OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

Filer's	s Name (Print Las	t, First, and Middle I	nitial)	Title of Position for Which	n Filing				Department or Agency														
Gold	berg	Beth	L	District Director							SBA												
Certif	fication: LCERTIF	Y that the statements I	have made	Signature of the Filer							(Mor	th, Do	ay, Ye	Notice of Extension									
		ments are true, comple		Doth Coldbare						2018	8-12-	10 12	49:20	Check if granted									
correct	t to the best of my kn	owledge.		Beth Goldberg						2011	0 12	10 12.	10.20	Indicate number of days:									
Signa	ture of Intermedi	ate Reviewing Offic	ial (if requi	red by agency)	Date (Mon		Agen	cy Us	se On	ly		OGE Use Only											
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Signa	ture of Agency's l	Final Reviewing Off	icial		Date (Mon	th, Day, Year	r)			Com	ment	s of R	eview	ing O	fficia	ls							
Mar	rilyn Barnes				2019-05-0	7 16:35:04																	
Signa	ture of Reviewing	Official at U.S. Off	fice of Gove	rnment Ethics (if required)	Date (Mon	th, Day, Year	r)																
You do notes,	o not need to report: and bonds; (4) Thrift	(1) mutual funds and of Savings Plan accounts	ther excepted s; (5) real pro	investment funds; (2) certificate perty; and (6) transactions that a	re solely by a	sayings or che nd between yo	ou, yo	g acco our sp ansact Type (ouse.	, and n , or dep	noney	marke nt chile	d.	unts; (3				ls,					
You m transa	ction but not later th	vithin 30 days of when an 45 days after the tra ry additional disclosure	nsaction. The es you make.	se deadlines	Date	Notification Received More Than 30 Days	Purchase	Sale	ige	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	50,001 - 00,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
ъ Iс	entral Airlines Co.	Identification	of Assets		10/1/10	Ago*	_	Š	E	\$1.	\$1: \$5		\$1.	\$2. \$5	\$5 \$1	O _v	\$1	\$5 \$2	\$2.	95. \$5.			
	MSL Propulsion, Inc.				10/1/12 9/4/12	X	X				X	X											
4	BT - BB&T Corp				11/19/2018			X		×													
² A	EP - American Ele	ctric Power Company	/		11/19/2018			X		×													
³ G	SSIE - GS Activebe	ta International ETF			11/19/2018			×		×													
4 B	GLSX - Boston Pa	rtners Global Long/S	hort Fund In	st CI	11/19/2018			X			×												
⁵ S	GIIX - First Eagle (Global Fund Class I			11/19/2018			×			X												
6 Q	LEIX - Aqr Long-S	hort Equity Fund Cla	ss I		11/19/2018			×		×													
		hort Equity Fund Cla			11/19/2018			×		×													
**	This category applie	e date should be noted it es only if the underlying use the other higher car	g asset is sole	of Extension" section of this for ly that of your spouse or depend lue, as appropriate.	rm. lent child. If t	ne underlying	asset	t is ei	ther h	eld by	you o	or joint	ly held	l by yo	u with	yours	spouse						

Filer's Name Goldberg	Beth	L			actions co		nuec	l							Page Number 2									
	Transaction Amount of Transaction Type (x) Amount of Transaction															ansaction (x)								
]	Identification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
1 SQQQ - I	Jltrapro Short C	QQQ Proshai	res	11/19/2018			×		×															
2 RDS B - I	Royal Dutch Sh	ell B		11/23/2018			×		×															
3 KBWB - F	(BW Bank Inve	sco ETF		11/23/2018			×		×															
4 KRE - S8	P Regional Bar	nking ETF SI	PDR	11/23/2018			×		×															
⁵ SGIIX - F	irst Eagle Globa	al Fund Clas	ss I	11/26/2018			×		×															
6 VBINX - V	/anguard Balan	nced Index F	und	11/26/2018		×			×															
7 PUTIX - F	Pimco Strategic	Bond Fund	Class Instl	11/29/2018		×			X															
8 CHY - Ca	lamos Cv & Hiç	gh In		11/29/2018			×		×															
9 XLE - S&	P 500 Energy S	Sector SPDR		11/29/2018			×		×															
10 MERIX -	The Merger Fur	nd Institution	al Cl	11/29/2018		×			×															
11 HYG - Hi	gh Yield Corp B	Bond Ishares	Iboxx \$ ETF	11/29/2018			×		×															
12 TIP - TIP	S Bond Ishares	ETF		11/29/2018			×		×															
13 XLB - S&	P 500 Materials	Sector SPD	11/29/2018			×		X																
DSIAX - I	Diamond Hill Co	orporate Cred	11/29/2018			×		X																
15 PDVYX -	Putnam Divers	ified Income	11/29/2018			×		×																
16 SLYV - S	&P Smallcap Va	alue ETF SP	11/29/2018		X			×																

^{*} Extensions of the due date should be noted in the "Notice of Extension" section of this form.

** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

	r's Name oldberg l	Beth	L			actions co		nuec	1							Page	Numb	er B		
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]	Identification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale (3	ıge	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000				*	Ī	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	REGL - S&P M	lidcap 400) Divd Prosh	nares	11/29/2018		×			×				0, 0,		0 01		<u> </u>	92 91	
2	JBLAX - Janus	Henderso	on Balanced	l Fund I	11/29/2018		×			×										
3	HYLS - Tactica	al High Yie	eld ETF FT		11/29/2018			×		×										
4	LFRFX - Lord A	Abbett Inv	TR Lord Ab	bbett Floating Rate Fd	11/29/2018		×			×										
5	LDLFX - Lord A	Abbett Inv	estment Tru	st Short Duration Inco	11/29/2018		×			X										
6	IBB - Nasdaq B	Biotechnol	logy Ishares	ETF	11/29/2018			×		×										
7	SKYY - Cloud (Computin	g ETF FT		11/29/2018			×		×										
8	EVF - Eaton Va	ance Seni	ior Income T	rust	12/10/2018			×		×										
9	FTSL - Senior I	Loan ETF	FT		11/29/2018			×		×										
10	FYC - Smallcap	p Growth .	Alphadex F	und FT	11/29/2018		×			X										
11	LSWWX - Loor	mis Sayles	s Global Allo	ocation Fund Class Y	11/29/2018		×			×										
12	SDY - S&P Div	ridend SPI	DR		11/29/2018		×			×										
13	RGERX - Colu	mbia Sele	ect Global E	quity Fund Class I2	11/29/2018			×		×										
14	XLF - S&P 500) Financial	ls Sector SF	PDR	11/29/2018			×		X										
15	RSP - S&P 500	D EW Inve	esco ETF		11/29/2018		×			X										
16	SYV - MFS Val	lue Equity	ETF SPDR		11/29/2018		×			X										
	* Extensions of th	he due date	a chould be n	oted in the "Notice of Extension" s	action of this form							-								

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Filer's Name Goldberg Beth	L		se only if need		nuec	1							Page Number 4						
	Transaction Type (x) Amount of Transaction Type (x)																		
Ident	ification	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 -	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over		
1 VOX - Telecom Services ETF	- Vangua	ard	11/29/2018			×		×											
² VUG - Growth ETF Vanguard	l		11/29/2018		×			×											
3 TGBAX - Templeton Global E	Bond Fur	nd Advisor Class	11/29/2018		×			×											
4 PUTIX - Pimco Strategic Bon	d Fund (Class Instl	11/29/2018		X			×											
⁵ GEMIX - Goldman Sachs Em	erging N	Markets Equity Fund Insti	11/29/2018			×		×											
⁶ BKLN - Senior Loan Invesco	ETF		11/29/2018			×		×											
7 IVHIX - Ivy High Income Fund	d Class I	I	11/29/2018			×		×											
8 LFRFX - Lord Abbett Inv TR	Lord Abl	bett Floating Rate Fd	11/29/2018		×			×											
9 LDLFX - Lord Abbett Investm	ent Trus	st Short Duration Inco	11/29/2018		×			×											
NEZYX - Loomis Sayles Fund	ds - Stra	itegic Income Fund Clas	11/29/2018			×		×											
ANGIX - Angel Oak Multi-Stra	ategy Ind	come Fd Inst Shs	11/29/2018		×			×											
12 SYV - MFS Value Equity ETF	SPDR		11/29/2018			×		×											
13 CMNIX - Calamos Market Ne	utral Fd	CII	11/29/2018		×			×											
MERIX - The Merger Fund In	stitution	al Cl	11/29/2018		×			×											
PDVYX - Putnam Diversified	<u> </u>							×											
16 REGL - S&P Midcap 400 Dive	11/29/2018		×			×													

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's Name Idberg	Beth	L		Transactions continued (Use only if needed)												Page Number 5							
												Am	saction (x)										
	Id	lentification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	ige	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000						\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000				
JBALX - Jan	ius Hendersor	n Balanced	Fund - I Shares	11/29/2018		×			×														
RSP - S&P (500 EW Inves	co ETF		11/29/2018		×			×														
FV - Dorsey	Wright Focus	5 ETF FT		11/29/2018			×		×														
LSWWX - Lo	oomis Sayles	Global Allo	cation Fund Class Y	11/29/2018		×			×														
SDY - S&P I	Dividend SPD	R		11/29/2018		×			×														
RGERX - Co	olumbia Selec	t Global Eq	uity Fund Class I2	11/29/2018			×		×														
TGBAX - Te	mpleton Glob	al Bond Fur	nd Advisor Class	11/29/2018		×			×														
	JBALX - Jan RSP - S&P ! FV - Dorsey LSWWX - Lo SDY - S&P I RGERX - Co	Idberg Beth Id JBALX - Janus Hendersor RSP - S&P 500 EW Inves FV - Dorsey Wright Focus LSWWX - Loomis Sayles SDY - S&P Dividend SPD RGERX - Columbia Select TGBAX - Templeton Glob	Identification JBALX - Janus Henderson Balanced RSP - S&P 500 EW Invesco ETF FV - Dorsey Wright Focus 5 ETF FT LSWWX - Loomis Sayles Global Allo SDY - S&P Dividend SPDR RGERX - Columbia Select Global Eq TGBAX - Templeton Global Bond Full TGBAX - Templeton Global Bond Full	Identification of Assets JBALX - Janus Henderson Balanced Fund - I Shares RSP - S&P 500 EW Invesco ETF FV - Dorsey Wright Focus 5 ETF FT LSWWX - Loomis Sayles Global Allocation Fund Class Y SDY - S&P Dividend SPDR RGERX - Columbia Select Global Equity Fund Class I2 TGBAX - Templeton Global Bond Fund Advisor Class	Transaction Date (Mo./Day/Yr.) Identification of Assets JBALX - Janus Henderson Balanced Fund - I Shares RSP - S&P 500 EW Invesco ETF 11/29/2018 FV - Dorsey Wright Focus 5 ETF FT 11/29/2018 LSWWX - Loomis Sayles Global Allocation Fund Class Y 11/29/2018 SDY - S&P Dividend SPDR 11/29/2018 RGERX - Columbia Select Global Equity Fund Class I2	Identification of Assets Identification of	Identification of Assets JBALX - Janus Henderson Balanced Fund - I Shares JBALX - Jones Wiright Focus 5 ETF FT LSWWX - Loomis Sayles Global Allocation Fund Class Y SDY - S&P Dividend SPDR RGERX - Columbia Select Global Equity Fund Class I2 TGBAX - Templeton Global Bond Fund Advisor Class Transaction (Use only if needed) Transaction Date (Mor/Day/Tr.) Received More Than Received More Than Select Hold Page 11/29/2018 X T1/29/2018 X T1/29/2018 X T1/29/2018 X TGBAX - Templeton Global Bond Fund Advisor Class T1/29/2018 X	Identification of Assets Identification of	Identification of Assets Identification Received More Than 3D Days Ago* Identification Received	Identification of Assets IJBALX - Janus Henderson Balanced Fund - I Shares IJC Davy Response For For Sayles Global Allocation Fund Class Y IJC Say Dividend SPDR RGERX - Columbia Select Global Equity Fund Class I2 ITANSaction Notification Received More Than 30 Days Ago* ITANSaction Received More Than 30 Days Ago* II/29/2018 X X X X X X X X X X X X X	Identification of Assets Identification of	Identification of Assets	Transaction Continued (Use only if needed) Transaction Continued (Use only if needed) Transaction Notification N	Transaction Continued (Use only if needed)	Transaction Notification Received Mov. Doy. 17. More Than 30 Days More Tha	Transaction Transaction	Transaction Date (Mo. Duy)** Notification of Assets More Than 30 Duys Ago* Ago*	Transaction Northeation of Assets	Transaction Date (Use only if needed) 5				

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Reviewer Comments and Submission History for Beth Goldberg

12/10/2018 12:49:20 Filing submitted