## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:**

Periodic Transaction Report

Filer's Name (Prin	t Last, First, and Mic	ddle Initial)	Title of Position for Whicl	n Filing					Department or Agency												
Goldberg	Beth	L						SBA													
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and								(Mon	th, Da	ay, Ye	ar)		Notice of Extension								
								2018-05-14 12:13:32						Check if granted							
correct to the best of	my knowledge.		Beth Goldberg	Beth Goldberg						2010/00/11/12.10.02							Indicate number of days:				
Signature of Inter	mediate Reviewing	Official (if requi	red by agency)	Date (Mont	h, Day, Year	r)			Agen	cy Us	se On	ly			OGE Use Only						
									05/*	14/20 <i>°</i>	18										
Signature of Agen	<mark>cy's Final Reviewin</mark> g	g Official		Date (Month, Day, Year)						ment	s of R	eview	ing O	fficia	ials						
Marilyn Barnes		2018-06-0	5 14:23:48																		
Signature of Revie	wing Official at U.S	5. Office of Gove	rnment Ethics (if required)	Date (Mont	h, Day, Year	r)															
transaction but not la	port within <b>30 days</b> of ater than 45 days after th oluntary additional disc	he transaction. The	se deadlines	Transaction Date ( <i>Mo./Day/Yr.</i> )	Notification Received More Than 30 Days		ype (		)1 - 000	001 - 000	\$50,001 - \$100,000			2500,000 - 100,000 \$1,000,000	Over \$1,000,000**		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
		ation of Assets	See the instructions.		Ago*	Pur	Sale	Exc	\$1,00 \$15,0	\$15,001 - \$50,000	\$50,0 \$100	\$100 \$250	\$250 \$500	\$500 \$1,00	Over \$1,0	\$1,00 \$5,00	\$5,0( \$25,0	\$25,0 \$50,0	Over \$50,00		
Ex. Central Airlines Co				10/1/12		Х					Х										
Ex. BMSL Propulsion, Inc.		9/4/12	Х	Х				Х													
<sup>1</sup> Royal Dutch Sl	nell B (RDSB)			04/03/2018			×		×												
<sup>2</sup> APD - Air Products and Chemicals		04/03/2018		×			×														
<sup>3</sup> ABBV - Abbvie Inc		04/03/2018		×			×														
<sup>4</sup> KHC - Kraft Heinz Co		04/03/2018			×		×														
<sup>5</sup> CCI - Crown C	astle International Co	orp		04/03/2018		×			X												
<sup>6</sup> KMB - Kimberly	y-Clark Corp			04/03/2018			×		X												
<sup>7</sup> Guggenhein (R	SP)			04/09/2018				×		×											
* Extensions of t	he due date should be n	oted in the "Notice	of Extension" section of this fo	rm.			I	-											I		

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form. \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate. OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Filer's Name Goldberg Beth L		Transactions continued (Use only if needed)														Page Number <b>2</b>					
				ansact					An	of Tran	nsaction (x)										
Identification of Assets	Transaction Date (Mo./Day/Yr.)	30 Days	Purchase	Sale	lge	1,001 - 15,000	\$15,000 \$15,001 - \$50,000	\$50,001 - \$100,000	100,001 - 250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over					
1 RSP - S&P 500 Equal Weight Portfolio PS	04/09/2018	Ago*			×	<del>\$</del> \$	×	<del>\$</del> \$	\$ \$	<u>8</u> 8	<del>8</del> 8	S S	\$ \$	<del>\$</del> \$	88	0					
<sup>2</sup> Guggenheim (RSP)	04/09/2018				×	×															
<sup>3</sup> RSP - S&P 500 Equal Weight Portfolio PS	04/09/2018				×	×															
<sup>4</sup> Guggenheim (RSP)	04/09/2018				×	×															
<sup>5</sup> RSP - S&P 500 Equal Weight Portfolio PS	04/09/2018				×	×															
<sup>6</sup> Guggenheim (RSP)	04/09/2018				×	×															
7 RSP - S&P 500 Equal Weight Portfolio PS	04/09/2018				×	×															
<sup>8</sup> XLRE - S&P 500 Real Estate Sector SPDR	04/16/2018			×		×															
<sup>9</sup> ARBNX - The Arbitrage Fund Class I	04/16/2018		×			×															
10 XLF - S&P 500 Financials Sector SPDR	04/16/2018			×		×															
OAKIX - Oakmark International Fund CI I	04/16/2018		×			×															
<sup>12</sup> MIOIX - Morgan Stanley Insti Fd International Opportunit	04/16/2018		×			×															
<sup>13</sup> FYC - Smallcap Growth Alphadex Fund FT	04/16/2018			×		×															
VUG - Growth ETF Vanguard	04/16/2018			×		×															
<sup>15</sup> SYV - MFS Value Equity ETF SPDR	04/16/2018			×		×															
<sup>16</sup> RSP - S&P 500 Equal Weight Portfolio PS	04/16/2018			×		×															

OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Filer's Name Goldberg Beth L		Transactions continued (Use only if needed)													Page Number <b>3</b>					
				ansact Ype (2					An	of Tran	saction (x)									
Identification of Assets	Transaction Date ( <i>Mo./Day/Yr.</i> )	Notification Received More Than 30 Days Ago*	Purchase	Sale	ŝe	\$1,001 - \$15,000	:15,001 - :50,000	50,001 - 100,000	100,001 - 250,000	(250,001 - (500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	:5,000,001 - :25,000,000	\$25,000,001 - \$50,000,000	Over				
1 HSBC - HSBC Holdings Plc	04/16/2018	Ĭ		×		×	<del>4</del> 4	<b>↔</b> ↔	<del>\$</del>	<b>\$</b>	<b>↔</b> ↔	0\$	↔ ↔	<b>\$</b>	<b>9</b>					
<sup>2</sup> MRK - Merck & Company	04/16/2018		×			×														
<sup>3</sup> TRP - Transcananda Pipelines	04/16/2018			×		×														
<sup>4</sup> JPM - JP Morgan Chase & Co	04/16/2018		×			×														
<sup>5</sup> GM - General Motors Company	04/16/2018			×		×														
<sup>6</sup> DOG - DOW 30 Short Proshares	04/16/2018		×			$\times$														
7 WMT - Wal-Mart Stores	04/17/2018		×			×														
<sup>8</sup> KHC - Kraft Heinz Co	04/18/2018			×		×														
<sup>9</sup> TRP - Transcananda Pipelines	04/18/2018			×		×														
10 ITW - Illinois Tool Works Inc	04/18/2018			×		×														
BA - Boeing Company	04/18/2018			×		×														
<sup>12</sup> TXN - Texas Instruments	04/19/2018			×		×														
<sup>13</sup> Philips Electronic Nv 3.75% 03/15/2022	04/26/2018			×		×														
<sup>14</sup> Guggenheim (RSP)	04/09/2018				×	×														
<sup>15</sup> RSP - S&P 500 Equal Weight Portfolio PS	04/09/2018				×	×														
16																				

## Reviewer Comments and Submission History for Beth Goldberg

05/14/2018 12:13:32 Filing submitted