Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeMartino, Laura

Associate Director, Federal Trade Commission

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ DeMartino, Laura [electronically signed on 07/05/2018 by DeMartino, Laura in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ White, Christian S., Certifying Official [electronically signed on 08/14/2018 by White, Christian S. in Integrity.gov]

Other review conducted by /s/ Pankey, Lorielle L, Ethics Official [electronically signed on 08/14/2018 by Pankey, Lorielle L in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

1 SP500 STEPUP ISSUER CIBC (MLZZL) Sale 06/05/18 No \$15,001 - \$50,000 2 BLACKROCK GLOBAL ALLOCATION FD Sale 06/14/18 No \$15,001 - \$50,000 3 FRANKLIN MUTUAL GLOBAL DISCOVERY FD Sale 06/14/18 No \$15,001 - \$50,000 4 THORNBURG INVSMT INCOME BUILDER FUND Sale 06/14/18 No \$15,001 - \$50,000 5 JP MORGAN GLOBAL ALLOCATION FD (GAOSX) Purchase 6/14/18 No \$1,001 - \$15,000 6 AMERICAN CENTURY EQUITY INCOME FUND Purchase 6/14/18 No \$1,001 - \$15,000 7 FIRST EAGLE GLOBAL CLASS (SGIIX) Purchase 06/14/18 No \$1,001 - \$15,000 8 THE OAKMARK EQUITY & INCOME FUND Purchase 06/14/18 No \$1,001 - \$15,000 9 FPA CRESCENT FUND (FPACX) Purchase 06/14/18 No \$1,001 - \$15,000 10 LOOMIS SAYLES GLOBAL ALLOCATION Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES INC CORE MSCI EURZONE ETF (EZU) Sale	#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
(MALOX) MALAX 3 FRANKLIN MUTUAL GLOBAL DISCOVERY FD Sale 06/14/18 No \$15,001 - \$50,000 4 THORNBURG INVSMT INCOME BUILDER FUND Sale 06/14/18 No \$15,001 - \$50,000 5 JP MORGAN GLOBAL ALLOCATION FD (GAOSX) Purchase 6/14/18 No \$1,001 - \$15,000 6 AMERICAN CENTURY EQUITY INCOME FUND Purchase 6/14/18 No \$1,001 - \$15,000 7 FIRST EAGLE GLOBAL CLASS (SGIX) Purchase 06/14/18 No \$1,001 - \$15,000 8 THE OAKMARK EQUITY & INCOME FUND Purchase 06/14/18 No \$1,001 - \$15,000 9 FPA CRESCENT FUND (FPACX) Purchase 06/14/18 No \$1,001 - \$15,000 10 LOMIS SAYLES GLOBAL ALLOCATION Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES INCC ORE MSCI EUROZONE ETF (EZU) Sale 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS Purchase 06/21/18 No \$1,001 - \$15,000 13	1	SP500 STEPUP ISSUER CIBC (MLZZL)	Sale	06/05/18	No	\$15,001 - \$50,000
(MDISX) And	2		Sale	06/14/18	No	\$15,001 - \$50,000
(TIBIX) Function 5 JP MORGAN GLOBAL ALLOCATION FD (GAOSX) Purchase 6/14/18 No \$1,001 - \$15,000 6 AMERICAN CENTURY EQUITY INCOME FUND (ACIX) Purchase 6/14/18 No \$1,001 - \$15,000 7 FIRST EAGLE GLOBAL CLASS (SGIIX) Purchase 06/14/18 No \$1,001 - \$15,000 8 THE OAKMARK EQUITY & INCOME FUND (OAKBX) Purchase 06/14/18 No \$1,001 - \$15,000 9 FPA CRESCENT FUND (FPACX) Purchase 06/14/18 No \$1,001 - \$15,000 10 LOOMIS SAYLES GLOBAL ALLOCATION (LSWWX) Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$1	3		Sale	06/14/18	No	\$15,001 - \$50,000
6 AMERICAN CENTURY EQUITY INCOME FUND Purchase 6/14/18 No \$1,001 - \$15,000 7 FIRST EAGLE GLOBAL CLASS (SGIX) Purchase 06/14/18 No \$1,001 - \$15,000 8 THE OAKMARK EQUITY & INCOME FUND Purchase 6/14/18 No \$1,001 - \$15,000 9 FPA CRESCENT FUND (FPACX) Purchase 06/14/18 No \$1,001 - \$15,000 10 LOOMIS SAYLES GLOBAL ALLOCATION (LSWWX) Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES INC CORE MSCI EUROZONE ETF (EZU) Sale 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES	4		Sale	06/14/18	No	\$15,001 - \$50,000
(ACIIX) Purchase 06/14/18 No \$1,001 - \$15,000 8 THE OAKMARK EQUITY & INCOME FUND Purchase 6/14/18 No \$1,001 - \$15,000 9 FPA CRESCENT FUND (FPACX) Purchase 06/14/18 No \$1,001 - \$15,000 10 LOOMIS SAYLES GLOBAL ALLOCATION (LSWWX) Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES MSCI EUROZONE ETF (EZU) Sale 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 <td>5</td> <td>JP MORGAN GLOBAL ALLOCATION FD (GAOSX)</td> <td>Purchase</td> <td>6/14/18</td> <td>No</td> <td>\$1,001 - \$15,000</td>	5	JP MORGAN GLOBAL ALLOCATION FD (GAOSX)	Purchase	6/14/18	No	\$1,001 - \$15,000
8 THE OAKMARK EQUITY & INCOME FUND Purchase 6/14/18 No \$1,001 - \$15,000 9 FPA CRESCENT FUND (FPACX) Purchase 06/14/18 No \$1,001 - \$15,000 10 LOOMIS SAYLES GLOBAL ALLOCATION (LSWWX) Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES MSCI EUROZONE ETF (EZU) Sale 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	6		Purchase	6/14/18	No	\$1,001 - \$15,000
Image: construction of the second s	7	FIRST EAGLE GLOBAL CLASS (SGIIX)	Purchase	06/14/18	No	\$1,001 - \$15,000
10 LOOMIS SAYLES GLOBAL ALLOCATION (LSWWX) Purchase 06/14/18 No \$1,001 - \$15,000 11 ISHARES MSCI EUROZONE ETF (EZU) Sale 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	8		Purchase	6/14/18	No	\$1,001 - \$15,000
(LSWWX) 11 ISHARES MSCI EUROZONE ETF (EZU) Sale 06/21/18 No \$1,001 - \$15,000 12 ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG) Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	9	FPA CRESCENT FUND (FPACX)	Purchase	06/14/18	No	\$1,001 - \$15,000
12 ISHARES INC CORE MSCI EMERGING MKTS Purchase 06/21/18 No \$1,001 - \$15,000 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	10		Purchase	06/14/18	No	\$1,001 - \$15,000
ETF (IEMG) ETF (IEMG) 13 VANGUARD CONSUMER STAPLES ETF (VDC) Purchase 06/21/18 No \$1,001 - \$15,000 14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	11	ISHARES MSCI EUROZONE ETF (EZU)	Sale	06/21/18	No	\$1,001 - \$15,000
14 ISHARES MSCI SWITZERLAND ETF (EWL) Sale 6/21/18 No \$1,001 - \$15,000 15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	12		Purchase	06/21/18	No	\$1,001 - \$15,000
15 SPDR US FINANCIAL SECTOR ETF (XLF) Purchase 06/22/18 No \$1,001 - \$15,000 16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000	13	VANGUARD CONSUMER STAPLES ETF (VDC)	Purchase	06/21/18	No	\$1,001 - \$15,000
16 FIRST TR EXCHANGE TRADED FD DOW JONES Sale 06/22/18 No \$1,001 - \$15,000 IN (FDN)	14	ISHARES MSCI SWITZERLAND ETF (EWL)	Sale	6/21/18	No	\$1,001 - \$15,000
IN (FDN)	15	SPDR US FINANCIAL SECTOR ETF (XLF)	Purchase	06/22/18	No	\$1,001 - \$15,000
17 VANGUARD INDUSTRIAL ETF (VIS) Purchase 6/22/18 No \$1,001 - \$15,000	16		Sale	06/22/18	No	\$1,001 - \$15,000
	17	VANGUARD INDUSTRIAL ETF (VIS)	Purchase	6/22/18	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	INTL BKT CLIRN ISS BNS (MLVHC)	Purchase	06/28/18	No	\$15,001 - \$50,000
19	R2000 CLIRN ISSUER RBC (MLVHB)	Purchase	06/28/18	No	\$1,001 - \$15,000
20	SP500 CLIRN ISSUER WFC (MLVHA)	Purchase	06/29/18	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

DeMartino, Laura - Page 4