## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:**

**Periodic Transaction Report** 

|   | er's Name (Print Last,  | First, and Middle Initial)   | Title of Position for Which Filing           |   |   |                         |       |     | Department or Agency   |                        |                           |        |         |         |  |                              |                               |                                |                          |  |  |  |
|---|---|--|--|---|---|-------------------------|-------|-----|------------------------|------------------------|---------------------------|--------|---------|---------|--|------------------------------|-------------------------------|--------------------------------|--------------------------|--|--|--|
| Go  | oldberg   | Beth L   | District Director                            | SBA   |   |                         |       |     |                        |                        |                           |        |         |         |  |                              |                               |                                |                          |  |  |  |
| <b>Certification:</b> I CERTIFY that the statements I have made <b>Signature of the Filer</b>   |   |  |  |   |   |                         |       |     |                        |                        | th, Da                    | y, Yea | ar)     |         | Notice of Extension                          |                              |                               |                                |                          |  |  |  |
|   | his form and any attachme<br>rect to the best of my know  | Beth Goldberg  |  |   |   |                         |       |     | 2017-07-10 13:22:35    |                        |                           |        |         |         | Check if granted<br>Indicate number of days: |                              |                               |                                |                          |  |  |  |
| Signature of Intermediate Reviewing Official (if required by agency)  |   |  |  |   | Date (Month, Day, Year)                       |                         |       |     |                        |                        | Agency Use Only           |        |         |         |  |                              | OGE Use Only                  |                                |                          |  |  |  |
|   |   |  |  |   |   |                         |       |     | 07/                    | 10/201                 | 17                        |        |         |         |  |                              |                               |                                |                          |  |  |  |
| Signature of Agency's Final Reviewing Official  |   |  |  |   | Date (Month, Day, Year)                       |                         |       |     |                        |                        | s of R                    | eview  | ing O   | fficia  | ls   |                              |                               |                                |                          |  |  |  |
| Marilyn Barnes  |   |  |  |   | 8 18:44:51                                    |                         |       |     |                        |                        |                           |        |         |         |  |                              |                               |                                |                          |  |  |  |
| <mark>Sig</mark> ı  | nature of Reviewing (   | <mark>Official at U.S. Office of G</mark>  | overnment Ethics (if require                 | d) Date (Mon  | th, Day, Year                                 | r)                      |       |     | 1                      |                        |                           |        |         |         |  |                              |                               |                                |                          |  |  |  |
| You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificat notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that a <b>Deadlines</b> |   |  |  |   | nd between yo                                 | Transaction<br>Type (x) |       |     | e, or de               | Amount of Transaction  |                           |        |         |         | *  |                              |                               |                                |                          |  |  |  |
| De  | adlines   |  |  | Transaction   | Notification                                  | -                       |       |     |                        | 1                      |                           | Am     | nount o | of Tran | saction                                      | n (x)                        | 1                             |                                |                          |  |  |  |
| You<br><b>tran</b>  | n must file your report wit<br><b>nsaction</b> but not later than   | thin <b>30 days</b> of when you recein 45 days after the transaction.  | These deadlines                              | Transaction<br>Date<br>(Mo./Day/Yr.)  | Received<br>More Than<br>30 Days              | T                       | ype ( | (x) | ,001 -<br>5,000        | 5,001 -<br>0,000       | 0,001 -<br>00,000         |        |         |         | *  |                              | ,000,001 -<br>5,000,000       | 5,000,001 -<br>0,000,000       | 'er<br>0,000,000         |  |  |  |
| You<br><b>tran</b><br>do n  | a must file your report wit<br>asaction but not later than<br>not apply to any voluntary  | 45 days after the transaction.   | These deadlines                              | Date<br>(Mo./Day/Yr.)   | Received<br>More Than                         | Purchase                |       |     | \$1,001 -<br>\$15,000  | \$15,001 -<br>\$50,000 |                           |        |         |         | *  | \$1,000,001 -<br>\$5,000,000 | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over<br>\$50,000,000     |  |  |  |
| You<br>tran<br>do n<br>Ex.  | n must file your report wit<br><b>nsaction</b> but not later than   | 1 45 days after the transaction.<br>7 additional disclosures you ma  | These deadlines                              | Date  | Received<br>More Than<br>30 Days              | T                       | ype ( | (x) | \$1,001 -<br>\$15,000  | X \$15,001 - \$50,000  | X \$50,001 -<br>\$100,000 |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over<br>\$50,000,000     |  |  |  |
| You<br>tran<br>do n<br>Ex.  | a must file your report wit<br><b>isaction</b> but not later than<br>hot apply to any voluntary<br>Central Airlines Co.<br>BMSL Propulsion, Inc.  | 1 45 days after the transaction.<br>7 additional disclosures you ma  | These deadlines<br>ke. See the instructions. | Date<br>(Mo./Day/Yr.)<br>10/1/12  | Received<br>More Than<br>30 Days<br>Ago*<br>X | X Purchase L            | ype ( | (x) | × 81,001 -<br>\$15,000 |                        |                           |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over<br>\$50,000,000     |  |  |  |
| You<br>tran<br>do n<br>Ex.  | a must file your report with<br><b>isaction</b> but not later than<br>not apply to any voluntary<br>Central Airlines Co.<br>BMSL Propulsion, Inc.<br>WGIFX - Capital World  | 45 days after the transaction.<br>additional disclosures you ma<br>Identification of Assets  | These deadlines<br>ke. See the instructions. | Date<br>(Mo./Day/Yr.)<br>10/1/12<br>9/4/12  | Received<br>More Than<br>30 Days<br>Ago*<br>X | X X Purchase            | ype ( | (x) |                        |                        |                           |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 - \$50,000,000    | Over<br>\$50,000,000     |  |  |  |
| You<br>tran<br>do n<br>Ex.<br>Ex.<br>1  | a must file your report wit<br><b>isaction</b> but not later than<br>not apply to any voluntary<br>Central Airlines Co.<br>BMSL Propulsion, Inc.<br>WGIFX - Capital World<br>WGIFX - Capital World  | d 45 days after the transaction.<br>additional disclosures you ma<br>Identification of Assets<br>d Growth and Income Fund  | Class F-2 S                                  | Date<br>(Mo./Day/Yr.)<br>10/1/12<br>9/4/12<br>06/01/2017                                      | Received<br>More Than<br>30 Days<br>Ago*<br>X | X X Purchase            | ype ( | (x) | ×                      |                        |                           |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over<br>\$50,000,000     |  |  |  |
| You<br>tran<br>do n<br>Ex.<br>Ex.<br>1<br>2   | a must file your report wit<br><b>isaction</b> but not later than<br>not apply to any voluntary<br>Central Airlines Co.<br>BMSL Propulsion, Inc.<br>WGIFX - Capital World<br>WGIFX - Capital World  | d Growth and Income Fund<br>d Growth and Income Fund   | Class F-2 S                                  | Date<br>( <i>Mo./Day/Yr.</i> )<br>10/1/12<br>9/4/12<br>06/01/2017<br>06/01/2017               | Received<br>More Than<br>30 Days<br>Ago*<br>X | X X Purchase            | ype ( | (x) | ×<br>×                 |                        |                           |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over 550,000,000         |  |  |  |
| You<br>tran<br>do n<br>Ex.<br>Ex.<br>1<br>2   | a must file your report with<br>saction but not later than<br>not apply to any voluntary<br>Central Airlines Co.<br>BMSL Propulsion, Inc.<br>WGIFX - Capital Work<br>WGIFX - Capital Work<br>WGIFX - Capital Work                         | 45 days after the transaction.<br>additional disclosures you ma<br>Identification of Assets<br>d Growth and Income Fund<br>d Growth and Income Fund<br>d Growth and Income Fund<br>00 Growth Ishares | Class F-2 S                                  | Date<br>( <i>Mo./Day/Yr.</i> )<br>10/1/12<br>9/4/12<br>06/01/2017<br>06/01/2017<br>06/01/2017 | Received<br>More Than<br>30 Days<br>Ago*<br>X | X X Purchase            | Sale  | (x) | ×<br>×                 | X                      |                           |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over 000,000             |  |  |  |
| You<br>tran<br>do n<br>Ex.<br>1<br>2<br>3<br>4  | i must file your report wit<br>saction but not later than<br>not apply to any voluntary<br>Central Airlines Co.<br>BMSL Propulsion, Inc.<br>WGIFX - Capital Work<br>WGIFX - Capital Work<br>WGIFX - Capital Work<br>IJT - S&P Smallcap 60 | 45 days after the transaction.<br>additional disclosures you ma<br>Identification of Assets<br>d Growth and Income Fund<br>d Growth and Income Fund<br>d Growth and Income Fund<br>00 Growth Ishares | Class F-2 S                                  | Date<br>( <i>Mo./Day/Yr.</i> )<br>10/1/12<br>9/4/12<br>06/01/2017<br>06/01/2017<br>06/01/2017 | Received<br>More Than<br>30 Days<br>Ago*<br>X | X X Purchase            | Sale  | (x) | ×<br>×<br>×            | X                      |                           |        |         |         | *  |                              | \$5,000,001 -<br>\$25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over 000,000 850,000,000 |  |  |  |

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form. \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

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|   | r's Name      | Beth            | L               |   |                                      | actions co   |                         | nuec   | 1       |                   |                    |                     |                      |                      |                            | Page                  | Numbo                    | er<br>2                   |                                |                      |
|---|---------------|-----------------|-----------------|---|--------------------------------------|--|-------------------------|--------|---------|-------------------|--------------------|---------------------|----------------------|----------------------|----------------------------|-----------------------|--------------------------|---------------------------|--------------------------------|----------------------|
|   | laberg        | Dour            |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
|   |               |                 |                 |   |                                      |  | Transaction<br>Type (x) |        |         |                   |                    |                     | An                   | nount o              | saction (x)                |                       |                          |                           |                                |                      |
|   |               |                 | Identification  | of Assets   | Transaction<br>Date<br>(Mo./Day/Yr.) | Notification<br>Received<br>More Than<br>30 Days<br>Ago* | Purchase                | Sale   |         | 1,001 -<br>15,000 | 15,001 -<br>50,000 | 50,001 -<br>100,000 | 100,001 -<br>250,000 | 250,001 -<br>500,000 | \$500,001 -<br>\$1,000,000 | Over<br>\$1,000,000** | 1,000,001 -<br>5,000,000 | 5,000,001 -<br>25,000,000 | \$25,000,001 -<br>\$50,000,000 | Over<br>\$50,000,000 |
| Identification of Assets   1   EMR - Emerson Electric Company |               |                 | 06/08/2017      |   | ×                                    |  | I                       | X      | S S     | es es             | રું રુ             | <u> </u>            | <del>69</del> 69     | ° \$                 | s s                        | <i>6</i> 3 63         | S S                      | <u> </u>                  |                                |                      |
| 2   |               |                 |                 | 06/08/2017  |                                      | ×  |                         |        | ×       |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| <sup>3</sup> ENB - Enbridge Inc                               |               |                 | 06/08/2017      |   |                                      | ×  |                         | ×      |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 4   | LSWWX - L     | oomis Sayles    | s Global Equ    | ity and Income Fund Clas  | 06/29/2017                           |  | ×                       |        |         | ×                 |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 5   |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 6   |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 7   |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 8   |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 9   |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 10  |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 11  |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 12  |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 13  |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 14  |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 15  |               |                 |                 |   |                                      |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| 16  | * Extensions  | of the due deta | should be me    | ted in the "Notice of Extension" section  | n of this form                       |  |                         |        |         |                   |                    |                     |                      |                      |                            |                       |                          |                           |                                |                      |
| :   | ** This categ | gory applies on | ly if the under | reacting asset is solely that of your spous<br>reacting asset of value, as appropriate. |                                      | hild. If the und   | erlyin                  | g asse | t is ei | ther he           | eld by y           | you or              | jointly              | v held l             | oy you                     | with y                | our sp                   | ouse                      |                                |                      |