

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Wittes, Tamara

Assistant Administrator, Bureau for the Middle East, U.S. Agency for International Development

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Wittes, Tamara [electronically signed on 04/05/2021 by Wittes, Tamara in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ohlweiler, John, Certifying Official [electronically signed on 07/26/2021 by Ohlweiler, John in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/02/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Brookings Institution	WASHINGTON, District of Columbia	Non-Profit	Senior Fellow	3/2012	Present
2	National Democratic Institute	WASHINGTON, District of Columbia	Non-Profit	Secretary (Officer of Board of Directors)	10/2019	Present
3	National Democratic Institute	Washington, District of Columbia	Non-Profit	Member, Board of Directors	9/2014	Present
4	Israel Institute	Washington, District of Columbia	Non-Profit	Member of Advisory Board	4/2013	Present
5	Georgetown University	Washington, District of Columbia	University/College	Adjunct Professor	1/2021	5/2021
6	The Leadership Council for Women in National Security	Washington, District of Columbia	Non-Profit	Co-Chair	6/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brookings Institution	N/A		Salary	\$260,000
2	Israel Institute [received for academic year 20-21] See Endnote	N/A		Stipend	\$1,000
3	Vanguard Traditional IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Vanguard Long-Term Bond Index Fund Admiral Shared	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Vanguard Small-Cap Index Fund Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Vanguard Developed Markets Index Fund Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Vanguard Roth IRA	No			
4.1	Vanguard Long-Term Bond Index Fund Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Vanguard 500 Index Fund Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Middle East Institute 403(b)	No			
5.1	CREF Stock R1	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	TIAA Traditional	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Brookings Institution 403(b)	No			
6.1	T-C Lifecycle 2035-Inst	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Brookings Institution 403(b)	No			
7.1	TIAA Stable Value	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.2	T-C Lifecycle 2035-Inst	Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Brookings Institution 403(b)	No			
8.1	CREF Stock R2	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
9	Science Applications International Corp. (SAIC) - 22 July 2020	N/A		Honorarium	\$750
10	Michigan State University - 23 November 2020	N/A		Honorarium	\$1,500
11	Jewish Council for Public Affairs - 10 February 2020	N/A		Honorarium	\$500
12	Oberlin College - 12 February 2020	N/A		Honorarium	\$500
13	Georgetown University	N/A		Salary	\$6,000
14	University of Illinois, anticipated honorarium	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Brookings Institution	WASHINGTON, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	11/2003
2	Middle East Institute	WASHINGTON, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	6/2000
3	Brookings Institution	WASHINGTON, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	11/2003
4	Brookings Institution	WASHINGTON, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	3/2012

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Jewish Federation of Cleveland	Cleveland, Ohio	Keynote speech at annual dinner April 8 2019
2	Brookings Institution	WASHINGTON, District of Columbia	Conduct research and produce analysis on US foreign policy
3	Georgetown University	Washington, District of Columbia	Adjunct Professor

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brookings Institution	N/A		salary	\$277,427
2	MSNBC	N/A		consulting fees	\$28,500
3	Academic Exchange	N/A		consulting fees	\$11,300
4	New York Times - 13 January 2020	N/A		Honorarium	\$250
5	Rollover IRA	No			
5.1	Vanguard Total Bond Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Vanguard Emerging Market Stock Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.4	Vanguard Small Cap Value Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.5	Vanguard Windsor Fund Investor Shares	Yes	\$100,001 - \$250,000		None (or less than \$201)
6	Roth IRA	No			
6.1	Vanguard Total Bond Market Index Funds	Yes	None (or less than \$1,001)		None (or less than \$201)
6.2	Vanguard Emerging Market Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Brookings Institution 2 403(b)	No			
7.1	TIAA Stable Value	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.2	TIAA-CREF Lifecycle 2035 Fund-Institutional Class	Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Brookings Institution 1 403(b)	No			
8.1	TIAA-CREF Lifecycle 2035 Fund - Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Brookings Institution 3 403(b)	No			
9.1	TIAA-CREF International Equity Fund-Retirement Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.2	CREF Stock R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	CREF Growth R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.4	TIAA-CREF Quant Small-Cap Equity Retirement	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.5	CREF Bond Market R2	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	"Unmaking the Presidency," Farrar, Straus, & Giroux (value not readily ascertainable)	N/A		Rent or Royalties	\$15,001 - \$50,000
11	Atlantic Monthly Group, "Pelosi and McConnell Are Playing High_Stakes Poker," (Jan 7, 2020)	N/A		Honorarium	\$350
12	Atlantic Monthly Group, "The Senate Trial Will Be Totally Predictable - With One Potential For Surprise," (Jan 13, 2020)	N/A		Honorarium	\$375
13	Atlantic Monthly Group, "Trump's Impeachment Brief is a Howl of Rage," (Jan 20, 2020)	N/A		Honorarium	\$375
14	Atlantic Monthly Group, "The Disintegration of the American Presidency," (Jan 21, 2020)	N/A		Honorarium	\$375
15	Atlantic Monthly Group, "The Utter Ridiculousness of the U.S. Senate," (Jan 27, 2020)	N/A		Honorarium	\$375
16	Atlantic Monthly Group, "23 Dangerous Propositions the Senate Just Ratified," (Feb 5, 2020)	N/A		Honorarium	\$375
17	Atlantic Monthly Group, "The Crime of Doing the Right Thing," (Feb 8, 2020)	N/A		Honorarium	\$750
18	Atlantic Monthly Group, "The Oversight Wars Are Not Going Away," (Feb 11, 2020)	N/A		Honorarium	\$375
19	Atlantic Monthly Group, "Imagine If A Democrat Behaved Like Bill Barr," (Feb 18, 2020)	N/A		Honorarium	\$375
20	Atlantic Monthly Group, "Trump's Most Dangerous Destruction Yet," (Feb 25, 2020)	N/A		Honorarium	\$375
21	Atlantic Monthly Group, "Trump's Playbook Is Terribly Ill-Suited to a Pandemic," (Mar 3, 2020)	N/A		Honorarium	\$375

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	Atlantic Monthly Group, "Incompetence Exacerbated by Malevolence," (Mar 1, 2020)	N/A		Honorarium	\$375
23	Atlantic Monthly Group, "Trump Seems to be Missing His Old Scandals," (Mar 17, 2020)	N/A		Honorarium	\$375
24	Atlantic Monthly Group, "Trump Can't Even Imitate A Normal President," (Mar 24, 2020)	N/A		Honorarium	\$375
25	Atlantic Monthly Group, "This Isn't All Trump's Fault (But He Isn't Helping Either)," (Mar 31, 2020)	N/A		Honorarium	\$375
26	Atlantic Monthly Group, "Trump Allies Know He Has Failed," (Apr 7, 2020)	N/A		Honorarium	\$375
27	Atlantic Monthly Group, "Being an Actual Authoritarian Is Too Much Work for Trump," (Apr 14, 2020)	N/A		Honorarium	\$375
28	Atlantic Monthly Group, "What's So Hard to Understand About What Trump Has Said," (Apr 21, 2020)	N/A		Honorarium	\$375
29	Atlantic Monthly Group, "The Democrats Have No Good Options," (Apr 28, 2020)	N/A		Honorarium	\$375
30	Atlantic Monthly Group, "To Trump, 'Complete and Total Exoneration Is Always Right Around the Corner,' (May 5, 2020)	N/A		Honorarium	\$375
31	Atlantic Monthly Group, "What Judge Sullivan Should Do," (May 11, 2020)	N/A		Honorarium	\$375
32	Atlantic Monthly Group, "Obamagate Is Just Trump's Latest Effort at Distraction," (May 19, 2020)	N/A		Honorarium	\$375
33	Atlantic Monthly Group, "Worse Than A Pardon" (May 26, 2020)	N/A		Honorarium	\$375
34	Atlantic Monthly Group, "Boring But Very Nasty," (May 28, 2020)	N/A		Honorarium	\$750

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	Atlantic Monthly Group, "The Law-Enforcement Abuses That DOn't Bother Trump," (Jun 1, 2020)	N/A		Honorarium	\$375
36	Atlantic Monthly Group, "Yet Another Week of Trump Failing to Be an Actual Authoritarian," (Jun 9, 2020)	N/A		Honorarium	\$375
37	Atlantic Monthly Group, "Despise Bolton, but Read His Book Anyway," (Jun 16, 2020)	N/A		Honorarium	\$375
38	Atlantic Monthly Group, "Three Plausible - And Troubling - Reasons Why Barr Tried To Force Berman Out," (Jun 22, 2020)	N/A		Honorarium	\$375
39	Atlantic Monthly Group, "Trump Is Boring Now, and He Can't Do Anything About It," (Jun 30, 2020)	N/A		Honorarium	\$375
40	Atlantic Monthly Group, "Trump Is Campaigning on a Platform of Abject Failure," (Jul 7, 2020)	N/A		Honorarium	\$375
41	Atlantic Monthly Group, "How to Corrupt the Justice Department," (Jul 13, 2020)	N/A		Honorarium	\$375
42	Atlantic Monthly Group, "Nothing Can Justify the Attack on Portland," (Jul 21, 2020)	N/A		Honorarium	\$375
43	Atlantic Monthly Group, "The Revenge of the Never Trumpers," (Jul 28, 2020)	N/A		Honorarium	\$375
44	Atlantic Monthly Group, "Trump is Terrified of Losing," (Aug 4, 2020)	N/A		Honorarium	\$375
45	Atlantic Monthly Group, "Lebanon's Tragedy Is All Too Familiar," (Aug 11, 2020)	N/A		Honorarium	\$750
46	Atlantic Monthly Group, "Can Democrats Stop the Nomination?," (Sep 22, 2020)	N/A		Honorarium	\$375

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	Atlantic Monthly Group, "Trump's Self-Pardon Fantasy Will Meet a Harsh Reality," (Jan 7, 2021)	N/A		Honorarium	\$750

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Child 2 529: Nevada College Savings Plan	No			
1.1	Vanguard Aggressive Growth Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Vanguard Conservative Growth Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	Vanguard Growth Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	Vanguard Moderate Growth Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Child 1 529: Nevada College Savings Plan	No			
2.1	Vanguard Aggressive Growth Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	Vanguard Conservative Growth Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	Vanguard Growth Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	Vanguard Moderate Growth Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	U.S. bank (cash)(child 1)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	U.S. bank (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Brokerage Account #1	No			
5.1	Vanguard Developed Markets (VTMGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.2	Vanguard Small-Cap Index (VSMAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.3	Vanguard Index Fund (VFIAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.4	Vanguard Emerging Markets (VEMAX)	N/A	\$15,001 - \$50,000		\$201 - \$1,000
5.5	Vanguard Bond Index (VBTLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	Brokerage Account #2	No			
6.1	iShares Municipal Bond Index Fund Institutional (BIDIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.2	Delaware Value Fund (DDVIX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6.3	Emerson Electric Co. (EMR)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
6.4	Polen Growth Fund Institutional Class (POLIX)	Yes	\$100,001 - \$250,000		
6.5	Harding Loevner Funds (HLMIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.6	iShares Russell 2000 ETF (IWM)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.7	iShares MSCI Japan ETF (EWJ)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	iShares MSCI UK ETF (EWU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.9	Invesco QQQ (QQQ)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.10	JPMorgan Growth Advantage (JGASX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
6.11	T Rowe Price Real Estate (TIRRX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6.12	Financial Select Sector SPDR Fund (XLF)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.13	Energy Select Sector SPDR Fund (XLE)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6.14	Health Care Select Sector SPDR Fund (XLV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.15	Vanguard Fixed Income High Yield (VWEAX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6.16	Vanguard Bond Index Fund (VBTLX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
6.17	Vanguard Small Cap Growth ETF (VBK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.18	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6.19	Vanguard Inflation-Protected Securities Fund Admiral Shares (VAPIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.20	Vanguard FTSE Developed Markets Index Fund ETF Shares (VEA)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	SECU Credit Union	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2019	3.5	10

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	2	Filer received no payment from this entity in 2020, nor is she entitled to receive one. Payment reported was for academic year 2020-2021 received in 2021. Filer currently has an appointment through academic year 2021-2022, if however the filer resigns prior to this academic year starting, she would not receive this annual stipend
2.	14	Filer participated on June 7, 2021

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
