

**Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:
 Periodic Transaction Report**

Filer's Name (Print Last, First, and Middle Initial)			Title of Position for Which Filing			Department or Agency		
Goldberg Beth L			District Director			SBA		
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.			Signature of the Filer			Date (Month, Day, Year)		Notice of Extension
			Beth Goldberg			2019-10-08 10:29:57		<input type="checkbox"/> Check if granted Indicate number of days:
Signature of Intermediate Reviewing Official (if required by agency)				Date (Month, Day, Year)		Agency Use Only		OGE Use Only
						10/08/2019		
Signature of Agency's Final Reviewing Official				Date (Month, Day, Year)		Comments of Reviewing Officials		
Marilyn Barnes				2019-10-11 15:49:42				
Signature of Reviewing Official at U.S. Office of Government Ethics (if required)				Date (Month, Day, Year)				

Transactions
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Identification of Assets		Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex.	Central Airlines Co.	10/1/12		X														
Ex.	BMSL Propulsion, Inc.	9/4/12	X	X				X										
1	PUTIX - Pimco Strategic Bond Fund Class Instl	09/09/2019			X													
2	VUG - Growth ETF Vanguard	09/09/2019			X													
3	RSP - S&P 500 EW Invesco ETF	09/09/2019			X													
4	LDLFX - Lord Abbett Investment Trust Short Duration Inco	09/09/2019		X				X										
5	IOFIX - Alphacentric Income Opportunities Fund - Class I	09/09/2019		X				X										
6	BSIIX - Blackrock Strategic Income Opportunities Portfol	09/09/2019		X				X										
7	JABAX - Janus Henderson Balanced Fund - T Shares	09/10/2019			X			X										

* Extensions of the due date should be noted in the "Notice of Extension" section of this form.
 ** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldberg Beth L	Transactions continued (Use only if needed)	Page Number 2
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Identification of Assets		Transaction Date <i>(Mo./Day/Yr.)</i>	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
1	WAGTX - Seven Canyons Innovators Fund Investor Class	09/16/2019			X		X											
2	ARKK - Ark Innovation ETF	10/02/2019			X		X											
3	REGL - S&P Midcap 400 Divd Proshares	10/02/2019			X		X											
4	IJH - S&P Mid-Cap 400 Ishares Core ETF	10/02/2019		X			X											
5	AOFIX - Alger Small Cap Focus Fund Class I	10/02/2019		X			X											
6	ARKK - Ark Innovation ETF	10/02/2019			X		X											
7	REGL - S&P Midcap 400 Divd Proshares	10/02/2019			X		X											
8	PUTIX - Pimco Strategic Bond Fund Class Instl	10/02/2019			X		X											
9	SYV - MFS Value Equity ETF SPDR	10/02/2019			X		X											
10	BSIIX - Blackrock Strategic Income Opportunities Portfol	10/02/2019		X			X											
11	IJH - S&P Mid-Cap 400 Ishares Core ETF	10/02/2019		X			X											
12	AOFIX - Alger Small Cap Focus Fund Class I	10/02/2019		X			X											
13																		
14																		
15																		
16																		

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