

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Kaye, Janice A

DAEO, Office of the U.S. Trade Representative

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kaye, Janice A [electronically signed on 01/03/2022 by Kaye, Janice A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ricker, Monique T, Certifying Official [electronically signed on 01/03/2022 by Ricker, Monique T in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 01/18/2022 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	IRA: Adobe Inc stock (ADBE)	Purchase	12/16/2021	No	\$1,001 - \$15,000
2	IRA: Amazon.com Inc stock (AMZN)	Purchase	12/16/2021	No	\$1,001 - \$15,000
3	IRA: American Tower Corp stock (AMT)	Purchase	12/16/2021	No	\$1,001 - \$15,000
4	IRA: Baxter International Inc stock (BAX)	Purchase	12/16/2021	No	\$1,001 - \$15,000
5	IRA: H & R Block Inc stock (HRB)	Purchase	12/16/2021	No	\$1,001 - \$15,000
6	IRA: Clorox Co stock (CLX)	Purchase	12/16/2021	No	\$1,001 - \$15,000
7	IRA: Honeywell International Inc stock (HON)	Purchase	12/16/2021	No	\$1,001 - \$15,000
8	IRA: Microsoft Corp stock (MSFT)	Purchase	12/16/2021	No	\$15,001 - \$50,000
9	IRA: Paypal Holdings Inc stock (PYPL)	Purchase	12/16/2021	No	\$1,001 - \$15,000
10	IRA: Progressive Corp stock (PGR)	Purchase	12/16/2021	No	\$1,001 - \$15,000
11	IRA: PNC Financial Services Group Inc stock (PNC)	Purchase	12/16/2021	No	\$1,001 - \$15,000
12	IRA: Raytheon Technologies Corp stock (RTX)	Purchase	12/16/2021	No	\$1,001 - \$15,000
13	IRA: salesforce.com inc stock (CRM)	Purchase	12/16/2021	No	\$1,001 - \$15,000
14	IRA: Texas Instruments Inc stock (TXN)	Purchase	12/16/2021	No	\$1,001 - \$15,000
15	IRA: US Bancorp stock (USB)	Purchase	12/16/2021	No	\$1,001 - \$15,000
16	IRA: Verizon Communications Inc stock (VZ)	Purchase	12/16/2021	No	\$1,001 - \$15,000
17	IRA: Visa Inc stock (V)	Purchase	12/16/2021	No	\$15,001 - \$50,000
18	IRA: Xcel Energy Inc stock (XEL)	Purchase	12/16/2021	No	\$1,001 - \$15,000
19	IRA: iShares TIPS Bond ETF (TIP)	Purchase	12/16/2021	No	\$15,001 - \$50,000
20	IRA: iShares iBonds Dec 2023 Term Corporate ETF (IBDO)	Purchase	12/16/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
21	IRA: iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Purchase	12/16/2021	No	\$15,001 - \$50,000
22	IRA: iShares iBonds Dec 2022 Term Corporate ETF (IBDN)	Purchase	12/16/2021	No	\$15,001 - \$50,000
23	IRA: iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Purchase	12/16/2021	No	\$15,001 - \$50,000
24	IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	12/16/2021	No	\$1,001 - \$15,000
25	IRA: SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	12/16/2021	No	\$1,001 - \$15,000
26	S-IRA: Adobe Inc stock (ADBE)	Purchase	12/16/2021	No	\$1,001 - \$15,000
27	S-IRA: Alphabet Inc stock (GOOGL)	Purchase	12/16/2021	No	\$1,001 - \$15,000
28	S-IRA: Amazon.com Inc stock (AMZN)	Purchase	12/16/2021	No	\$1,001 - \$15,000
29	S-IRA: American Tower Corp stock (AMT)	Purchase	12/16/2021	No	\$1,001 - \$15,000
30	S-IRA: Baxter International Inc stock (BAX)	Purchase	12/16/2021	No	\$1,001 - \$15,000
31	S-IRA: H & R Block Inc stock (HRB)	Purchase	12/16/2021	No	\$1,001 - \$15,000
32	S-IRA: Clorox Co stock (CLX)	Purchase	12/16/2021	No	\$1,001 - \$15,000
33	S-IRA: Honeywell International Inc stock (HON)	Purchase	12/16/2021	No	\$1,001 - \$15,000
34	S-IRA: Microsoft Corp stock (MSFT)	Purchase	12/16/2021	No	\$15,001 - \$50,000
35	S-IRA: Progressive Corp stock (PGR)	Purchase	12/16/2021	No	\$15,001 - \$50,000
36	S-IRA: PNC Financial Services Group Inc stock (PNC)	Purchase	12/16/2021	No	\$1,001 - \$15,000
37	S-IRA: Raytheon Technologies Corp stock (RTX)	Purchase	12/16/2021	No	\$1,001 - \$15,000
38	S-IRA: salesforce.com inc stock (CRM)	Purchase	12/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
39	S-IRA: Texas Instruments Inc stock (TXN)	Purchase	12/16/2021	No	\$1,001 - \$15,000
40	S-IRA: US Bancorp stock (USB)	Purchase	12/16/2021	No	\$1,001 - \$15,000
41	S-IRA: Verizon Communications Inc stock (VZ)	Purchase	12/16/2021	No	\$1,001 - \$15,000
42	S-IRA: Visa Inc stock (V)	Purchase	12/16/2021	No	\$15,001 - \$50,000
43	S-IRA: Xcel Energy Inc stock (XEL)	Purchase	12/16/2021	No	\$1,001 - \$15,000
44	S-IRA: iShares TIPS Bond ETF (TIP)	Purchase	12/16/2021	No	\$15,001 - \$50,000
45	S-IRA: iShares iBonds Dec 2023 Term Corporate ETF (IBDO)	Purchase	12/16/2021	No	\$15,001 - \$50,000
46	S-IRA: iShares iBonds Dec 2024 Term Corporate ETF (IBDP)	Purchase	12/16/2021	No	\$15,001 - \$50,000
47	S-IRA: iShares iBonds Dec 2022 Term Corporate ETF (IBDN)	Purchase	12/16/2021	No	\$15,001 - \$50,000
48	S-IRA: iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Purchase	12/16/2021	No	\$15,001 - \$50,000
49	S-IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	12/16/2021	No	\$15,001 - \$50,000
50	S-IRA: SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	12/16/2021	No	\$1,001 - \$15,000
51	IRA: Adobe Inc stock (ADBE)	Purchase	12/22/2021	No	\$1,001 - \$15,000
52	IRA: Amazon.com Inc stock (AMZN)	Purchase	12/22/2021	No	\$1,001 - \$15,000
53	IRA: H & R Block Inc stock (HRB)	Purchase	12/22/2021	No	\$1,001 - \$15,000
54	IRA: salesforce.com inc stock (CRM)	Purchase	12/22/2021	No	\$1,001 - \$15,000
55	IRA: US Bancorp stock (USB)	Purchase	12/22/2021	No	\$1,001 - \$15,000
56	IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	12/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
57	IRA: SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	12/22/2021	No	\$1,001 - \$15,000
58	S-IRA: Adobe Inc stock (ADBE)	Purchase	12/22/2021	No	\$1,001 - \$15,000
59	S-IRA: Amazon.com Inc stock (AMZN)	Purchase	12/22/2021	No	\$1,001 - \$15,000
60	S-IRA: H & R Block Inc stock (HRB)	Purchase	12/22/2021	No	\$1,001 - \$15,000
61	S-IRA: salesforce.com inc stock (CRM)	Purchase	12/22/2021	No	\$1,001 - \$15,000
62	S-IRA: US Bancorp stock (USB)	Purchase	12/22/2021	No	\$1,001 - \$15,000
63	S-IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	12/22/2021	No	\$1,001 - \$15,000
64	S-IRA: SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	12/22/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an

exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
