

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cisneros, Gilbert R

Under Secretary of Defense for Personnel and Readiness, DoD - Department of Defense

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cisneros, Gilbert R [electronically signed on 05/11/2023 by Cisneros, Gilbert R in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 08/02/2023 by Thompson, Scott F in Integrity.gov]

Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 08/02/2023 by Raab, Dean in Integrity.gov]

/s/ Raab, Dean, Screener [electronically signed on 08/02/2023 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/23/2023 by Granahan, Megan in Integrity.gov]

Data Revised 08/02/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	150 Main Street Trust (revocable family trust)	Los Angeles, California	Trust	Trustee	6/2010	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Financial Institution Roth IRA	No			
1.1	US Financial Institution Deposit Sweep	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Alphabet, Inc. (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.5	Meta Platforms Inc. Cl. A (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Netflix, Inc. (NFLX)	N/A	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	150 Main Street Trust	No			
1.1	Brokerage Account #1	No			
1.1.1	Alphabet Inc. - Class A (GOOGL)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.1.2	Amazon.com, Inc. (AMZN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.1.3	Apple, Inc. (AAPL)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$100,001 - \$1,000,000
1.1.4	iShares Russell 2000 ETF (IWM)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
1.1.5	JP Morgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$15,001 - \$50,000
1.1.6	Dreyfus Money Market Fund	Yes	\$5,000,001 - \$25,000,000		\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.7	Netflix, Inc. (NFLX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.8	SPDR S&P MIDCAP 400 ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.1.9	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.10	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.11	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.1.12	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$15,001 - \$50,000
1.1.13	The Goldman Sachs Group, Inc. (GS)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.1.14	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.1.15	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.16	Pioneer Natural Resources Co. (PXD)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$15,001 - \$50,000
1.1.17	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$15,001 - \$50,000
1.1.18	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.1.19	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.20	Tesla, Inc. (TSLA)	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.1.21	lululemon athletica, Inc. (LULU)	See Endnote	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.22	NVIDIA Corp. (NVDA) See Endnote	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
1.1.23	NVIDIA Corp. (NVDA), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.24	Apple, Inc. (AAPL), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.25	SPDR S&P 500 ETF Trust (SPY), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.26	Apple, Inc. (AAPL), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.27	JPMorgan Chase & Co. (JPM), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.28	Advanced Micro Devices, Inc. (AMD), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.29	Advanced Micro Devices, Inc. (AMD), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.30	iShares Russell 2000 ETF (IWM), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.31	iShares Russell 2000 ETF (IWM), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.32	The Walt Disney Co. (DIS), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.33	SPDR S&P 500 ETF Trust (SPY), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.34	Apple, Inc. (AAPL), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.35	Applied Materials, Inc. (AMAT), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.36	Applied Materials, Inc. (AMAT), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.37	JPMorgan Chase & Co. (JPM), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.38	JPMorgan Chase & Co. (JPM), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.39	iShares Russell 2000 ETF (IWM), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.40	Schlumberger NV (SLB), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.41	Advanced Micro Devices, Inc. (AMD), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.42	Apple, Inc. (AAPL), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.43	Apple, Inc. (AAPL), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.44	Applied Materials, Inc. (AMAT), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.45	Apple, Inc. (AAPL), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.46	Apple, Inc. (AAPL), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.47	Pioneer Natural Resources Co. (PXD), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.48	Netflix, Inc. (NFLX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.49	Starbucks Corp. (SBUX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.50	NVIDIA Corp. (NVDA), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.51	NVIDIA Corp. (NVDA), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.52	JPMorgan Chase & Co. (JPM), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.53	Advanced Micro Devices, Inc. (AMD), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.54	Goldman Sachs Group Inc (GS), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.55	Starbucks Corp. (SBUX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.56	Applied Materials, Inc. (AMAT), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.57	The Walt Disney Co. (DIS), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.58	NIKE, Inc. (NKE), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.59	Advanced Micro Devices, Inc. (AMD), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.60	Schlumberger NV (SLB), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.61	lululemon athletica, Inc. (LULU), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.62	SPDR S&P MidCap 400 ETF (MDY), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.63	Advanced Micro Devices, Inc. (AMD), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.64	Advanced Micro Devices, Inc. (AMD), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.65	Pioneer Natural Resources Co. (PXD), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.66	The Walt Disney Co. (DIS), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.67	NVIDIA Corp. (NVDA), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.1.68	SPDR S&P MidCap 400 ETF (MDY), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.69	JPMorgan Chase & Co. (JPM), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.70	NIKE, Inc. (NKE), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.71	Netflix, Inc. (NFLX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.72	Apple, Inc. (AAPL), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.73	Starbucks Corp. (SBUX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.74	Amazon.com, Inc. (AMZN), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.75	Amazon.com, Inc. (AMZN), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.76	Amazon.com, Inc. (AMZN), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.77	Amazon.com, Inc. (AMZN), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.78	Amazon.com, Inc. (AMZN), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.79	The Walt Disney Co. (DIS), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.80	NIKE, Inc. (NKE), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.81	NIKE, Inc. (NKE), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.82	Amazon.com, Inc. (AMZN), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.83	EOG Resources, Inc. (EOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.84	Applied Materials, Inc. (AMAT), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.85	Applied Materials, Inc. (AMAT), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.86	EOG Resources, Inc. (EOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.87	Goldman Sachs Group Inc (GS), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.88	JPMorgan Chase & Co. (JPM), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.89	NIKE, Inc. (NKE), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.90	Tesla, Inc. (TSLA), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.91	Goldman Sachs Group Inc (GS), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.92	Goldman Sachs Group Inc (GS), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.93	Netflix, Inc. (NFLX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.94	Alphabet, Inc. (GOOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.95	Alphabet, Inc. (GOOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.96	Alphabet, Inc. (GOOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.1.97	Alphabet, Inc. (GOOG), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.98	Alphabet, Inc. (GOOG), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.99	lululemon athletica, Inc. (LULU)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.100	lululemon athletica, Inc. (LULU), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.101	SPDR S&P MidCap 400 ETF (MDY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.102	Pioneer Natural Resources Co. (PXD), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.103	The Walt Disney Co. (DIS), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.104	lululemon athletica, Inc. (LULU), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.105	NIKE, Inc. (NKE), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.106	Tesla, Inc. (TSLA), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.107	EOG Resources, Inc. (EOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.108	EOG Resources, Inc. (EOG), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.1.109	EOG Resources, Inc. (EOG), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.1.110	Applied Materials, Inc. (AMAT), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.111	Amazon.com, Inc. (AMZN), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.112	The Walt Disney Co. (DIS), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.113	Alphabet, Inc. (GOOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.114	NIKE, Inc. (NKE), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.1.115	Alphabet, Inc. (GOOG), closed position for written call option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.2	Brokerage Account #2 (Bonds)	No			
1.2.1	Alameda County Water District Financing	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.2.2	Antelope Valley CA Community College	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.3	ANTIOCH CA PUB FING AUTH LEASE REV	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.4	BRISBANE/GUADALUPE VALLEY MUNICIPAL IMPROVEMENT	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.5	Burbank Unified School District		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.6	BURLINGAME CA FING AUTH	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.7	CAJON VALLEY CA UNIFIED SCHOOL DIST GO		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.2.8	CALAVERAS COUNTY CALIFORNIA GO REF		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.9	CALIFORNIA MUNICIPAL FINANCE AUTH REV		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.10	CALIFORNIA ST GO BONDS		N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
1.2.11	CALLEGUAS CA MUN WTR DIST WTR REV		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.12	Goldman Sachs Bank - cash		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2.13	Ceres California Unified School District		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
1.2.14	CHULA VISTA CA ELEMENTARY SCHOOL		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.15	CLOVIS CA UNIFIED SCHOOL DISTRICT		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.2.16	CONTRA COSTA CA CMNTY COLLEGE DIST	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.17	FOUNTAIN VALLEY CA PUBLIC FINANCING		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.18	FREMONT CA UNIFIED SCHOOL DISTRICT	See Endnote	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.19	HEALDSBURG CA UNIFIED SCHOOL DIST GO BONDS		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
1.2.20	JOHN SWETT CA USD GO BONDS		N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.21	LONG BEACH CA COMMUNITY COLLEGE DISTRICT GO	See Endnote	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2.22	LONG BEACH CA UNIFIED SCHOOL DISTRICT		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.23	LOS ANGELES CA DEPT WTR & PWR WTRWKS REV		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.24	LOS ANGELES CA UNI SCH DIST		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.25	LOS ANGELES CA WASTEWATR SYS REV		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.26	LOS ANGELES CNTY CA MET TRANSPORTATION AUTHORITY		N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
1.2.27	MANTECA CA UNIFIED SCHOOL DISTRICT		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.28	MOUNT SAN ANTONIO CA CMNTY COLLEGE DIST	See Endnote	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
1.2.29	MOUNT SAN JACINTO CA CMNTY COLLEGE DIST		N/A	\$500,001 - \$1,000,000	Capital Gains Interest	\$15,001 - \$50,000
1.2.30	OAKLAND CALIF GO C		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
1.2.31	OXNARD CA SCHOOL DISTRICT REF		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
1.2.32	PERRIS CALIF UNION HIGH SCHOOL DISTRICT GO REF		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.33	PLACER CA UN HIGH SCHOOL DIST		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.34	PORTOLA VALLEY CA SCH DIST GO BONDS		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.35	REDLANDS CA UNI SCH DIST GO BONDS		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
1.2.36	RIVERSIDE CNTY CA TRANSN COMMN SALES TAX		N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.37	SAN DIEGO CA CMNTY COLLEGE DIST		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.38	SAN DIEGO CALIF UNIFIED SCHOOL DISTRICT		N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
1.2.39	SAN DIEGO CNTY CA WTR AUTH	See Endnote	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
1.2.40	SAN DIEGO COUNTY CA REGL TRANS	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.41	SAN FRANCISCO CA CITY & CNTY GO BONDS		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.42	SAN JOSE CA UNI SCH DIST SANTA CLARA CNTY		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.43	SAN JUAN CA UNIFIED SCHOOL DISTRICT	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.44	SAN LUIS COASTAL CA UNIFIED SCHOOL DISTRICT		N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
1.2.45	SANTA ANA COLLEGE IMPT DIST		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.46	SANTA MONICA-MALIBU UNIFIED SCHOOL DISTRICT CAL		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.47	SANTA ROSA CALIF ELEMENTARY SCHOOL DISTRICT		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.2.48	SELMA CALIF UNIFIED SCHOOL DISTRICT		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.49	SEQUOIA CA UNION HIGH SCHOOL		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
1.2.50	STANISIAUS CA UN SCHOOL DIST		N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
1.2.51	TORRANCE CA UNIFIED SCHOOL DISTRICT		N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
1.2.52	UNIVERSITY CA REVENUE BONDS		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
1.2.53	VALLEY CA SANITATION DIST WSTWTR REV	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.54	WEST BASIN CA MUN WTR DIST REV	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.55	WEST KERN CMNTY COLLEGE DISTRICT GO BONDS		N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.56	WILLIAMS HART CA UN HIGH	See Endnote	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
1.2.57	WISEBURN CALIF UNIED SCHOOL DISTRICT GO REF A		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.2.58	US Financial Institution (cash account)		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2.59	Carlsbad CA Unified School District GO Bonds		N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.60	San Mateo Foster City Cal. Pub. Financing Auth. Rev. Bonds		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.61	Bay Area Toll Authority CA Toll Bridge Revenue Bonds	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
1.2.62	California State Public Works Board Lease Rev Bonds	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
1.2.63	Gilroy, CA Unified School District GO Bonds	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.64	Imperial CA Irrigation District Bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
1.2.65	Los Angeles, CA Apts. Dept. Revenue Bonds	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.66	Moreno Valley CA USD bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
1.2.67	San Diego CA Pub Facilities Financing Authority bonds	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.68	Santa Clara County CA Financing Authority Bonds	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
1.2.69	Turlock CA Irrigation District Rev Bonds	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
1.3	Brokerage Account #3	No			
1.3.1	3M Company (MMM)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.2	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
1.3.3	AbbVie Inc. (ABBV)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.4	ABIOMED, Inc. (ABMD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.5	Activision Blizzard, Inc (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.6	Adobe Systems Incorporated (ADBE)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3.7	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.8	Agilent Technologies, Inc. Common Stock Ex-Distribution When Distributed (A.Z)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.9	Agree Realty Corporation (ADC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.10	Air Products and Chemicals, Inc. (APD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.11	Alamo Group, Inc. (ALG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.12	Align Technology, Inc. (ALGN)	N/A	\$1,001 - \$15,000	Capital Gains	\$15,001 - \$50,000
1.3.13	Allegiant Travel Company (ALGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.14	Alliant Energy Corporation (LNT)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.15	Allstate Corporation (ALL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.16	Alphabet Inc. - Class A (GOOGL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.17	Alphabet Inc. - Class C Capital Stock (GOOG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.18	Altaba Inc. (AABA)	N/A	None (or less than \$1,001)	liquidation distribution	\$389
1.3.19	Amazon.com, Inc. (AMZN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3.20	Amdocs Limited - Ordinary Shares (DOX)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.21	American Electric Power Company, Inc. (AEP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.22	American Express Company (AXP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.23	American International Group, Inc. New (AIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.24	American Tower Corporation (AMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.25	Ameris Bancorp (ABCB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.26	AMERISAFE, Inc. (AMSF)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.3.27	Amgen Inc. (AMGN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.28	Amphenol Corporation (APH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.29	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.30	Anthem, Inc. (ANTM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.3.31	Aon plc Class A Ordinary Shares (AON)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$50,001 - \$100,000
1.3.32	Apple Inc. (AAPL)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
1.3.33	Applied Materials, Inc. (AMAT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.34	AT&T Inc. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.35	AtriCure, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.36	Autodesk, Inc. (ADSK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.37	Automatic Data Processing, Inc. - Common Stock Ex-Distribution When Issued (ADPVV)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.38	AutoZone, Inc. (AZO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.39	Avient Corporation (AVNT)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.40	Balchem Corporation (BCPC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.41	Baxter International Inc. (BAX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.42	Becton, Dickinson and Company (BDX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.43	Berkshire Hathaway Inc. New (BRK.B)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.44	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.45	Booking Holdings Inc. (BKNG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.46	Boot Barn Holdings, Inc. (BOOT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.47	Boston Scientific Corporation (BSX)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3.48	Bristol-Myers Squibb Company (BMY)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.49	Broadcom Limited - Ordinary Shares (AVGO)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.50	Broadridge Financial Solutions, Inc. (BR)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.51	Azenta		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.52	Capri Holdings Limited Ordinary Shares (CPRI)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.53	Carlyle AIP Secondaries Access V II LP	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.3.54	CarMax Inc (KMX)		N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.3.55	Carrier Global Corporation (CARR)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.56	Castle Biosciences, Inc. - Common stock (CSTL)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.57	Catalent, Inc. (CTLT)		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.58	Caterpillar, Inc. (CAT)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.59	Cathay General Bancorp (CATY)		N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.60	Cboe Global Markets, Inc. (CBOE)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.61	Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA)		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.62	Ceridian HCM Holding Inc. (CDAY)		N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.63	Cerner Corporation (CERN)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
1.3.64	CF Industries Holdings, Inc. (CF)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.65	Charles Schwab Corporation (SCHW)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.3.66	Charter Communications, Inc. - Class A (CHTR)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
1.3.67	Church & Dwight Company, Inc. (CHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.68	Churchill Downs, Incorporated (CHDN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.69	Chuy's Holdings, Inc. (CHUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.70	Cigna Corporation (CI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.71	Cimpress PLC - Ordinary Shares (CMPR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.72	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.73	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
1.3.74	Citizens Financial Group, Inc. (CFG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.75	Citrix Systems, Inc. (CTXS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.3.76	Clorox Company (CLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.77	CME Group Inc. - Class A (CME)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.78	Coca-Cola Company (KO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.79	Cohen & Steers Inc (CNS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.80	Colgate-Palmolive Company (CL)		N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.81	COLUMBIA CONTRARIAN CORE FUND CLASS Z SHARES- EIF		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
1.3.82	COLUMBIA DIVIDEND INCOME FUND - EIF		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.3.83	Comcast Corporation - Class A (CMCSA)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.84	Comerica Incorporated (CMA)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.85	ConAgra Brands, Inc. (CAG)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.86	Corning Incorporated (GLW)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.87	Corteva, Inc. (CTVA)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.88	CoStar Group, Inc. (CSGP)		N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.89	Costco Wholesale Corporation (COST)		N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
1.3.90	Crown Castle International Corp. (CCI)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.91	Artivion Inc. Common Stock (AORT)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.92	CryoPort, Inc. (CYRX)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.93	CSP III Distressed Opportunities Trust	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
1.3.94	CSX Corporation (CSX)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.95	Cummins Inc. (CMI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.96	CVS Health Corporation (CVS)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.97	D/B/A Royal Caribbean Cruises Ltd. (RCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.98	Danaher Corporation (DHR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.99	Darden Restaurants, Inc. (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.100	DaVita Inc. (DVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.101	Deere & Company (DE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.102	Dollar General Corporation (DG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.103	Dover Corporation (DOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.104	Dow Inc. (DOW)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.105	Dreyfus Treasury Prime Cash Mgmt	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.3.106	DTE Energy Company (DTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.107	Duke Energy Corporation (DUK)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.108	DuPont de Nemours, Inc. (DD)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.109	DXC Technology Company (DXC)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.110	Eaton Corporation, PLC Ordinary Shares (ETN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.111	eBay Inc. (EBAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.112	Ecolab Inc. (ECL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.113	Edison International (EIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.114	Edwards Lifesciences Corporation (EW)	N/A	\$1,001 - \$15,000	Capital Gains	\$15,001 - \$50,000
1.3.115	Elanco Animal Health Incorporated (ELAN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.116	Electronic Arts Inc. (EA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.117	Eli Lilly and Company (LLY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
1.3.118	Emerson Electric Company (EMR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.119	Endava plc American Depositary Shares (DAVA)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
1.3.120	Entergy Corporation (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.121	Equinix, Inc. (EQIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.122	Ericsson - American Depositary Shares each representing 1 underlying Class B share (ERIC)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
1.3.123	Essex Property Trust, Inc. (ESS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.124	Estee Lauder Companies, Inc. (EL)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
1.3.125	Exact Sciences Corporation (EXAS)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.3.126	Exelon Corporation (EXC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.127	F5 Networks, Inc. (FFIV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.128	Meta Platforms Inc. - Class A (FB)	See Endnote	\$15,001 - \$50,000	Capital Gains	\$50,001 - \$100,000
1.3.129	Fastenal Company (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.130	Fidelity National Information Services, Inc. (FIS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.131	Fifth Third Bancorp (FITB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.132	FIRST REPUBLIC BANK (FRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.133	Fiserv, Inc. (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.134	Five Below, Inc. (FIVE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.135	FleetCor Technologies, Inc. (FLT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.3.136	Flex Ltd. - Ordinary Shares (FLEX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.137	FMC Corporation (FMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.138	Ford Motor Company (F)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.139	Fortive Corporation (FTV)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.140	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.141	frontdoor, inc. (FTDR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.142	Gartner, Inc. (IT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.143	General Mills, Inc. (GIS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.144	Gildan Activewear, Inc. Class A Sub. Vot. (GIL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.145	Gilead Sciences, Inc. (GILD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.146	Glacier Bancorp, Inc. (GBCI)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.147	Global Payments Inc. (GPN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.148	Globus Medical, Inc. Class A (GMED)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.3.149	GoDaddy Inc. Class A (GDDY)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.150	Goldman Sachs Group, Inc. (GS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.151	Grand Canyon Education, Inc. (LOPE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.152	Halozyme Therapeutics, Inc. (HALO)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
1.3.153	International Equity Portfolio Institutional Class Shares (HLMIX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.154	HCA Healthcare, Inc. (HCA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.155	HealthEquity, Inc. (HQY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.156	Heartland Express, Inc. (HTLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.157	Helen of Troy Limited (HELE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.158	Helios Technologies, Inc. (HLIO)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.159	The Home Depot, Inc. (HD)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.3.160	Horace Mann Educators Corporation (HMN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.161	Host Hotels & Resorts, Inc. (HST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.162	Houlihan Lokey, Inc. Class A (HLI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.163	Howmet Aerospace Inc. (HWM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.164	HP Inc. (HPQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.165	Huntington Bancshares Incorporated (HBAN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.166	ICF International, Inc. (ICFI)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.167	ICU Medical, Inc. (ICUI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.168	IDACORP, Inc. (IDA)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.169	IDEXX Laboratories, Inc. (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.170	Illinois Tool Works Inc. (ITW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.171	Illumina, Inc. (ILMN)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.3.172	Ingersoll Rand, Inc. (IR)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.173	Integra LifeSciences Holdings Corporation (IART)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.174	Intel Corporation (INTC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.175	Intercontinental Exchange Inc. (ICE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.176	International Farmland Trust	See Endnote	Yes	\$15,001 - \$50,000	\$15,001 - \$50,000
1.3.177	Intuit Inc. (INTU)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$201 - \$1,000
1.3.178	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.179	IQVIA Holdings, Inc. (IQV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.180	iShares Core MSCI EAFE ETF (IEFA)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
1.3.181	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.3.182	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.3.183	iShares Expanded Tech Sector ETF (IGM)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.184	ishares Gold Trust (IAU)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
1.3.185	iShares US Home Construction ETF (ITB)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.3.186	iShares US Medical Devices ETF (IHI)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
1.3.187	J.M. Smucker Company (SJM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.188	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.3.189	JP Morgan Chase & Co. (JPM)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
1.3.190	Kellogg Company (K)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.191	KeyCorp (KEY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.192	Kimberly-Clark Corporation (KMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.193	KLA-Tencor Corporation (KLAC)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$50,001 - \$100,000
1.3.194	Kroger Company (KR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.195	Lam Research Corporation (LRCX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.196	Lamar Advertising Company - Class A (LAMR)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
1.3.197	LHC Group - common stock (LHCG)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.198	Linde plc Ordinary Share (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.199	Lithia Motors, Inc. (LAD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.200	Live Nation Entertainment, Inc. (LYV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.201	Loews Corporation (L)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.202	Lowe's Companies, Inc. (LOW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.203	LPL Financial Holdings Inc. (LPLA)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$15,001 - \$50,000
1.3.204	LyondellBasell Industries NV Ordinary Shares Class A (LYB)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.3.205	M&T Bank Corporation (MTB)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.3.206	MACOM Technology Solutions Holdings, Inc. (MTSI)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.3.207	Marriott International - Class A (MAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.208	Marsh & McLennan Companies, Inc. (MMC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.209	Mastercard Incorporated (MA)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.3.210	McDonald's Corporation (MCD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.211	Medpace Holdings, Inc. (MEDP)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.3.212	Medtronic plc. Ordinary Shares (MDT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.213	Merck & Company, Inc. Common Stock (MRK)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.214	MetLife, Inc. (MET)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.215	MFS Value Fund Class R6 Shares (MEIKX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
1.3.216	MGM Resorts International (MGM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.217	Microchip Technology Incorporated (MCHP)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.3.218	Micron Technology, Inc. (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.219	Minerals Technologies Inc. (MTX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.220	Mondelez International, Inc. - Class A (MDLZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.221	Monster Beverage Corporation (MNST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.222	Morgan Stanley (MS)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.223	MSCI Inc (MSCI)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.224	National Health Investors, Inc. (NHI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.225	National Instruments Corporation (NATI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.226	NB Private Markets Fund II (TI) LLC	See Endnote	Yes	\$1,001 - \$15,000	\$5,001 - \$15,000
1.3.227	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.228	Neurocrine Biosciences, Inc. (NBIX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.229	Newmont Mining Corporation (NEM)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.230	NextEra Energy, Inc. (NEE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.231	NICE Ltd - American Depositary Shares each representing one Ordinary Share (NICE)	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
1.3.232	Nike, Inc. (NKE)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.233	NiSource Inc (NI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.234	Norfolk Southern Corporation (NSC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.235	Northern Trust Corporation (NTRS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.236	NorthWestern Corporation (NWE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.237	Novanta Inc. - Common Shares (NOVT)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
1.3.238	NRG Energy, Inc. (NRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.239	Nucor Corporation (NUE)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.240	NVIDIA Corporation (NVDA)	See Endnote	\$100,001 - \$250,000		None (or less than \$201)
1.3.241	O'Reilly Automotive, Inc. (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.242	OceanFirst Financial Corp. (OCFC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.243	Ollie's Bargain Outlet Holdings, Inc. (OLLI)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.244	ON Semiconductor Corporation (ON)	N/A	\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000
1.3.245	Oracle Corporation (ORCL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.246	Oxford Industries, Inc. (OXM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.247	PACCAR Inc. (PCAR)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.248	Pacific Premier Bancorp Inc (PPBI)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.249	Parker-Hannifin Corporation (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.250	Patrick Industries, Inc. (PATK)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.251	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.252	Paylocity Holding Corporation (PCTY)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.253	PayPal Holdings, Inc. (PYPL)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
1.3.254	Pepsico, Inc. (PEP)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.255	Performance Food Group Company (PFGC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.256	PerkinElmer, Inc. (PKI)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.257	Pfizer, Inc. (PFE)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.258	Phreesia, Inc. (PHR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.259	PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.260	Primoris Services Corporation (PRIM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.261	Procter & Gamble Company (PG)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.262	Progressive Corporation (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.263	Progyny, Inc. (PGNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.264	ProLogis, Inc. (PLD)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.265	Public Service Enterprise Group Incorporated (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.266	Public Storage (PSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.267	PVH Corp. (PVH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.3.268	QUALCOMM Incorporated (QCOM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.269	Quanta Services, Inc. (PWR)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
1.3.270	Quest Diagnostics Incorporated (DGX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.271	Rapid7, Inc. (RPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.272	RBC Bearings Incorporated (ROLL)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.273	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.3.274	Regions Financial Corporation (RF)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.275	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.276	Ritchie Bros. Auctioneers Incorporated (RBA)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$15,001 - \$50,000
1.3.277	Rockwell Automation, Inc. (ROK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.278	Roper Technologies, Inc. (ROP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.279	Ross Stores, Inc. (ROST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.280	Ryanair Holdings plc Ordinary Shares (RYAOF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.281	Ryman Hospitality Properties, Inc. (RHP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.282	S&P Global Inc. (SPGI)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.283	Salesforce.com Inc (CRM)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.284	SBA Communications Corporation - Class A (SBAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.285	Seacoast Banking Corporation of Florida (SBCF)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.286	Sealed Air Corporation (SEE)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.3.287	Sempra Energy (SRE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.288	Sensata Technologies Holding N.V. Ordinary Shares (ST)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.289	ServiceNow, Inc. (NOW)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3.290	Sherwin-Williams Company (SHW)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$15,001 - \$50,000
1.3.291	Silgan Holdings Inc. (SLGN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.292	Silicon Laboratories, Inc. (SLAB)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.3.293	Simon Property Group, Inc. (SPG)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.294	Skyline Champion Corporation (SKY)	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
1.3.295	Technology Select Sector SPDR Fund (XLK)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.3.296	Block Inc. (SQ)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains \$5,001 - \$15,000
1.3.297	SS&C Technologies Holdings, Inc. (SSNC)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
1.3.298	Stag Industrial, Inc. (STAG)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.299	Starbucks Corporation (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.300	State Street Corporation (STT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.301	STERIS plc (STE)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.3.302	Stifel Financial Corporation (SF)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.303	Stryker Corporation (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.304	Supernus Pharmaceuticals, Inc. (SUPN)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
1.3.305	Syneos Health, Inc. - Class A (SYNH)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
1.3.306	Sysco Corporation (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.307	T-Mobile US, Inc. (TMUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.308	Take-Two Interactive Software, Inc. (TTWO)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.3.309	Target Corporation (TGT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.310	TE Connectivity Ltd. New Switzerland Registered Shares(TEL)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.3.311	Teledyne Technologies Incorporated (TDY)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.312	Teleflex Incorporated (TFX)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.3.313	Terminix Global Holdings, Inc. (TMX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.314	Tesla, Inc. (TSLA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.315	Texas Instruments Incorporated (TXN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.316	Texas Roadhouse, Inc. (TXRH)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.317	The AES Corporation (AES)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.318	The Cooper Companies, Inc. (COO)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.319	The Descartes Systems Group Inc. (DSGX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.320	The Hershey Company (HSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.321	The Kraft Heinz Company (KHC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.322	The Shyft Group, Inc. (SHYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.323	Thermo Fisher Scientific Inc (TMO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.324	TJX Companies, Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.325	Trane Technologies plc (TT)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$15,001 - \$50,000
1.3.326	Transdigm Group Incorporated Transdigm Group Inc. (TDG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.327	Truist Financial Corporation (TFC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
1.3.328	Tyson Foods, Inc. (TSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.329	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.330	Uber Technologies, Inc. (UBER)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.331	UFP Industries, Inc. (UFPI)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.332	Union Pacific Corporation (UNP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.333	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.334	United Rentals, Inc. (URI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.335	UnitedHealth Group Incorporated Common Stock (UNH)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.3.336	Universal Health Services, Inc. (UHS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.3.337	US Ecology, Inc. (ECOL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.3.338	V.F. Corporation (VFC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.3.339	Ventas, Inc. (VTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.340	Veracyte, Inc. (VCYT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.341	Vertex Pharmaceuticals Incorporated (VRTX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.342	Viavi Solutions Inc. (VIAV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.343	Visa Inc. (V)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
1.3.344	Visteon Corporation (VC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.345	Vulcan Materials Company (VMC)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
1.3.346	W.R. Berkley Corporation (WRB)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.347	Walt Disney Company (DIS)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
1.3.348	Waste Management, Inc. (WM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.349	Waters Corporation (WAT)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
1.3.350	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.351	Wells Fargo & Company (WFC)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.352	Welltower Inc. (HCN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.3.353	Westinghouse Air Brake Technologies Corporation (WAB)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.354	Westrock Company (WRK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.355	WEX Inc. common stock (WEX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.356	Weyerhaeuser Company (WY)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.357	Wolverine World Wide, Inc. (WWW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.358	Wynn Resorts, Limited (WYNN)	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)
1.3.359	Yum China Holdings, Inc. (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.360	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.361	Zions Bancorporation (ZION)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.362	Zoetis Inc. Class A (ZTS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.363	Aptiv PLC (APTV)		N/A	None (or less than \$1,001)		None (or less than \$201)
1.3.364	Blackstone Group Inc (BX)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.3.365	Chevron Corp. (CVX)		N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.366	DexCom, Inc. (DXCM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.367	Campbell Soup Co. (CPB)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.368	Discovery, Inc. (DISCA)		N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.3.369	J.B. Hunt Transport Services, Inc. (JBHT)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.3.370	Invesco Ltd. (IVZ)		N/A	None (or less than \$1,001)		None (or less than \$201)
1.3.371	The Mosaic Co. (MOS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.372	Gen Digital Inc. (GEN)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.373	Norwegian Cruise Line Holdings Ltd. (NCLH)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.3.374	Repligen Corp. (RGEN)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.375	Snap-On, Inc. (SNA)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1.3.376	TransUnion (TRU)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.3.377	Wayfair, Inc. (W)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.378	Whirlpool Corp. (WHR)		N/A	None (or less than \$1,001)		None (or less than \$201)
1.3.379	Bloom Energy Corporation (BE)		N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.3.380	ASML Holdings NV (ASML)		N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.381	Atlas Copco AB (ATCOASS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.382	BHP Billiton Limited NPV (BHPAU)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3.383	Dassault Systems (DSY FP)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.384	DBS Group Holdings (DBSSP)		N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.385	Diageo PLC (DEO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.386	Fanuc Corp (6954 JP)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.387	Keyence Corp (6861 JP)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.388	LVMH Moet Hennessy Louis Vuitton (LVMU)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.389	Nestle SA (NESN SW)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.390	NXP Semiconductors NV (NXPI)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.391	Roche Holdings (ROG SW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.392	Volkswagen AG (VOW3 GR)	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)
1.3.393	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3.394	Taiwan Semiconductor Mfg Ltd (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.395	AstraZeneca PLC SPND ADR	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.3.396	Regal Rexnord Corp (RRX)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.397	Canadian Pacific Railway Limited Common Stock (CP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.398	iShares U.S. ETF TR ISHS GSCI Commodity Dynamic Roll Strategy ETF	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
1.3.399	Cadence Design Systems, Inc. (CDNS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.400	Cognizant Technology Solutions Corp. (CTSH)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.401	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.402	CrowdStrike Holdings Inc. (CRWD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.403	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.404	Exxon Mobil Corp. (XOM)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.405	Fortinet, Inc. (FTNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.406	Motorola Solutions, Inc. (MSI)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.407	Philip Morris International, Inc. (PM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.408	Pioneer Natural Resources Co. (PXD)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.3.409	Synopsys, Inc. (SNPS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.410	VMware, Inc. (VMW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.411	ANSYS, Inc. (ANSS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.412	Dentsply Sirona, Inc. (XRAY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.413	Devon Energy Corp. (DVN)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.414	EPAM Systems, Inc. (EPAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.415	Equifax, Inc. (EFX)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.3.416	Liberty Media Corp. (FWONK)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.3.417	Paycom Software, Inc. (PAYC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.418	ResMed, Inc. (RMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.419	Tractor Supply Co. (TSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.420	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
1.3.421	The Williams Cos., Inc. (WMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.422	ChemoCentryx, Inc. (CCXI)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.3.423	DT Midstream Inc. (DTM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.424	Enphase Energy, Inc. (ENPH)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.3.425	Independent Bank Corp. (Massachusetts) (INDB)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.426	Intra-Cellular Therapies, Inc. (ITCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.427	Magnolia Oil & Gas Corp. (MGY)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.3.428	Matador Resources Co. (MTDR)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.429	Organon and Co. (OGN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.3.430	PayCor HCM Inc. (PYCR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.431	Revolve Group Inc. (RVLV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.432	SPX Corp. (SPXC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.433	Terex Corp. (TEX)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.434	Mercedes Benz Group AG Eur Par Ordinary (DAI S1)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.435	iShares MSCI Canada ETF (EWC)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.3.436	Johnson Controls International Plc (JCI)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.437	Shell PLC (SHEL)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.438	iShares MSCIEmerging Markets ex China ETF (EMXC)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.3.439	FirstEnergy Corp. (FE)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.3.440	Southern Company (SO)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.3.441	Xcel Energy, Inc. (XEL)		N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
1.3.442	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA)		No	None (or less than \$1,001)	proceeds from securities litigation (class period 9/19/14--1/28/15)	\$228
1.3.443	Altria Group, Inc. (MO)		N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
1.3.444	Fidelity Treasury Only Money Market Fund (FDLXX)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.3.445	Archer-Daniels-Midland Co. (ADM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.446	Arista Networks, Inc. (ANET)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.447	Biogen Inc. (BIIB)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.448	Dominion Energy, Inc. (D)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.449	Ferguson plc Ordinary Shares (FERG)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.3.450	Occidental Petroleum Corp. (OXY)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.451	Palo Alto Networks, Inc. (PANW)		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.452	Valero Energy Corp. (VLO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.453	Vanguard Value Index Fund ETF Shares (VTV)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3.454	Walmart, Inc. (WMT)		N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.3.455	AMETEK, Inc. (AME)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.456	Avantor, Inc. (AVTR)		N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.457	Constellation Energy Corporation Common Stock (CEG)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.458	D.R. Horton, Inc. (DHI)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.459	Etsy, Inc. (ETSY)		N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.460	Expeditors International of Washington, Inc. (EXPD)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.461	Hilton Worldwide Holdings, Inc. (HLT) See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.462	Hologic, Inc. (HOLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.463	Hormel Foods Corp. (HRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.464	Incyte Corp. (INCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.465	ITT, Inc. (ITT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.466	Jack Henry & Associates, Inc. (JKHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.467	Lennar Corp. (LEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.468	Laboratory Corp. of America Holdings (LH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.469	Molina Healthcare, Inc. (MOH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.470	Realty Income Corp. (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.471	PTC, Inc. (PTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.472	Henry Schein, Inc. (HSIC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.473	Southwest Airlines Co. (LUV) See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.474	SPDR S&P Pharmaceuticals ETF (XPH)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.475	Warner Bros. Discovery Inc. Series A Common Stock (WBD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.476	Zimmer Biomet Holdings, Inc. (ZBH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.477	Arcutis Biotherapeutics Inc. Common Stock (ARQT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.478	BioCryst Pharmaceuticals, Inc. (BCRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.479	ChampionX Corporation Common Stock (CHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.480	Flywire Corporation Voting Common Stock (FLYW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.481	Insmo, Inc. (INSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.482	Patterson-UTI Energy, Inc. (PTEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.483	Shoals Technologies Group Inc. Class A Common Stock (SHLS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.484	Veritex Holdings, Inc. (VBTX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3.485	Thoughtworks Holding Inc. Common Stock (TWKS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.486	Artisan International Value Fund Institutional Shares (APHKX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.3.487	Canadian Pacific Railway Ltd (CP CN)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
1.3.488	Deutsche Telekom Ag-Reg Eur Par Ordinary (DTE GR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.489	Garmin Ltd. (GRMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.490	Iberdrola SA, Bilbao	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.491	iShares MSCI EAFE Value ETF (EFV)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.3.492	Rentokil International Sponsored ADR (RTO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.493	SAP SE (SAP GR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3.494	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.3.495	iShares China Large-Cap ETF (FXI)	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
1.3.496	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3.497	Sociedad Quimica y Minera S.A. Common Stock (SQM)	See Endnote	N/A		\$1,001 - \$15,000
1.3.498	ICON plc Ordinary Shares (ICLR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.4	Brokerage Account #4	No			
1.4.1	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
1.4.2	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
1.4.3	U.S. Bank Account #1 - cash	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
1.4.4	Archer-Daniels-Midland Co. (ADM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4.5	Ebix, Inc. (EBIX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.6	Franco-Nevada Corp. (FNV)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.4.7	Madison Square Garden Entertainment Corp. (MSGE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4.8	Mastercard, Inc. (MA)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
1.4.9	MicroStrategy, Inc. (MSTR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4.10	Moody's Corp (MCO)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.4.11	Pan American Silver Corp (PAAS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4.12	PLDT Inc. (PHI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
1.4.13	Texas Pacific Land Corp (TPL)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
1.4.14	Visa, Inc. (V)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.4.15	Wheaton Precious Metals Corp (WPM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.4.16	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.4.17	Fidelity Money Market Portfolio Institutional Class (FNSXX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.4.18	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
1.4.19	Allspring Specialized Technology Fund Institutional Class (WFTIX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4.20	Allspring Money Market Fund Premier Class Shares (WMPXX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
1.4.21	Lamar Advertising Co. (LAMR)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.4.22	Listed Funds Horizon Kinetics Inflation Beneficiaries ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.4.23	U.S. Treasury Notes	N/A	\$5,000,001 - \$25,000,000	Capital Gains Interest	\$15,001 - \$50,000
1.4.24	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.4.25	PayPal Holdings, Inc. (PYPL)	See Endnote	N/A		\$1,001 - \$15,000
1.4.26	Tencent Music Entertainment Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4.27	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4.28	WisdomTree Floating Rate Treasury Fund (USFR)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
1.5	AB Energy Opportunity Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
1.6	11666 Mayfield Avenue, LLC	No			
1.6.1	Residential Real Property, Los Angeles, CA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	11677-11683 Goshen Avenue, LLC	No			
1.7.1	Residential Real Property, Los Angeles, CA	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
1.8	11832 Kiowa Avenue, LLC	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8.1	Residential Real Property, Los Angeles, CA		N/A	None (or less than \$1,001)	Capital Gains Interest	\$100,001 - \$1,000,000
1.9	150 Main St. LLC		No			
1.9.1	Residential Real Property, Torrance, CA	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
1.10	7513 Fountain Avenue, LLC		No			
1.10.1	Residential Real Property, Los Angeles, CA		N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
1.11	CP Pavillion UVA		No			
1.11.1	Residential Real Property, Charlottesville, VA	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
1.12	EXP 17760 Rowland LLC		No			
1.12.1	Industrial Real Property, Los Angeles, CA		N/A	\$100,001 - \$250,000	Rent or Royalties	\$2,501 - \$5,000
1.13	EXP 4545 Brooks, LLC		No			
1.13.1	Industrial Real Property, Los Angeles, CA		N/A	\$50,001 - \$100,000	Rent or Royalties	\$201 - \$1,000
1.14	Raymond James Multi-Margin Account B		No			
1.14.1	SECONDARY OPPORTUNITIES FD III PCS FEEDER US LP-EIF	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
1.15	Rosehedge Pico Rivera LLC		No			
1.15.1	Residential Real Property, Pico Rivera, CA		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
1.16	City National Securities		No			
1.16.1	US brokerage sweep account (cash)		N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	New York 529 - DC1	No			
2.1	JPMorgan 529 Age Based 6-8 C	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	JPMorgan 529 Age Based 6-8 A	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	New York 529 - DC2	No			
3.1	JPMorgan 529 Age Based 6-8 C	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	JPMorgan 529 Age Based 6-8 A	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Family trust #1	No			
4.1	Lincoln Financial, variable life	No			
4.1.1	LVIP Delaware Mid Cap Value SC	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.1.2	LVIP Global Cons Alloc MR SC	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.1.3	LVIP Vanguard Domestic Equity	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.1.4	LVIP Vanguard Int Equity	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.2	Pacific Life Insurance Co, universal life	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.3	Prudential Financial, universal life	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.4	Prudential Financial, universal life	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Burgerfi Intl Inc. (BFI)	See Endnote	Purchase	01/03/2022	\$1,001 - \$15,000
2	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)		Purchase	01/03/2022	\$1,001 - \$15,000
3	Cognyte Software Ltd.		Purchase	01/03/2022	\$15,001 - \$50,000
4	Katapult Holdings Ltd.	See Endnote	Purchase	01/03/2022	\$1,001 - \$15,000
5	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)		Purchase	01/03/2022	\$1,001 - \$15,000
6	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)		Sale	01/27/2022	\$500,001 - \$1,000,000
7	WisdomTree Floating Rate Treasury Fund (USFR)		Purchase	01/28/2022	\$500,001 - \$1,000,000
8	Tencent Music Entertainment Group		Purchase	01/03/2022	\$1,001 - \$15,000
9	Intra-Cellular Therapies, Inc. (ITCI)		Purchase	01/10/2022	\$1,001 - \$15,000
10	Flex Ltd.		Purchase	01/19/2022	\$1,001 - \$15,000
11	Teleflex, Inc. (TFX)		Purchase	01/19/2022	\$1,001 - \$15,000
12	Boston Scientific Corp. (BSX)		Purchase	01/25/2022	\$1,001 - \$15,000
13	ICU Medical, Inc. (ICUI)		Purchase	01/28/2022	\$1,001 - \$15,000
14	Teleflex, Inc. (TFX)		Purchase	01/28/2022	\$1,001 - \$15,000
15	Linde Plc (LIN)		Purchase	01/31/2022	\$1,001 - \$15,000
16	Medtronic Plc (MDT)		Purchase	01/31/2022	\$1,001 - \$15,000
17	AbbVie, Inc. (ABBV)		Purchase	01/31/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	Activision Blizzard, Inc. (ATVI)	Purchase	01/31/2022	\$1,001 - \$15,000
19	Adobe, Inc. (ADBE)	Purchase	01/31/2022	\$1,001 - \$15,000
20	Advanced Micro Devices, Inc. (AMD)	Purchase	01/31/2022	\$1,001 - \$15,000
21	Akamai Technologies, Inc. (AKAM)	Purchase	01/31/2022	\$1,001 - \$15,000
22	Alphabet, Inc. (GOOG)	Purchase	01/31/2022	\$1,001 - \$15,000
23	ANSYS, Inc. (ANSS)	Purchase	01/31/2022	\$15,001 - \$50,000
24	Arista Networks, Inc. (ANET)	Purchase	01/31/2022	\$1,001 - \$15,000
25	Autodesk, Inc. (ADSK)	Purchase	01/31/2022	\$1,001 - \$15,000
26	Becton, Dickinson and Company (BDX)	Purchase	01/31/2022	\$1,001 - \$15,000
27	Berkshire Hathaway Inc. (BRKB)	Purchase	01/31/2022	\$1,001 - \$15,000
28	Bristol-Myers Squibb (BMY)	Purchase	01/31/2022	\$1,001 - \$15,000
29	Ceridian HCM Holding, Inc. (CDAY)	Purchase	01/31/2022	\$1,001 - \$15,000
30	Chevron Corp. (CVX)	Purchase	01/31/2022	\$1,001 - \$15,000
31	The Coca-Cola Co. (KO)	Purchase	01/31/2022	\$1,001 - \$15,000
32	Cognizant Technology Solutions Corp. (CTSH)	Purchase	01/31/2022	\$1,001 - \$15,000
33	ConocoPhillips (COP)	Purchase	01/31/2022	\$1,001 - \$15,000
34	Crown Castle International Corp. (CCI)	Purchase	01/31/2022	\$1,001 - \$15,000
35	Devon Energy Corp. (DVN)	Purchase	01/31/2022	\$1,001 - \$15,000
36	EOG Resources, Inc. (EOG)	Purchase	01/31/2022	\$1,001 - \$15,000
37	Edwards Lifesciences Corp. (EW)	Purchase	01/31/2022	\$1,001 - \$15,000
38	Electronic Arts, Inc. (EA)	Purchase	01/31/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
39	Equifax, Inc. (EFX)	Purchase	01/31/2022	\$1,001 - \$15,000
40	Etsy, Inc. (ETSY)	Purchase	01/31/2022	\$1,001 - \$15,000
41	Meta Platforms Inc.	Purchase	01/31/2022	\$1,001 - \$15,000
42	FactSet Research Systems, Inc. (FDS)	Purchase	01/31/2022	\$1,001 - \$15,000
43	Fidelity National Information Services, Inc. (FIS)	Purchase	01/31/2022	\$1,001 - \$15,000
44	Fiserv, Inc. (FISV)	Purchase	01/31/2022	\$1,001 - \$15,000
45	FirstEnergy Corp. (FE)	Purchase	01/31/2022	\$1,001 - \$15,000
46	Gartner, Inc. (IT)	Purchase	01/31/2022	\$1,001 - \$15,000
47	Global Payments, Inc. (GPN)	Purchase	01/31/2022	\$1,001 - \$15,000
48	Jack Henry & Associates, Inc. (JKHY)	Purchase	01/31/2022	\$1,001 - \$15,000
49	IDEXX Laboratories, Inc. (IDXX)	Purchase	01/31/2022	\$1,001 - \$15,000
50	Intuitive Surgical, Inc. (ISRG)	Purchase	01/31/2022	\$1,001 - \$15,000
51	IQVIA Holdings, Inc. (IQV)	Purchase	01/31/2022	\$1,001 - \$15,000
52	Lowe's Companies Inc.	Purchase	01/31/2022	\$1,001 - \$15,000
53	Merck & Co., Inc. (MRK)	See Endnote	01/31/2022	\$1,001 - \$15,000
54	Mettler-Toledo International, Inc. (MTD)	Purchase	01/31/2022	\$1,001 - \$15,000
55	Norton LifeLock Inc.	Purchase	01/31/2022	\$1,001 - \$15,000
56	PTC, Inc. (PTC)	Purchase	01/31/2022	\$1,001 - \$15,000
57	Paycom Software, Inc. (PAYC)	Purchase	01/31/2022	\$1,001 - \$15,000
58	Philip Morris International, Inc. (PM)	Purchase	01/31/2022	\$1,001 - \$15,000
59	Pioneer Natural Resources Co. (PXD)	Purchase	01/31/2022	\$1,001 - \$15,000
60	Progressive Corp. (PGR)	Purchase	01/31/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
61	Prologis, Inc. (PLD)	Purchase	01/31/2022	\$1,001 - \$15,000
62	Realty Income Corp. (O)	Purchase	01/31/2022	\$1,001 - \$15,000
63	ServiceNow, Inc. (NOW)	Purchase	01/31/2022	\$1,001 - \$15,000
64	Stryker Corp. (SYK)	Purchase	01/31/2022	\$1,001 - \$15,000
65	Take-Two Interactive Software, Inc. (TTWO)	Purchase	01/31/2022	\$1,001 - \$15,000
66	TransDigm Group, Inc. (TDG)	Purchase	01/31/2022	\$1,001 - \$15,000
67	UnitedHealth Group, Inc. (UNH)	Purchase	01/31/2022	\$1,001 - \$15,000
68	Visa, Inc. (V)	Purchase	01/31/2022	\$1,001 - \$15,000
69	Walmart, Inc. (WMT)	Purchase	01/31/2022	\$1,001 - \$15,000
70	Syneos Health, Inc. (SYNH)	Sale	01/10/2022	\$1,001 - \$15,000
71	Shopify Inc.	Sale	01/11/2022	\$1,001 - \$15,000
72	The Cooper Cos., Inc. (COO)	Sale	01/19/2022	\$1,001 - \$15,000
73	Waters Corp. (WAT)	Sale	01/19/2022	\$1,001 - \$15,000
74	Amazon.com, Inc. (AMZN)	Sale	01/21/2022	\$1,001 - \$15,000
75	Visa, Inc. (V)	Sale	01/21/2022	\$1,001 - \$15,000
76	Patrick Industries, Inc. (PATK)	Sale	01/25/2022	\$1,001 - \$15,000
77	Icon PLC	Sale	01/28/2022	\$1,001 - \$15,000
78	KLA Corp. (KLAC)	Sale	01/28/2022	\$1,001 - \$15,000
79	Abbott Laboratories (ABT)	Sale	01/31/2022	\$15,001 - \$50,000
80	Alphabet, Inc. (GOOG)	Sale	01/31/2022	\$1,001 - \$15,000
81	Amazon.com, Inc. (AMZN)	Sale	01/31/2022	\$1,001 - \$15,000
82	Anthem, Inc. (ANTM)	Sale	01/31/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Automatic Data Processing, Inc. (ADP)	Sale	01/31/2022	\$15,001 - \$50,000
84	Cadence Design Systems, Inc. (CDNS)	Sale	01/31/2022	\$15,001 - \$50,000
85	Carnival Corp. (CCL)	Sale	01/31/2022	\$1,001 - \$15,000
86	Citigroup, Inc. (C)	Sale	01/31/2022	\$15,001 - \$50,000
87	Danaher Corp. (DHR)	Sale	01/31/2022	\$1,001 - \$15,000
88	DexCom, Inc. (DXCM)	Sale	01/31/2022	\$1,001 - \$15,000
89	The Walt Disney Co. (DIS)	Sale	01/31/2022	\$1,001 - \$15,000
90	Discovery, Inc. (DISCA)	Sale	01/31/2022	\$1,001 - \$15,000
91	EPAM Systems, Inc. (EPAM)	Sale	01/31/2022	\$1,001 - \$15,000
92	Extra Space Storage, Inc. (EXR)	Sale	01/31/2022	\$1,001 - \$15,000
93	Fortinet, Inc. (FTNT)	Sale	01/31/2022	\$1,001 - \$15,000
94	Gilead Sciences, Inc. (GILD)	Sale	01/31/2022	\$1,001 - \$15,000
95	Intuit, Inc. (INTU)	Sale	01/31/2022	\$1,001 - \$15,000
96	M&T Bank Corp. (MTB)	Sale	01/31/2022	\$1,001 - \$15,000
97	Moody's Corp. (MCO)	Sale	01/31/2022	\$1,001 - \$15,000
98	Motorola Solutions, Inc. (MSI)	Sale	01/31/2022	\$1,001 - \$15,000
99	Newmont Corp. (NEM)	Sale	01/31/2022	\$1,001 - \$15,000
100	Nucor Corp. (NUE)	Sale	01/31/2022	\$1,001 - \$15,000
101	NVIDIA Corp. (NVDA)	Sale	01/31/2022	\$1,001 - \$15,000
102	Organon and Co.	Sale	01/31/2022	\$1,001 - \$15,000
103	Paychex, Inc. (PAYX)	Sale	01/31/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
104	Pfizer Inc. (PFE)	Sale	01/31/2022	\$1,001 - \$15,000
105	Procter & Gamble Co. (PG)	Sale	01/31/2022	\$1,001 - \$15,000
106	Public Storage (PSA)	Sale	01/31/2022	\$1,001 - \$15,000
107	ResMed, Inc. (RMD)	Sale	01/31/2022	\$1,001 - \$15,000
108	SBA Communications Corp. (SBAC)	Sale	01/31/2022	\$1,001 - \$15,000
109	salesforce.com, inc. (CRM)	Sale	01/31/2022	\$1,001 - \$15,000
110	Synopsys, Inc. (SNPS)	Sale	01/31/2022	\$15,001 - \$50,000
111	Tesla, Inc. (TSLA)	Sale	01/31/2022	\$1,001 - \$15,000
112	3M Co. (MMM)	Sale	01/31/2022	\$1,001 - \$15,000
113	Twitter, Inc. (TWTR)	Sale	01/31/2022	\$1,001 - \$15,000
114	Tyler Technologies, Inc. (TYL)	Sale	01/31/2022	\$1,001 - \$15,000
115	VF Corp. (VFC)	Sale	01/31/2022	\$1,001 - \$15,000
116	VeriSign, Inc. (VRSN)	Sale	01/31/2022	\$1,001 - \$15,000
117	Viatis Inc.	Sale	01/31/2022	\$1,001 - \$15,000
118	Waste Management, Inc. (WM)	Sale	01/31/2022	\$1,001 - \$15,000
119	West Pharmaceutical Services, Inc. (WST)	Sale	01/31/2022	\$1,001 - \$15,000
120	Zoetis, Inc. (ZTS)	Sale	01/31/2022	\$1,001 - \$15,000
121	California State Public Works Board Lease Rev Bonds	Purchase	02/10/2022	\$250,001 - \$500,000
122	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)	Purchase	02/01/2022	\$1,001 - \$15,000
123	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	02/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
124	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	02/02/2022	\$15,001 - \$50,000
125	Medtronic Plc (MDT)	Purchase	02/10/2022	\$1,001 - \$15,000
126	STERIS Plc (Ireland) (STE)	Sale	02/10/2022	\$1,001 - \$15,000
127	Adobe, Inc. (ADBE)	Purchase	02/10/2022	\$1,001 - \$15,000
128	AbbVie, Inc. (ABBV)	Purchase	02/10/2022	\$1,001 - \$15,000
129	Amgen Inc. (AMGN)	Purchase	02/10/2022	\$1,001 - \$15,000
130	Becton, Dickinson and Company (BDX)	Purchase	02/10/2022	\$1,001 - \$15,000
131	Berkshire Hathaway Inc. (BRKB)	Purchase	02/10/2022	\$1,001 - \$15,000
132	Bristol-Myers Squibb (BMY)	Purchase	02/10/2022	\$15,001 - \$50,000
133	CVS Health Corp. (CVS)	Purchase	02/10/2022	\$15,001 - \$50,000
134	Catalent Inc.	Purchase	02/10/2022	\$1,001 - \$15,000
135	Cerner Corp. (CERN)	Purchase	02/10/2022	\$1,001 - \$15,000
136	Dominion Energy, Inc. (D)	Purchase	02/10/2022	\$1,001 - \$15,000
137	HCA Healthcare, Inc. (HCA)	Purchase	02/10/2022	\$1,001 - \$15,000
138	The Hershey Co. (HSY)	Purchase	02/10/2022	\$1,001 - \$15,000
139	Incyte Corp. (INCY)	Purchase	02/10/2022	\$1,001 - \$15,000
140	Intercontinental Exchange, Inc. (ICE)	Purchase	02/10/2022	\$1,001 - \$15,000
141	Laboratory Corp. of America Holdings (LH)	Purchase	02/10/2022	\$1,001 - \$15,000
142	Eli Lilly & Co. (LLY)	Purchase	02/10/2022	\$1,001 - \$15,000
143	Lowe's Companies Inc.	Purchase	02/10/2022	\$1,001 - \$15,000
144	Mondelez International, Inc. (MDLZ)	Purchase	02/10/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
145	PepsiCo, Inc. (PEP)	Purchase	02/10/2022	\$1,001 - \$15,000
146	Philip Morris International, Inc. (PM)	Purchase	02/10/2022	\$1,001 - \$15,000
147	Procter & Gamble Co. (PG)	Purchase	02/10/2022	\$1,001 - \$15,000
148	Progressive Corp. (PGR)	Purchase	02/10/2022	\$1,001 - \$15,000
149	S&P Global, Inc. (SPGI)	Purchase	02/10/2022	\$1,001 - \$15,000
150	Southern Company (SO)	Purchase	02/10/2022	\$1,001 - \$15,000
151	Thermo Fisher Scientific, Inc. (TMO)	Purchase	02/10/2022	\$1,001 - \$15,000
152	Walmart, Inc. (WMT)	Purchase	02/10/2022	\$1,001 - \$15,000
153	NVIDIA Corp. (NVDA)	Purchase	02/11/2022	\$1,001 - \$15,000
154	Insulet Corp. (PODD)	Purchase	02/15/2022	\$15,001 - \$50,000
155	Allegiant Travel Co. (ALGT)	Purchase	02/16/2022	\$1,001 - \$15,000
156	Apple, Inc. (AAPL)	Purchase	02/16/2022	\$1,001 - \$15,000
157	Insulet Corp. (PODD)	Purchase	02/16/2022	\$15,001 - \$50,000
158	Chuy's Holdings, Inc. (CHUY)	Purchase	02/16/2022	\$1,001 - \$15,000
159	ICU Medical, Inc. (ICUI)	Purchase	02/16/2022	\$1,001 - \$15,000
160	Independent Bank Corp. (Massachusetts) (INDB)	Purchase	02/16/2022	\$1,001 - \$15,000
161	Lithia Motors, Inc. (LAD)	Purchase	02/16/2022	\$1,001 - \$15,000
162	Oxford Industries, Inc. (OXM)	Purchase	02/16/2022	\$1,001 - \$15,000
163	Ryman Hospitality Properties, Inc. (RHP)	Purchase	02/16/2022	\$1,001 - \$15,000
164	Rio Tinto PLC	Purchase	02/17/2022	\$1,001 - \$15,000
165	ChemoCentryx, Inc. (CCXI)	Purchase	02/17/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
166	Shyft Group Inc.	Purchase	02/17/2022	\$1,001 - \$15,000
167	Terex Corp. (TEX)	Purchase	02/17/2022	\$1,001 - \$15,000
168	Enphase Energy, Inc. (ENPH)	Purchase	02/18/2022	\$15,001 - \$50,000
169	Estee Lauder Cos. (EL)	Purchase	02/18/2022	\$15,001 - \$50,000
170	Enphase Energy, Inc. (ENPH)	Purchase	02/22/2022	\$1,001 - \$15,000
171	Estee Lauder Cos. (EL)	Purchase	02/22/2022	\$1,001 - \$15,000
172	SPX Corp. (SPXC)	Purchase	02/22/2022	\$1,001 - \$15,000
173	CrowdStrike Holdings Inc.	Purchase	02/25/2022	\$1,001 - \$15,000
174	iShares Core MSCI EAFE ETF (IEFA)	Purchase	02/28/2022	\$100,001 - \$250,000
175	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/28/2022	\$100,001 - \$250,000
176	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	02/28/2022	\$100,001 - \$250,000
177	Boot Barn Holdings, Inc. (BOOT)	Sale	02/03/2022	\$1,001 - \$15,000
178	Linde Plc (LIN)	Sale	02/10/2022	\$1,001 - \$15,000
179	UnitedHealth Group, Inc. (UNH)	Sale	02/10/2022	\$50,001 - \$100,000
180	Anthem, Inc. (ANTM)	Sale	02/10/2022	\$1,001 - \$15,000
181	Etsy, Inc. (ETSY)	Sale	02/10/2022	\$1,001 - \$15,000
182	Meta Platforms Inc.	Sale	02/10/2022	\$1,001 - \$15,000
183	Johnson & Johnson (JNJ)	Sale	02/10/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
184	Merck & Co., Inc. (MRK)	Sale	02/10/2022	\$15,001 - \$50,000	
185	Pfizer Inc. (PFE)	Sale	02/10/2022	\$15,001 - \$50,000	
186	Realty Income Corp. (O)	Sale	02/10/2022	\$1,001 - \$15,000	
187	salesforce.com, inc. (CRM)	Sale	02/10/2022	\$1,001 - \$15,000	
188	Visa, Inc. (V)	Sale	02/10/2022	\$1,001 - \$15,000	
189	NVIDIA Corp. (NVDA)	Sale	02/11/2022	\$1,001 - \$15,000	
190	ABIOMED, Inc. (ABMD)	Sale	02/15/2022	\$15,001 - \$50,000	
191	NXP Semiconductors	Sale	02/16/2022	\$1,001 - \$15,000	
192	ABIOMED, Inc. (ABMD)	Sale	02/16/2022	\$15,001 - \$50,000	
193	Sea Ltd.	Sale	02/16/2022	\$1,001 - \$15,000	
194	Skyline Champion Corp. (SKY)	Sale	02/16/2022	\$1,001 - \$15,000	
195	UFP Industries, Inc. (UFPI)	Sale	02/16/2022	\$1,001 - \$15,000	
196	Meta Platforms Inc.	Sale	02/18/2022	\$50,001 - \$100,000	
197	iShares MSCI Emerging Markets ex China ETF (EMXC)	Sale	02/28/2022	\$100,001 - \$250,000	
198	IHS Markit Ltd. (INFO)	See Endnote	Exchange	02/28/2022	\$1,001 - \$15,000
199	SECONDARY OPPORTUNITIES FD III PCS FEEDER US LP	Sale	03/31/2022	\$50,001 - \$100,000	
200	CSP III Distressed Opportunities Trust	Sale	03/31/2022	\$15,001 - \$50,000	
201	Carlyle AIP Secondaries Access V II LP	Sale	03/31/2022	\$15,001 - \$50,000	

#	DESCRIPTION	TYPE	DATE	AMOUNT
202	Ecosystem Integrity Fund	Sale	03/31/2022	\$50,001 - \$100,000
203	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)	Purchase	03/01/2022	\$1,001 - \$15,000
204	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	03/01/2022	\$1,001 - \$15,000
205	Mastercard, Inc. (MA)	Purchase	03/07/2022	\$15,001 - \$50,000
206	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)	Sale	03/10/2022	\$1,000,001 - \$5,000,000
207	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Purchase	03/23/2022	\$1,001 - \$15,000
208	RingCentral, Inc. (RNG)	Purchase	03/04/2022	\$1,001 - \$15,000
209	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	03/08/2022	\$1,001 - \$15,000
210	ChemoCentryx, Inc. (CCXI)	Purchase	03/09/2022	\$1,001 - \$15,000
211	Artisan International Value Fund Institutional Shares (APHKX)	Purchase	03/09/2022	\$250,001 - \$500,000
212	Veritex Holdings, Inc. (VBTX)	Purchase	03/14/2022	\$1,001 - \$15,000
213	Meta Platforms Inc.	Purchase	03/15/2022	\$1,001 - \$15,000
214	Abbott Laboratories (ABT)	Purchase	03/15/2022	\$15,001 - \$50,000
215	Activision Blizzard, Inc. (ATVI)	Purchase	03/15/2022	\$1,001 - \$15,000
216	Altria Group, Inc. (MO)	Purchase	03/15/2022	\$1,001 - \$15,000
217	Amazon.com, Inc. (AMZN)	Purchase	03/15/2022	\$15,001 - \$50,000
218	American Water Works Co., Inc. (AWK)	Purchase	03/15/2022	\$1,001 - \$15,000
219	Archer-Daniels-Midland Co. (ADM)	Purchase	03/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
220	Automatic Data Processing, Inc. (ADP)	Purchase	03/15/2022	\$15,001 - \$50,000
221	Bio-Techne Corp. (TECH)	Purchase	03/15/2022	\$1,001 - \$15,000
222	Coterra Energy Inc.	Purchase	03/15/2022	\$1,001 - \$15,000
223	Cadence Design Systems, Inc. (CDNS)	Purchase	03/15/2022	\$15,001 - \$50,000
224	Citrix Systems, Inc. (CTXS)	Purchase	03/15/2022	\$1,001 - \$15,000
225	Colgate-Palmolive Co. (CL)	Purchase	03/15/2022	\$1,001 - \$15,000
226	Danaher Corp. (DHR)	Purchase	03/15/2022	\$15,001 - \$50,000
227	DexCom, Inc. (DXCM)	Purchase	03/15/2022	\$1,001 - \$15,000
228	The Walt Disney Co. (DIS)	Purchase	03/15/2022	\$1,001 - \$15,000
229	Exxon Mobil Corp. (XOM)	Purchase	03/15/2022	\$1,001 - \$15,000
230	Meta Platforms Inc.	Purchase	03/15/2022	\$1,001 - \$15,000
231	Fortinet, Inc. (FTNT)	Purchase	03/15/2022	\$1,001 - \$15,000
232	Gilead Sciences, Inc. (GILD)	Purchase	03/15/2022	\$1,001 - \$15,000
233	Hormel Foods Corp. (HRL)	Purchase	03/15/2022	\$1,001 - \$15,000
234	Intuit, Inc. (INTU)	Purchase	03/15/2022	\$15,001 - \$50,000
235	Molina Healthcare, Inc. (MOH)	Purchase	03/15/2022	\$1,001 - \$15,000
236	Motorola Solutions, Inc. (MSI)	Purchase	03/15/2022	\$1,001 - \$15,000
237	Occidental Petroleum Corp. (OXY)	Purchase	03/15/2022	\$1,001 - \$15,000
238	PepsiCo, Inc. (PEP)	Purchase	03/15/2022	\$15,001 - \$50,000
239	Public Storage (PSA)	Purchase	03/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
240	ResMed, Inc. (RMD)	Purchase	03/15/2022	\$1,001 - \$15,000
241	salesforce.com, inc. (CRM)	Purchase	03/15/2022	\$15,001 - \$50,000
242	Synopsys, Inc. (SNPS)	Purchase	03/15/2022	\$15,001 - \$50,000
243	T-Mobile US, Inc. (TMUS)	Purchase	03/15/2022	\$1,001 - \$15,000
244	Tyler Technologies, Inc. (TYL)	Purchase	03/15/2022	\$15,001 - \$50,000
245	Walmart, Inc. (WMT)	Purchase	03/15/2022	\$1,001 - \$15,000
246	West Pharmaceutical Services, Inc. (WST)	Purchase	03/15/2022	\$1,001 - \$15,000
247	Xcel Energy, Inc. (XEL)	Purchase	03/15/2022	\$1,001 - \$15,000
248	Zoetis, Inc. (ZTS)	Purchase	03/15/2022	\$1,001 - \$15,000
249	Thoughtworks Holdings Inc.	Purchase	03/17/2022	\$1,001 - \$15,000
250	Elanco Animal Health, Inc. (ELAN)	Purchase	03/18/2022	\$1,001 - \$15,000
251	Ryanair Holdings PLC	Purchase	03/18/2022	\$1,001 - \$15,000
252	Palo Alto Networks, Inc. (PANW)	Purchase	03/18/2022	\$15,001 - \$50,000
253	Ryanair Holdings PLC	Purchase	03/21/2022	\$1,001 - \$15,000
254	Ferguson PLC	Purchase	03/22/2022	\$15,001 - \$50,000
255	Ferguson PLC	Purchase	03/23/2022	\$15,001 - \$50,000
256	Ferguson PLC	Purchase	03/24/2022	\$1,001 - \$15,000
257	Ferguson PLC	Purchase	03/25/2022	\$1,001 - \$15,000
258	American Water Works Co., Inc. (AWK)	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
259	Biogen Inc. (BIIB)	Purchase	03/30/2022	\$1,001 - \$15,000
260	DexCom, Inc. (DXCM)	Purchase	03/30/2022	\$1,001 - \$15,000
261	Digital Realty Trust, Inc. (DLR)	Purchase	03/30/2022	\$1,001 - \$15,000
262	EPAM Systems, Inc. (EPAM)	Purchase	03/30/2022	\$1,001 - \$15,000
263	Realty Income Corp. (O)	Purchase	03/30/2022	\$1,001 - \$15,000
264	Vroom Inc.	Sale	03/07/2022	\$1,001 - \$15,000
265	Endava Ltd.	Sale	03/09/2022	\$1,001 - \$15,000
266	International Equity Portfolio Institutional Class Shares (HLMIX)	Sale	03/09/2022	\$250,001 - \$500,000
267	Glacier Bancorp, Inc. (GBCI)	Sale	03/14/2022	\$1,001 - \$15,000
268	Ollie's Bargain Outlet Holdings, Inc. (OLLI)	Sale	03/09/2022	\$1,001 - \$15,000
269	Meta Platforms Inc.	Sale	03/15/2022	\$1,001 - \$15,000
270	STERIS Plc (Ireland) (STE)	Sale	03/15/2022	\$1,001 - \$15,000
271	AbbVie, Inc. (ABBV)	Sale	03/15/2022	\$1,001 - \$15,000
272	Adobe, Inc. (ADBE)	Sale	03/15/2022	\$15,001 - \$50,000
273	Akamai Technologies, Inc. (AKAM)	Sale	03/15/2022	\$1,001 - \$15,000
274	Amgen Inc. (AMGN)	Sale	03/15/2022	\$1,001 - \$15,000
275	ANSYS, Inc. (ANSS)	Sale	03/15/2022	\$15,001 - \$50,000
276	Autodesk, Inc. (ADSK)	Sale	03/15/2022	\$1,001 - \$15,000
277	Becton, Dickinson and Company (BDX)	Sale	03/15/2022	\$1,001 - \$15,000
278	Booking Holdings Inc. (BKNG)	Sale	03/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
279	CVS Health Corp. (CVS)	Sale	03/15/2022	\$15,001 - \$50,000
280	Catalent Inc.	Sale	03/15/2022	\$1,001 - \$15,000
281	Ceridian HCM Holding, Inc. (CDAY)	Sale	03/15/2022	\$1,001 - \$15,000
282	The Coca-Cola Co. (KO)	Sale	03/15/2022	\$1,001 - \$15,000
283	Electronic Arts, Inc. (EA)	Sale	03/15/2022	\$1,001 - \$15,000
284	Equifax, Inc. (EFX)	Sale	03/15/2022	\$1,001 - \$15,000
285	FactSet Research Systems, Inc. (FDS)	Sale	03/15/2022	\$1,001 - \$15,000
286	Fidelity National Information Services, Inc. (FIS)	Sale	03/15/2022	\$1,001 - \$15,000
287	Fiserv, Inc. (FISV)	Sale	03/15/2022	\$1,001 - \$15,000
288	Gartner, Inc. (IT)	Sale	03/15/2022	\$1,001 - \$15,000
289	Global Payments, Inc. (GPN)	Sale	03/15/2022	\$1,001 - \$15,000
290	The Hershey Co. (HSY)	Sale	03/15/2022	\$1,001 - \$15,000
291	IQVIA Holdings, Inc. (IQV)	Sale	03/15/2022	\$1,001 - \$15,000
292	Lowe's Companies Inc.	Sale	03/15/2022	\$1,001 - \$15,000
293	Mettler-Toledo International, Inc. (MTD)	Sale	03/15/2022	\$1,001 - \$15,000
294	Mondelez International, Inc. (MDLZ)	Sale	03/15/2022	\$1,001 - \$15,000
295	PTC, Inc. (PTC)	Sale	03/15/2022	\$1,001 - \$15,000
296	Paycom Software, Inc. (PAYC)	Sale	03/15/2022	\$1,001 - \$15,000
297	Philip Morris International, Inc. (PM)	Sale	03/15/2022	\$1,001 - \$15,000
298	Procter & Gamble Co. (PG)	Sale	03/15/2022	\$50,001 - \$100,000
299	Progressive Corp. (PGR)	Sale	03/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
300	S&P Global, Inc. (SPGI)	Sale	03/15/2022	\$1,001 - \$15,000
301	The Charles Schwab Corp. (SCHW)	Sale	03/15/2022	\$1,001 - \$15,000
302	ServiceNow, Inc. (NOW)	Sale	03/15/2022	\$15,001 - \$50,000
303	Tesla, Inc. (TSLA)	Sale	03/15/2022	\$1,001 - \$15,000
304	Thermo Fisher Scientific, Inc. (TMO)	Sale	03/15/2022	\$1,001 - \$15,000
305	3M Co. (MMM)	Sale	03/15/2022	\$1,001 - \$15,000
306	Visa, Inc. (V)	Sale	03/15/2022	\$1,001 - \$15,000
307	Endava Ltd.	Sale	03/17/2022	\$1,001 - \$15,000
308	Magnolia Oil & Gas Corp. (MGY)	Sale	03/17/2022	\$1,001 - \$15,000
309	Matador Resources Co. (MTDR)	Sale	03/17/2022	\$1,001 - \$15,000
310	Icon PLC	Sale	03/18/2022	\$15,001 - \$50,000
311	Amazon.com, Inc. (AMZN)	Sale	03/18/2022	\$1,001 - \$15,000
312	DocuSign, Inc. (DOCU)	Sale	03/18/2022	\$15,001 - \$50,000
313	Aon Plc (AON)	Sale	03/22/2022	\$1,001 - \$15,000
314	Nidec Corp.	Sale	03/28/2022	\$1,001 - \$15,000
315	Trane Technologies PLC	Sale	03/29/2022	\$50,001 - \$100,000
316	Align Technology, Inc. (ALGN)	Sale	03/29/2022	\$15,001 - \$50,000
317	BioMarin Pharmaceutical Inc. (BMRN)	Sale	03/29/2022	\$50,001 - \$100,000
318	Bloom Energy Corporation (BE)	Sale	03/29/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
319	Chewy Inc.	Sale	03/29/2022	\$15,001 - \$50,000
320	CoStar Group, Inc. (CSGP)	Sale	03/29/2022	\$50,001 - \$100,000
321	CrowdStrike Holdings Inc.	Sale	03/29/2022	\$50,001 - \$100,000
322	Edwards Lifesciences Corp. (EW)	Sale	03/29/2022	\$50,001 - \$100,000
323	EXACT Sciences Corp. (EXAS)	Sale	03/29/2022	\$50,001 - \$100,000
324	Illumina, Inc. (ILMN)	Sale	03/29/2022	\$50,001 - \$100,000
325	Insulet Corp. (PODD)	Sale	03/29/2022	\$50,001 - \$100,000
326	Estee Lauder Cos. (EL)	Sale	03/29/2022	\$50,001 - \$100,000
327	Eli Lilly & Co. (LLY)	Sale	03/29/2022	\$50,001 - \$100,000
328	Match Group, Inc. (MTCH)	Sale	03/29/2022	\$50,001 - \$100,000
329	PayPal Holdings, Inc. (PYPL)	Sale	03/29/2022	\$50,001 - \$100,000
330	Quanta Services, Inc. (PWR)	Sale	03/29/2022	\$50,001 - \$100,000
331	RingCentral, Inc. (RNG)	Sale	03/29/2022	\$50,001 - \$100,000
332	Sarepta Therapeutics, Inc. (SRPT)	Sale	03/29/2022	\$15,001 - \$50,000
333	Square, Inc. (SQ)	Sale	03/29/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
334	Uber Technologies, Inc. (UBER)	Sale	03/29/2022	\$50,001 - \$100,000
335	Duke Realty Corp. (DRE)	Sale	03/30/2022	\$1,001 - \$15,000
336	Gilead Sciences, Inc. (GILD)	Sale	03/30/2022	\$1,001 - \$15,000
337	Hormel Foods Corp. (HRL)	Sale	03/30/2022	\$1,001 - \$15,000
338	ResMed, Inc. (RMD)	Sale	03/30/2022	\$1,001 - \$15,000
339	Universal Health Services, Inc. (UHS)	Sale	03/30/2022	\$1,001 - \$15,000
340	Welltower, Inc. (WELL)	Sale	03/30/2022	\$1,001 - \$15,000
341	Moreno Valley Ca USD	Purchase	04/13/2022	\$500,001 - \$1,000,000
342	San Diego CA USD	Purchase	04/13/2022	\$15,001 - \$50,000
343	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	04/01/2022	\$1,001 - \$15,000
344	Archer-Daniels-Midland Co. (ADM)	Sale	04/05/2022	\$50,001 - \$100,000
345	Adobe, Inc. (ADBE)	Sale	04/27/2022	\$50,001 - \$100,000
346	Netflix, Inc. (NFLX)	Sale	04/27/2022	\$15,001 - \$50,000
347	PayPal Holdings, Inc. (PYPL)	Sale	04/27/2022	\$50,001 - \$100,000
348	Cboe Global Markets, Inc. (CBOE)	Purchase	04/18/2022	\$1,001 - \$15,000
349	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	04/18/2022	\$1,001 - \$15,000
350	Flex Ltd. (FLEX)	Purchase	04/19/2022	\$1,001 - \$15,000
351	Cboe Global Markets, Inc. (CBOE)	Purchase	04/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
352	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	04/19/2022	\$1,001 - \$15,000
353	iShares MSCI Canada ETF (EWC)	Purchase	04/20/2022	\$15,001 - \$50,000
354	Thoughtworks Holdings Inc.	Purchase	04/20/2022	\$1,001 - \$15,000
355	Championx Corporation	Purchase	04/28/2022	\$1,001 - \$15,000
356	Patterson-UTI Energy, Inc. (PTEN)	Purchase	04/28/2022	\$1,001 - \$15,000
357	Aon Plc (AON)	Sale	04/18/2022	\$1,001 - \$15,000
358	Aon Plc (AON)	Sale	04/19/2022	\$1,001 - \$15,000
359	LPL Financial Holdings, Inc. (LPLA)	Sale	04/19/2022	\$1,001 - \$15,000
360	US Ecology, Inc. (ECOL)	Sale	04/20/2022	\$1,001 - \$15,000
361	Magnolia Oil & Gas Corp. (MGY)	Sale	04/28/2022	\$1,001 - \$15,000
362	Alliant Energy Corp. (LNT)	Sale	04/29/2022	\$1,001 - \$15,000
363	3M COMPANY	Purchase	05/02/2022	\$1,001 - \$15,000
364	A N S Y S INC	Purchase	05/02/2022	\$15,001 - \$50,000
365	ACTIVISION BLIZZARD INC	Purchase	05/02/2022	\$1,001 - \$15,000
366	ADOBE INC	Purchase	05/02/2022	\$15,001 - \$50,000
367	AUTODESK INC	Purchase	05/02/2022	\$1,001 - \$15,000
368	CERIDIAN HCM HLDG INC	Purchase	05/02/2022	\$1,001 - \$15,000
369	CITRIX SYSTEMS INC	Purchase	05/02/2022	\$1,001 - \$15,000
370	CVS HEALTH CORP	Purchase	05/02/2022	\$1,001 - \$15,000
371	ELECTRONIC ARTS INC	Purchase	05/02/2022	\$1,001 - \$15,000
372	EXXON MOBIL CORP	Purchase	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
373	FORTINET INC	Purchase	05/02/2022	\$1,001 - \$15,000
374	GILEAD SCIENCES INC	Purchase	05/02/2022	\$1,001 - \$15,000
375	INTEL CORP	Purchase	05/02/2022	\$1,001 - \$15,000
376	MONDELEZ INTERNATIONAL	Purchase	05/02/2022	\$1,001 - \$15,000
377	MOTOROLA SOLUTIONS INC	Purchase	05/02/2022	\$1,001 - \$15,000
378	ORACLE CORP	Purchase	05/02/2022	\$1,001 - \$15,000
379	PROCTER & GAMBLE CO	Purchase	05/02/2022	\$1,001 - \$15,000
380	PTC INC	Purchase	05/02/2022	\$1,001 - \$15,000
381	REGENERON PHARMACTCLS	Purchase	05/02/2022	\$1,001 - \$15,000
382	SERVICENOW INC	Purchase	05/02/2022	\$15,001 - \$50,000
383	SYNOPSYS INC	Purchase	05/02/2022	\$1,001 - \$15,000
384	TESLA INC	Purchase	05/02/2022	\$1,001 - \$15,000
385	TRANSDIGM GROUP INC	Purchase	05/02/2022	\$1,001 - \$15,000
386	UNITED PARCEL SVC CL B	Purchase	05/02/2022	\$1,001 - \$15,000
387	WALMART INC	Purchase	05/02/2022	\$1,001 - \$15,000
388	ADVANCED MICRO DEVICES INC	Sale	05/02/2022	\$1,001 - \$15,000
389	ALPHABET INC CL A	Sale	05/02/2022	\$1,001 - \$15,000
390	ALPHABET INC CL C	Sale	05/02/2022	\$1,001 - \$15,000
391	AMAZON COM INC	Sale	05/02/2022	\$15,001 - \$50,000
392	AMERICAN WTR WKS CO INC	Sale	05/02/2022	\$1,001 - \$15,000
393	BIO TECHNE CORP	Sale	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
394	BIOGEN INC	Sale	05/02/2022	\$1,001 - \$15,000
395	CERNER CORP	Sale	05/02/2022	\$1,001 - \$15,000
396	COGNIZANT TECH SOLUTNS	Sale	05/02/2022	\$1,001 - \$15,000
397	DANAHER CORP	Sale	05/02/2022	\$15,001 - \$50,000
398	DEVON ENERGY CORP	Sale	05/02/2022	\$1,001 - \$15,000
399	DEXCOM INC	Sale	05/02/2022	\$1,001 - \$15,000
400	DISNEY (WALT) CO	Sale	05/02/2022	\$1,001 - \$15,000
401	DOMINION ENERGY INC	Sale	05/02/2022	\$1,001 - \$15,000
402	DXC TECHNOLOGY CO	Sale	05/02/2022	\$1,001 - \$15,000
403	EOG RESOURCES INC	Sale	05/02/2022	\$1,001 - \$15,000
404	EPAM SYSTEMS INC	Sale	05/02/2022	\$1,001 - \$15,000
405	ETSY INC	Sale	05/02/2022	\$1,001 - \$15,000
406	FIRSTENERGY CORP	Sale	05/02/2022	\$1,001 - \$15,000
407	FISERV INC	Sale	05/02/2022	\$1,001 - \$15,000
408	FLEETCOR TECHNOLOGIS INC	Sale	05/02/2022	\$1,001 - \$15,000
409	FORTIVE CORP	Sale	05/02/2022	\$1,001 - \$15,000
410	HCA HEALTHCARE INC	Sale	05/02/2022	\$1,001 - \$15,000
411	IDEXX LAB INC	Sale	05/02/2022	\$1,001 - \$15,000
412	INTERCONTINENTAL EXCHANGE INC	Sale	05/02/2022	\$1,001 - \$15,000
413	INTUIT INC	Sale	05/02/2022	\$1,001 - \$15,000
414	INTUITIVE SURGICAL INC	Sale	05/02/2022	\$1,001 - \$15,000
415	LABORATORY CP AMER HLDGS	Sale	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
416	META PLATFORMS INC	Sale	05/02/2022	\$1,001 - \$15,000
417	MOLINA HEALTHCARE INC	Sale	05/02/2022	\$1,001 - \$15,000
418	NETFLIX COM INC	Sale	05/02/2022	\$1,001 - \$15,000
419	OCCIDENTAL PETE CORP	Sale	05/02/2022	\$1,001 - \$15,000
420	PAYCOM SOFTWARE INC	Sale	05/02/2022	\$1,001 - \$15,000
421	PEPSICO INC	Sale	05/02/2022	\$1,001 - \$15,000
422	SALESFORCE INC	Sale	05/02/2022	\$15,001 - \$50,000
423	TAKE TWO INTER SOFTWARE	Sale	05/02/2022	\$1,001 - \$15,000
424	TYLER TECHS INC DEL	Sale	05/02/2022	\$15,001 - \$50,000
425	VERISIGN INC	Sale	05/02/2022	\$1,001 - \$15,000
426	VULCAN MATERIALS CO	Sale	05/02/2022	\$1,001 - \$15,000
427	WABTEC	Sale	05/02/2022	\$1,001 - \$15,000
428	WEST PHARMACTL SVCS INC	Sale	05/02/2022	\$1,001 - \$15,000
429	XCEL ENERGY INC	Sale	05/02/2022	\$1,001 - \$15,000
430	ZOETIS INC	Sale	05/02/2022	\$1,001 - \$15,000
431	CITIGROUP INC COM	Sale	05/10/2022	\$1,001 - \$15,000
432	S&P GLOBAL INC	Sale	05/10/2022	\$1,001 - \$15,000
433	METLIFE INC	Purchase	05/10/2022	\$1,001 - \$15,000
434	US BANCORP	Purchase	05/10/2022	\$1,001 - \$15,000
435	INTRA-CELLULAR THERAPIES	Sale	05/12/2022	\$1,001 - \$15,000
436	PERFORMANCE FOOD GROUP	Sale	05/12/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
437	RITCHIE BROS AUCTIONEERS	Sale	05/12/2022	\$1,001 - \$15,000
438	ALLIANT ENERGY CORP	Sale	05/13/2022	\$1,001 - \$15,000
439	RITCHIE BROS AUCTIONEERS	Sale	05/13/2022	\$1,001 - \$15,000
440	WATERS CORP	Sale	05/13/2022	\$1,001 - \$15,000
441	AVANTOR INC	Purchase	05/13/2022	\$15,001 - \$50,000
442	INGERSOLL-RAND PLC	Purchase	05/13/2022	\$1,001 - \$15,000
443	LHC GROUP INC	Sale	05/13/2022	\$1,001 - \$15,000
444	CHEMOCENTRYX INC	Purchase	05/13/2022	\$1,001 - \$15,000
445	PHREESIA INC	Purchase	05/13/2022	\$1,001 - \$15,000
446	ARCHER DANIELS MIDLAND	Purchase	05/27/2022	\$1,001 - \$15,000
447	AUTODESK INC	Purchase	05/27/2022	\$1,001 - \$15,000
448	BALL CORP	Purchase	05/27/2022	\$1,001 - \$15,000
449	BECTON DICKINSON CO	Purchase	05/27/2022	\$1,001 - \$15,000
450	C.H. ROBINSON WORLDWIDE	Purchase	05/27/2022	\$1,001 - \$15,000
451	CADENCE DESIGN SYS INC	Purchase	05/27/2022	\$1,001 - \$15,000
452	CERIDIAN HCM HLDG INC	Purchase	05/27/2022	\$1,001 - \$15,000
453	CONOCOPHILLIPS	Purchase	05/27/2022	\$1,001 - \$15,000
454	COSTCO WHOLESALE CRP	Purchase	05/27/2022	\$1,001 - \$15,000
455	CVS HEALTH CORP	Purchase	05/27/2022	\$1,001 - \$15,000
456	EPAM SYSTEMS INC	Purchase	05/27/2022	\$1,001 - \$15,000
457	FIDELITY NATL INFO SVCS	Purchase	05/27/2022	\$1,001 - \$15,000
458	GENERAC HLDGS INC	Purchase	05/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
459	GENERAL MOTORS CO	Purchase	05/27/2022	\$1,001 - \$15,000
460	HOME DEPOT INC	Purchase	05/27/2022	\$1,001 - \$15,000
461	JUNIPER NETWORKS INC	Purchase	05/27/2022	\$1,001 - \$15,000
462	KLA CORP	Purchase	05/27/2022	\$1,001 - \$15,000
463	LOWE'S COMPANIES INC	Purchase	05/27/2022	\$1,001 - \$15,000
464	MOLINA HEALTHCARE INC	Purchase	05/27/2022	\$1,001 - \$15,000
465	MONDELEZ INTERNATIONAL	Purchase	05/27/2022	\$1,001 - \$15,000
466	MOTOROLA SOLUTIONS INC	Purchase	05/27/2022	\$1,001 - \$15,000
467	NVIDIA	Purchase	05/27/2022	\$1,001 - \$15,000
468	PHILIP MORRIS INTL INC	Purchase	05/27/2022	\$15,001 - \$50,000
469	QUALCOMM INC	Purchase	05/27/2022	\$1,001 - \$15,000
470	RESMED INC	Purchase	05/27/2022	\$1,001 - \$15,000
471	ROPER TECHNOLOGIES INC	Purchase	05/27/2022	\$1,001 - \$15,000
472	SERVICENOW INC	Purchase	05/27/2022	\$1,001 - \$15,000
473	UNITED PARCEL SVC CL B	Purchase	05/27/2022	\$1,001 - \$15,000
474	VALERO ENERGY CORP	Purchase	05/27/2022	\$1,001 - \$15,000
475	VISA INC CL A	Purchase	05/27/2022	\$1,001 - \$15,000
476	WARNER BROS DISCOVERY	Purchase	05/27/2022	\$1,001 - \$15,000
477	A N S Y S INC	Sale	05/27/2022	\$15,001 - \$50,000
478	ABBOTT LABS	Sale	05/27/2022	\$1,001 - \$15,000
479	ALTRIA GROUP INC	Sale	05/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
480	AMERICAN WTR WKS CO INC	Sale	05/27/2022	\$1,001 - \$15,000
481	ARISTA NETWORKS INC	Sale	05/27/2022	\$1,001 - \$15,000
482	AUTOMATIC DATA PROC	Sale	05/27/2022	\$1,001 - \$15,000
483	BERKSHIRE HATHAWAY INC	Sale	05/27/2022	\$1,001 - \$15,000
484	COLGATE PALMOLIVE	Sale	05/27/2022	\$1,001 - \$15,000
485	DEXCOM INC	Sale	05/27/2022	\$1,001 - \$15,000
486	DIGITAL RLTY TR INC	Sale	05/27/2022	\$1,001 - \$15,000
487	EDWARDS LIFESCIENCES CRP	Sale	05/27/2022	\$1,001 - \$15,000
488	FORTINET INC	Sale	05/27/2022	\$1,001 - \$15,000
489	INTEL CORP	Sale	05/27/2022	\$1,001 - \$15,000
490	INTUIT INC	Sale	05/27/2022	\$1,001 - \$15,000
491	ORACLE CORP	Sale	05/27/2022	\$1,001 - \$15,000
492	PAYCOM SOFTWARE INC	Sale	05/27/2022	\$1,001 - \$15,000
493	PROLOGIS INC	Sale	05/27/2022	\$1,001 - \$15,000
494	PTC INC	Sale	05/27/2022	\$1,001 - \$15,000
495	PUBLIC STORAGE	Sale	05/27/2022	\$1,001 - \$15,000
496	PVH CORP	Sale	05/27/2022	\$1,001 - \$15,000
497	REALTY INCOME CRP	Sale	05/27/2022	\$1,001 - \$15,000
498	SALESFORCE INC	Sale	05/27/2022	\$1,001 - \$15,000
499	STRYKER CORP	Sale	05/27/2022	\$1,001 - \$15,000
500	SYNOPSYS INC	Sale	05/27/2022	\$1,001 - \$15,000
501	TESLA INC	Sale	05/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
502	TRANSDIGM GROUP INC	Sale	05/27/2022	\$1,001 - \$15,000
503	TYLER TECHS INC	Sale	05/27/2022	\$1,001 - \$15,000
504	VERISIGN INC	Sale	05/27/2022	\$1,001 - \$15,000
505	WALMART INC	Sale	05/27/2022	\$15,001 - \$50,000
506	AGREE RLTY CORP	Purchase	06/01/2022	\$1,001 - \$15,000
507	STAG INDUSTRIALS INC	Sale	06/01/2022	\$1,001 - \$15,000
508	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	05/02/2022	\$1,001 - \$15,000
509	PLDT Inc.	Sale	05/03/2022	\$1,001 - \$15,000
510	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	05/26/2022	\$500,001 - \$1,000,000
511	WisdomTree Floating Rate Treasury Fund (USFR)	Sale	05/27/2022	\$1,001 - \$15,000
512	Put iShares Russell 2000 ETF (IWM)	See Endnote	05/05/2022	\$1,001 - \$15,000
513	Put Apple, Inc. (AAPL)	See Endnote	05/04/2022	\$1,001 - \$15,000
514	Put Advanced Micro Devices, Inc. (AMD)	See Endnote	05/04/2022	\$1,001 - \$15,000
515	Put Applied Materials, Inc. (AMAT)	See Endnote	05/04/2022	\$1,001 - \$15,000
516	Put EOG Resources, Inc. (EOG)	See Endnote	05/04/2022	\$1,001 - \$15,000
517	Gilroy, CA Unified School District GO Bonds	Purchase	06/03/2022	\$250,001 - \$500,000
518	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	06/01/2022	\$1,001 - \$15,000
519	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Purchase	06/23/2022	\$1,001 - \$15,000
520	AVANTOR INC	Purchase	06/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
521	CERIDIAN HCM HLDG INC	Purchase	06/07/2022	\$1,001 - \$15,000
522	KLA CORP	Sale	06/07/2022	\$1,001 - \$15,000
523	WATERS CORP	Sale	06/07/2022	\$1,001 - \$15,000
524	WIX COM LTD	Sale	06/07/2022	\$1,001 - \$15,000
525	AVANTOR INC	Purchase	06/08/2022	\$1,001 - \$15,000
526	CERIDIAN HCM HLDG INC	Purchase	06/08/2022	\$15,001 - \$50,000
527	RITCHIE BROS AUCTIONEERS	Sale	06/08/2022	\$1,001 - \$15,000
528	WATERS CORP	Sale	06/08/2022	\$1,001 - \$15,000
529	WIX COM LTD	Sale	06/08/2022	\$1,001 - \$15,000
530	CERNER CORP	Sale	06/10/2022	\$1,001 - \$15,000
531	VANGUARD FTSE EMERGING MARKETS ETF	Purchase	06/13/2022	\$1,001 - \$15,000
532	FIRST TRUST DOW JONES INTERNET INDEX FUND	Sale	06/14/2022	\$100,001 - \$250,000
533	NUVEEN ESG SMALL-CAP ETF	Sale	06/14/2022	\$250,001 - \$500,000
534	WEX INC	Purchase	06/24/2022	\$1,001 - \$15,000
535	ALLIANT ENERGY CORP	Sale	06/24/2022	\$1,001 - \$15,000
536	W R BERKLEY CORP	Sale	06/24/2022	\$1,001 - \$15,000
537	AVIENT CORPORATION	Sale	06/27/2022	\$1,001 - \$15,000
538	HELIOS TECHNOLOGIES INC	Sale	06/27/2022	\$1,001 - \$15,000
539	LHC GROUP INC	Sale	06/27/2022	\$1,001 - \$15,000
540	SKYLINE CORP	Sale	06/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
541	TEXAS ROADHOUSE INC-CL A	Sale	06/27/2022	\$1,001 - \$15,000
542	ARCUTIS BIOTHERAPEUTICS	Purchase	06/27/2022	\$1,001 - \$15,000
543	BALCHEM CORP	Purchase	06/27/2022	\$1,001 - \$15,000
544	ITT INC	Purchase	06/27/2022	\$1,001 - \$15,000
545	VERITEX HOLDINGS INC	Purchase	06/27/2022	\$1,001 - \$15,000
546	ABBOTT LABS	Sale	06/30/2022	\$15,001 - \$50,000
547	ADOBE INC	Sale	06/30/2022	\$15,001 - \$50,000
548	ALTRIA GROUP INC	Sale	06/30/2022	\$1,001 - \$15,000
549	ARCHER DANIELS MIDLAND	Sale	06/30/2022	\$1,001 - \$15,000
550	AUTODESK INC	Sale	06/30/2022	\$1,001 - \$15,000
551	BALL CORP	Sale	06/30/2022	\$1,001 - \$15,000
552	BERKSHIRE HATHAWAY INC	Sale	06/30/2022	\$1,001 - \$15,000
553	CERIDIAN HCM HLDG INC	Sale	06/30/2022	\$1,001 - \$15,000
554	CONOCOPHILLIPS	Sale	06/30/2022	\$1,001 - \$15,000
555	CROWN CASTLE REIT INC	Sale	06/30/2022	\$1,001 - \$15,000
556	DOMINION ENERGY INC	Sale	06/30/2022	\$1,001 - \$15,000
557	EPAM SYSTEMS INC	Sale	06/30/2022	\$1,001 - \$15,000
558	FLEETCOR TECHNOLOGIS INC	Sale	06/30/2022	\$1,001 - \$15,000
559	GENERAC HLDGS INC	Sale	06/30/2022	\$1,001 - \$15,000
560	GENERAL MOTORS CO	Sale	06/30/2022	\$1,001 - \$15,000
561	HOME DEPOT INC	Sale	06/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
562	ILLUMINA INC	Sale	06/30/2022	\$1,001 - \$15,000
563	JUNIPER NETWORKS INC	Sale	06/30/2022	\$1,001 - \$15,000
564	KLA CORP	Sale	06/30/2022	\$1,001 - \$15,000
565	LOWE'S COMPANIES INC	Sale	06/30/2022	\$1,001 - \$15,000
566	MEDTRONIC PLC	Sale	06/30/2022	\$1,001 - \$15,000
567	MOLINA HEALTHCARE INC	Sale	06/30/2022	\$1,001 - \$15,000
568	MOTOROLA SOLUTIONS INC	Sale	06/30/2022	\$1,001 - \$15,000
569	NORTON LIFE LOCK INC	Sale	06/30/2022	\$1,001 - \$15,000
570	NVIDIA	Sale	06/30/2022	\$1,001 - \$15,000
571	PROCTER & GAMBLE CO	Sale	06/30/2022	\$1,001 - \$15,000
572	REGENERON PHARMACTCLS	Sale	06/30/2022	\$1,001 - \$15,000
573	ROPER TECHNOLOGIES INC	Sale	06/30/2022	\$1,001 - \$15,000
574	SERVICENOW INC	Sale	06/30/2022	\$1,001 - \$15,000
575	SOUTHERN COMPANY	Sale	06/30/2022	\$1,001 - \$15,000
576	UNITED PARCEL SVC CL B	Sale	06/30/2022	\$1,001 - \$15,000
577	VALERO ENERGY CORP	Sale	06/30/2022	\$1,001 - \$15,000
578	VISA INC	Sale	06/30/2022	\$1,001 - \$15,000
579	WARNER BROS DISCOVERY	Sale	06/30/2022	\$1,001 - \$15,000
580	3M COMPANY	Sale	06/30/2022	\$1,001 - \$15,000
581	A N S Y S INC	Purchase	06/30/2022	\$15,001 - \$50,000
582	ACTIVISION BLIZZARD INC	Purchase	06/30/2022	\$1,001 - \$15,000
583	ALPHABET INC	Purchase	06/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
584	AMAZON COM INC	Purchase	06/30/2022	\$1,001 - \$15,000
585	AMETEK INC	Purchase	06/30/2022	\$1,001 - \$15,000
586	AMGEN INC	Purchase	06/30/2022	\$1,001 - \$15,000
587	ARISTA NETWORKS INC	Purchase	06/30/2022	\$1,001 - \$15,000
588	BECTON DICKINSON CO	Purchase	06/30/2022	\$1,001 - \$15,000
589	BIOGEN INC	Purchase	06/30/2022	\$1,001 - \$15,000
590	CHEVRON CORP	Purchase	06/30/2022	\$1,001 - \$15,000
591	CVS HEALTH CORP	Purchase	06/30/2022	\$1,001 - \$15,000
592	WALT DISNEY CO	Purchase	06/30/2022	\$1,001 - \$15,000
593	EXPEDITORS INTL WASH INC	Purchase	06/30/2022	\$1,001 - \$15,000
594	FIDELITY NATL INFO SVCS	Purchase	06/30/2022	\$1,001 - \$15,000
595	FORTINET INC	Purchase	06/30/2022	\$1,001 - \$15,000
596	GILEAD SCIENCES INC	Purchase	06/30/2022	\$1,001 - \$15,000
597	HORMEL FOODS CORP	Purchase	06/30/2022	\$1,001 - \$15,000
598	INTEL CORP	Purchase	06/30/2022	\$1,001 - \$15,000
599	INTUIT INC	Purchase	06/30/2022	\$1,001 - \$15,000
600	LABORATORY CP AMER HLDGS	Purchase	06/30/2022	\$1,001 - \$15,000
601	MONDELEZ INTERNATIONAL	Purchase	06/30/2022	\$1,001 - \$15,000
602	OCCIDENTAL PETROLEUM CORP	Purchase	06/30/2022	\$1,001 - \$15,000
603	ORACLE CORP	Purchase	06/30/2022	\$1,001 - \$15,000
604	PAYCOM SOFTWARE INC	Purchase	06/30/2022	\$1,001 - \$15,000
605	PTC INC	Purchase	06/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
606	PUBLIC STORAGE	Purchase	06/30/2022	\$1,001 - \$15,000
607	REALTY INCOME CORP	Purchase	06/30/2022	\$1,001 - \$15,000
608	SALESFORCE INC	Purchase	06/30/2022	\$1,001 - \$15,000
609	HENRY SCHEIN INC	Purchase	06/30/2022	\$1,001 - \$15,000
610	STRYKER CORP	Purchase	06/30/2022	\$1,001 - \$15,000
611	TAKE TWO INTER SOFTWARE	Purchase	06/30/2022	\$1,001 - \$15,000
612	TESLA INC	Purchase	06/30/2022	\$1,001 - \$15,000
613	TRANE TECHNOLOGIES PLC	Purchase	06/30/2022	\$1,001 - \$15,000
614	TYLER TECHS INC	Purchase	06/30/2022	\$15,001 - \$50,000
615	WALMART INC	Purchase	06/30/2022	\$15,001 - \$50,000
616	ZIMMER BIOMET HOLDINGS	Purchase	06/30/2022	\$1,001 - \$15,000
617	ZOETIS INC	Purchase	06/30/2022	\$1,001 - \$15,000
618	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	07/01/2022	\$1,001 - \$15,000
619	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	07/01/2022	\$1,001 - \$15,000
620	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Sale	07/11/2022	\$100,001 - \$250,000
621	WisdomTree Floating Rate Treasury Fund (USFR)	Sale	07/11/2022	\$1,001 - \$15,000
622	Bristol-Myers Squibb (BMY)	Purchase	07/13/2022	\$1,001 - \$15,000
623	Helen of Troy Ltd. (HELE)	Purchase	07/27/2022	\$1,001 - \$15,000
624	AtriCure, Inc. (ATRC)	Purchase	07/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
625	Boot Barn Holdings, Inc. (BOOT)	Purchase	07/27/2022	\$1,001 - \$15,000
626	Intra-Cellular Therapies, Inc. (ITCI)	Purchase	07/27/2022	\$1,001 - \$15,000
627	Rapid7, Inc. (RPD)	Purchase	07/27/2022	\$1,001 - \$15,000
628	Shyft Group Inc.	Purchase	07/27/2022	\$1,001 - \$15,000
629	iShares US Home Construction ETF (ITB)	Sale	07/20/2022	\$15,001 - \$50,000
630	Medpace Holdings, Inc. (MEDP)	Sale	07/27/2022	\$1,001 - \$15,000
631	Novanta, Inc. (NOVT)	Sale	07/27/2022	\$1,001 - \$15,000
632	Ritchie Bros Auctioneers	Sale	07/27/2022	\$1,001 - \$15,000
633	Put Apple, Inc. (AAPL)	See Endnote	07/27/2022	\$1,001 - \$15,000
634	Put NVIDIA Corp. (NVDA)	See Endnote	07/27/2022	\$1,001 - \$15,000
635	Put Advanced Micro Devices, Inc. (AMD)	See Endnote	07/27/2022	\$1,001 - \$15,000
636	Put Netflix, Inc. (NFLX)	See Endnote	07/27/2022	\$1,001 - \$15,000
637	Put The Walt Disney Co. (DIS)	See Endnote	07/27/2022	\$1,001 - \$15,000
638	Put Amazon.com, Inc. (AMZN)	See Endnote	07/27/2022	\$1,001 - \$15,000
639	Put The Goldman Sachs Group, Inc. (GS)	See Endnote	07/27/2022	\$1,001 - \$15,000
640	Put JPMorgan Chase & Co. (JPM)	See Endnote	07/27/2022	\$1,001 - \$15,000
641	Put NIKE, Inc. (NKE)	See Endnote	07/27/2022	\$1,001 - \$15,000
642	Put Tesla, Inc. (TSLA)	See Endnote	07/27/2022	\$1,001 - \$15,000
643	Put EOG Resources, Inc. (EOG)	See Endnote	07/27/2022	\$1,001 - \$15,000
644	Put lululemon athletica, Inc. (LULU)	See Endnote	07/28/2022	\$1,001 - \$15,000
645	Call Amazon.com, Inc. (AMZN)	See Endnote	07/29/2022	\$1,001 - \$15,000
646	Call NVIDIA Corp. (NVDA)	See Endnote	07/28/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
647	Call Amazon.com, Inc. (AMZN)	See Endnote	Purchase	07/29/2022	\$1,001 - \$15,000
648	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)		Purchase	08/01/2022	\$1,001 - \$15,000
649	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)		Purchase	08/01/2022	\$1,001 - \$15,000
650	iShares 7-10 Year Treasury Bond ETF (IEF)		Purchase	08/04/2022	\$500,001 - \$1,000,000
651	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)		Purchase	08/09/2022	\$250,001 - \$500,000
652	Bay Area Toll Authority CA Toll Bridge Revenue Bonds		Purchase	08/05/2022	\$500,001 - \$1,000,000
653	Imperial CA Irrigation District Bonds		Purchase	08/05/2022	\$100,001 - \$250,000
654	The PNC Financial Services Group, Inc. (PNC)		Purchase	08/04/2022	\$1,001 - \$15,000
655	Truist Financial Corp		Purchase	08/04/2022	\$1,001 - \$15,000
656	Cimpress Plc (CMPR)		Purchase	08/10/2022	\$1,001 - \$15,000
657	Arcutis Biotherapeutics Inc.		Purchase	08/10/2022	\$1,001 - \$15,000
658	Teledyne Technologies, Inc. (TDY)		Purchase	08/15/2022	\$15,001 - \$50,000
659	WEX, Inc. (WEX)		Purchase	08/18/2022	\$1,001 - \$15,000
660	BioCryst Pharmaceuticals, Inc. (BCRX)		Purchase	08/24/2022	\$1,001 - \$15,000
661	ITT, Inc. (ITT)		Purchase	08/24/2022	\$1,001 - \$15,000
662	Citigroup, Inc. (C)		Sale	08/04/2022	\$1,001 - \$15,000
663	KLA Corp. (KLAC)		Sale	08/09/2022	\$1,001 - \$15,000
664	LPL Financial Holdings, Inc. (LPLA)		Sale	08/09/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
665	Rapid7, Inc. (RPD)		Sale	08/12/2022	\$1,001 - \$15,000
666	KLA Corp. (KLAC)		Sale	08/15/2022	\$15,001 - \$50,000
667	LPL Financial Holdings, Inc. (LPLA)		Sale	08/15/2022	\$1,001 - \$15,000
668	LPL Financial Holdings, Inc. (LPLA)		Sale	08/18/2022	\$1,001 - \$15,000
669	Cerence Inc.		Sale	08/18/2022	\$1,001 - \$15,000
670	Nice Ltd.		Sale	08/18/2022	\$1,001 - \$15,000
671	ON Semiconductor Corp. (ON)		Sale	08/24/2022	\$1,001 - \$15,000
672	ChemoCentryx, Inc. (CCXI)		Sale	08/24/2022	\$1,001 - \$15,000
673	ON Semiconductor Corp. (ON)		Sale	08/26/2022	\$1,001 - \$15,000
674	ON Semiconductor Corp. (ON)		Sale	08/26/2022	\$1,001 - \$15,000
675	ON Semiconductor Corp. (ON)		Sale	08/30/2022	\$1,001 - \$15,000
676	Call Amazon.com, Inc. (AMZN)	See Endnote	Purchase	08/01/2022	\$1,001 - \$15,000
677	Put EOG Resources, Inc. (EOG)	See Endnote	Purchase	08/05/2022	\$1,001 - \$15,000
678	Apple, Inc. (AAPL)		Sale	08/23/2022	\$100,001 - \$250,000
679	The Walt Disney Co. (DIS)		Sale	08/23/2022	\$15,001 - \$50,000
680	Call Pioneer Natural Resources Co. (PXD)	See Endnote	Purchase	09/01/2022	\$15,001 - \$50,000
681	Call Amazon.com, Inc. (AMZN)	See Endnote		08/01/2022	\$1,001 - \$15,000
682	Put NVIDIA Corp. (NVDA)	See Endnote		08/30/2022	\$1,001 - \$15,000
683	Put Apple, Inc. (AAPL)	See Endnote		08/30/2022	\$1,001 - \$15,000
684	Put Advanced Micro Devices, Inc. (AMD)	See Endnote		08/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
685	Put Applied Materials, Inc. (AMAT)	See Endnote	08/30/2022	\$1,001 - \$15,000
686	Put JPMorgan Chase & Co. (JPM)	See Endnote	08/30/2022	\$1,001 - \$15,000
687	Put Pioneer Natural Resources Co. (PXD)	See Endnote	08/30/2022	\$1,001 - \$15,000
688	Put Netflix, Inc. (NFLX)	See Endnote	08/30/2022	\$1,001 - \$15,000
689	Put Starbucks Corp. (SBUX)	See Endnote	08/30/2022	\$1,001 - \$15,000
690	Put NIKE, Inc. (NKE)	See Endnote	08/30/2022	\$1,001 - \$15,000
691	Put Amazon.com, Inc. (AMZN)	See Endnote	08/30/2022	\$1,001 - \$15,000
692	Put Goldman Sachs Group Inc (GS)	See Endnote	08/30/2022	\$1,001 - \$15,000
693	Put Alphabet, Inc. (GOOG)	See Endnote	08/30/2022	\$1,001 - \$15,000
694	Put EOG Resources, Inc. (EOG)	See Endnote	08/30/2022	\$1,001 - \$15,000
695	Put lululemon athletica, Inc. (LULU)	See Endnote	08/30/2022	\$1,001 - \$15,000
696	Put Tesla, Inc. (TSLA)	See Endnote	08/30/2022	\$1,001 - \$15,000
697	Call SPDR S&P 500 ETF Trust (SPY)	See Endnote	08/30/2022	\$1,001 - \$15,000
698	Call iShares Russell 2000 ETF (IWM)	See Endnote	09/01/2022	\$1,001 - \$15,000
699	Call Pioneer Natural Resources Co. (PXD)	See Endnote	09/01/2022	\$15,001 - \$50,000
700	Turlock CA Irrigation District Rev Bonds	Purchase	09/13/2022	\$250,001 - \$500,000
701	Santa Clara County CA Financing Authority Bonds	Purchase	09/13/2022	\$250,001 - \$500,000
702	Call SPDR S&P 500 ETF Trust (SPY)	See Endnote	09/01/2022	\$1,001 - \$15,000
703	Call iShares Russell 2000 ETF (IWM)	See Endnote	09/01/2022	\$1,001 - \$15,000
704	Call Pioneer Natural Resources Co. (PXD)	See Endnote	09/01/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
705	Call Schlumberger NV (SLB)	See Endnote	09/02/2022	\$1,001 - \$15,000
706	Call Amazon.com, Inc. (AMZN)	See Endnote	09/02/2022	\$1,001 - \$15,000
707	Call Alphabet, Inc. (GOOG)	See Endnote	09/02/2022	\$1,001 - \$15,000
708	Put NVIDIA Corp. (NVDA)	See Endnote	09/13/2022	\$15,001 - \$50,000
709	Put NVIDIA Corp. (NVDA)	See Endnote	09/14/2022	\$1,001 - \$15,000
710	Put Apple, Inc. (AAPL)	See Endnote	09/23/2022	\$1,001 - \$15,000
711	Put JPMorgan Chase & Co. (JPM)	See Endnote	09/23/2022	\$1,001 - \$15,000
712	Put The Goldman Sachs Group, Inc. (GS)	See Endnote	09/23/2022	\$1,001 - \$15,000
713	Put Applied Materials, Inc. (AMAT)	See Endnote	09/23/2022	\$1,001 - \$15,000
714	Put The Walt Disney Co. (DIS)	See Endnote	09/23/2022	\$1,001 - \$15,000
715	Put Advanced Micro Devices, Inc. (AMD)	See Endnote	09/23/2022	\$1,001 - \$15,000
716	Put Amazon.com, Inc. (AMZN)	See Endnote	09/23/2022	\$1,001 - \$15,000
717	Put Netflix, Inc. (NFLX)	See Endnote	09/23/2022	\$1,001 - \$15,000
718	Put Alphabet, Inc. (GOOG)	See Endnote	09/23/2022	\$1,001 - \$15,000
719	Put lululemon athletica, Inc. (LULU)	See Endnote	09/23/2022	\$1,001 - \$15,000
720	Put Pioneer Natural Resources Co. (PXD)	See Endnote	09/23/2022	\$1,001 - \$15,000
721	Put Tesla, Inc. (TSLA)	See Endnote	09/23/2022	\$1,001 - \$15,000
722	Put EOG Resources, Inc. (EOG)	See Endnote	09/23/2022	\$1,001 - \$15,000
723	Call Schlumberger NV (SLB)	See Endnote	09/26/2022	\$1,001 - \$15,000
724	Call SPDR S&P MidCap 400 ETF (MDY)	See Endnote	09/29/2022	\$1,001 - \$15,000
725	Call Pioneer Natural Resources Co. (PXD)	See Endnote	09/29/2022	\$1,001 - \$15,000
726	Call EOG Resources, Inc. (EOG)	See Endnote	09/29/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
727	iShares Russell 2000 ETF (IWM)		Sale	09/29/2022	\$250,001 - \$500,000
728	SPDR S&P 500 ETF Trust (SPY)		Sale	09/29/2022	\$500,001 - \$1,000,000
729	Call Pioneer Natural Resources Co. (PXD)	See Endnote	Purchase	09/01/2022	\$15,001 - \$50,000
730	Put NVIDIA Corp. (NVDA)	See Endnote	Purchase	09/13/2022	\$1,001 - \$15,000
731	NVIDIA Corp. (NVDA)		Sale	09/16/2022	\$100,001 - \$250,000
732	Put Advanced Micro Devices, Inc. (AMD)	See Endnote	Purchase	09/19/2022	\$1,001 - \$15,000
733	lululemon athletica, Inc. (LULU)		Sale	09/20/2022	\$15,001 - \$50,000
734	Starbucks Corp. (SBUX)		Sale	09/20/2022	\$15,001 - \$50,000
735	Tesla, Inc. (TSLA)		Sale	09/20/2022	\$15,001 - \$50,000
736	iShares Edge MSCI USA Momentum Factor ETF (MTUM)		Sale	09/21/2022	\$250,001 - \$500,000
737	Ingersoll Rand, Inc. (IR)		Purchase	10/04/2022	\$1,001 - \$15,000
738	The Charles Schwab Corp. (SCHW)		Purchase	10/04/2022	\$1,001 - \$15,000
739	Teledyne Technologies, Inc. (TDY)		Purchase	10/04/2022	\$1,001 - \$15,000
740	Teleflex, Inc. (TFX)		Purchase	10/04/2022	\$1,001 - \$15,000
741	LPL Financial Holdings, Inc. (LPLA)		Sale	10/04/2022	\$1,001 - \$15,000
742	Deutsche Telekom		Purchase	09/19/2022	\$1,001 - \$15,000
743	Halozyme Therapeutics, Inc. (HALO)		Purchase	09/20/2022	\$1,001 - \$15,000
744	Medtronic Plc (MDT)		Purchase	09/20/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
745	Silgan Holdings, Inc. (SLGN)	Purchase	09/20/2022	\$1,001 - \$15,000
746	STAG Industrial, Inc. (STAG)	Purchase	09/20/2022	\$1,001 - \$15,000
747	Veritex Holdings, Inc. (VBTX)	Purchase	09/20/2022	\$1,001 - \$15,000
748	Adobe, Inc. (ADBE)	Purchase	09/20/2022	\$1,001 - \$15,000
749	Advanced Micro Devices, Inc. (AMD)	Purchase	09/20/2022	\$1,001 - \$15,000
750	Altria Group, Inc. (MO)	Purchase	09/20/2022	\$1,001 - \$15,000
751	Amazon.com, Inc. (AMZN)	Purchase	09/20/2022	\$1,001 - \$15,000
752	Archer-Daniels-Midland Co. (ADM)	Purchase	09/20/2022	\$1,001 - \$15,000
753	Autodesk, Inc. (ADSK)	Purchase	09/20/2022	\$1,001 - \$15,000
754	Automatic Data Processing, Inc. (ADP)	Purchase	09/20/2022	\$1,001 - \$15,000
755	Berkshire Hathaway Inc. (BRKB)	Purchase	09/20/2022	\$1,001 - \$15,000
756	Biogen Inc. (BIIB)	Purchase	09/20/2022	\$1,001 - \$15,000
757	Ceridian HCM Holding, Inc. (CDAY)	Purchase	09/20/2022	\$1,001 - \$15,000
758	Cognizant Technology Solutions Corp. (CTSH)	Purchase	09/20/2022	\$1,001 - \$15,000
759	DexCom, Inc. (DXCM)	Purchase	09/20/2022	\$1,001 - \$15,000
760	Digital Realty Trust, Inc. (DLR)	Purchase	09/20/2022	\$1,001 - \$15,000
761	Dominion Energy, Inc. (D)	Purchase	09/20/2022	\$1,001 - \$15,000
762	EPAM Systems, Inc. (EPAM)	Purchase	09/20/2022	\$1,001 - \$15,000
763	Equinix, Inc. (EQIX)	Purchase	09/20/2022	\$1,001 - \$15,000
764	Global Payments, Inc. (GPN)	Purchase	09/20/2022	\$1,001 - \$15,000
765	IDEXX Laboratories, Inc. (IDXX)	Purchase	09/20/2022	\$1,001 - \$15,000
766	Intuit, Inc. (INTU)	Purchase	09/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
767	Lowe's Companies Inc.	Purchase	09/20/2022	\$1,001 - \$15,000
768	Molina Healthcare, Inc. (MOH)	Purchase	09/20/2022	\$1,001 - \$15,000
769	Norton Life Lock Inc.	Purchase	09/20/2022	\$1,001 - \$15,000
770	Paycom Software, Inc. (PAYC)	Purchase	09/20/2022	\$1,001 - \$15,000
771	Procter & Gamble Co. (PG)	Purchase	09/20/2022	\$1,001 - \$15,000
772	QUALCOMM, Inc. (QCOM)	Purchase	09/20/2022	\$1,001 - \$15,000
773	Tesla, Inc. (TSLA)	Purchase	09/20/2022	\$1,001 - \$15,000
774	3M Co. (MMM)	Purchase	09/20/2022	\$1,001 - \$15,000
775	Valero Energy Corp. (VLO)	Purchase	09/20/2022	\$1,001 - \$15,000
776	Walmart, Inc. (WMT)	Purchase	09/20/2022	\$1,001 - \$15,000
777	Zoetis, Inc. (ZTS)	Purchase	09/20/2022	\$1,001 - \$15,000
778	Palo Alto Networks, Inc. (PANW)	Purchase	09/22/2022	\$1,001 - \$15,000
779	Teleflex, Inc. (TFX)	Purchase	09/26/2022	\$1,001 - \$15,000
780	Flywire Corp.	Purchase	09/29/2022	\$1,001 - \$15,000
781	Horace Mann Educators Corp. (HMN)	Purchase	09/29/2022	\$1,001 - \$15,000
782	Newmont Corp. (NEM)	Purchase	09/30/2022	\$1,001 - \$15,000
783	Redfin Corp. (RDFN)	Sale	09/12/2022	\$1,001 - \$15,000
784	Covetrus, Inc. (CVET)	Sale	09/20/2022	\$1,001 - \$15,000
785	Abbott Laboratories (ABT)	Sale	09/20/2022	\$1,001 - \$15,000
786	AbbVie, Inc. (ABBV)	Sale	09/20/2022	\$1,001 - \$15,000
787	Activision Blizzard, Inc. (ATVI)	Sale	09/20/2022	\$1,001 - \$15,000
788	Alphabet, Inc. (GOOG)	Sale	09/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
789	Amgen Inc. (AMGN)	Sale	09/20/2022	\$1,001 - \$15,000
790	Bristol-Myers Squibb (BMY)	Sale	09/20/2022	\$1,001 - \$15,000
791	Bristol-Myers Squibb (BMY)	Sale	09/20/2022	\$1,001 - \$15,000
792	C.H. Robinson Worldwide, Inc. (CHRW)	Sale	09/20/2022	\$1,001 - \$15,000
793	CVS Health Corp. (CVS)	Sale	09/20/2022	\$1,001 - \$15,000
794	Comcast Corp. (CMCSA)	Sale	09/20/2022	\$1,001 - \$15,000
795	Crown Castle International Corp. (CCI)	Sale	09/20/2022	\$1,001 - \$15,000
796	Expeditors International of Washington, Inc. (EXPD)	Sale	09/20/2022	\$1,001 - \$15,000
797	Fidelity National Information Services, Inc. (FIS)	Sale	09/20/2022	\$1,001 - \$15,000
798	Fortinet, Inc. (FTNT)	Sale	09/20/2022	\$1,001 - \$15,000
799	Hormel Foods Corp. (HRL)	Sale	09/20/2022	\$1,001 - \$15,000
800	Incyte Corp. (INCY)	Sale	09/20/2022	\$1,001 - \$15,000
801	Intel Corp. (INTC)	Sale	09/20/2022	\$1,001 - \$15,000
802	Laboratory Corp. of America Holdings (LH)	Sale	09/20/2022	\$1,001 - \$15,000
803	Mondelez International, Inc. (MDLZ)	Sale	09/20/2022	\$1,001 - \$15,000
804	Newmont Corp. (NEM)	Sale	09/20/2022	\$1,001 - \$15,000
805	NVIDIA Corp. (NVDA)	Sale	09/20/2022	\$1,001 - \$15,000
806	Philip Morris International, Inc. (PM)	Sale	09/20/2022	\$15,001 - \$50,000
807	Realty Income Corp. (O)	Sale	09/20/2022	\$1,001 - \$15,000
808	Roper Technologies, Inc. (ROP)	Sale	09/20/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
809	salesforce.com, inc. (CRM)	Sale	09/20/2022	\$1,001 - \$15,000	
810	Henry Schein, Inc. (HSIC)	Sale	09/20/2022	\$1,001 - \$15,000	
811	ServiceNow, Inc. (NOW)	Sale	09/20/2022	\$1,001 - \$15,000	
812	Visa, Inc. (V)	Sale	09/20/2022	\$1,001 - \$15,000	
813	Walgreens Boots Alliance, Inc. (WBA)	Sale	09/20/2022	\$1,001 - \$15,000	
814	LPL Financial Holdings, Inc. (LPLA)	Sale	09/26/2022	\$1,001 - \$15,000	
815	Magnolia Oil & Gas Corp. (MGY)	Sale	09/29/2022	\$1,001 - \$15,000	
816	Paylocity Holding Corp. (PCTY)	Sale	09/29/2022	\$1,001 - \$15,000	
817	Aptiv PLC (APTV)	Sale	09/30/2022	\$1,001 - \$15,000	
818	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	09/01/2022	\$1,001 - \$15,000	
819	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	09/01/2022	\$1,001 - \$15,000	
820	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Purchase	09/22/2022	\$1,001 - \$15,000	
821	Mastercard, Inc. (MA)	Purchase	09/22/2022	\$15,001 - \$50,000	
822	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	10/03/2022	\$1,001 - \$15,000	
823	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	10/03/2022	\$1,001 - \$15,000	
824	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	10/19/2022	\$250,001 - \$500,000	
825	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	10/20/2022	\$250,001 - \$500,000	
826	Put NIKE, Inc. (NKE)	See Endnote	Purchase	10/07/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
827	Put NVIDIA Corp. (NVDA)	See Endnote	Purchase	10/07/2022	\$15,001 - \$50,000
828	Put NVIDIA Corp. (NVDA)	See Endnote		10/07/2022	\$15,001 - \$50,000
829	Put NIKE, Inc. (NKE)	See Endnote		10/07/2022	\$1,001 - \$15,000
830	Put Tesla, Inc. (TSLA)	See Endnote	Purchase	10/07/2022	\$15,001 - \$50,000
831	Put Tesla, Inc. (TSLA)	See Endnote		10/07/2022	\$15,001 - \$50,000
832	Call Advanced Micro Devices, Inc. (AMD)	See Endnote		10/14/2022	\$1,001 - \$15,000
833	Call Amazon.com, Inc. (AMZN)	See Endnote		10/14/2022	\$1,001 - \$15,000
834	Put Tesla, Inc. (TSLA)	See Endnote	Purchase	10/20/2022	\$15,001 - \$50,000
835	Put Tesla, Inc. (TSLA)	See Endnote		10/20/2022	\$15,001 - \$50,000
836	Call Alphabet, Inc. (GOOG)	See Endnote		10/26/2022	\$1,001 - \$15,000
837	EOG Resources, Inc. (EOG)		Sale	10/25/2022	\$100,001 - \$250,000
838	JPMorgan Chase & Co. (JPM)		Sale	10/25/2022	\$50,001 - \$100,000
839	Pioneer Natural Resources Co. (PXD)		Sale	10/25/2022	\$50,001 - \$100,000
840	Schlumberger NV (SLB)		Sale	10/25/2022	\$100,001 - \$250,000
841	Cimpress Plc (CMPR)		Purchase	11/02/2022	\$1,001 - \$15,000
842	NXP Semiconductors N.V.		Purchase	11/02/2022	\$1,001 - \$15,000
843	Aon Plc (AON)		Sale	11/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
844	LPL Financial Holdings, Inc. (LPLA)	Sale	11/02/2022	\$1,001 - \$15,000
845	CITRIX SYSTEMS INC	Sale	10/03/2022	\$1,001 - \$15,000
846	NIKE INC	Purchase	10/07/2022	\$1,001 - \$15,000
847	FANUC 6954	Sale	10/11/2022	\$1,001 - \$15,000
848	LPL FINANCIAL HOLDINGS	Sale	10/12/2022	\$1,001 - \$15,000
849	MSCI INC	Sale	10/12/2022	\$1,001 - \$15,000
850	FIDELITY NATL INFO SVCS	Purchase	10/12/2022	\$1,001 - \$15,000
851	TELEFLEX INC	Purchase	10/12/2022	\$1,001 - \$15,000
852	ABBOTT LABS	Sale	10/13/2022	\$15,001 - \$50,000
853	ASTRAZENECA PLC	Sale	10/13/2022	\$1,001 - \$15,000
854	ELI LILLY & CO	Sale	10/13/2022	\$15,001 - \$50,000
855	REGENERON PHARMACTCLS	Sale	10/13/2022	\$1,001 - \$15,000
856	RENTOKIL INTL SPNSRD ADR	Sale	10/19/2022	\$1,001 - \$15,000
857	OPEN LENDING CORP	Sale	10/19/2022	\$1,001 - \$15,000
858	PAYLOCITY HLDG CORP	Sale	10/19/2022	\$1,001 - \$15,000
859	NOVANTA INC	Purchase	10/19/2022	\$1,001 - \$15,000
860	PAYCOR HCM INC	Purchase	10/19/2022	\$1,001 - \$15,000
861	CHEMOCENTRYX INC	Sale	10/20/2022	\$15,001 - \$50,000
862	LPL FINANCIAL HOLDINGS	Sale	10/21/2022	\$1,001 - \$15,000
863	NXP SEMICONDUCTORS N.V.	Purchase	10/21/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
864	A N S Y S INC	Sale	10/25/2022	\$15,001 - \$50,000
865	ACTIVISION BLIZZARD INC	Sale	10/25/2022	\$1,001 - \$15,000
866	ADVNC D MICRO D INC	Sale	10/25/2022	\$1,001 - \$15,000
867	AKAMAI TECHNOLOGIES INC	Sale	10/25/2022	\$1,001 - \$15,000
868	BECTON DICKINSON CO	Sale	10/25/2022	\$1,001 - \$15,000
869	CADENCE DESIGN SYS INC	Sale	10/25/2022	\$1,001 - \$15,000
870	CARMAX INC	Sale	10/25/2022	\$1,001 - \$15,000
871	CHARTER COMMUNICATIONS	Sale	10/25/2022	\$1,001 - \$15,000
872	COGNIZANT TECH SOLUTNS	Sale	10/25/2022	\$1,001 - \$15,000
873	COLGATE PALMOLIVE	Sale	10/25/2022	\$1,001 - \$15,000
874	CVS HEALTH CORP	Sale	10/25/2022	\$1,001 - \$15,000
875	DIGITAL RLTY TR INC	Sale	10/25/2022	\$1,001 - \$15,000
876	DOMINION ENERGY INC	Sale	10/25/2022	\$1,001 - \$15,000
877	DXC TECHNOLOGY CO	Sale	10/25/2022	\$1,001 - \$15,000
878	EPAM SYSTEMS INC SHS	Sale	10/25/2022	\$1,001 - \$15,000
879	EQUINIX INC	Sale	10/25/2022	\$1,001 - \$15,000
880	GLOBAL PMTS INC	Sale	10/25/2022	\$1,001 - \$15,000
881	HEALTHPEAK PPTYS INC	Sale	10/25/2022	\$1,001 - \$15,000
882	LOWE'S COMPANIES INC	Sale	10/25/2022	\$1,001 - \$15,000
883	MC CORMICK NON VTG	Sale	10/25/2022	\$1,001 - \$15,000
884	MEDTRONIC PLC	Sale	10/25/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
885	MOTOROLA SOLUTIONS INC	Sale	10/25/2022	\$1,001 - \$15,000
886	PARAMOUNT GLOBAL	See Endnote	10/25/2022	\$1,001 - \$15,000
887	PAYCOM SOFTWARE INC	Sale	10/25/2022	\$1,001 - \$15,000
888	PUBLIC STORAGE	Sale	10/25/2022	\$1,001 - \$15,000
889	QUALCOMM INC	Sale	10/25/2022	\$1,001 - \$15,000
890	SERVICENOW INC	Sale	10/25/2022	\$1,001 - \$15,000
891	SOUTHERN COMPANY	Sale	10/25/2022	\$1,001 - \$15,000
892	SYNOPSYS INC	Sale	10/25/2022	\$1,001 - \$15,000
893	TESLA INC	Sale	10/25/2022	\$1,001 - \$15,000
894	TYLER TECHS INC	Sale	10/25/2022	\$1,001 - \$15,000
895	UNITED PARCEL SVC CL B	Sale	10/25/2022	\$1,001 - \$15,000
896	ALPHABET INC	Purchase	10/25/2022	\$1,001 - \$15,000
897	AMAZON COM INC	Purchase	10/25/2022	\$1,001 - \$15,000
898	AMGEN INC COM	Purchase	10/25/2022	\$1,001 - \$15,000
899	AT&T INC	Purchase	10/25/2022	\$1,001 - \$15,000
900	BERKSHIRE HATHAWAY INC	Purchase	10/25/2022	\$1,001 - \$15,000
901	CATALENT INC	Purchase	10/25/2022	\$1,001 - \$15,000
902	CIGNA CORP REG SHS	Purchase	10/25/2022	\$15,001 - \$50,000
903	CISCO SYSTEMS INC	Purchase	10/25/2022	\$15,001 - \$50,000
904	DANAHER CORP	Purchase	10/25/2022	\$1,001 - \$15,000
905	DISNEY (WALT) CO	Purchase	10/25/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
906	EXPEDITORS INTL WASH INC	Purchase	10/25/2022	\$1,001 - \$15,000
907	FASTENAL COMPANY	Purchase	10/25/2022	\$1,001 - \$15,000
908	FIDELITY NATL INFO SVCS	Purchase	10/25/2022	\$1,001 - \$15,000
909	FORTINET INC	Purchase	10/25/2022	\$1,001 - \$15,000
910	GARMIN LTD	Purchase	10/25/2022	\$1,001 - \$15,000
911	HOLOGIC INC	Purchase	10/25/2022	\$1,001 - \$15,000
912	HOME DEPOT INC	Purchase	10/25/2022	\$1,001 - \$15,000
913	HORMEL FOODS CORP	Purchase	10/25/2022	\$1,001 - \$15,000
914	IDEXX LAB INC	Purchase	10/25/2022	\$1,001 - \$15,000
915	ILLUMINA INC	Purchase	10/25/2022	\$1,001 - \$15,000
916	INCYTE CORPORATION	Purchase	10/25/2022	\$1,001 - \$15,000
917	INTEL CORP	Purchase	10/25/2022	\$1,001 - \$15,000
918	INTUITIVE SURGICAL INC	Purchase	10/25/2022	\$1,001 - \$15,000
919	IQVIA HLDGS INC	Purchase	10/25/2022	\$1,001 - \$15,000
920	JOHNSON CONTROLS INTER	Purchase	10/25/2022	\$1,001 - \$15,000
921	LABORATORY CP AMER HLDGS	Purchase	10/25/2022	\$1,001 - \$15,000
922	LAUDER ESTEE COS INC A	Purchase	10/25/2022	\$1,001 - \$15,000
923	MOLINA HEALTHCARE INC	Purchase	10/25/2022	\$1,001 - \$15,000
924	MONDELEZ INTERNATIONAL	Purchase	10/25/2022	\$1,001 - \$15,000
925	NETFLIX COM INC	Purchase	10/25/2022	\$1,001 - \$15,000
926	NVIDIA	Purchase	10/25/2022	\$1,001 - \$15,000
927	PHILIP MORRIS INTL INC	Purchase	10/25/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
928	PROCTER & GAMBLE CO		Purchase	10/25/2022	\$15,001 - \$50,000
929	REALTY INCM CRP		Purchase	10/25/2022	\$1,001 - \$15,000
930	ROPER TECHNOLOGIES INC		Purchase	10/25/2022	\$15,001 - \$50,000
931	SALESFORCE INC		Purchase	10/25/2022	\$1,001 - \$15,000
932	SCHEIN (HENRY) INC		Purchase	10/25/2022	\$15,001 - \$50,000
933	STERIS PLC REG SHS		Purchase	10/25/2022	\$1,001 - \$15,000
934	STRYKER CORP		Purchase	10/25/2022	\$1,001 - \$15,000
935	TRANSDIGM GROUP INC		Purchase	10/25/2022	\$1,001 - \$15,000
936	WEST PHARMACTL SVCS INC		Purchase	10/25/2022	\$1,001 - \$15,000
937	ZOETIS INC		Purchase	10/25/2022	\$1,001 - \$15,000
938	3M COMPANY		Purchase	10/25/2022	\$1,001 - \$15,000
939	TWITTER INC		Sale	10/31/2022	\$1,001 - \$15,000
940	Advanced Micro Devices, Inc. (AMD)		Sale	11/18/2022	\$50,001 - \$100,000
941	Applied Materials, Inc. (AMAT)		Sale	11/18/2022	\$50,001 - \$100,000
942	Goldman Sachs (GS)		Sale	11/18/2022	\$50,001 - \$100,000
943	NIKE, Inc. (NKE)		Sale	11/18/2022	\$15,001 - \$50,000
944	Put NVIDIA Corp. (NVDA)	See Endnote	Purchase	11/16/2022	\$1,001 - \$15,000
945	Los Angeles, CA bonds		Purchase	11/08/2022	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
946	BIOCRIST PHARMACEUTICALS	Purchase	11/03/2022	\$1,001 - \$15,000
947	SHOALS TECHNOLOGIES	Purchase	11/03/2022	\$1,001 - \$15,000
948	RITCHIE BROS AUCTIONEERS	Sale	11/10/2022	\$1,001 - \$15,000
949	FLYWIRE CORP	Purchase	11/16/2022	\$1,001 - \$15,000
950	DISNEY (WALT) CO COM	Sale	11/16/2022	\$1,001 - \$15,000
951	VANGUARD FTSE EMERGING MARKETS ETF	Purchase	11/16/2022	\$1,001 - \$15,000
952	THOUGHTWORKS HLDG INC	Purchase	11/23/2022	\$1,001 - \$15,000
953	RIO TINTO PLC	Sale	11/25/2022	\$1,001 - \$15,000
954	ON SEMICONDUCTOR CRP	Sale	11/30/2022	\$1,001 - \$15,000
955	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	11/01/2022	\$1,001 - \$15,000
956	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	11/01/2022	\$1,001 - \$15,000
957	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	12/01/2022	\$1,001 - \$15,000
958	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	12/01/2022	\$1,001 - \$15,000
959	Allspring Specialized Technology Fund Institutional Class (WFTIX)	Purchase	12/12/2022	\$1,001 - \$15,000
960	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Sale	12/20/2022	\$1,000,001 - \$5,000,000
961	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Sale	12/20/2022	\$1,000,001 - \$5,000,000
962	Baron Opportunity Fund Institutional Class Shares (BIOIX)	Sale	12/21/2022	\$100,001 - \$250,000
963	Cognyte Software Ltd.	Sale	12/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
964	Allspring Specialized Technology Fund Institutional Class (WFTIX)	Sale	12/21/2022	\$1,001 - \$15,000
965	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Purchase	12/21/2022	\$100,001 - \$250,000
966	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Purchase	12/23/2022	\$1,001 - \$15,000
967	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	Sale	12/28/2022	\$15,001 - \$50,000
968	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Purchase	12/28/2022	\$15,001 - \$50,000
969	Tesla, Inc. (TSLA)	Purchase	12/16/2022	\$250,001 - \$500,000
970	Call SPDR S&P MidCap 400 ETF (MDY) See Endnote		12/01/2022	\$1,001 - \$15,000
971	BLACKSTONE INC	Sale	12/01/2022	\$1,001 - \$15,000
972	SAP SE	Purchase	12/02/2022	\$1,001 - \$15,000
973	ACTIVISION BLIZZARD INC	Sale	12/07/2022	\$1,001 - \$15,000
974	ALPHABET INC	Sale	12/07/2022	\$1,001 - \$15,000
975	AMAZON COM INC	Sale	12/07/2022	\$1,001 - \$15,000
976	CADENCE DESIGN SYS INC	Sale	12/07/2022	\$1,001 - \$15,000
977	COTERRA ENERGY INC	Sale	12/07/2022	\$1,001 - \$15,000
978	WALT DISNEY CO	Sale	12/07/2022	\$1,001 - \$15,000
979	ESSEX PPTY TR INC	Sale	12/07/2022	\$1,001 - \$15,000
980	FIDELITY NATL INFO SVCS	Sale	12/07/2022	\$1,001 - \$15,000
981	FORTINET INC	Sale	12/07/2022	\$1,001 - \$15,000
982	ILLUMINA INC	Sale	12/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
983	INTEL CORP	Sale	12/07/2022	\$1,001 - \$15,000
984	INTUIT INC	Sale	12/07/2022	\$1,001 - \$15,000
985	KELLOGG CO	Sale	12/07/2022	\$1,001 - \$15,000
986	MOLINA HEALTHCARE INC	Sale	12/07/2022	\$1,001 - \$15,000
987	OCCIDENTAL PETE CORP	Sale	12/07/2022	\$1,001 - \$15,000
988	SALESFORCE INC	Sale	12/07/2022	\$1,001 - \$15,000
989	TAKE TWO INTER SOFTWARE	Sale	12/07/2022	\$1,001 - \$15,000
990	TESLA INC	Sale	12/07/2022	\$1,001 - \$15,000
991	TYLER TECHS INC	Sale	12/07/2022	\$1,001 - \$15,000
992	WEST PHARMACTL SVCS INC	Sale	12/07/2022	\$1,001 - \$15,000
993	ZOETIS INC	Sale	12/07/2022	\$1,001 - \$15,000
994	A N S Y S INC	Purchase	12/07/2022	\$15,001 - \$50,000
995	ADVNC D MICRO DEVICES INC	Purchase	12/07/2022	\$1,001 - \$15,000
996	BECTON DICKINSON CO	Purchase	12/07/2022	\$1,001 - \$15,000
997	COGNIZANT TECH SOLUTNS	Purchase	12/07/2022	\$1,001 - \$15,000
998	CVS HEALTH CORP	Purchase	12/07/2022	\$1,001 - \$15,000
999	EPAM SYSTEMS INC	Purchase	12/07/2022	\$1,001 - \$15,000
1000	ETSY INC	Purchase	12/07/2022	\$1,001 - \$15,000
1001	GLOBAL PMTS INC	Purchase	12/07/2022	\$1,001 - \$15,000
1002	INTUITIVE SURGICAL INC	Purchase	12/07/2022	\$1,001 - \$15,000
1003	LENNAR CORP	Purchase	12/07/2022	\$1,001 - \$15,000
1004	MEDTRONIC PL	Purchase	12/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1005	META PLATFORMS INC	Purchase	12/07/2022	\$1,001 - \$15,000
1006	PAYCOM SOFTWARE INC	Purchase	12/07/2022	\$1,001 - \$15,000
1007	QUALCOMM INC	Purchase	12/07/2022	\$1,001 - \$15,000
1008	SERVICENOW INC	Purchase	12/07/2022	\$15,001 - \$50,000
1009	WARNER BROS DISCOVERY	Purchase	12/07/2022	\$1,001 - \$15,000
1010	ISHARES CHINA LARGE CAP	Purchase	12/08/2022	\$1,001 - \$15,000
1011	HALOZYME THERAPEUTICS	Sale	12/09/2022	\$1,001 - \$15,000
1012	PHREESIA INC	Sale	12/09/2022	\$1,001 - \$15,000
1013	RITCHIE BROS AUCTIONEERS	Sale	12/09/2022	\$1,001 - \$15,000
1014	SHOALS TECHNOLOGIES	Sale	12/09/2022	\$1,001 - \$15,000
1015	SYNEOS HEALTH INC	Sale	12/09/2022	\$1,001 - \$15,000
1016	AVIENT CORPORATION	Purchase	12/09/2022	\$1,001 - \$15,000
1017	COHEN & STEERS INC	Purchase	12/09/2022	\$1,001 - \$15,000
1018	ENDAVA LTD	Purchase	12/09/2022	\$1,001 - \$15,000
1019	INSMED INC	Purchase	12/09/2022	\$1,001 - \$15,000
1020	SEACOAST BANKING CORP OF FLORIDA	Purchase	12/09/2022	\$1,001 - \$15,000
1021	VERACYTE INC	Purchase	12/09/2022	\$1,001 - \$15,000
1022	AON PLC	Sale	12/12/2022	\$1,001 - \$15,000
1023	J.B. HUNT TRANS SVCS INC	Sale	12/12/2022	\$1,001 - \$15,000
1024	KLA CORP	Sale	12/12/2022	\$1,001 - \$15,000
1025	LPL FINANCIAL HOLDINGS	Sale	12/12/2022	\$1,001 - \$15,000
1026	AVANTOR INC	Purchase	12/12/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1027	GILDAN ACTIVEWEAR INC	Purchase	12/12/2022	\$1,001 - \$15,000
1028	LIBERTY MEDIA CORP	Purchase	12/12/2022	\$1,001 - \$15,000
1029	NXP SEMICONDUCTORS N.V.	Purchase	12/12/2022	\$1,001 - \$15,000
1030	RENTOKIL INTL SPNSRD ADR	Purchase	12/12/2022	\$1,001 - \$15,000
1031	WEX INC	Purchase	12/12/2022	\$1,001 - \$15,000
1032	ARCUTIS BIOTHERAPEUTICS	Purchase	12/15/2022	\$1,001 - \$15,000
1033	BLACKROCK INC	Sale	12/23/2022	\$1,001 - \$15,000
1034	VANGUARD REAL ESTATE ETF	Sale	12/23/2022	\$100,001 - \$250,000
1035	SPDR S P PHARMACEUTICALS	Purchase	12/23/2022	\$100,001 - \$250,000
1036	IBERDROLA SA, BILBAO	Purchase	12/27/2022	\$1,001 - \$15,000
1037	ISHARES MSCI CDA ETF	Sale	12/27/2022	\$100,001 - \$250,000
1038	ISHARES TR MSCI EAFE (EFV)	Purchase	12/27/2022	\$100,001 - \$250,000
1039	SUPERMUS PHARMACEUTICALS	Sale	12/28/2022	\$1,001 - \$15,000
1040	VERITEX HOLDINGS INC	Purchase	12/28/2022	\$1,001 - \$15,000
1041	AMAZON COM INC	Sale	12/28/2022	\$15,001 - \$50,000
1042	ERICSSON AMERICAN	Sale	12/28/2022	\$15,001 - \$50,000
1043	ISHARES INC MSCI EMERGING MKTS EX CHINA	Sale	12/28/2022	\$100,001 - \$250,000
1044	NETFLIX COM INC	Sale	12/28/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
1045	VANGUARD REAL ESTATE ETF	Sale	12/28/2022	\$15,001 - \$50,000
1046	Teladoc Health, Inc. (TDOC)	Sale	01/03/2022	\$1,001 - \$15,000
1047	San Diego CA Pub Facilities Financing Authority bonds	Purchase	02/14/2022	\$500,001 - \$1,000,000
1048	Fidelity Money Market Portfolio Institutional Class (FNSXX)	Sale	05/09/2022	\$250,001 - \$500,000
1049	Allspring Money Market Fund Premier Class Shares (WMPXX)	Sale	05/09/2022	\$500,001 - \$1,000,000
1050	Residential Real Property, Los Angeles, CA (7513 Fountain Ave. LLC)	Sale	04/01/2022	\$50,001 - \$100,000
1051	Residential Real Property, Los Angeles, CA (11677-11683 Goshen Avenue, LLC)	Sale	06/30/2022	\$100,001 - \$250,000
1052	Residential Real Property, Los Angeles, CA (11832 Kiowa Avenue, LLC)	Sale	06/07/2022	\$5,000,001 - \$25,000,000
1053	iShares MSCI EAFE Value ETF (EFV)	Purchase	01/03/2023	\$250,001 - \$500,000
1054	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	01/03/2023	\$250,001 - \$500,000
1055	Catalent, Inc. (CTLT)	Purchase	01/04/2023	\$15,001 - \$50,000
1056	Liberty Media Corp. (FWONK)	Purchase	01/03/2023	\$1,001 - \$15,000
1057	Rentokil Int'l Sponsored ADR (RTO)	Purchase	01/04/2023	\$1,001 - \$15,000
1058	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/03/2023	\$500,001 - \$1,000,000
1059	Aon Plc (AON)	Sale	01/04/2023	\$15,001 - \$50,000
1060	Neurocrine Biosciences, Inc. (NBIX)	Sale	01/04/2023	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
1061	Terminix Global Holdings, Inc. (TMX)	See Endnote	Exchange	10/14/2022	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America		Margin Account	\$5,000,001 - \$25,000,000	2014	30-day LIBOR	Revolving
2	Carlyle AIP Secondaries Access V II LP		Capital commitment	\$250,001 - \$500,000	2020	n/a	on demand
3	Secondary Opportunities Fund		Capital commitment	\$15,001 - \$50,000	2014	n/a	on demand
4	NB Private Markets Fund II (TI) LLC		Capital commitment	\$50,001 - \$100,000	2012	n/a	on demand
5	Bank of America		Mortgage on Personal Residence	\$5,000,001 - \$25,000,000	2022	3.250 (7/1 ARM)	10 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	1.1.21	purchased 11/12/21

PART	#	ENDNOTE
6.	1.1.22	purchased11/15/2021
6.	1.2.6	bond redeemed in 2022.
6.	1.2.16	bond redeemed in 2022.
6.	1.2.18	bond redeemed in 2022.
6.	1.2.21	bond redeemed in 2022.
6.	1.2.28	bond redeemed in 2022.
6.	1.2.39	bond redeemed in part in 2022.
6.	1.2.40	bond redeemed in 2022.
6.	1.2.43	bond redeemed in 2022.
6.	1.2.53	bond redeemed in 2022.
6.	1.2.54	bond redeemed in 2022.
6.	1.2.56	bond redeemed in 2022.
6.	1.3.53	Estimate; K1 not yet available.
6.	1.3.91	Formerly CryoLife, Inc. (CRY)
6.	1.3.93	Estimate; K1 not yet available.
6.	1.3.128	Formerly Facebook Inc.
6.	1.3.176	Estimate; K1 not yet available.
6.	1.3.226	Estimate; K1 not yet available.
6.	1.3.240	Value on 2021 report should have been \$100,001-\$250,000.
6.	1.3.296	Formerly known as Square, Inc. (SQ).
6.	1.3.358	Sold 12/21/21 for <\$1,000; included on 2022 report in error.
6.	1.3.367	No transaction >\$1,000.

PART	#	ENDNOTE
6.	1.3.372	Formerly NortonLifeLock Inc. (NLOK)
6.	1.3.373	Sold for <\$1,000.
6.	1.3.376	Sold for <\$1,000.
6.	1.3.392	Sold for <\$1,000.
6.	1.3.434	Formerly "Daimler A."
6.	1.3.437	Formerly Royal Dutch Shell PLC.
6.	1.3.457	Shares received following nontaxable spinoff from Exelon Feb. 2022.
6.	1.3.458	no transaction >\$1,000
6.	1.3.461	no transaction >\$1,000
6.	1.3.473	no transactions >\$1,000
6.	1.3.487	No transactions >\$1,000
6.	1.3.497	No transaction >\$1,000
6.	1.4.25	acquired 12/02/2021
6.	1.9.1	imputed income
6.	1.11.1	Sold 12/21/21 for \$500,001-\$1,000,000; \$100,001-\$250,000 income (capital gain) in 2021.
6.	1.14.1	Estimate; K1 not yet available.
7.	1	sold for <\$1,000 in Dec. 2022.
7.	4	sold for <\$1,000 in Dec. 2022.
7.	53	Stock sold on 2/10/2022.
7.	198	Exchange of IHS Markit Ltd. for S&P Global Inc. following merger.
7.	512	opened written put option position; strike price \$203; expr. 5/20/22.
7.	513	opened written put option position; strike price \$170; expr. 5/20/22.

PART	#	ENDNOTE
7.	514	opened written put option position; strike price \$105; expr. 5/20/22.
7.	515	opened written put option position; strike price \$125; expr. 5/20/22.
7.	516	opened written put option position; strike price \$140; expr. 5/20/22.
7.	633	opened written put option position, strike price \$130, exp. 8/19/22
7.	634	opened written put option position, strike price \$140, exp. 8/19/22
7.	635	opened written put option position, strike price \$65, exp. 8/19/22
7.	636	opened written put option position, strike price \$185, exp. 8/19/22
7.	637	opened written put option position, strike price \$85, exp. 8/19/22
7.	638	opened written put option position, strike price \$95, exp. 8/19/22
7.	639	opened written put option position, strike price \$290, exp. 8/19/22
7.	640	opened written put option position, strike price \$100, exp. 8/19/22
7.	641	opened written put option position, strike price \$95, exp. 8/19/22
7.	642	opened written put option position, strike price \$625, exp. 8/19/22
7.	643	opened written put option position, strike price \$86, exp. 8/19/22
7.	644	opened written put option position, strike price \$245, exp. 8/19/22
7.	645	opened written call option position, strike price \$140, exp. 9/16/22
7.	646	opened written call option position, strike price \$195, exp. 8/19/22
7.	647	Exp. 8/19/22, strike price \$132.50
7.	676	Strike price \$132.50, exp. 8/19/22
7.	677	Strike price \$86, exp. 8/19/22
7.	680	Strike price \$175, exp. 9/16/22
7.	681	opened written call option position, strike price \$140, exp. 9/16/22

PART	#	ENDNOTE
7.	682	opened written put option position, strike price \$130, exp. 9/16/2022
7.	683	opened written put option position, strike price \$145, exp. 9/16/2022
7.	684	opened written put option position, strike price \$75, exp. 9/16/2022
7.	685	opened written put option position, strike price \$85, exp. 9/16/2022
7.	686	opened written put option position, strike price \$105, exp. 9/16/2022
7.	687	opened written put option position, strike price \$230, exp. 9/16/2022
7.	688	opened written put option position, strike price \$195, exp. 9/16/2022
7.	689	opened written put option position, strike price \$75, exp. 9/16/2022
7.	690	opened written put option position, strike price \$97.50, exp. 9/16/2022
7.	691	opened written put option position, strike price \$111, exp. 9/16/2022
7.	692	opened written put option position, strike price \$305, exp. 9/16/2022
7.	693	opened written put option position, strike price \$98, exp. 9/16/2022
7.	694	opened written put option position, strike price \$112, exp. 9/16/2022
7.	695	opened written put option position, strike price \$255, exp. 9/16/2022
7.	696	opened written put option position, strike price \$235, exp. 9/16/2022
7.	697	opened written call option position, strike price \$420, exp. 9/16/2022
7.	698	opened written call option position, strike price \$195, exp. 9/16/2022
7.	699	opened written call option position, strike price \$175, exp. 9/16/2022
7.	702	opened written call option position, strike price \$420, exp. 9/16/2022
7.	703	opened written call option position, strike price \$195, exp. 9/16/2022
7.	704	opened written call option position, strike price \$175, exp. 9/16/2022
7.	705	opened written call option position, strike price \$40, exp. 9/16/2022

PART	#	ENDNOTE
7.	706	opened written call option position, strike price \$135, exp. 9/16/2022
7.	707	opened written call option position, strike price \$115, exp. 9/16/2022
7.	708	opened written put option position, strike price \$130, exp. 10/21/2022
7.	709	opened written put option position, strike price \$130, exp. 10/21/2022
7.	710	opened written put option position, strike price \$130, exp. 10/21/2022
7.	711	opened written put option position, strike price \$100, exp. 10/21/2022
7.	712	opened written put option position, strike price \$270, exp. 10/21/2022
7.	713	opened written put option position, strike price \$70, exp. 10/21/2022
7.	714	opened written put option position, strike price \$90, exp. 10/21/2022
7.	715	opened written put option position, strike price \$55, exp. 10/21/2022
7.	716	opened written put option position, strike price \$100, exp. 10/21/2022
7.	717	opened written put option position, strike price \$175, exp. 10/21/2022
7.	718	opened written put option position, strike price \$88, exp. 10/21/2022
7.	719	opened written put option position, strike price \$260, exp. 10/21/2022
7.	720	opened written put option position, strike price \$195, exp. 10/21/2022
7.	721	opened written put option position, strike price \$230, exp. 10/21/2022
7.	722	opened written put option position, strike price \$100.70, exp. 10/21/2022
7.	723	opened written call option position, strike price \$40, exp. 10/21/2022
7.	724	opened written call option position, strike price \$445, exp. 10/21/2022
7.	725	opened written call option position, strike price \$230, exp. 10/21/2022
7.	726	opened written call option position, strike price \$123.50, exp. 10/21/2022
7.	729	strike price \$175, exp. 9/16/2022

PART	#	ENDNOTE
7.	730	strike price \$130, exp. 9/16/2022
7.	732	strike price \$75, exp. 9/16/2022
7.	826	Strike price \$80, exp. 10/21/22
7.	827	Strike price \$130, exp. 10/21/22
7.	828	opened written put option position, strike price \$120, exp. 11/18/2022
7.	829	opened written put option position, strike price \$80, exp. 11/18/2022
7.	830	strike price \$230, exp. 10/21/2022
7.	831	opened written put option position, strike price \$210, exp. 11/18/2022
7.	832	opened written call option position, strike price \$70, exp. 11/18/2022
7.	833	opened written call option position, strike price \$130, exp. 11/18/2022
7.	834	strike price \$210, exp. 11/18/2022
7.	835	opened written put option position, strike price \$196.67, exp. 12/16/2022
7.	836	opened written call option position, strike price \$115, exp. 11/18/2022
7.	886	Formerly ViacomCBS Inc. - Class B (VIAC).
7.	944	strike price \$120, exp. 11/18/2022
7.	970	opened written call option position, strike price \$490, exp. 12/16/2022
7.	1061	Exchange of Terminix Global Holdings, Inc. (TMX) for Rentokil (RTO). Exchange not disclosed on brokerage statement until January 2023

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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