

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Fowler, Elizabeth

Deputy Director, CMS and Director, CMMI, Department of Health & Human Services

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fowler, Elizabeth [electronically signed on 03/11/2021 by Fowler, Elizabeth in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 03/23/2021 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 03/23/2021 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Inovio (INO)	Sale	02/16/2021	No	\$1,001 - \$15,000
2	Moderna (MRNA)	Sale	02/23/2021	No	\$1,001 - \$15,000
3	Nvidia (NVDA)	Sale	02/23/2021	No	\$1,001 - \$15,000
4	Fidelity Balanced Fund (FBALX)	Purchase	03/08/2021	No	\$1,001 - \$15,000
5	WISCONSIN ST HEALTH & EDL FACS AUTH REV 05.00000% 08/15/2032 BDS ADVOCATE HEALTH AND HOSPITALS CORP SER. 2018 A	Sale	02/22/2021	No	\$1,001 - \$15,000
6	WESTERN MARICOPA ED CTR DIST NO 402 04.50000% 07/01/2033 MARICOPA CNTY ARIZ SCH IMPT BDS SER. 2014 B	Sale	02/22/2021	No	\$1,001 - \$15,000
7	WAYNE ST UNIV MICH UNIV REVS GEN BDS 05.00000% 11/15/2029 SER. 2019 A	Sale	02/22/2021	No	\$1,001 - \$15,000
8	WASHINGTON ST HEALTH CARE FACS AUTH REV 05.00000% 10/01/2026 REF BDS PROVIDENCE ST JOSEPH HEALTH OBLIGATED GROUP SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
9	WASHINGTON ST GO VAR PURP BDS SER. 2021 A 05.00000% 08/01/2036	Sale	02/22/2021	No	\$1,001 - \$15,000
10	WASHINGTON ST MOTOR VEHICLE FUEL TAX GO 04.25000% 08/01/2032 BDS SER. 2012B-1	Sale	02/22/2021	No	\$1,001 - \$15,000
11	WASHINGTON ST CTFS PARTN COPS SER. 03.25000% 01/01/2032 2015C	Sale	02/22/2021	No	\$1,001 - \$15,000
12	UNIVERSITY VA UNIV REVS GEN REV PLEDGE 05.00000% 04/01/2038 REF BDS SER. 2017 A	Sale	02/22/2021	No	\$1,001 - \$15,000
13	UNIVERSITY TEX UNIV REVS REV FIN SYS BDS 05.00000% 08/15/2021 SER. 2016 J	Sale	02/22/2021	No	\$1,001 - \$15,000
14	UNIVERSITY NORTH TEX UNIV REV REV FIN SYS 05.00000% 04/15/2034 REF AMD IMPT BDS SER. 2017 A	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
15	UNIVERSITY ILL UNIV REVS AUXILIARY FACS 05.00000% 04/01/2031 SYS BDS SER. 2019 A	Sale	02/22/2021	No	\$1,001 - \$15,000
16	UNIVERSITY HOUSTON TEX UNIV REVS 05.00000% 02/15/2029 CONSOLIDATED REV AND REF BDS SER. 2017 A	Sale	02/22/2021	No	\$1,001 - \$15,000
17	SOUTH CAROLINA JOBS-ECONOMIC DEV 05.00000% 05/01/2028 AUTH HOSP REV BDS PRISMA HEALTH SER. 2018 A	Sale	02/22/2021	No	\$1,001 - \$15,000
18	ST PAUL MINN HSG &REDEV AUTH HEALTH 05.00000% 07/01/2030 CARE FAC REV REF BDS HEALTHPARTNERS SYS SER.2015A	Sale	02/22/2021	No	\$1,001 - \$15,000
19	PORT AUTH N Y &N J CONSOLIDATED BDS 05.00000% 12/01/2022 SER.178	Sale	02/22/2021	No	\$1,001 - \$15,000
20	PHILADELPHIA PA GO REF BDS SER. 2014A 05.25000% 07/15/2028	Sale	02/22/2021	No	\$1,001 - \$15,000
21	PENNSYLVANIA ST TPK COMMN TPK REV SUB 05.00000% 12/01/2036 BDS SER. A-1	Sale	02/22/2021	No	\$1,001 - \$15,000
22	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 05/01/2026 REV REV REF BDS DREXEL UNIV SER. 2016	Sale	02/22/2021	No	\$1,001 - \$15,000
23	PASCO CNTY FLA SCH BRD CTFS PARTN REF 05.00000% 08/01/2027 COPS SER. 2020 D	Sale	02/22/2021	No	\$1,001 - \$15,000
24	OREGON ST GO BDS ARTICLE XI-M SEISMIC 05.00000% 06/01/2035 PROJ SER. C	Sale	02/22/2021	No	\$15,001 - \$50,000
25	OHIO ST HIGHER EDL FAC COMMN REV BDS 05.00000% 12/01/2035 CASE WESTERN RESERVE UNIV CASE WESTERN RESERVE UNIV PROJ	Sale	02/22/2021	No	\$1,001 - \$15,000
26	OHIO ST CAP FACS LEASE APPROPRIATION 05.00000% 04/01/2033 BDS TRANSN BLDG FUND PROJ SER. 2018 A	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
27	OAKLAND UNIV MICH REV GEN REV REF BDS 05.00000% 03/01/2026 SER.2014	Sale	02/22/2021	No	\$1,001 - \$15,000
28	NEW MEXICO ST HOSP EQUIP LN COUNCIL 05.00000% 08/01/2028 HOSP REV REV BDS PRESBYTERIAN HEALTHCARE SVCS SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
29	NEW JERSEY ST COVID 19 GO EMERGENCY BDS 05.00000% 06/01/2026 SER. 2020 A	Sale	02/22/2021	No	\$1,001 - \$15,000
30	NEW JERSEY HEALTH CARE FACS FING AUTH 04.50000% 07/01/2027 REF BDS SER.2011	Sale	02/22/2021	No	\$15,001 - \$50,000
31	MINNESOTA ST HIGHER ED FACS AUTH REV REF 05.00000% 10/01/2023 BDS UNIVERSITY OF ST THOMAS SER. 2017A	Sale	02/22/2021	No	\$1,001 - \$15,000
32	LOWER COLO RIV AUTH TEX REV REF REV BDS 05.00000% 05/15/2033 SER. 2012 A	Sale	02/22/2021	No	\$1,001 - \$15,000
33	INDIAN RIVER CNTY FLA SCH BRD CTFS 05.00000% 07/01/2026 PARTN COPS SER. A	Sale	02/22/2021	No	\$1,001 - \$15,000
34	ILLINOIS FIN AUTH REV REV REF BDS 05.00000% 03/01/2034 SOUTHERN ILLINOIS HEALTHCARE ENTERPRISES INC SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
35	ILLINOIS FIN AUTH REV REV BDS RUSH 05.00000% 11/15/2025 UNIV MED CTR SER.2015A	Sale	02/22/2021	No	\$1,001 - \$15,000
36	IDAHO HEALTH FACS AUTH REV REV BDS 05.50000% 12/01/2026 TRINITY HLTH CREDIT GROUP SER.2015ID	Sale	02/22/2021	No	\$1,001 - \$15,000
37	HUDSONVILLE MICH PUB SCHS GO ULTD TAX REF 05.00000% 05/01/2040 BDS SER. 2017	Sale	02/22/2021	No	\$1,001 - \$15,000
38	HONOLULU HAWAII CITY &CNTY WA STEWTR SYS 05.00000% 07/01/2035 REV REV BDS SENIOR SERIES B	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
39	HILLSBOROUGH CNTY FLA SCH BRD CTFS 05.00000% 07/01/2023 PARTN REF COPS MASTER LEASE PROGRAM SER.2015A	Sale	02/22/2021	No	\$1,001 - \$15,000
40	HARRIS CNTY TEX REV REF BDS SER.2012C 05.00000% 08/15/2028	Sale	02/22/2021	No	\$1,001 - \$15,000
41	GEISINGER AUTH PA HEALTH SYS REV REV 05.00000% 02/15/2032 BDS GEISINGER HLTH SYS SER. 2017 A-2	Sale	02/22/2021	No	\$1,001 - \$15,000
42	FULTON CNTY GA DEV AUTH REV REF REV BDS 05.00000% 05/01/2039 GEORGIA TECH FACILITIES INC SER. 2014A	Sale	02/22/2021	No	\$1,001 - \$15,000
43	DISTRICT COLUMBIA WTR &SWR AU TH PUB 05.00000% 10/01/2037 UTIL REV PUB UTIL SUB LIEN REV BDS SER. B	Sale	02/22/2021	No	\$1,001 - \$15,000
44	DISTRICT COLUMBIA GO REF BDS SER. 2017 A 05.00000% 06/01/2037	Sale	02/22/2021	No	\$1,001 - \$15,000
45	CONNECTICUT ST HEALTH &EDL FA CS 05.00000% 07/01/2031 AUTH REV REV BDS CONNECTICUT COLLEGE SER. 2012	Sale	02/22/2021	No	\$1,001 - \$15,000
46	BROWARD CNTY FLA SCH BRD CTFS PARTN COPS 05.00000% 07/01/2025 SER. 2015B	Sale	02/22/2021	No	\$1,001 - \$15,000
47	BREVARD CNTY FLA SCH BRD CTFS PARTN REF 05.00000% 07/01/2032 COPS SER. 2017 A	Sale	02/22/2021	No	\$1,001 - \$15,000
48	WASHINGTON ST HEALTH CARE FACS AUTH REV 05.00000% 08/15/2037 BDS MULTICARE HLTH SYS SER. 2017 B	Sale	02/22/2021	No	\$1,001 - \$15,000
49	WASHINGTON ST HEALTH CARE FACS AUTH REV 05.00000% 08/15/2026 BDS MULTICARE HLTH SYS SER. 2017 B	Sale	02/22/2021	No	\$1,001 - \$15,000
50	WASHINGTON ST CTFS PARTN CTFS PARTN 05.00000% 07/01/2039 SER. 2020 D	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
51	WARREN MICH CONS SCH DIST UNLTD TAX GO 05.00000% 05/01/2038 SCH BLDG SITE BDS COUNTIES OF MACOMB AND OAKLAND SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
52	WARREN MICH CONS SCH DIST GO ULTD TAX SCH 05.00000% 05/01/2035 BLDG AND SITE BDS SER.2016	Sale	02/22/2021	No	\$15,001 - \$50,000
53	TUCSON ARIZ CTFS PARTN COPS SER.2014 05.00000% 07/01/2025	Sale	02/22/2021	No	\$1,001 - \$15,000
54	TAMPA FLA HOSP REV REV REF BDS H LEE 05.00000% 07/01/2030 MOFFITT CANCER CTR AND RESEARCH INST INC SER. 2016 B	Sale	02/22/2021	No	\$15,001 - \$50,000
55	SOUTH LYON MICH CMNTY SCHS GO ULTD 05.00000% 05/01/2027 TAX REF BDS SER. 2016	Sale	02/22/2021	No	\$1,001 - \$15,000
56	SHELBY CNTY TENN HEALTH EDL & HSG 05.00000% 05/01/2035 FACS BRD REV REV BDS METHODIST LE BONHEUR HEALTHCARE SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
57	SCHERTZ/SEGUIN LOC GOVT CORP TEX 05.00000% 02/01/2033 CONTRACT REV REF BDS SAN ANTONIO WTR SYS EXPANSION SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
58	ROSEVILLE MICH CMNTY SCHS GO REF BDS SER. 05.00000% 05/01/2030 2014	Sale	02/22/2021	No	\$15,001 - \$50,000
59	ROANOKE VA GO PUB IMPT BDS SER. 2014A 03.12500% 04/01/2029	Sale	02/22/2021	No	\$1,001 - \$15,000
60	PENNSYLVANIA ST TPK COMMN TPK REV REF 05.00000% 12/01/2038 BDS SER. 2017	Sale	02/22/2021	No	\$15,001 - \$50,000
61	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 08/15/2033 REV HEALTH SYS BDS UNIVERSITY OF PENNSYLVANIA HLTH	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
62	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 08/15/2031 REV REV BDS UNIVERSITY OF PENNSYLVANIA SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
63	PENNSYLVANIA ST HIGHER EDL FACS AUTH 05.00000% 06/15/2021 REV REV BDS SER. AN	Sale	02/22/2021	No	\$1,001 - \$15,000
64	PALM BEACH CNTY FLA HEALTH FACS AUTH 05.00000% 08/15/2030 HOSP REV BDS BAPTIST HEALTH SOUTH FLORIDA SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
65	OHIO ST TPK COMMN TPK REV BDS 05.00000% 02/15/2033 INFRASTRUCTURE PROJ SER. 2018 A	Sale	02/22/2021	No	\$1,001 - \$15,000
66	NORTH CAROLINA MED CARE COMMN HEALTH 05.00000% 07/01/2033 CARE FACS REV REV BDS REX HOSP REX HEALTHCARE SER.	Sale	02/22/2021	No	\$15,001 - \$50,000
67	NEW YORK ST DORM AUTH ST PERS INCOME 05.00000% 03/15/2034 TAX REV REV BDS SER. 2015A	Sale	02/22/2021	No	\$1,001 - \$15,000
68	NEW YORK ST DORM AUTH ST PERS INCOME 05.00000% 03/15/2033 TAX REV REV BDS SER. 2015A	Sale	02/22/2021	No	\$1,001 - \$15,000
69	NEW YORK ST DORM AUTH SALES TAX REV 05.00000% 03/15/2038 ST SUPPORTED DEBT REV BDS SER. 2017 A	Sale	02/22/2021	No	\$1,001 - \$15,000
70	NEW YORK N Y CITY MUN WTR FIN AUTH WTR 05.00000% 06/15/2036 & SWR SYS REV SECOND GEN RESOLUTION REV BDS SER. 2017 EE	Sale	02/22/2021	No	\$1,001 - \$15,000
71	NEW YORK N Y CITY TRANSITIONAL FIN 05.00000% 08/01/2034 AUTH REV SUB FUTURE TAX SECURED BDS SER. 2015 A-1	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
72	NEW ORLEANS LA AVIATION BRD REV GEN 05.00000% 01/01/2031 ARPT BDS NORTH TERMINAL PROJ SER. B	Sale	02/22/2021	No	\$1,001 - \$15,000
73	NEW JERSEY ST COVID 19 GO EMERGENCY BDS 05.00000% 06/01/2026 SER. 2020 A	Sale	02/22/2021	No	\$1,001 - \$15,000
74	NEW JERSEY HEALTH CARE FACS FING AUTH 05.00000% 07/01/2032 REV BDS RWJ BARNABAS HEALTH SER. 2014A	Sale	02/22/2021	No	\$1,001 - \$15,000
75	NEW HAMPSHIRE HEALTH & ED FACS AUTH REV 05.00000% 08/01/2026 BDS DARTMOUTH HITCHCOCK HEALTH OBLIGATED GROUP SER.	Sale	02/22/2021	No	\$1,001 - \$15,000
76	MISSOURI ST HEALTH & EDL FACS AUTH HEALTH 05.00000% 06/01/2025 FACS REV BDS MERCY HEALTH SER. 2018A	Sale	02/22/2021	No	\$1,001 - \$15,000
77	MILWAUKEE CNTY WIS ARPT REV AIRPORT REV 05.25000% 12/01/2027 BDS SER. A	Sale	02/22/2021	No	\$1,001 - \$15,000
78	MIAMI DADE CNTY FLA EXPWY AUTH TOLL SYS 05.00000% 07/01/2023 REV REF BDS SER. 2014B	Sale	02/22/2021	No	\$1,001 - \$15,000
79	MIAMI-DADE CNTY FLA PUB FACS REV REV REF 05.00000% 06/01/2032 BDS JACKSON HEALTH SYSTEM SER. 2017	Sale	02/22/2021	No	\$1,001 - \$15,000
80	MIAMI CNTY OHIO HOSP FACS REV IMPT REF 05.00000% 08/01/2037 BDS KETTERING HEALTH NETWORK SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
81	METROPOLITAN TRANSN AUTH N Y REV REV BDS 05.00000% 11/15/2030 SER. 2012C	Sale	02/22/2021	No	\$1,001 - \$15,000
82	MCALLISTER ACADEMIC VLG ARIZ LLC REV 05.00000% 07/01/2031 D/B/A HASSAYAMPA ACADEMIC VILLAGE REV REF BDS SER.2016 A	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
83	MASSACHUSETTS ST DEV FIN AGY REV REV BDS 05.00000% 07/01/2036 LESLEY UNIVERSITY SER. 2016	Sale	02/22/2021	No	\$15,001 - \$50,000
84	MASSACHUSETTS ST DEV FIN AGY SPL OBLIG 05.00000% 05/01/2034 SPL OBLIG BDS SER.2014A	Sale	02/22/2021	No	\$1,001 - \$15,000
85	MASSACHUSETTS ST COLLEGE BLDG AUTH 05.00000% 05/01/2037 REV REF REV BDS SER.2016A	Sale	02/22/2021	No	\$1,001 - \$15,000
86	MASSACHUSETTS ST DEV FIN AGY REV REV BDS 05.00000% 10/01/2029 BERKSHIRE HLTH SYS INC SER. 2012-G	Sale	02/22/2021	No	\$15,001 - \$50,000
87	MARQUETTE MICH BRD LT &PWR EL EC UTIL 05.00000% 07/01/2027 SYS REV REV REF BDS SER.2016 A	Sale	02/22/2021	No	\$1,001 - \$15,000
88	MARICOPA CNTY ARIZ INDL DEV AUTH REV 04.00000% 01/01/2032 REV BDS BANNER HEALTH SER. 2016 A	Sale	02/22/2021	No	\$15,001 - \$50,000
89	MANSFIELD TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2031 BLDG BDS SER. 2019A	Sale	02/22/2021	No	\$1,001 - \$15,000
90	LOWER COLO RIV AUTH TEX TRANSMISSION 05.00000% 05/15/2040 CONTRACT REV REF BDS LCRA TRANSMISSION SVCS CORP SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
91	LOVE FIELD ARPT MODERNIZATION CORP 05.00000% 11/01/2025 TEX GEN ARPT REV REV BDS SER.2015	Sale	02/22/2021	No	\$1,001 - \$15,000
92	LEANDER TEX INDPT SCH DIST ULTD TAX 05.00000% 08/15/2027 REF BDS SER. 2017 A	Sale	02/22/2021	No	\$1,001 - \$15,000
93	LANCASTER CNTY PA HOSP AUTH REV REV 04.00000% 08/15/2032 REF BDS UNIVERSITY OF PENNSYLVANIA HLTH SYS SER. 2016 A	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
94	KING CNTY WASH SWR REV BDS SER. 2018 B 05.00000% 07/01/2031	Sale	02/22/2021	No	\$1,001 - \$15,000
95	JACKSONVILLE FLA HEALTH CARE FACS REV 05.00000% 08/15/2033 REV REF BDS BAPTIST MED CTR JACKSONVILLE & BAPTIST MED CTR	Sale	02/22/2021	No	\$1,001 - \$15,000
96	ILLINOIS FIN AUTH REV REVOLVING FD REV 05.00000% 07/01/2030 BDS SER. 2017	Sale	02/22/2021	No	\$1,001 - \$15,000
97	ILLINOIS FIN AUTH REV REVOLVING FD REV 05.00000% 01/01/2029 BDS SER. 2017	Sale	02/22/2021	No	\$1,001 - \$15,000
98	ILLINOIS FIN AUTH REV BDS MEMORIAL 05.00000% 04/01/2022 HEALTH SYS SER. 2019	Sale	02/22/2021	No	\$15,001 - \$50,000
99	ILLINOIS FIN AUTH REV REV BDS 05.00000% 10/01/2035 UNIVERSITY CHICAGO SER. 2015 A	Sale	02/22/2021	No	\$1,001 - \$15,000
100	GREATER ORLANDO AVIATION AUTH 05.00000% 10/01/2026 ORLANDO FLA ARPT FACS REV AMT BDS SER. 2019 A	Sale	02/22/2021	No	\$1,001 - \$15,000
101	GRAND RIVER DAM AUTH OKLA REV REV BDS 05.00000% 06/01/2032 SER.2014A	Sale	02/22/2021	No	\$1,001 - \$15,000
102	GRAND RAPIDS MICH SAN SWR SYS REV IMPT 05.00000% 01/01/2036 REF BDS SER. 2020	Sale	02/22/2021	No	\$15,001 - \$50,000
103	FORT BEND TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2031 REF BDS SER. 2019B	Sale	02/22/2021	No	\$1,001 - \$15,000
104	DISTRICT COLUMBIA WTR & SWR AU TH PUB 05.00000% 10/01/2036 UTIL REV SUB LIEN REV REF BDS SER. 2016 A	Sale	02/22/2021	No	\$1,001 - \$15,000
105	DISTRICT COLUMBIA REV FEDERAL HWY 05.00000% 12/01/2034 GRANT ANTIC BDS SER. 2020	Sale	02/22/2021	No	\$1,001 - \$15,000
106	DISTRICT COLUMBIA GO REF BDS SER. 2017 A 05.00000% 06/01/2035	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
107	DISTRICT COLUMBIA GO BDS SER.2015A 05.00000% 06/01/2034	Sale	02/22/2021	No	\$1,001 - \$15,000
108	DELAWARE ST GO BDS SER. 2018 A 05.00000% 02/01/2037	Sale	02/22/2021	No	\$1,001 - \$15,000
109	DELAWARE RIV & BAY AUTH DEL REV BDS 05.00000% 01/01/2031 SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
110	DAUPHIN CNTY PA GEN AUTH HEALT H SYS REV 05.00000% 06/01/2026 REV BDS PINNACLE HLTH SYS SER.2016 A	Sale	02/22/2021	No	\$1,001 - \$15,000
111	DALLAS FORT WORTH TEX INTL ARPT REV 05.00000% 11/01/2032 JOINT REV IMPT BDS SER. 2012 H	Sale	02/22/2021	No	\$1,001 - \$15,000
112	CORPUS CHRISTI TEX UTIL SYS REV JR LIEN 05.00000% 07/15/2026 REF AND IMPT BDS SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
113	COOK CNTY ILL GO REF BDS SER. 2021 A 05.00000% 11/15/2027	Sale	02/22/2021	No	\$1,001 - \$15,000
114	COLORADO HEALTH FACS AUTH REV BDS 05.00000% 08/01/2032 COMMONSPIRIT HEALTH SER. 2019 A-1	Sale	02/22/2021	No	\$1,001 - \$15,000
115	CLARK CNTY NEV GO LTD TAX STADIUM IMPT 05.00000% 06/01/2037 BDS SER. 2018 A	Sale	02/22/2021	No	\$1,001 - \$15,000
116	CLARK CNTY NEV GO LTD TAX FLD CTL REF 05.00000% 11/01/2031 BDS SER. 2015	Sale	02/22/2021	No	\$15,001 - \$50,000
117	CHICAGO ILL O HARE INTL ARPT REV REV 05.00000% 01/01/2036 REF BDS SER. B	Sale	02/22/2021	No	\$1,001 - \$15,000
118	CHICAGO ILL O HARE INTL ARPT REV REV 05.00000% 01/01/2026 BDS SER.2013C	Sale	02/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
119	CHICAGO ILL MIDWAY ARPT REV SECOND LIEN 05.00000% 01/01/2023 REV AND REF BDS SER.A	Sale	02/22/2021	No	\$1,001 - \$15,000
120	CHICAGO ILL MIDWAY ARPT REV SECOND LIEN 05.00000% 01/01/2027 REV AND REV REF BDS SER.2014B	Sale	02/22/2021	No	\$1,001 - \$15,000
121	CHICAGO ILL MIDWAY ARPT REV SECOND LIEN 05.00000% 01/01/2034 REV AND REV REF BDS SER.2014A	Sale	02/22/2021	No	\$1,001 - \$15,000
122	BROWARD CNTY FLA SCH BRD CTFS PARTN COPS 05.00000% 07/01/2032 SER. A	Sale	02/22/2021	No	\$1,001 - \$15,000
123	BIRDVILLE TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2031 SCH BLDG BDS SER. 2019	Sale	02/22/2021	No	\$1,001 - \$15,000
124	BALTIMORE MD PROJ REV REV BDS SER. 05.00000% 07/01/2030 2013A	Sale	02/22/2021	No	\$1,001 - \$15,000
125	AUSTIN TEX WTR & WASTEWATER SYS REV 05.00000% 11/15/2026 REF REV BDS SER. 2011	Sale	02/22/2021	No	\$1,001 - \$15,000
126	AUBURN UNIV ALA GEN FEE REV BDS SER. 05.00000% 06/01/2034 2018A	Sale	02/22/2021	No	\$15,001 - \$50,000
127	AUBURN UNIV ALA GEN FEE REV REF BDS 05.00000% 06/01/2027 SER.2015A	Sale	02/22/2021	No	\$1,001 - \$15,000
128	AUBURN UNIV ALA GEN FEE REV REV BDS SER. 05.00000% 06/01/2028 2012A	Sale	02/22/2021	No	\$1,001 - \$15,000
129	ARIZONA BRD REGENTS UNIV ARIZ SYS REV 05.00000% 08/01/2036 SPEED REF BDS SER. 2020 A	Sale	02/22/2021	No	\$1,001 - \$15,000
130	ARIZONA BRD REGENTS UNIV ARIZ SYS REV 05.00000% 08/01/2029 SPEED REV BDS SER. 2013	Sale	02/22/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
131	ARIZONA BRD REGENTS ARIZ ST UNIV SYS REV 05.00000% 07/01/2027 SYS REV REF BDS SER. 2016 A	Sale	02/22/2021	No	\$1,001 - \$15,000
132	FIDELITY MUNICIPAL BOND INDEX FUND (FMBIX)	Purchase	03/02/2021	No	\$500,001 - \$1,000,000
133	FIDELITY GLOBAL EX US INDEX FUND (FSGGX)	Purchase	03/02/2021	No	\$100,001 - \$250,000
134	FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	Purchase	03/02/2021	No	\$100,001 - \$250,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
