

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Inman, James Todd

Member, National Transportation Safety Board

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on Commerce, Science, and Transportation
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Inman, James Todd [electronically signed on 06/07/2023 by Inman, James Todd in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Blaine, Casey Q, Certifying Official [electronically signed on 07/27/2023 by Blaine, Casey Q in Integrity.gov]

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Other review conducted by

/s/ Blaine, Casey Q, Ethics Official [electronically signed on 07/27/2023 by Blaine, Casey Q in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Bortot, Deborah, Certifying Official [electronically signed on 07/28/2023 by Bortot, Deborah in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Apco Worldwide	Washington , District of Columbia	Corporation	Sr. Director, Office of the Executive Chairman	7/2022	Present
2	State of Florida	Tallahasee, Florida	State Government	Secretary	7/2021	7/2022
3	Wendell Foster Campus for Developmental Disabilities	Owensboro, Kentucky	Non-Profit	Board of Directors	1/1996	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Apco Worldwide (Public Relations)	N/A		Salary/Bonus	\$272,373
2	State of Florida	N/A		Salary	\$110,226
3	Apco Worldwide 401k program	No			
3.1	BLACKROCK EMERGING EQUITY INDEX FUND R	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	BLACKROCK EQUITY INDEX FUND 1 CIT	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	BLACKROCK LIFEPATH INDEX 2060 CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	BLACKROCK RUSSELL 1000 GROWTH R	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	STATE FARM Deferred Compensation Account	N/A	\$50,001 - \$100,000	Deferred Compensation payout amounts were set forth upon termination with the company in 2017. Amounts paid were under defered compensation program	\$23,506
5	State Farm Defined Benefit Retirement Plan	N/A	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	State Farm	Bloomington, Illinois	Benefits are not payable until a retirement age. total payments will be \$83.00 per year for the lifetime. No other benefits such as healthcare etc are included.	1/1993
2	Apco Worldwide	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	7/2022
3	State Farm Insurance Companies	Bloomington, Illinois	Plan terms were set at the time of deferral and cannot be changed. Anticipated annual income of \$15,670.00 for approximately the next five years. Payments are made monthly in one payment but come from 5 different subaccounts. All improvement accounts earn a guaranteed 7.25% and are general obligations of the company.	1/2007

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Apco Worldwide	Washington , District of Columbia	Services as Sr. Director, Office of the Executive Chairman
2	State of Florida	Tallahasee, Florida	Services as Secretary
3	Cosentino Group USA	Miami, Florida	Consultancy surrounding new FL facility ( Client of APCO Worldwide )
4	Duke Energy	Charlotte , North Carolina	Consultancy on FL issues regulatory issues ( Client of APCO Worldwide )
5	DP World NA FZE	Charlote, North Carolina	Consultancy on US Public Affairs ( Client of APCO Worldwide )
6	Wasbash Trailers	Indianapolis , Indiana	Consultancy on Rulemaking issues ( Client of APCO Worldwide )
7	National Sand Stone and Gravel Association	Washington , District of Columbia	Consultancy on Rocks Build America campaign ( Client of APCO Worldwide )

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Savills NA	N/A		salary, bonus	
2	Sabal Trust - Investment Management and Trust Company	N/A		director fees	
3	IRA Sabal Trust	No			
3.1	U.S. Credit Union (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Prologis Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.3	Microsoft Corp	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.4	PepsiCo Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	Waste Management Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.6	Merck & Co Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.7	Texas Instruments Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.8	McDonald's Corp	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.9	JPMorgan Chase & Co	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.10	ConocoPhillips	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.11	Pfizer Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.12	United Parcel Service Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.13	Aflac Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	Home Depot Inc/The	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	UnitedHealth Group Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.16	Chevron Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	Johnson & Johnson	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	NextEra Energy Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	Cisco Systems Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.20	Nasdaq Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.21	Bank of New York Mellon Corp/The	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.22	Accenture PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.23	Medtronic PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.24	Mid-America Apartment Communities Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.25	Coca-Cola Co/The	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.26	Paychex Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.27	General Dynamics Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.28	BlackRock Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.29	Union Pacific Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.30	Air Products and Chemicals Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	JM Smucker Co/The	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.32	Procter & Gamble Co/The	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.33	Verizon Communications Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.34	Realty Income Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.35	Xcel Energy Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.36	Duke Energy Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.37	Amgen Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.38	AbbVie Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.39	PNC Financial Services Group Inc/The	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.40	Digital Realty Trust Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.41	US Bancorp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.42	Target Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.43	Best Buy Co Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Savills NA Deferred Compensation Account	No			



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	T Rowe Price Growth Stock Fund, Inc Class I Shares (PRUFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	Lord Abbett High Yield Fund Class I Shares (LAHYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.3	AB Small Cap Growth Portfolio Class I Shares (QUAIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.4	BlackRock Total Return Fund Institutional Shares (MAHQX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Savills NA unvested restricted stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Savills Na IRA	No			
6.1	T Rowe Price Mid-Cap Value Fund, Inc (TRMCX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.2	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.3	T. Rowe Price Principal Equity Income (PEIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.4	T Rowe Price Small-Cap Value Fund, Inc (PRSVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Savills NA, vested restricted stock	N/A	\$15,001 - \$50,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cleveland Plaza LLC Located in Clearwater Florida	See Endnote	N/A	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. Bank 1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3	U.S. Bank #2 (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
4	U.S. Bank #3 (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
5	U.S. Bank #4 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
6	U.S. Brokerage Account #1	No			
6.1	CHEVRON CORP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
6.2	ENBRIDGE INC	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
6.3	DOW INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.4	MAIDEN HOLDINGS LTD NOTE 6.625% 06/14/46	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
6.5	NUCOR CORP	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
6.6	ALCOA CORP	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
6.7	Insured Cash Account	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.8	RAYTHEON TECHNOLOGIES CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.9	FORD MOTOR CO DEBENTURE CPN 7.700% DUE 05/15/97 DTD 05/13/97 FC 11/15/97	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
6.10	MARATHON PETROLEUM CORP	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.11	ALTRIA GROUP INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
6.12	BRIGHTHOUSE FINL INC REPSTG 1/1000TH INT NON CUMUL B PFD PERPTL 6.75%	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
6.13	CATERPILLAR INC	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
6.14	OCCIDENTAL PETE CORP UNSECD DEBENTURE CPN 7.500% DUE 11/01/96 DTD 05/01/19 FC 11/01/19	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
6.15	ISHARES TRUST ISHARES PREFERRED & INCOME SECS ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
6.16	AT&T INC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
6.17	BP PLC SPON ADR	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
6.18	QWEST CORP 6.5% DUE 09/01/56 NOTE	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
6.19	EXXON MOBIL CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	Brokerage Account 2	No			
7.1	CHEVRON CORP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
7.2	HELMERICH & PAYNE	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.3	HP INC COM	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
7.4	NORSK HYDRO AS ADR SPONSORED	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.5	SOUTH32 LTD (mining and metals company)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
7.6	ALERIAN MLP ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.7	ENERGY SEL SECT SPDR FD	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.8	FIRST TRUST MTRLS ALPHADDEX ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7.9	FIRST TRUST RBA AMERICAN IND	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.10	FIRST TRUST RISING DIV ACHIEV	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.11	FT NORTH AMERICAN ENERGY INFRA	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7.12	HEALTH CARE SEL SECT SPDR FD	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.13	INVESCO BUYBACK ACHIEVERS- ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7.14	INVESCO S&P 500 EQUAL WEIGHT I	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7.15	ISHARES US INFRASTRUCTURE ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.16	NUVEEN MUN CR OPPORTUNITIES FD	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7.17	ALLSPRING SHT TRM HI INC INST	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7.18	ARISTOTLE FLOAT RATE INC I 2	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.19	INVESCO HIGH YIELD Y	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
7.20	U.S. Bank Account 7 (Cash)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
8	Microsoft Corp	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9	Brokerage Account #3	No			
9.1	U.S. bank money market account (cash)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
9.2	PepsiCo Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.3	McDonald's Corp	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.4	Waste Management Inc	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.5	Merck & Co Inc	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.6	Prologis Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.7	Texas Instruments Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.8	JPMorgan Chase & Co	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.9	UnitedHealth Group Inc	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.10	Aflac Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.11	Home Depot Inc/The	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.12	Chevron Corp	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.13	Johnson & Johnson	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.14	United Parcel Service Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.15	Cisco Systems Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.16	ConocoPhillips	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.17	Pfizer Inc	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.18	Accenture PLC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.19	Nasdaq Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.20	Paychex Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.21	Coca-Cola Co/The	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.22	General Dynamics Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.23	Bank of New York Mellon Corp/The	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.24	Union Pacific Corp	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.25	Air Products and Chemicals Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.26	Mid-America Apartment Communities Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.27	JM Smucker Co/The	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.28	Medtronic PLC	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.29	Procter & Gamble Co/The	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.30	NextEra Energy Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.31	Cummins Inc 7.125% 01 Mar 2028	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.32	Verizon Communications Inc	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.33	Realty Income Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.34	Duke Energy Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.35	Xcel Energy Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.36	Bank of New York Mellon Corp/The 3.85% 26 Apr 2029	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.37	AbbVie Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.38	Amgen Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.39	BlackRock Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.40	Digital Realty Trust Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.41	PNC Financial Services Group Inc/The	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.42	US Bancorp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.43	Target Corp	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.44	Best Buy Co Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.45	U.S. Bank account #6 (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
9.46	Sabal Trust Common Stock	N/A	\$250,001 - \$500,000	Capital Gains Dividends	\$15,001 - \$50,000
10	U.S. Bank #5 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley Private Wealth Mortgage Services	See Endnote	Mortgage on Personal Residence	\$250,001 - \$500,000	2021	3.75	15 years
2	Morgan Stanley Private Wealth	See Endnote	Exercised Line of Credit	\$100,001 - \$250,000	2021	Prime + .75	revolving

## 9. Gifts and Travel Reimbursements



(N/A) - Not required for this type of report

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## Endnotes

PART	#	ENDNOTE
6.	1	This represents a 2.5% interest in the LLC which owns a commercial shopping development. Income is passed through on a K-1
8.	1	Entire mortgage was paid off on primary residence at the time of sale in July 2022
8.	2	Exercised line of credit was paid off as a result of sale of primary residence in 2022

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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