OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report**

Filer'	's Name (Print Last,	First, and Middle In	iitial)	Title of Position for Whi	ch Filing				Department or Agency														
Gold	lberg	Beth	L	District Director		SBA																	
Certi	ification: I CERTIFY	that the statements I ha	ave made	Signature of the Filer			]	Date	(Mon	th, Do	ıy, Yed	<b>Notice of Extension</b>											
on this		nents are true, complete		Beth Goldberg							2018-04-06 16:15:19							Check if granted					
Signa	ature of Intermedia	te Reviewing Officia	al (if requi	red by agency)	Date (Mon		Agen	cv Us	se Onl	v		Indicate number of days:  OGE Use Only											
				<u>,                                      </u>				06/201		<u>,                                      </u>			OGE est only										
Signa	ature of Agency's F	Date (Mon	th, Day, Yea	r)			Com	ment	s of R	eview	ing O	fficia	ls										
Marilyn Barnes						11 16:40:38																	
<mark>Signa</mark>	ature of Reviewing	ernment Ethics (if required	d) Date (Mon	th, Day, Yea	r)																		
	nsactions				•	0			.,	· C · d						1 1 0 1	000						
Repor You d	rt any purchase, sale, o lo not need to report: (	1) mutual funds and oth	ner excepted	dependent child of stocks, bo investment funds; (2) certifications that perty; and (6) transactions that	ates of deposit,	savings or che	ecking ou, yo	g acco	ounts, ouse,	and n	noney	marke	t accou	action unts; (3	exceed 3) U.S.	Treas	,000. sury bil	ls,					
Repor You d notes, <b>Deac</b> You m	rt any purchase, sale, o do not need to report: ( and bonds; (4) Thrift dlines nust file your report w action but not later tha	1) mutual funds and oth Savings Plan accounts; ithin <b>30 days</b> of when y n 45 days after the tran- y additional disclosures	you receive saction. The syou make.	notification of a ese deadlines	are solely by a  Transaction Date	Notification Received More Than 30 Days	ecking ou, yo Tra T	g acco our sp insac ype (	ounts, ouse, tion (x)	and n or de	noney pender	marke nt child	t accord.	unts; (3	of Tran	Treas	n (x)		25,000,001 - 50,000,000	50,000,000			
Repor You d notes, Dead You m transa do not	rt any purchase, sale, o do not need to report: ( , and bonds; (4) Thrift dlines must file your report w action but not later that t apply to any voluntar	1) mutual funds and oth Savings Plan accounts; ithin <b>30 days</b> of when y in 45 days after the tran	you receive saction. The syou make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr.,	Notification Received More Than	Burchase Large Purchase Large Purchase Large Lar	g acco our sp insac	ounts, ouse, tion (x)	and n or de	noney pender	marke nt child	t accord.	unts; (3	of Tran	Treas	ury bil		\$25,000,001 - \$50,000,000	Over \$50,000,000			
Report You do notes,  Dead You me transa do note.  Ex. Compared to the content of	rt any purchase, sale, o do not need to report: ( and bonds; (4) Thrift dlines nust file your report w action but not later tha	1) mutual funds and oth Savings Plan accounts; ithin <b>30 days</b> of when y n 45 days after the tran- y additional disclosures	you receive saction. The syou make.	notification of a ese deadlines	are solely by a  Transaction Date	Notification Received More Than 30 Days	ecking ou, yo Tra T	g acco our sp insac ype (	ounts, ouse, tion (x)	and n or de	noney pender	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 - \$50,000,000	Over \$50,000,000			
Peace You make transado notes.  Ex. Cee	rt any purchase, sale, o do not need to report: ( and bonds; (4) Thrift dlines nust file your report w action but not later tha t apply to any voluntar	1) mutual funds and oth Savings Plan accounts; ithin <b>30 days</b> of when y n 45 days after the tran- y additional disclosures	you receive saction. The syou make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr.,	Notification Received More Than 30 Days Ago*	Burchase X	g acco our sp insac ype (	ounts, ouse, tion (x)	and n or de	- 100,518 - 000,058	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 -	Over \$50,000,000			
Report You do notes,  Dead You m transado notes  Ex. Comment Ex. C	rt any purchase, sale, o do not need to report: ( and bonds; (4) Thrift dlines must file your report w action but not later that t apply to any voluntar entral Airlines Co.	1) mutual funds and oth Savings Plan accounts; ithin <b>30 days</b> of when y n 45 days after the tran- y additional disclosures Identification o	you receive saction. The syou make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr., 9/4/12	Notification Received More Than 30 Days Ago*	Ecking Du, you Dr. Land Land Land Land Land Land Land Land	g acco our sp insac ype (	ounts, ouse, tion (x)	and n or dep - 100'18	- 100,518 - 000,058	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 -	Over \$50,000,000			
Pead You notes,  Dead You notes,  Ex. Company	ct any purchase, sale, of do not need to report: (and bonds; (4) Thrift dlines must file your report waction but not later that tapply to any voluntar entral Airlines Co.  MSL Propulsion, Inc.  NFLX - Netflix Inc	1) mutual funds and oth Savings Plan accounts; ithin 30 days of when y n 45 days after the trangaditional disclosures Identification o	you receive saction. The syou make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12 9/4/12 03/23/2018	Notification Received More Than 30 Days Ago*	Ecking Du, you Dr. Land Land Land Land Land Land Land Land	Sale (	ounts, ouse, tion (x)	and n or dej	- 100,518 - 000,058	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 -	Over (\$50,000,000			
Peace You in transa do not Ex. Ce Ex. Bit 1 N 2 S 3 E	dlines nust file your report w action but not later that apply to any voluntar entral Airlines Co. MSL Propulsion, Inc. NFLX - Netflix Inc SGIIX - First Eagle G	1) mutual funds and oth Savings Plan accounts; ithin 30 days of when y n 45 days after the trangaditional disclosures Identification o	you receive saction. The you make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12  9/4/12  03/23/2018	Notification Received More Than 30 Days Ago*	Ecking Du, you Dr. Land Land Land Land Land Land Land Land	Sale Sale Sale Sale Sale Sale Sale Sale	ounts, ouse, tion (x)	and n or der - 100'18 X	- 100,518 - 000,058	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 -	Over			
Peace You in transa do not Ex. Ce Ex. Bi 1 N 2 S 3 E 4 X	dlines nust file your report w action but not later that apply to any voluntar entral Airlines Co. MSL Propulsion, Inc. NFLX - Netflix Inc SGIIX - First Eagle G	1) mutual funds and oth Savings Plan accounts; ithin 30 days of when y a 45 days after the transy additional disclosures Identification of Identification of SCI Ishares  Staples Sector SPDI	you receive saction. The you make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr., 9/4/12 03/23/2018 03/19/2018	Notification Received More Than 30 Days Ago*	Ecking Du, you Dr. Land Land Land Land Land Land Land Land	Sale Sace	ounts, ouse, tion (x)	- 100°18 X	- 100,518 - 000,058	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 - \$50,000,000	Over   S50,000,000			
Peace You make transado notes.  Ex. Ce Ex. Bit 1 N 2 S 3 E 4 X 5 X 6	dlines nust file your report w action but not later that apply to any voluntar entral Airlines Co. MSL Propulsion, Inc. NFLX - Netflix Inc SGIIX - First Eagle G EFA - EAFE Index M KLP - S&P 500 Cons	1) mutual funds and oth Savings Plan accounts; ithin 30 days of when y n 45 days after the transy additional disclosures Identification of SCI Ishares  Staples Sector SPDR	you receive saction. The you make.	notification of a ese deadlines	Transaction Date (Mo./Day/Yr., 9/4/12 03/23/2018 03/19/2018 03/19/2018	Notification Received More Than 30 Days Ago*  X	Ecking Du, you Dr. Land Land Land Land Land Land Land Land	X X	ounts, ouse, tion (x)	- 100.18 × × ×	- 100,518 - 000,058	marke nt child - 100,001 - 000,001	t accord.	unts; (3	of Tran	Treas	n (x)		\$25,000,001 - \$50,000,000	Over (\$55,000,000)			

<sup>\*\*</sup> This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldber	g Beth	L		Transactions continued (Use only if needed)																			
					Transaction Amount of Trans											nsaction (x)							
		Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over						
1 XLRE - S	S&P 500 Real E	state Sector	SPDR	03/19/2018		×			×														
<sup>2</sup> IEI - 3-7	Year Treasury	Bond Ishares		03/19/2018			×		×														
3 EVF - Ea	iton Vance Sen	nior Income Ti	rust	03/19/2018		×			×														
FEMB -	Emrg Mkts Loca	al Currency B	ond ETF FT	03/19/2018		×			×														
5 HYLS - 1	actical High Yi	eld ETF FT		03/19/2018			×		×														
6 XLF - S8	P 500 Financia	als Sector SP	DR	03/19/2018		×			×														
7 GARBX	- Guinness Atki	inson Renmin	ibi Yuan & Bond Fd	03/19/2018		×			×														
8 EMRYX	- Van Eck Eme	rging Markets	s Fund CI Y	03/19/2018		×			×														
9 GEM - G	S Activebeta E	M Equity ETF	=	03/19/2018		×			×														
10 NEZYX -	Loomis Sayles	s Funds - Stra	ategic Income Fund Clas	03/28/2018		×			×														
11 IEMG - 0	Core MSCI Eme	erging Mkts Is	shares	03/19/2018			×		×														
<sup>12</sup> EWJ - Ja	ıpan Index MS0	CI Ishares		03/19/2018		×			×														
13 VEA - F	SE Developed	Markets Van	03/19/2018			×			×														
14 SYV - M	FS Value Equity	03/19/2018		×			×																
15 GARBX	- Guinness Atki	03/19/2018		×			×																
16 NEZYX -	Loomis Sayles	03/19/2018		×			×																

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Filer's Name Goldberg	Beth	L		actions co		nuec	d							Page Number 3							
					Transaction Amount of Transaction									nsaction (x)							
	I	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over				
1 EMRYX - Y	Van Eck Emerç	ging Markets	Fund CI Y	03/19/2018		×			×												
2 RSP - S&F	500 EW Gug	genheim		03/19/2018			×		×												
3 VUG - Gro	wth ETF Vang	uard		03/19/2018		×			×												
4 GEMIX - G	Goldman Sachs	s Emerging N	Markets Equity Fund Insti	03/19/2018		×			×												
5 GEM - GS	Activebeta EM	1 Equity ETF	-	03/19/2018			×		×												
6 LMGNX - 0	Clearbridge Int	ernational G	rowth Trust CI I	03/19/2018		×			×												
OAKIX - O	akmark Interna	ational Fund	CH	03/19/2018		×			×												
8 CME - CM	E Group Inc			03/06/2018			×		×												
9 BBT - BB8	T Corp			03/06/2018			×		×												
10 INTC - Inte	el Corp			03/14/2018		×			×												
11 IBM - Inter	national Busin	ess Machine	es	03/06/2018		×			×												
12 VZ - Verizo	on Communica	tions Inc		03/14/2018			×		×												
PFE - Pfize	er Inc	03/06/2018		×			×														
14 JPM - JP N	Morgan Chase	03/06/2018		×			×														
15 AZN - Astr	azeneca Plc	03/06/2018			×		×														
D - Domini	ion Resources	03/06/2018			×		×														

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

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Filer's Na Goldb		Beth	L		Transactions continued (Use only if needed)														Page Number  4				
								ansact					f Tran	nsaction (x)									
	Identification of Assets					Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
1 TD -	- Toronto	Dominion B	ank			03/06/2018		×			×												
<sup>2</sup> GM ·	- Genera	al Motors Co	mpany			03/06/2018			×		×												
3 T - A	AT&T Inc	<b>.</b>				03/06/2018			×		×												
4 VOD	D - Vodat	fone Grp Plc	Ads			03/06/2018		×			×												
5 UTX	K - United	d Technologie	es Corp			03/06/2018		×			×												
6 CCL	L - Carniv	val Corp				03/06/2018		×			×												
7 VTR	R - Venta	is Inc				03/06/2018			X		×												
8 BA -	- Boeing	Company				03/06/2018		×			×												
9 EMR	R - Emer	son Electric	Company			03/06/2018		×			×												
10 CSC	CO - Ciso	co Systems II	nc			03/06/2018		×			×												
11 OXY	Y - Occid	lental Petrole	eum Corp			03/06/2018			×		×												
12 MCE	D - McDo	onald's Corp				03/06/2018			×		×												
13 ABB	3V - Abby	vie Inc				03/14/2018		×			X												
14 VTR	R - Venta	is Inc			03/14/2018			×		×													
<sup>15</sup> D - E	15 D - Dominion Resources								×		X												
<sup>16</sup> CCI	16 CCI - Crown Castle International Corp							×			×												

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Gold	dberg	Beth	L		Transactions continued (Use only if needed)												Page Number 5						
	Transaction Amount of Tran															( )							
		Ic	lentification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*		Sale (	x)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000						\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
1 PC	CAR - Pac	car Inc			03/14/2018			×		×													
<sup>2</sup> D -	- Dominio	n Resources			03/19/2018			×		×													
3 OX	XY - Occid	dental Petrole	um Corp		03/14/2018			×		×													
4 IT\	W - Illinois	Tool Works I	nc		03/14/2018		×			×													
5 MF	RK - Merc	k & Company			03/06/2018		×			×													
<sup>6</sup> AP	PD - Air Pr	oducts and C	hemicals		03/14/2018		×			×													
7 ME	ET - Metlif	e Inc			03/06/2018			×		×													
8 GC	OOG - Alp	habet Class C			03/16/2018		×			×													
9 AM	MZN - Ama	azon.Com Inc	;		03/16/2018		×			×													
10 AM	MZN - Ama	azon.Com Inc	;		03/15/2018			×		×													
11																							
12																							
13																							
14																							
15																							
16																							

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## Reviewer Comments and Submission History for Beth Goldberg

04/06/2018 16:15:19 Filing submitted