OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report**

File	er's Name (Print Last, First, and Middle Initial)	Title of Position for Which Filing							Department or Agency												
Go	oldberg Beth L	District Director							SBA												
Cei	rtification: I CERTIFY that the statements I have made	Signature of the Filer	gnature of the Filer							th, Da	ıy, Yed		<b>Notice of Extension</b>								
on t	this form and any attachments are true, complete, and rect to the best of my knowledge.	Beth Goldberg			2023	3-03-1	14 18:	41:58		Check if granted Indicate number of days:											
Sig	nature of Intermediate Reviewing Official (if requir	red by agency)	y agency) Date (Month, Day, Year)								y		OGE Use Only								
				03/1	4/202	23															
Sig	nature of Agency's Final Reviewing Official		Date (Mont	h, Day, Year	r)			Comments of Reviewing Officials													
M	larilyn Barnes		2023-04-0	5 10:53:45																	
Sig	mature of Reviewing Official at U.S. Office of Gover	rnment Ethics (if required)	Date (Mont	<mark>h, Day, Year</mark>	r)																
	ransactions																				
Rep You	out any purchase, sale, or exchange by you, your spouse, or ou do not need to report: (1) mutual funds and other excepted es, and bonds; (4) Thrift Savings Plan accounts; (5) real prop	investment funds; (2) certificate	es of deposit, s	avings or che	ecking ou, yo	g acco	ounts,	, and n	noney	marke	t accou	action unts; (3	exceed 3) U.S.	ded \$1, Treas	000. ury bil	ls,					
						insac ype (		Amount of Transaction (x)													
You <b>tra</b> i	eadlines u must file your report within 30 days of when you receive n nsaction but not later than 45 days after the transaction. Thes not apply to any voluntary additional disclosures you make. S Identification of Assets	se deadlines	Transaction Date (Mo./Day/Yr.)	Received	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
Ex.	Central Airlines Co.		10/1/12	Ago.	X	<b>V</b> 1		8 8	8 8	X	\$ \$	s s	8 8	0 %	8 8	8 8	\$ \$	0 \$			
Ex.	BMSL Propulsion, Inc.		9/4/12	X	X				X												
1	DVY - Select Dividend Ishares ETF		02/01/2023			×		×													
2	DVY - Select Dividend Ishares ETF		02/01/2023			×		×													
3	IJJ - S&P Mid-Cap 400 Value Ishares ETF		02/01/2023		×			×													
4	IJJ - S&P Mid-Cap 400 Value Ishares ETF		02/01/2023		×			X													
5	SIL - Gx Silver Miners ETF		02/01/2023			×		X													
6	AMZN - Amazon.com Inc		02/03/2023		×			×													
7	AVGO - Broadcom Ltd		02/03/2023		×			×													
	* Extensions of the due date should be noted in the "Notice  ** This cotagony applies only if the underlying asset is solely			1 1 .			.1 1	111		,		. 1									

<sup>\*\*</sup> This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldberg	Beth	L			actions co		nuec	i							Page 1	Numbe	er 2		
							ansact					Am	of Tran	nsaction (x)					
		Identification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	ıge	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 -	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1 BMY - Bri	stol-Myers Squ	iibb Compan	ıy	02/03/2023			×		×										
<sup>2</sup> BRK.B - E	Berkshire Hatha	away CI B		02/03/2023			×		×										
3 GOOG - A	Alphabet CI C			02/03/2023			×		×										
4 JNJ - Joh	nson & Johnson	n		02/03/2023			×		×										
5 JPM - JP	Morgan Chase	& Company	,	02/03/2023			×		×										
6 MSFT - M	licrosoft Corp			02/03/2023		×			×										
7 NFLX - N	etflix Inc			02/03/2023		×			×										
8 NVDA - N	lvidia Corp			02/03/2023		×			×										
9 UNH - Ur	itedhealth Grou	up Inc		02/03/2023		×			×										
V - Visa I	nc			02/03/2023		×			×										
11 VRTX - V	ertex Pharmace	eutic		02/03/2023		×			×										
12 DBLTX -	Doubleline Tota	al Return Bor	nd Fund Class I	02/06/2023		×			×										
13 GDX - Va	neck Gold Mine	ers ETF		02/06/2023			×		×										
14 GDX - Va	neck Gold Mine	ers ETF		02/06/2023			×		×										
15 GDX - Va	neck Gold Mine	ers ETF		02/06/2023			×		×										
16 GLD - Go	ld SPDR		02/06/2023			×		×											

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldberg	Beth	L			actions co		nuec	1							Page 1	Numbe	er <b>3</b>		
							ansact					Am	f Tran	nsaction (x)					
	Ī	dentification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50 000 000
1 MIXMX - N	Mainstay Short	Term Bond	Fund Simple Class	02/06/2023		×			×										
PCRIX - P	imco Commod	lityrealreturn	Strategy Fund Institut	02/06/2023			×		×										
3 PCRIX - P	imco Commod	lityrealreturn	Strategy Fund Institut	02/06/2023			×		×										
4 SIL - Gx S	Silver Miners ET	ΓF		02/06/2023			×		×										
5 SLV - Silv	er Trust Ishares	s		02/06/2023			×		×										
6 VSGDX -	Vanguard Shor	rt-Term Fede	eral Fd Admiral Shs	02/10/2023			×			×									
<sup>7</sup> SWVMM -	Schwab Value	e Advantage	Money Fund	02/10/2023		×			×										
8 GDX - Vai	neck Gold Mine	ers ETF		02/21/2023			×		×										
9 KGGIX - k	Kopernik Globa	l All-Cap Fu	nd- Institutional Clas	02/27/2023		×			×										
10 KGGIX - K	Kopernik Globa	l All-Cap Fui	nd- Institutional Clas	02/27/2023		×			×										
11 KGGIX - K	Kopernik Globa	l All-Cap Fui	nd- Institutional Clas	02/27/2023		×				×									
12 KGIIX - Ko	opernik Internat	tional Fund (	Class I	02/27/2023			×			×									
13 KGIIX - Ko	opernik Internat	tional Fund (	Class I	02/27/2023			×		×										
14 KGIIX - Ko	opernik Internat	tional Fund (	Class I	02/27/2023			×		×										
15 ABBV - Ak	obvie Inc		02/28/2023			×		×											
16 BMY - Bris	stol-Myers Squi	ibb Compan	02/28/2023		×			×											

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldber	g Beth	L					actions co		nuec	i							Page 1	Numbe Z			
						ansaci					An	f Tran	nsaction (x)								
		Identification	ı of A	ssets		Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 -	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
BTU - Pe	eabody Energy	02/28/2023		×			×														
<sup>2</sup> CNC - C	entene Corp					02/28/2023	3		×		×										
<sup>3</sup> COP - C	onocophillips					02/28/2023			×		×										
4 DFS - Di	scover Financi	al Services				02/28/2023	3	×			×										
5 GPN - G	lobal Payments	s Inc				02/28/2023			×		×										
6 HAL - Ha	alliburton Comp	oany				02/28/2023		×			×										
7 JPM - JF	Morgan Chas	se & Company	у			02/28/2023			×		×										
8 KR - Kro	ger Company					02/28/2023		×			X										
9 MPC - M	larathon Petrol	eum Corp				02/28/2023			×		X										
OTIS - C	otis Worldwide	Corp				02/28/2023			×		X										
PXD - Pi	oneer Natural I	Resources Co	ompa	any		02/28/2023			×		X										
12 QQEW -	Nasdaq 100 E	W Index Fund	ıd			02/28/2023			×			×									
13 QRVO -	Qorvo Inc					02/28/2023			×		X										
14 SCHW -	The Charles S	schwab Corp				02/28/2023			×		X										
15 SLB - Sc	hlumberger N.	.V.				02/28/2023			×		×										
16 TMUS -	T-Mobile US					02/28/2023			×		×										

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

's Name Idberg	Beth	L				Page Number 5															
									Amount of Trac							saction (x)					
	Id	entification	of Assets	Date (Mo./Day/Yr.) M	30 Days	Purchase	Sale (2	ige	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000						\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
UNP - Union	Pacific Corp			02/28/2023			×		×												
USB - U.S. E	Bancorp			02/28/2023		×			×												
WFC - Wells	Fargo & Com	npany		03/14/2023			X		×												
US Treasury	/ Nts 4.375% 1	10/31/2024		02/10/2023		×				×											
US Treasury	/ Nts 2.125% 2	2/29/2024		02/14/2023		×				×											
Us Treasur N	Nt 0.75% 11/1	5/2024		02/21/2023		×				×											
US Treasury	/ Nts 3.25% 8/	31/2024		02/28/2023		×					×										
	UNP - Unior USB - U.S. F WFC - Wells US Treasury US Treasury US Treasury	Idberg Beth  UNP - Union Pacific Corp  USB - U.S. Bancorp  WFC - Wells Fargo & Com  US Treasury Nts 4.375% 2  US Treasury Nts 2.125% 2  Us Treasury Nts 3.25% 8/	Identification  UNP - Union Pacific Corp  USB - U.S. Bancorp  WFC - Wells Fargo & Company  US Treasury Nts 4.375% 10/31/2024  US Treasury Nts 2.125% 2/29/2024  US Treasury Nts 3.25% 8/31/2024  US Treasury Nts 3.25% 8/31/2024	Identification of Assets  UNP - Union Pacific Corp  USB - U.S. Bancorp  WFC - Wells Fargo & Company  US Treasury Nts 4.375% 10/31/2024  US Treasury Nts 2.125% 2/29/2024  Us Treasury Nts 3.25% 8/31/2024  US Treasury Nts 3.25% 8/31/2024	Transaction	Transactions Co	Transactions   Continue	Transaction   Cuse only if needed	Transaction   Notification   Received   More Than   30 Days   Ago*   2	Transaction   Cuse only if needed   Cuse only if needed	Company   Comp	Company   Comp	Transaction   Continued   (Use only if needed)	Transaction   Notification   Type (x)   Amount of   Notification   Type (x)   Notification   T	Transaction   Notification   Received   Mov. Doy. 17.   More Than 30 Days   More Tha	Transaction   Date   (Use only if needed)	Transaction   Counting   Counti	Transaction   Country   Transaction   Country   Transaction   Type (x)   Type (x	Transaction Date (Mo-Due) Pr.   Dentification of Assets   Dentificat		

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.