sale	11/19/2021	No	\$1,001 - \$15,000
80	jp morgan chase (jpm)	Sale	11/19/2021	No	\$15,001 - \$50,000
81	kering s.a. (pruy)	Sale	11/19/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
82	KKR & Co., Inc. (KKR)	Sale	11/19/2021	No	\$1,001 - \$15,000
83	komatsu (kmtuy)	Sale	11/19/2021	No	\$1,001 - \$15,000
84	koninkl philips (phg)	Sale	11/19/2021	No	\$15,001 - \$50,000
85	Laboratory Corp. of America Holdings (LH)	Sale	11/19/2021	No	\$1,001 - \$15,000
86	Lear Corp. (LEA)	Sale	11/19/2021	No	\$1,001 - \$15,000
87	Leidos Holdings, Inc. (LDOS)	Sale	11/19/2021	No	\$15,001 - \$50,000
88	Lockheed Martin Corp. (LMT)	Sale	11/19/2021	No	\$15,001 - \$50,000
89	lowe's companies inc. (low)	Sale	11/19/2021	No	\$15,001 - \$50,000
90	lvmh moet hennessy (lvmuy)	Sale	11/19/2021	No	\$1,001 - \$15,000
91	M&T Bank Corp. (MTB)	Sale	11/19/2021	No	\$1,001 - \$15,000
92	Marathon Petroleum Corp. (MPC)	Sale	11/19/2021	No	\$1,001 - \$15,000
93	Marathon Petroleum Corp. (MPC)	Sale	11/19/2021	No	\$15,001 - \$50,000
94	Marvell Technology Group Ltd. (MRVL)	Sale	11/19/2021	No	\$15,001 - \$50,000
95	Mastercard, Inc. (MA)	Sale	11/19/2021	No	\$1,001 - \$15,000
96	Match Group, Inc. (MTCH)	Sale	11/19/2021	No	\$1,001 - \$15,000
97	McKesson Corp. (MCK)	Sale	11/19/2021	No	\$1,001 - \$15,000
98	Medtronic Plc (MDT)	Sale	11/19/2021	No	\$15,001 - \$50,000
99	mercadolibre inc. (meli)	Sale	11/19/2021	No	\$1,001 - \$15,000
100	meta platforms inc (fb)	Sale	11/19/2021	No	\$15,001 - \$50,000
101	MetLife, Inc. (MET)	Sale	11/19/2021	No	\$15,001 - \$50,000
102	Microsoft Corp. (MSFT)	Sale	11/19/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
103	morgan stanley (ms)	Sale	11/19/2021	No	\$50,001 - \$100,000
104	Netflix, Inc. (NFLX)	Sale	11/19/2021	No	\$15,001 - \$50,000
105	Newell Brands, Inc. (NWL)	Sale	11/19/2021	No	\$1,001 - \$15,000
106	NIKE, Inc. (NKE)	Sale	11/19/2021	No	\$15,001 - \$50,000
107	NiSource, Inc. (NI)	Sale	11/19/2021	No	\$1,001 - \$15,000
108	novo nordisk as (nvo)	Sale	11/19/2021	No	\$1,001 - \$15,000
109	NVIDIA Corp. (NVDA)	Sale	11/19/2021	No	\$1,001 - \$15,000
110	nxp semiconductors (nxpi)	Sale	11/19/2021	No	\$1,001 - \$15,000
111	olaplex holdings inc. (olpx)	Sale	11/19/2021	No	\$1,001 - \$15,000
112	otis worldwide corp. (otis)	Sale	11/19/2021	No	\$1,001 - \$15,000
113	panasonic corp (pcrfy)	Sale	11/19/2021	No	\$1,001 - \$15,000
114	PepsiCo, Inc. (PEP)	Sale	11/19/2021	No	\$1,001 - \$15,000
115	Philip Morris International, Inc. (PM)	Sale	11/19/2021	No	\$1,001 - \$15,000
116	Pioneer Natural Resources Co. (PXD)	Sale	11/19/2021	No	\$1,001 - \$15,000
117	PPG Industries, Inc. (PPG)	Sale	11/19/2021	No	\$1,001 - \$15,000
118	Progressive Corp. (PGR)	Sale	11/19/2021	No	\$15,001 - \$50,000
119	prudential plc. (puk)	Sale	11/19/2021	No	\$1,001 - \$15,000
120	Public Service Enterprise Group, Inc. (PEG)	Sale	11/19/2021	No	\$15,001 - \$50,000
121	Ralph Lauren Corp. (RL)	Sale	11/19/2021	No	\$1,001 - \$15,000
122	Raymond James Financial, Inc. (RJF)	Sale	11/19/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
123	raytheon technologies (rtx)	Sale	11/19/2021	No	\$50,001 - \$100,000
124	reckitt benckiser group (rbgly)	Sale	11/19/2021	No	\$1,001 - \$15,000
125	relx plc. (relx)	Sale	11/19/2021	No	\$1,001 - \$15,000
126	rogers communications (rci)	Sale	11/19/2021	No	\$15,001 - \$50,000
127	Roper Technologies, Inc. (ROP)	Sale	11/19/2021	No	\$1,001 - \$15,000
128	Ross Stores, Inc. (ROST)	Sale	11/19/2021	No	\$15,001 - \$50,000
129	S&P Global, Inc. (SPGI)	Sale	11/19/2021	No	\$15,001 - \$50,000
130	salesforce.com, inc. (CRM)	Sale	11/19/2021	No	\$1,001 - \$15,000
131	sanofi (sny)	Sale	11/19/2021	No	\$15,001 - \$50,000
132	schneider elec se (sbgys)	Sale	11/19/2021	No	\$1,001 - \$15,000
133	The Charles Schwab Corp. (SCHW)	Sale	11/19/2021	No	\$15,001 - \$50,000
134	sea ltd. (se)	Sale	11/19/2021	No	\$1,001 - \$15,000
135	Sealed Air Corp. (SEE)	Sale	11/19/2021	No	\$1,001 - \$15,000
136	ServiceNow, Inc. (NOW)	Sale	11/19/2021	No	\$1,001 - \$15,000
137	The Sherwin-Williams Co. (SHW)	Sale	11/19/2021	No	\$1,001 - \$15,000
138	shopify inc. (shop)	Sale	11/19/2021	No	\$1,001 - \$15,000
139	Snap, Inc. (SNAP)	Sale	11/19/2021	No	\$1,001 - \$15,000
140	SS&C Technologies Holdings, Inc. (SSNC)	Sale	11/19/2021	No	\$15,001 - \$50,000
141	Stanley Black & Decker, Inc. (SWK)	Sale	11/19/2021	No	\$1,001 - \$15,000
142	Steel Dynamics, Inc. (STLD)	Sale	11/19/2021	No	\$1,001 - \$15,000
143	Synchrony Financial (SYF)	Sale	11/19/2021	No	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
144	taiwan semiconductor manufacturing company ltd. (tsm)	Sale	11/19/2021	No	\$1,001 - \$15,000
145	TE Connectivity Ltd. (TEL)	Sale	11/19/2021	No	\$1,001 - \$15,000
146	telus corp. (tu)	Sale	11/19/2021	No	\$1,001 - \$15,000
147	Tesla, Inc. (TSLA)	Sale	11/19/2021	No	\$1,001 - \$15,000
148	Texas Instruments Incorporated (TXN)	Sale	11/19/2021	No	\$1,001 - \$15,000
149	The Howard Hughes Corp. (HHC)	Sale	11/19/2021	No	\$1,001 - \$15,000
150	Thermo Fisher Scientific, Inc. (TMO)	Sale	11/19/2021	No	\$1,001 - \$15,000
151	TransDigm Group, Inc. (TDG)	Sale	11/19/2021	No	\$1,001 - \$15,000
152	TransUnion (TRU)	Sale	11/19/2021	No	\$1,001 - \$15,000
153	Uber Technologies, Inc. (UBER)	Sale	11/19/2021	No	\$1,001 - \$15,000
154	unilever plc. (ul)	Sale	11/19/2021	No	\$15,001 - \$50,000
155	Union Pacific Corp. (UNP)	Sale	11/19/2021	No	\$15,001 - \$50,000
156	UnitedHealth Group, Inc. (UNH)	Sale	11/19/2021	No	\$15,001 - \$50,000
157	Verizon Communications, Inc. (VZ)	Sale	11/19/2021	No	\$15,001 - \$50,000
158	Visa, Inc. (V)	Sale	11/19/2021	No	\$15,001 - \$50,000
159	wells fargo (wf)	Sale	11/19/2021	No	\$50,001 - \$100,000
160	The Williams Cos., Inc. (WMB)	Sale	11/19/2021	No	\$1,001 - \$15,000
161	Willis Towers Watson Plc (WLTW)	Sale	11/19/2021	No	\$1,001 - \$15,000
162	wix com ltd (wix)	Sale	11/19/2021	No	\$1,001 - \$15,000
163	Zebra Technologies Corp. (ZBRA)	Sale	11/19/2021	No	\$1,001 - \$15,000

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164	Zimmer Biomet Holdings, Inc. (ZBH)	Sale	11/19/2021	No	\$15,001 - \$50,000
165	Zoetis, Inc. (ZTS)	Sale	11/19/2021	No	\$1,001 - \$15,000
166	First Citizens BancShares, Inc. (North Carolina) (FCNCA)	Sale	11/19/2021	No	\$1,001 - \$15,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a

position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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