

Termination Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)(March 2014)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

OGE RECEIVED: APR 13, 2017

Hartley, Jane D.

U.S. Ambassador to the French Republic &, the Principality of Monaco, U.S. Department of State

Date of Termination: 01/18/2017

Other Federal Government Positions Held During the Preceding 12 Months: None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

eSigned in FDM by:
Jane D. Hartley
User ID: 4B057D99EDF791FC



02/17/2017

Agency Ethics Official's Opinion - On the basis of information contained in this report. I conclude that the filer is in compliance with applicable laws and regulations(Subject to any comments below).

eSigned in FDM by:
Marcella M. Green
User ID: EAE0564807B99711



04/03/2017

Other review conducted by

Reviewer:
eSigned in FDM by:
Marcella M. Green
User ID: EAE0564807B99711



04/03/2017

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

This report has no reported **Positions Held Outside United State Gov.**

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Observatory Group 401(k)	N/A			
1.1	John Hancock Lifestyle Growth Fund	Yes	\$500,001 -		None (or less)

\$1,000,000

than \$201)

3. Filer Employment Agreements and Arrangements

#	EMPLOYER OR OTHER PARTY TO AGREEMENT/ARRANGEMENT	CITY, STATE	STATUS AND TERMS	DATE
1	Observatory Group	NEW YORK, NY, USA	Continuing participation in Employee Benefit Plan: I will continue to participate in the Observatory Group's 401(k). No contributions will be made by the entity after my resignation.	01/2009

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

This report has no reported **Compensation Exceeding \$5,000**

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Evercore LP (holds interest in operating subsidiaries), New York NY. Asset values and income types and amounts for underlying holdings, are not readily ascertainable.	N/A	Over \$1,000,000	Dividends, Capital Gains, Interest	Over \$1,000,000
1.1	Evercore GP Holdings LLC (nature of business: general partner of Evercore Partners II LLC)	N/A			
1.1.1	Evercore Partners II LLC (nature of business: general partner of Evercore Capital Partners II LP)	N/A			
1.2	Evercore Group Holdings L.P. (nature of business: holds interest in Evercore Partners Services East LLC)	N/A			
1.2.1	Evercore Mexico GP Holdings L.L.C. (nature of business: general partner of Evercore's Mexican private equity funds)	N/A			
1.2.2	Evercore Partners Services East L.L.C. (nature of business: U.S service co providing infrastructure support to subs, including legal, compliance, finance, tech, facilities and HR;	N/A			
1.2.2.1	Evercore Advisors LLC (n.o.b: investment advisor to Evercore Capital Partners II)	N/A			
1.2.2.2	Evercore Venture Advisors L.L.C. (n.o.b: provides advisory services re: venture capital to U.S. corporate clients)	N/A			
1.2.2.3	International Strategy & Investment Group LLC	N/A			
1.2.2.4	PCA Holdco LLC (n.o.b: holds interest in Evercore Private Capital Advisory LP)	N/A			
1.2.2.5	Evercore BD Investco LLC (n.o.b: holds interest in Evercore Group LLC)	N/A			
1.2.2.6	Evercore Wealth Management LLC	N/A			

	(n.o.b: provides securities portfolio management services for high net wealth individuals in the U.S.)	
1.2.2.7	Atalanta Sosnoff Capital, LLC (n.o.b: manages large-capitalization U.S. equity and balanced products)	N/A
1.2.2.8	ABS Investment Management LLC (n.o.b: provides advisory services to institutionally focused hedge fund-of-fund managers)	N/A
1.3	Evercore Group Holdings L.L.C. (nature of business: provides advisory services to investment banking corporate and private equity clients and institutional investors in the U.S.)	N/A
1.4	Evercore Trust Company, N.A. (nature of business: U.S. limited purpose bank that provides investment management and independent fiduciary services to U.S. pension and employee benefit plans, institutions and individuals)	N/A
1.5	Evercore Partners Canada Ltd (nature of business: provides advisory services to investment banking corporate and private equity clients in Canada)	N/A
1.6	Evercore Holdings Ltd (nature of business: holds interest in UK operating entities)	N/A
1.6.1	Evercore Partners Ltd (nature of business: holds interest in Evercore Partners International LLP)	N/A
1.6.1.1	Evercore Partners International LLP (nature of business: provides advisory services to investment banking corporate and private equity clients in U.K.)	N/A
1.7	Evercore Asia Limited (nature of business: provides advice on corporate finance and securities dealing to clients in Hong Kong)	N/A
1.8	Evercore Asia (Singapore) Pte. Ltd. (nature of business: provides advice on corporate finance and securities dealings to clients in Singapore)	N/A
1.9	Protego S.I., S.C. (nature of business: financial advisory services in Mexico)	N/A
1.10	Evercore Partners Mexico, S de R.L. (nature of business: provides M&A financial advisory services to corporate clients in Mexico)	N/A
1.10.1	Evercore Casa de Bolsa, S.A. de C.V. (nature of business: manages Mexican fixed income products and offers fiduciary and trust services to clients in Mexico)	N/A
1.10.2	Protego Administradores S. de R.L. (Nature of business: provides human resource services for Evercore's Mexico subsidiaries)	N/A

1.10.3	Protego PE S. de R.L. (nature of business: holds interest in Discovery America I)	N/A		
1.10.3.1	Discovery Americas Associates, LP (Ontario) (n.o.b: holds interest in Discovery America I)	N/A		
1.10.4	Sedna S.de R.L. (nature of business: service company that provides infrastructure support to Evercore's Mexico subsidiaries)	N/A		
1.10.5	Protego Servicios, S.C. (nature of business: service company that provides infrastructure support to Evercore's Mexico subsidiaries)	N/A		
1.11	Evercore Brasil Participacoes LTDA (nature of business: holds interest in G5 Holding SA)	N/A		
1.11.1	G5 Holding S.A. (nature of business: holds interest in G5 Participacoes) (Underlying holdings broken out in attachment)	N/A		
1.11.1.1	G5 Participacoes LTDA (n.o.b: holds interest in G5 Consultoria e Assessoria, G5 Administradora de Recursos, and G5 Assessoria Financeira)	N/A		
1.12	Evercore Trust Company of Delaware (underlying asset of Evercore LP)	N/A		
1.13	International Strategy & Investment (UK) Limited	N/A		
1.14	Evercore (Japan) Ltd.	N/A		
2	Evercore Partners Inc - Unvested Restricted Stock Units	N/A	Over \$1,000,000	None (or less than \$201)
3	Evercore Partners Inc. (EVR)	N/A	None (or less than \$1,001)	\$100,001 - \$1,000,000
4	Davis Petroleum Corporation (previous primary asset, Evercore Capital Partners II has dissolved resulting in this entity to be individually owned by investors) (Value not available)	N/A		
5	Glisco Capital Partners III LP (previous primary asset, Evercore Mexico Capital Partners III LP has dissolved resulting in this entity to be individually owned by investors).	N/A	\$250,001 - \$500,000	None (or less than \$201)
5.1	Intellego Consulting S.A.P.I de C.V.	N/A		
5.2	Diavaz DEP, S.A.P.I. de C.V.	N/A		
5.3	Grupo Casanueva Perez, S.A.P.I. de C.V.	N/A		
5.4	Biossmann Group, S.A.P.I. de C.V.	N/A		
6	Evercore Partners Inc	N/A		Salary

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blackrock Global Allocation Fund Inc Cl A (MDLOX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000

2	Heidrick and Struggles Intl Inc (HSII)	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
3	Blackrock Private Opportunities II	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
4	Blackrock Multi Manager Partners	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
5	Brevan Howard, LP	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
6	Brevan Howard, LP	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
7	Brevan Howard Asia Fund	Yes	Over \$1,000,000		\$50,001 - \$100,000
8	Centerbridge Capital Partners Strategic	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
9	Centerbridge Capital Partners Strategic (Cayman)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10	Centerbridge Capital Partners Strategic AIV I	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
11	Centerbridge Capital Partners Strategic AIV II	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
12	Centerbridge Capital Partners Strategic AIV V	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
13	Centerbridge Capital Partners Strategic Debt Acq	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
14	Eton Park Fund	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
15	Greycroft Partners	Yes	\$100,001 - \$250,000		\$201 - \$1,000
16	Greycroft Partners	Yes	\$100,001 - \$250,000		\$201 - \$1,000
17	Highfields Capital II	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
18	Participation Co-Investment Partnership Income type and amount of underlying assets not readily ascertainable per asset.	N/A	\$500,001 - \$1,000,000	Capital Gains, Interest	\$100,001 - \$1,000,000
18.1	Nixon, Inc (Business Description: Provides watches and accessories in North America, Europe, Australia and Asia.)	N/A			
18.2	Velvet Energy Ltd. (Business Description: privately-held crude oil & natural gas exploration and production company.)	N/A			
19	River Birch Partners, NEW YORK, NY, USA	N/A			
19.1	River Birch Partners - MASTER FUND	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
20	State Road Real Estate Holding (nob: holds restaurant), WEST TISBURY, MA, USA	N/A	\$100,001 - \$250,000		None (or less than \$201)
21	State Road Real Estate Holding (nob: holds restaurant), WEST TISBURY, MA, USA	N/A	\$100,001 - \$250,000		None (or less than \$201)
22	Taconic Opportunity Fund	Yes	Over \$1,000,000		\$100,001 - \$1,000,000

23	TH Stables LLC (Nature of business: horse stables), NORTH SALEM, NY, USA	N/A	\$100,001 - \$250,000	Rent and Royalties	\$100,001 - \$1,000,000
24	Checking Account #1, U.S. Bank	N/A	\$100,001 - \$250,000		None (or less than \$201)
25	Checking Account #2, U.S. Bank	N/A	Over \$1,000,000		None (or less than \$201)
26	Checking Account #3, U.S. Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	Checking Account #4, U.S. Bank	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
28	T Capital Investors V, LP, New York , NY, USA	N/A			
28.1	Trilantic Capital Partners V, LP	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
29	Villa 7, Providenciales, TCI	N/A	\$15,001 - \$50,000		None (or less than \$201)
29.1	Checking Account #5, U.S. Bank	N/A	\$15,001 - \$50,000		None (or less than \$201)
30	BlackRock Global Allocation Fund (MDLOX)	N/A	Over \$1,000,000	Dividends	\$15,001 - \$50,000
31	RBX Prime MM Fund (TKSXX)	N/A	None (or less than \$1,001)		None (or less than \$201)
32	US Gov't MM Fd (RBC Select Class - TUSXX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
33	US Gov't MM Fd (RBC Select Class - TUSXX)	N/A	None (or less than \$1,001)		None (or less than \$201)
34	Bank of America Money Market Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	Retirement CDs (Chase)	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	Receivable: KS, New York, NY, USA	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
37	Societe Generale	N/A	None (or less than \$1,001)		\$201 - \$1,000

7. Transactions

This report has no reported **Transactions**

8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	Bank of America	Home Equity Line of Credit	\$10,001 - \$15,000	2013	3.25%	On Demand
2	Bank of America	Line of Credit	Over \$1,000,000	2016	LIBOR + 2%	On Demand
3	Nationstar Mortgage	Mortgage, New York, NY, USA	\$500,001 - \$1,000,000	2003	2.126%	15 Year

9. Gifts and Travel Reimbursements

This report has no reported **Gifts and Reimbursements**

Summary of Contents

1. Filer's Positions Held Outside the United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from the United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from the United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregate the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less

(unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an expected investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes, and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregate the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an expected investment fund (EIF).

9. Gift and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and the dependant children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements give to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C app. ♦ 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosure agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service, or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW, Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

