

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Wong, Marcia Kim

Deputy Assistant Administrator, BHA, U.S. Agency for International Development

Date of Appointment: 04/25/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Wong, Marcia Kim [electronically signed on 05/12/2022 by Wong, Marcia Kim in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mason-Gale, Treyer A, Certifying Official [electronically signed on 07/13/2022 by Mason-Gale, Treyer A in Integrity.gov]

Other review conducted by

/s/ Zagorski, Matthew, Ethics Official [electronically signed on 06/28/2022 by Zagorski, Matthew in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/13/2022

Data Revised 06/28/2022

Data Revised 06/27/2022

Data Revised 06/26/2022

Data Revised 06/25/2022

Data Revised 06/23/2022

Data Revised 06/22/2022

Data Revised 06/21/2022

Data Revised 06/17/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	International Committee of the Red Cross	Washington, District of Columbia	International Organization	Head of Policy	10/2015	4/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	International Committee of the Red Cross	N/A		Salary	\$218,592
2	International Committee of the Red Cross Retirement Plan	No			
2.1	AmerCent One Choice 2025A/AC25 Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	IRA	No			
3.1	Vanguard Explorer Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	Vanguard Health Care Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.3	Vanguard Global Cycles Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Vanguard International Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Morgan Stanley IRA Standard	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	US Institute of Peace TIAA Account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	CREF Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	CREF Social Choice	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	CREF Bond Market	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	US Institute of Peace	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2012
2	International Committee of the Red Cross	Washington	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	9/2015

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	International Committee of the Red Cross	Washington, District of Columbia	Head of Policy

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Population Services International	N/A		Salary	
2	Syracuse University - 04/22/2021	N/A		Honorarium	\$1,000
3	Population Services International 403(b) Retirement Plan	No			
3.1	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
4	Population Services International 401(a) Retirement Plan	No			
4.1	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTV)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MD 529 College Savings Account	No			
1.1	Balanced Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2	Residential Real Estate (Apartment) - Silver Spring, MD	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
3	Residential Rental Property (House) - Arlington, VA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
4	State Dept Credit Union (cash)	See Endnote	\$15,001 - \$50,000		None (or less than \$201)
5	Capitol One (cash)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
6	Johnson & Johnson	See Endnote	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Rocket Companies	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8	Cloudflare	See Endnote	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
9	Maxeon Solar	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
10	Apple	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
11	Astrazeneca	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12	Gilead	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13	Merck	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
14	Pfizer	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15	Walgreens	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16	Victory Capital/USAA Growth and Income Fund	See Endnote	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
17	Dominion Energy	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18	USAA Federal Savings Bank (cash)		N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
19	AT&T		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20	Beyond Meat	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
21	Moderna	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
22	Viatrix	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
23	Morgan Stanley Account		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.1	Blackstone REIT		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
23.2	Goldman Sachs Bank CD		N/A	\$15,001 - \$50,000		\$201 - \$1,000
23.3	Abbott Laboratories	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.4	Abvie	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.5	Accenture	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.6	Actavision	See Endnote	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
23.7	Adobe	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.8	AMD	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.9	Alphabet	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
23.10	Amazon	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.11	AIG	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.12	American Water Works	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.13	AmGen	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.14	Apple	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
23.15	Arrow Electronics	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.16	ASML Holding	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.17	Assurant	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.18	AT&T	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.19	ADP	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.20	BJFS Wholesale	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.21	Bank of Montreal	See Endnote	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
23.22	Blackrock	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.23	Bristol Meyers	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.24	Cactus Inc	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.25	Tesla	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.26	United Health Care	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.27	Nvidia	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.28	Johnson & Johnson	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.29	Royal Bank of Canada	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.30	Meta Platforms	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.31	Danaher Corp	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.32	Thermo Fisher	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.33	JP Morgan Chase	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.34	S&P Global	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.35	Schneider Electronics	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.36	Devon Energy	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.37	McDonalds	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.38	Shell	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.39	T Mobile	See Endnote	N/A	\$1,001 - \$15,000		\$201 - \$1,000
23.40	Salesforce	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23.41	Nike	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.42	P&G	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.43	Cummins	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.44	Pfizer	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.45	Chubb	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.46	Travelers Companies Inc	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.47	Visa	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.48	Estee Lauder	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.49	Qualcom	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.50	Coca Cola	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.51	Cisco Systems	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.52	Prudential Financial	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.53	Merck	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.54	Deere and Co	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.55	Sun Life Financial Services	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.56	GSK	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.57	Eversource Energy	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.58	Texas Instruments	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.59	CBRE Group, Inc. (CBRE)	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.60	General Mills	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.61	UBS Group	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.62	US Bank Corp	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.63	Lowes	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.64	WW Grainger	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.65	Comerica	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.66	Stryker Corp	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.67	Walmart	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.68	Novo Nordisk	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.69	Lockheed Martin	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.70	Sociedad Chimica y Minera	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.71	Eli Lilly	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.72	Softbank	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.73	Target	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.74	Home Depot	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.75	Robert Half International	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.76	Morningstar Inc	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.77	Huntington Bank Shares	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.78	Met Life	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.79	TE Connectivity	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.80	Discover	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.81	LPL Financial Holdings	See Endnote	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
23.82	Clorox	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.83	Raytheon Tech	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.84	Monolithic Power Systems	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.85	Medtronic	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.86	Realty Income Corp	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.87	Jacobs Engineering Group	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.88	Caterpillar	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.89	Vertex Pharmaceuticals	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.90	Palo Alto Networks	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.91	Comcast	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.92	KAO Corp	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.93	Wells Fargo	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.94	Schnitzer Steel	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.95	Hershey	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.96	Novartis	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.97	Northrop Gruman	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.98	Hong Kong Exchanges and Clearing	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.99	Fidelity National Info	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.100	Colgate Palmolive	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.101	Schlumberger	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.102	Synopsis Inc	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.103	Kellogg	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.104	Humana Inc	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.105	Lattice Semiconductor	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.106	Williams Sonoma	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.107	MGM Resorts	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.108	Murphy Oil Company	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.109	Centene Corp	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.110	Rockwell Automation	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.111	L3harris Tech	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.112	Synchrony Financial	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.113	Wheaton Precious Metal	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.114	Principal Financial Group	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.115	UNUM Provident	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.116	Resmed Inc	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.117	Moody's Inc	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.118	Walgreens Boots Alliance	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.119	Park Hotels & Resorts, Inc. (PK)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.120	Korn Ferry	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.121	Fuller HB and Co	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.122	Factset	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.123	Live Nation Entertainment	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.124	Old Dominion Freight Line	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.125	Travel Plus Leisure	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.126	Expedia	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.127	Interpublic Group of Companies	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.128	Marvell Tech	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.129	Kraft Heinz	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.130	McQuarrie Group	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.131	Citizens Financial Group	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
23.132	Iron Mountain	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23.133	NASDAQ	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
23.134	Microsoft	See Endnote	N/A	\$15,001 - \$50,000	Dividends \$1,001 - \$2,500
23.135	WABTEC		N/A	None (or less than \$1,001)	Dividends None (or less than \$201)
23.136	Warner Bros Discovery Inc		N/A	None (or less than \$1,001)	None (or less than \$201)
23.137	Anaheim Calif UN High School Dist Cpn bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.138	Arcadia Calif UNI SCH DIS OID bond		N/A	\$1,001 - \$15,000	Interest \$201 - \$1,000
23.139	Arcadia Calif UNI SCH DIS OID bond		N/A	\$1,001 - \$15,000	Interest \$201 - \$1,000
23.140	Baltimore Cnty M OID bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.141	California Health Facilities Financing Authority Rev-A bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.142	California State Genl Obligation Ref bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.143	Calif State Genl Var Purp genl Oblig Ref-C bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.144	Healdsburg Calif Wastewater Rev--A bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.145	Jefferson Calif Elem Sch Dist San Mateo Cnty bond		N/A	\$1,001 - \$15,000	Interest \$201 - \$1,000
23.146	Jefferson Calif UN High Sch Dist San Mateo Cnty bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
23.147	Livermore Valley Calif JT Uni SCH Dist bond		N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
23.148	Miami Dade Cnty Fla bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
23.149	Miami Dade Cnty Fla School Dist bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
23.150	Placentia Yorba-Linda Calif Uni Sch Dist bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
23.151	Prince Georges Cnty Cons Pub Impt Genl Oblig bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
23.152	San Francisco Calif BART bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
23.153	Sunnyvale Ca Sch Dist Ser-A bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
23.154	Washoe Cnty Nev Sch Dist bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
23.155	West Vly Mission Cmnty Coll Dist Calif bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
23.156	Wicomico Cnty MD bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
23.157	Morgan Stanley Institutional Fund Class A Shares (MSEGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
24	USAA CDs	See Endnote	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	First Trust Municipal ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)	
26	First Trust North American Energy Infrastructure Fund (EMLP)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500	
27	Truist (cash)	See Endnote	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
28	Charles Schwab IRA	No				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.1	USAA Cornerstone Aggressive Fund (UCAGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28.2	USAA Cornerstone Moderately Aggressive Fund (USCRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Charles Schwab Roth IRA	No			
29.1	USAA Cornerstone Aggressive Fund (UCAGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.2	USAA Cornerstone Moderately Aggressive Fund (USCRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Morgan Stanley Globally Diversified IRA	No			
30.1	JPMorgan Large Cap Growth Fund Class I Shares (SEEGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.2	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.3	Congress Mid Cap Growth Fund Institutional Class Shares (IMIDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.4	Nuance Mid Cap Value Fund Institutional Class Shares (NMVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.5	First Trust/Confluence Small Cap Value Fund Class I Shares (FOVIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.6	ClearBridge International Growth Fund Class I Shares (LMGNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.7	BlackRock Core Bond Portfolio Institutional Shares (BFMCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30.8	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30.9	BlackRock High Yield Bond Portfolio Institutional Shares (BHYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.10	JP Morgan Large Cap Grw A	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
31	Charles Schwab (cash)	See Endnote	N/A		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage (investment/rental property)	\$50,001 - \$100,000	2013	4.25%	20 years
2	Truist	Mortgage (investment/rental property)	\$100,001 - \$250,000	2012	4.375%	20 years
3	Citizens Bank	Mortgage (investment/rental property)	\$250,001 - \$500,000	2015	3.875%	30 years
4	Citizens Bank	Construction Loan	\$500,001 - \$1,000,000	2022	3.0%	30 years
5	Morgan Stanley	Line of Credit	\$500,001 - \$1,000,000	2021	3.4%	N/A

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	4	checking account
6.	5	money market
6.	6	stock sale
6.	7	stock sale: loss
6.	8	stock sale
6.	9	stock sale:loss
6.	10	stock market value
6.	11	stock market value
6.	12	stock market value
6.	13	stock market value
6.	14	stock market value
6.	15	stock market value
6.	16	mutual fund value
6.	17	Filer does not participate in any agency work which may directly and predictably affect the financial interest of this organization
6.	20	stock market value
6.	21	stock market value

PART	#	ENDNOTE
6.	22	stock market value
6.	23.3	stock market value
6.	23.4	stock market value
6.	23.5	stock market value
6.	23.6	stock market value
6.	23.7	stock market value
6.	23.8	stock market value
6.	23.9	Filer does not participate in any agency work which may directly and predictably affect the financial interest of this organization
6.	23.10	Filer does not participate in any agency work which may directly and predictably affect the financial interest of this organization
6.	23.11	market value
6.	23.12	market value
6.	23.13	market value
6.	23.14	Filer does not participate in any agency work which may directly and predictably affect the financial interest of this organization
6.	23.15	market value
6.	23.16	market value
6.	23.17	market value
6.	23.18	market value
6.	23.19	market value
6.	23.20	market value
6.	23.21	market value
6.	23.22	market value

PART	#	ENDNOTE
6.	23.23	market value
6.	23.24	market value
6.	23.25	market value
6.	23.26	market value
6.	23.27	market value
6.	23.28	market value
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6.	23.42	market value
6.	23.43	market value
6.	23.44	market value

PART	#	ENDNOTE
6.	23.45	market value
6.	23.46	market value
6.	23.47	market value
6.	23.48	market value
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6.	23.66	market value

PART	#	ENDNOTE
6.	23.67	market value
6.	23.68	market value
6.	23.69	market value
6.	23.70	market value
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6.	23.88	market value

PART	#	ENDNOTE
6.	23.89	market value
6.	23.90	market value
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6.	23.109	market value
6.	23.110	market value

PART	#	ENDNOTE
6.	23.111	market value
6.	23.112	market value
6.	23.113	market value
6.	23.114	market value
6.	23.115	market value
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6.	23.127	market value
6.	23.128	market value
6.	23.129	market value
6.	23.130	market value
6.	23.131	market value
6.	23.132	market value

PART	#	ENDNOTE
6.	23.133	market value
6.	23.134	Filer does not participate in any agency work which may directly and predictably affect the financial interest of this organization
6.	24	total of 3 CDS
6.	27	checking and savings account
6.	31	Cash and Money Market

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
