

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

<b>Filer's Name</b> ( <i>Print Last, First, and Middle Initial</i> )			<b>Title of Position for Which Filing</b>			<b>Department or Agency</b>		
Goldberg Beth L			District Director			SBA		
<b>Certification:</b> I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.			<b>Signature of the Filer</b>			<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Notice of Extension</b>
			Beth Goldberg			2018-12-10 12:49:20		<input type="checkbox"/> Check if granted Indicate number of days:
<b>Signature of Intermediate Reviewing Official</b> ( <i>if required by agency</i> )				<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Agency Use Only</b>		<b>OGE Use Only</b>
						12/10/2018		
<b>Signature of Agency's Final Reviewing Official</b>				<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Comments of Reviewing Officials</b>		
Marilyn Barnes				2019-05-07 16:35:04				
<b>Signature of Reviewing Official at U.S. Office of Government Ethics</b> ( <i>if required</i> )				<b>Date</b> ( <i>Month, Day, Year</i> )				

**Transactions**  
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Ex.		Identification of Assets	Transaction Date ( <i>Mo./Day/Yr.</i> )	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
					Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex.		Central Airlines Co.	10/1/12		X														
Ex.		BMSL Propulsion, Inc.	9/4/12	X	X				X										
1		BBT - BB&T Corp	11/19/2018			X													
2		AEP - American Electric Power Company	11/19/2018			X													
3		GSIE - GS Activebeta International ETF	11/19/2018			X													
4		BGLSX - Boston Partners Global Long/Short Fund Inst Cl	11/19/2018			X			X										
5		SGIIX - First Eagle Global Fund Class I	11/19/2018			X			X										
6		QLEIX - Aqr Long-Short Equity Fund Class I	11/19/2018			X			X										
7		QLEIX - Aqr Long-Short Equity Fund Class I	11/19/2018			X			X										

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form.  
 \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name <b>Goldberg Beth L</b>	<b>Transactions continued</b> (Use only if needed)	Page Number <b>2</b>
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1	SQQQ - Ultrapro Short QQQ Proshares	11/19/2018			X		X											
2	RDS B - Royal Dutch Shell B	11/23/2018			X		X											
3	KBWB - KBW Bank Invesco ETF	11/23/2018			X		X											
4	KRE - S&P Regional Banking ETF SPDR	11/23/2018			X		X											
5	SGIIX - First Eagle Global Fund Class I	11/26/2018			X		X											
6	VBINX - Vanguard Balanced Index Fund	11/26/2018		X			X											
7	PUTIX - Pimco Strategic Bond Fund Class Instl	11/29/2018		X			X											
8	CHY - Calamos Cv & High In	11/29/2018			X		X											
9	XLE - S&P 500 Energy Sector SPDR	11/29/2018			X		X											
10	MERIX - The Merger Fund Institutional Cl	11/29/2018		X			X											
11	HYG - High Yield Corp Bond Ishares Iboxx \$ ETF	11/29/2018			X		X											
12	TIP - TIPS Bond Ishares ETF	11/29/2018			X		X											
13	XLB - S&P 500 Materials Sector SPDR	11/29/2018			X		X											
14	DSIAX - Diamond Hill Corporate Credit Fund Class A	11/29/2018			X		X											
15	PDVYX - Putnam Diversified Income Trust Class Y	11/29/2018			X		X											
16	SLYV - S&P Smallcap Value ETF SPDR	11/29/2018		X			X											

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1	REGL - S&P Midcap 400 Divd Proshares	11/29/2018		X			X											
2	JBLAX - Janus Henderson Balanced Fund I	11/29/2018		X			X											
3	HYLS - Tactical High Yield ETF FT	11/29/2018			X		X											
4	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	11/29/2018		X			X											
5	LDLFX - Lord Abbett Investment Trust Short Duration Inco	11/29/2018		X			X											
6	IBB - Nasdaq Biotechnology Ishares ETF	11/29/2018			X		X											
7	SKYY - Cloud Computing ETF FT	11/29/2018			X		X											
8	EVF - Eaton Vance Senior Income Trust	12/10/2018			X		X											
9	FTSL - Senior Loan ETF FT	11/29/2018			X		X											
10	FYC - Smallcap Growth Alphadex Fund FT	11/29/2018		X			X											
11	LSWWX - Loomis Sayles Global Allocation Fund Class Y	11/29/2018		X			X											
12	SDY - S&P Dividend SPDR	11/29/2018		X			X											
13	RGERX - Columbia Select Global Equity Fund Class I2	11/29/2018			X		X											
14	XLF - S&P 500 Financials Sector SPDR	11/29/2018			X		X											
15	RSP - S&P 500 EW Invesco ETF	11/29/2018		X			X											
16	SYV - MFS Value Equity ETF SPDR	11/29/2018		X			X											

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1	VOX - Telecom Services ETF Vanguard	11/29/2018			X		X										
2	VUG - Growth ETF Vanguard	11/29/2018		X			X										
3	TGBAX - Templeton Global Bond Fund Advisor Class	11/29/2018		X			X										
4	PUTIX - Pimco Strategic Bond Fund Class Instl	11/29/2018		X			X										
5	GEMIX - Goldman Sachs Emerging Markets Equity Fund Insti	11/29/2018			X		X										
6	BKLN - Senior Loan Invesco ETF	11/29/2018			X		X										
7	IVHIX - Ivy High Income Fund Class I	11/29/2018			X		X										
8	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	11/29/2018		X			X										
9	LDLFX - Lord Abbett Investment Trust Short Duration Inco	11/29/2018		X			X										
10	NEZYG - Loomis Sayles Funds - Strategic Income Fund Clas	11/29/2018			X		X										
11	ANGIX - Angel Oak Multi-Strategy Income Fd Inst Shs	11/29/2018		X			X										
12	SYV - MFS Value Equity ETF SPDR	11/29/2018			X		X										
13	CMNIX - Calamos Market Neutral Fd CI I	11/29/2018		X			X										
14	MERIX - The Merger Fund Institutional CI	11/29/2018		X			X										
15	PDVYX - Putnam Diversified Income Trust Class Y	11/29/2018			X		X										
16	REGL - S&P Midcap 400 Divd Proshares	11/29/2018		X			X										

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1	JBALX - Janus Henderson Balanced Fund - I Shares	11/29/2018		X			X											
2	RSP - S&P 500 EW Invesco ETF	11/29/2018		X			X											
3	FV - Dorsey Wright Focus 5 ETF FT	11/29/2018			X		X											
4	LSWWX - Loomis Sayles Global Allocation Fund Class Y	11/29/2018		X			X											
5	SDY - S&P Dividend SPDR	11/29/2018		X			X											
6	RGEX - Columbia Select Global Equity Fund Class I2	11/29/2018			X		X											
7	TGBAX - Templeton Global Bond Fund Advisor Class	11/29/2018		X			X											
8																		
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# **Reviewer Comments and Submission History**

## **for Beth Goldberg**

12/10/2018 12:49:20

Filing submitted