

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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CORSIGLIA, NANCY

Senior Advisor, Department of Housing and Urban Development

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CORSIGLIA, NANCY [electronically signed on 05/05/2023 by CORSIGLIA, NANCY in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 10/13/2023 by Baxter, Daniel in Integrity.gov]

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Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 10/11/2023 by Slye, Brandi in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 10/11/2023

Data Revised 09/23/2023

Data Revised 09/22/2023

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alliance Bernstein Private Credit Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

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#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	E-Trade Financial	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Fidelity Investments Magellan Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Hendry Energy Servicer LLC	No	\$1,001 - \$15,000	Rent or Royalties	\$1,001 - \$2,500
5	Brightwood Capital Fund (via Morgan Stanley)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	RiverSource Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Principal Financial Group Investment Funds	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8	Citibank Money Market Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	Citibank Checking Account	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
10	Bank of America Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Greenfield Cooperative Bank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	Merrill Lynch Bank of America	Yes	\$100,001 - \$250,000		None (or less than \$201)
13	Merrill Lynch Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
14	Fidelity Investments Pace International Equity Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	Alliance Bernstein European Opportunities Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
16	Alliance Bernstein Private Real Estate Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
17	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Fidelity Investments Wells Fargo Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	Freddie Mac	No	\$1,001 - \$15,000		None (or less than \$201)
20	Fannie Mae	No	\$15,001 - \$50,000		None (or less than \$201)
21	Federal National Mortgage Assn 8.25% PFS	No	\$50,001 - \$100,000		None (or less than \$201)
22	Freddie Mac 8.375% Pref	No	\$15,001 - \$50,000		None (or less than \$201)
23	Bank of America Cash Account	No	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
24	District of Columbia Ser 2007	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
25	Aecom	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
26	Broadcom Inc	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
27	Gartner Inc	No	\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000
28	Tractor Supply Co	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
29	SPDR S&P 500 EFT	Yes	\$250,001 - \$500,000		
30	Acadia Healthcare Co Inc	No	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
31	Devon Energy Corp	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Eli Lilly & Co	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
33	Exl Service Hldgs	No	\$50,001 - \$100,000		\$2,501 - \$5,000
34	Hershey Company	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
35	Lamb Weston Holdings Inc	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
36	Marathon Petroleum Corp	No	\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000
37	O'Reilly Automotive Inc	No	\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000
38	Option Care Health Inc	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
39	Willscot Mobile Mini	No	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
40	Razor's Edge Funds	Yes	\$100,001 - \$250,000		
41	Putnam Investment Funds	Yes	\$100,001 - \$250,000		
42	Sine Waves Ventures Funds	Yes	\$100,001 - \$250,000		

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	CF Inds Hlgds inc	Purchase	10/26/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Ely Lilly & Co	Purchase	10/26/2022	\$50,001 - \$100,000
3	ON Semiconductor Crp	Purchase	10/26/2022	\$50,001 - \$100,000
4	Willscott Mobile Mini	Purchase	10/26/2022	\$50,001 - \$100,000
5	Lamb Weston Hldgs Inc	Purchase	10/26/2022	\$50,001 - \$100,000
6	Alphabet Inc	Sale	10/26/2022	\$50,001 - \$100,000
7	Apple Inc	Sale	10/26/2022	\$50,001 - \$100,000
8	Marriott Intl Inc	Sale	10/26/2022	\$50,001 - \$100,000
9	Mastercard Inc	Sale	10/26/2022	\$50,001 - \$100,000
10	United Parcel Svc	Sale	10/26/2022	\$50,001 - \$100,000
11	SPDR S&P 500 ETF	Sale	10/26/2022	\$250,001 - \$500,000
12	Molina Healthcare Inc	Sale	07/16/2022	\$15,001 - \$50,000
13	Aecom	Sale	07/16/2022	\$1,001 - \$15,000
14	Apple Inc	Sale	07/16/2022	\$1,001 - \$15,000
15	Broadcom Inc	Sale	07/16/2022	\$1,001 - \$15,000
16	Fortinet Inc	Sale	07/16/2022	\$1,001 - \$15,000
17	Gartner Inc	Sale	07/16/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	SVB Finl Group	Sale	07/16/2022	\$50,001 - \$100,000
19	Tenet Healthcare Corp	Sale	07/16/2022	\$50,001 - \$100,000
20	Zoominfo Technologies	Sale	07/16/2022	\$15,001 - \$50,000
21	AMN Healthcare Svcs Inc	Sale	07/26/2022	\$50,001 - \$100,000
22	Alphabet, Inc. (GOOGL)	Sale	07/16/2022	\$1,001 - \$15,000
23	Amer Express Co	Sale	07/16/2022	\$15,001 - \$50,000
24	Devon Energy Corp New	Sale	07/16/2022	\$15,001 - \$50,000
25	Hilton Worldwide	Sale	07/16/2022	\$1,001 - \$15,000
26	Hershey Co	Sale	07/16/2022	\$1,001 - \$15,000
27	Marriott Intl Inc New A	Sale	07/16/2022	\$1,001 - \$15,000
28	Mastercard Inc	Sale	07/16/2022	\$1,001 - \$15,000
29	Marathon Petroleum Corp	Sale	07/16/2022	\$1,001 - \$15,000
30	Sealed Air Corp	Sale	07/16/2022	\$50,001 - \$100,000
31	Tractor Supply Co	Sale	07/16/2022	\$1,001 - \$15,000
32	Valero Energy Corp New	Sale	07/16/2022	\$1,001 - \$15,000
33	Amn Healthcare Svcs Inc	Purchase	07/16/2022	\$50,001 - \$100,000
34	Apple Inc	Purchase	07/27/2022	\$1,001 - \$15,000
35	Centene Corp	Purchase	07/16/2022	\$50,001 - \$100,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
36	Exlservice Hlgds Inc	Purchase	07/16/2022	\$50,001 - \$100,000
37	Option Healthcare Inc	Purchase	07/27/2022	\$50,001 - \$100,000
38	SPDR S&P 500 EFT	Purchase	07/27/2022	\$250,001 - \$500,000
39	Tractor Supply Co	Purchase	07/27/2022	\$50,001 - \$100,000
40	United Parcel Svc	Purchase	07/27/2022	\$50,001 - \$100,000
41	Alphabet Inc	Purchase	07/27/2022	\$50,001 - \$100,000
42	Broadcom Inc	Purchase	07/27/2022	\$1,001 - \$15,000
43	Fortinet Inc	Purchase	07/27/2022	\$15,001 - \$50,000
44	Mastercard Inc	Purchase	07/27/2022	\$15,001 - \$50,000
45	Acadia Healthcare Co Inc	Purchase	07/27/2022	\$15,001 - \$50,000
46	O'Reilly Automotive Inc	Purchase	07/27/2022	\$1,001 - \$15,000
47	Palo Alto Networks Inc	Purchase	07/27/2022	\$50,001 - \$100,000
48	Aecom	Purchase	07/27/2022	\$1,001 - \$15,000
49	Devon Energy Corp New	Purchase	07/27/2022	\$1,001 - \$15,000
50	Gartner Inc	Purchase	07/27/2022	\$1,001 - \$15,000
51	Hershey Company	Purchase	07/27/2022	\$1,001 - \$15,000
52	Marriott Intl Inc New A	Purchase	07/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	Marathon Petroleum Corp	Purchase	07/27/2022	\$1,001 - \$15,000
54	Valero Energy Corp New	Purchase	07/27/2022	\$1,001 - \$15,000
55	American Express Co	Purchase	05/19/2022	\$50,001 - \$100,000
56	Expedia Group Inc	Purchase	05/19/2022	\$50,001 - \$100,000
57	Hershey Co	Purchase	05/19/2022	\$100,001 - \$250,000
58	O'Reilley Automotive	Purchase	05/19/2022	\$50,001 - \$100,000
59	Palo Alto Networks	Purchase	05/19/2022	\$15,001 - \$50,000
60	Marriott Intrn'l Inc	Purchase	05/19/2022	\$100,001 - \$250,000
61	The Mosaic Co	Purchase	05/19/2022	\$15,001 - \$50,000
62	Acadia Healthcare Co Inc	Purchase	05/19/2022	\$15,001 - \$50,000
63	Marathon Petroleum Corp.	Purchase	05/19/2022	\$100,001 - \$250,000
64	Valero Energy	Purchase	05/19/2022	\$50,001 - \$100,000
65	Advance Auto Parts Inc	Sale	05/19/2022	\$50,001 - \$100,000
66	Aplied Material Inc	Sale	05/19/2022	\$50,001 - \$100,000
67	Choice Hotels Intl	Sale	05/19/2022	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
68	Jabil Circuit Inc	Sale	05/19/2022	\$15,001 - \$50,000
69	KLA Corp	Sale	05/19/2022	\$50,001 - \$100,000
70	Synchrony Finl	Sale	05/19/2022	\$50,001 - \$100,000
71	Lowes Cos. Inc	Sale	05/19/2022	\$100,001 - \$250,000
72	Rockwell Automation Inc	Sale	05/19/2022	\$50,001 - \$100,000
73	Amazon Inc	Sale	05/19/2022	\$15,001 - \$50,000
74	Acadia Healthcare Co Inc	Sale	05/19/2022	\$15,001 - \$50,000
75	Alphabet Inc	Sale	05/19/2022	\$15,001 - \$50,000
76	American Express Co	Sale	05/19/2022	\$15,001 - \$50,000
77	Aecom	Sale	05/19/2022	\$15,001 - \$50,000
78	Apple Inc	Sale	05/19/2022	\$15,001 - \$50,000
79	Broadcom Inc	Sale	05/19/2022	\$15,001 - \$50,000
80	Devon Energy Corp	Sale	05/19/2022	\$15,001 - \$50,000
81	Fortinet Inc	Sale	05/19/2022	\$15,001 - \$50,000
82	Gartner Inc	Sale	05/19/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Hilton Worldwide	Sale	05/19/2022	\$15,001 - \$50,000
84	Molina Healthcare Inc	Sale	05/19/2022	\$15,001 - \$50,000
85	Mastercard Inc	Sale	05/19/2022	\$15,001 - \$50,000
86	Sealed Air Corp	Sale	05/19/2022	\$15,001 - \$50,000
87	SVB Finl Grp	Sale	05/19/2022	\$15,001 - \$50,000
88	Tractor Supply Co	Sale	05/19/2022	\$15,001 - \$50,000
89	Tenet Healthcare Corp	Sale	05/19/2022	\$15,001 - \$50,000
90	United Parcel Svc	Sale	05/19/2022	\$15,001 - \$50,000
91	Zoom Technologies	Sale	05/19/2022	\$15,001 - \$50,000
92	American Express Co	Purchase	05/19/2022	\$50,001 - \$100,000
93	Expedia Group Inc	Purchase	05/19/2022	\$50,001 - \$100,000
94	Hershey Co	Purchase	05/19/2022	\$100,001 - \$250,000
95	O'Reilley Automotive	Purchase	05/19/2022	\$50,001 - \$100,000
96	Palo Alto Networks	Purchase	05/19/2022	\$15,001 - \$50,000
97	Marriott Intn'l Inc	Purchase	05/19/2022	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
98	The Mosaic Co	Purchase	05/19/2022	\$15,001 - \$50,000
99	Acadia Healthcare Co Inc	Purchase	05/19/2022	\$15,001 - \$50,000
100	Marathon Petroleum Corp.	Purchase	05/19/2022	\$100,001 - \$250,000
101	Valero Energy	Purchase	05/19/2022	\$50,001 - \$100,000
102	Advance Auto Parts Inc	Sale	05/19/2022	\$50,001 - \$100,000
103	Aplied Material Inc	Sale	05/19/2022	\$50,001 - \$100,000
104	Choice Hotels Intl	Sale	05/19/2022	\$100,001 - \$250,000
105	Jabil Circuit Inc	Sale	05/19/2022	\$15,001 - \$50,000
106	KLA Corp	Sale	05/19/2022	\$50,001 - \$100,000
107	Synchrony Finl	Sale	05/19/2022	\$50,001 - \$100,000
108	Lowes Cos. Inc	Sale	05/19/2022	\$100,001 - \$250,000
109	Rockwell Automation Inc	Sale	05/19/2022	\$50,001 - \$100,000
110	Amazon Inc	Sale	05/19/2022	\$15,001 - \$50,000
111	Acadia Healthcare Co Inc	Sale	05/19/2022	\$15,001 - \$50,000
112	Alphabet Inc	Sale	05/19/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
113	American Express Co	Sale	05/19/2022	\$15,001 - \$50,000
114	Aecom	Sale	05/19/2022	\$15,001 - \$50,000
115	Apple Inc	Sale	05/19/2022	\$15,001 - \$50,000
116	Broadcom Inc	Sale	05/19/2022	\$15,001 - \$50,000
117	Devon Energy Corp	Sale	05/19/2022	\$15,001 - \$50,000
118	Fortinet Inc	Sale	05/19/2022	\$15,001 - \$50,000
119	Gartner Inc	Sale	05/19/2022	\$15,001 - \$50,000
120	Hilton Worldwide	Sale	05/19/2022	\$15,001 - \$50,000
121	Molina Healthcare Inc	Sale	05/19/2022	\$15,001 - \$50,000
122	Mastercard Inc	Sale	05/19/2022	\$15,001 - \$50,000
123	Sealed Air Corp	Sale	05/19/2022	\$15,001 - \$50,000
124	SVB Finl Grp	Sale	05/19/2022	\$15,001 - \$50,000
125	Tractor Supply Co	Sale	05/19/2022	\$15,001 - \$50,000
126	Tenet Healthcare Corp	Sale	05/19/2022	\$15,001 - \$50,000
127	United Parcel Svc	Sale	05/19/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
128	Zoom Technologies	Sale	05/19/2022	\$15,001 - \$50,000
129	Advance Auto Parts Inc	Purchase	01/28/2022	\$100,001 - \$250,000
130	Applied Material Inc	Purchase	01/28/2022	\$100,001 - \$250,000
131	Choice Hotels Intl	Purchase	01/28/2022	\$100,001 - \$250,000
132	KLA Corp	Purchase	01/28/2022	\$100,001 - \$250,000
133	Devon Energy Corp	Purchase	01/28/2022	\$100,001 - \$250,000
134	Sealed Air Corp	Purchase	01/28/2022	\$50,001 - \$100,000
135	Synchrony Finl	Purchase	01/28/2022	\$50,001 - \$100,000
136	Amazon Inc	Purchase	01/28/2022	\$1,001 - \$15,000
137	Alphabet Inc	Purchase	01/28/2022	\$1,001 - \$15,000
138	Aecom	Purchase	01/28/2022	\$1,001 - \$15,000
139	Apple Inc	Purchase	01/28/2022	\$1,001 - \$15,000
140	Broadcom Inc	Purchase	01/28/2022	\$1,001 - \$15,000
141	Fortinet Inc	Purchase	01/28/2022	\$1,001 - \$15,000
142	Gartner I nc	Purchase	01/28/2022	\$1,001 - \$15,000
143	Hilton Worldwide	Purchase	01/28/2022	\$1,001 - \$15,000
144	Hilton Worldwide	Purchase	01/28/2022	\$1,001 - \$15,000
145	Jabil Circuit Inc	Purchase	01/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
146	Lowe's Cos., Inc.	Purchase	01/28/2022	\$1,001 - \$15,000
147	Molina Healthcare Inc	Purchase	01/28/2022	\$1,001 - \$15,000
148	Mastercard Inc	Purchase	01/28/2022	\$1,001 - \$15,000
149	Rockwell Automation Inc	Purchase	01/28/2022	\$1,001 - \$15,000
150	Tractor Supply Co	Purchase	01/28/2022	\$1,001 - \$15,000
151	SVB Finl Group	Purchase	01/28/2022	\$1,001 - \$15,000
152	Tenet Healthcare Corp	Purchase	01/28/2022	\$1,001 - \$15,000
153	United Parcel Svc	Purchase	01/28/2022	\$1,001 - \$15,000
154	Zoom Technologies	Purchase	01/28/2022	\$1,001 - \$15,000
155	Adobe Inc	Sale	01/28/2022	\$100,001 - \$250,000
156	Charles River Labs Intl	Sale	01/28/2022	\$15,001 - \$50,000
157	Epam Systems Inc	Sale	01/28/2022	\$15,001 - \$50,000
158	Generac Hldgs Inc	Sale	01/28/2022	\$50,001 - \$100,000
159	Idexx Lab Inc Del	Sale	01/28/2022	\$50,001 - \$100,000
160	Intuit Inc	Sale	01/28/2022	\$100,001 - \$250,000
161	RH	Sale	01/28/2022	\$50,001 - \$100,000
162	Servicenow Inc	Sale	01/28/2022	\$100,001 - \$250,000
163	Zscaler Inc	Sale	01/28/2022	\$15,001 - \$50,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
164	JABIL Circuit Inc	Purchase	12/10/2022	\$15,001 - \$50,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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