

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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BLOM, DOMINIQUE

Deputy Assistant Secretary, Department of Housing and Urban Development

Report Year: 2016

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ BLOM, DOMINIQUE [electronically signed on 06/05/2016 by BLOM, DOMINIQUE in Integrity.gov] - Filer received a 15 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Golden, Robert H, Certifying Official [electronically signed on 07/13/2016 by Golden, Robert H in Integrity.gov]

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Other review conducted by

/s/ Smith Johnson, Tiffanie R, Ethics Official [electronically signed on 06/27/2016 by Smith Johnson, Tiffanie R in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 06/27/2016

Data Revised 06/23/2016

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BMCCX Blackrock Mid Cap Value Eqty Port Inv CI C	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	NYVCX Davis New York Venture Fund C	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	FADCX Fidelity Adv Srs Vill Fid Adv Div Intl Fd CI C	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	FRSIX Franklin Small Mid Cap Growth Fund Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	MIGDX Massachusetts Investors Growth Stock Fund Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	BSPZX Blackrock S&P 500 Stock Fund Inve	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Cash -- Citibank		\$15,001 - \$50,000	Interest	None (or less than \$201)
8	Cash -- Merrill Lynch		\$50,001 - \$100,000	Interest	None (or less than \$201)
9	Facebook, Inc. - Class A Common Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10	Ford Motor Co		\$1,001 - \$15,000	Dividends	None (or less than \$201)
11	DEMCX Delaware Emerging Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	NDACX Nationwide Investor	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
13	WFINX Wells Fargo Index fd cl c	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	MRBCX Mfs total return bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	PTTCX Pimco total return	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	ASPZX alger spectra fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	DBEF Deutsche x-trackers msci	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18	ECON Emerging global shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	GICIX Goldman sachs intl small	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20	CGW Guggenheim s&p global wa	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	LISFX Lord abbett intermediate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
22	ITM Market vectrs intrmdiate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
23	MEIIX MFS value fd cl i	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	NHMRX Nuveen High Yield Municipal Bond I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
25	PZA Powershares national	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
26	SHM spdr nuveen barclays	Yes	\$50,001 - \$100,000		\$201 - \$1,000
27	FFRZX Templeton frontier	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	EMRYX Van eck emerging markets	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	VBR Vanguard small cap value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30	VBK Vanguard small cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31	VO Vanguard mid-cap etf	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
32	VNQ Vanguard reit etf	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33	VEA Vanguard ftse developed	Yes	\$15,001 - \$50,000		\$201 - \$1,000
34	MGC Vanguard mega cap etf	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
35	MGK Vanguard mega cap 300 growth	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
36	MGV Vanguard mega cap 300 value	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

### 3. Filer's Employment Agreements and Arrangements

None

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Johns Hopkins Hospital (Hospital)	N/A		salary, bonus	
2	Baird aggregate bond	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
3	Lincoln fixed annuity	See Endnote	\$100,001 - \$250,000		\$1,001 - \$2,500
4	Am fds europacific growth a	See Endnote	Yes	\$50,001 - \$100,000	None (or less than \$201)
5	Colmbia mid cap indes fund class z	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
6	Colmbia small cap indes primary z shares	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
7	Dtsch equity 500 index s	See Endnote	Yes	\$100,001 - \$250,000	\$1,001 - \$2,500
8	Gld sch sach's mid cap value a	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
9	Jpm equity income select	See Endnote	Yes	\$15,001 - \$50,000	\$1,001 - \$2,500
10	Wells fargo emerging growth	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
11	Wells fargo growth fund class inst	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
12	403(b) Match	See Endnote	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash Savings -- Johns Hopkins Federal Credit Union		\$100,001 - \$250,000	Interest	None (or less than \$201)
2	VP Moderate C12	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
3	NCDMX Nationwide Investor Dendination Moderate Class	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Alger Spectra Fund	Purchase	12/17/2015	\$1,001 - \$15,000
2	Lord Abbett Intermediat	Purchase	11/27/15	\$1,001 - \$15,000
3	Market vectrs intrmdiat	Purchase	11/12/15	\$1,001 - \$15,000
4	Market vectrs intrmdiat	Purchase	10/29/15	\$1,001 - \$15,000
5	Lord abbett intermediat	Purchase	10/29/15	\$1,001 - \$15,000
6	Vanguard small cap	Purchase	10/01/15	\$1,001 - \$15,000
7	Vanguard mid-cap etf	Purchase	10/01/15	\$1,001 - \$15,000
8	Vanguard ftse emerging	Sale	09/25/15	\$15,001 - \$50,000
9	Van eck emerging market	Purchase	09/25/15	\$15,001 - \$50,000
10	Elements rogers tr	Sale	9/11/15	\$1,001 - \$15,000
11	Vanguard reit etf	Purchase	9/11/15	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	Mfs value fd cl i	Purchase	9/3/15	\$1,001 - \$15,000
13	Vanguard mega cap etf	Purchase	8/24/15	\$1,001 - \$15,000
14	Vanguard mega cap 300	Purchase	8/24/15	\$1,001 - \$15,000
15	Vanguard mega cap 300	Purchase	8/24/15	\$1,001 - \$15,000
16	Vanguard ftse emerging	Purchase	8/20/15	\$1,001 - \$15,000
17	Lord abbett intermediat	Purchase	7/23/15	\$1,001 - \$15,000
18	Vanguard reit etf	Purchase	6/29/15	\$1,001 - \$15,000
19	Market vectrs intrmdiat	Purchase	6/29/15	\$1,001 - \$15,000
20	Guggenheim s&p global w	Purchase	5/27/15	\$1,001 - \$15,000
21	First tr ise wtr index	Sale	5/27/15	\$1,001 - \$15,000
22	Market vectrs intrmdiat	Purchase	4/16/15	\$1,001 - \$15,000
23	Vanguard mega cap etf	Purchase	4/2/15	\$1,001 - \$15,000
24	Vanguard mega cap 300	Purchase	4/2/15	\$1,001 - \$15,000
25	Vanguard reit etf	Purchase	3/6/15	\$1,001 - \$15,000
26	Market vectrs intrmdiat	Purchase	2/19/15	\$1,001 - \$15,000
27	Vanguard ftse developed	Sale	1/22/15	\$15,001 - \$50,000
28	Deutsche x-trackers msc	Purchase	1/22/15	\$15,001 - \$50,000
29	Ishares ibox \$	Sale	1/5/15	\$1,001 - \$15,000
30	Ishares tips	Sale	1/5/15	\$1,001 - \$15,000
31	Vanguard small cap valu	Purchase	1/5/15	\$1,001 - \$15,000
32	Vanguard small cap	Purchase	1/5/15	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
33	Vanguard mid-cap etf	Purchase	1/5/15	\$1,001 - \$15,000
34	Vanguard reit etf	Sale	1/5/15	\$1,001 - \$15,000
35	Vanguard msci emerging	Sale	1/5/15	\$15,001 - \$50,000
36	Powershares national	Purchase	1/5/15	\$1,001 - \$15,000
37	Spdr barclays intl	Sale	1/5/15	\$1,001 - \$15,000
38	Sprd nuveen barclays	Purchase	1/5/15	\$1,001 - \$15,000
39	Ishares mbs etf	Sale	1/5/15	\$1,001 - \$15,000
40	Vanguard intermediate	Sale	1/5/15	\$1,001 - \$15,000
41	Vanguard short term bon	Sale	1/5/15	\$1,001 - \$15,000
42	Vanguard ftse developed	Purchase	1/5/15	\$1,001 - \$15,000
43	Vanguard mega cap etf	Purchase	1/5/15	\$15,001 - \$50,000
44	Vanguard mega cap 300	Purchase	1/5/15	\$1,001 - \$15,000
45	Vanguard mega cap 300	Purchase	1/5/15	\$15,001 - \$50,000
46	Market vectrs intrmdiat	Purchase	1/5/15	\$1,001 - \$15,000
47	Mfs emerging markets	Sale	1/5/15	\$1,001 - \$15,000
48	Mfs value fd cl i	Purchase	1/5/15	\$1,001 - \$15,000
49	Nuveen high yield muni	Purchase	1/5/15	\$1,001 - \$15,000
50	Lord abbett intermediat	Purchase	1/5/15	\$1,001 - \$15,000
51	Alliancebernstein high	Sale	1/5/15	\$1,001 - \$15,000
52	Davis New York Venture	Sale	1/5/15	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
53	Invesco intl gr sr II	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
54	Col vp intmd bon c13	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
55	Col vp lg c quant c13	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
56	Col vp divdnd opp c13	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
57	Am Century vp value	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
58	FTVIPT smcp vi vip c2	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
59	Royce micro cap	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
60	Fidelity vip overseas	See Endnote	Sale	9/21/15	\$1,001 - \$15,000
61	VP Moderate C12	See Endnote	Purchase	9/21/15	\$50,001 - \$100,000
62	Baird aggregate bond	See Endnote	Purchase	09/21/15	\$1,001 - \$15,000
63	Pimco tot rtn	See Endnote	Sale	09/21/15	\$1,001 - \$15,000
64	iShares Barclays 3-7 Year Treasury Bond		Sale	01/01/15	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
5.	2	403(b)
5.	3	403(b)
5.	4	403(b)
5.	5	403(b)
5.	6	403(b)
5.	7	403(b)
5.	8	403(b)
5.	9	403(b)
5.	10	403(b)
5.	11	403(b)
5.	12	403(b) match
6.	2	RiverSource Retirement Advisory Variable Annuity, TSA
6.	3	Merrill Lynch
7.	53	Spouse - RiverSource
7.	54	Spouse - RiverSource
7.	55	Spouse - RiverSource
7.	56	Spouse - RiverSource
7.	57	Spouse - RiverSource
7.	58	Spouse - RiverSource
7.	59	Spouse -- RiverSource
7.	60	Spouse -- RiverSource

PART	#	ENDNOTE
7.	61	Spouse - RiverSource
7.	62	Spouse - 403(b)
7.	63	Spouse - 403(b)

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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