

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Reich, Mitchell P

Counsel to the Attorney General, Department of Justice

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Deputy Assistant Attorney General (8/2021 - 8/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Reich, Mitchell P [electronically signed on 05/13/2022 by Reich, Mitchell P in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Weinsheimer, Bradley, Certifying Official [electronically signed on 07/15/2022 by Weinsheimer, Bradley in Integrity.gov]

Other review conducted by

/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 07/14/2022 by Shaw, Cynthia K in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/14/2022

Data Revised 06/10/2022

Data Revised 05/24/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(07/14/2022, Felter, Monica): Revisions per filer consultation. No apparent conflicts.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Hogan Lovells US LLP	Washington, District of Columbia	Law Firm	Counsel	1/2021	8/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hogan Lovells US LLP (law firm)	N/A		Salary/Bonus	\$199,470
2	IRA #7816	No			
2.1	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	iShares Core MSCI Europe ETF (IEUR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.8	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	Health Care Select Sector SPDR Fund (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	Technology Select Sector SPDR Fund (XLK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.14	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.15	ARK Innovation ETF (ARKK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Hogan Lovells, 401(k) plan	No			
3.1	Fidelity 500 Index Fund (FXAIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Fidelity Contrafund Class K Shares (FCNKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Putnam Pennsylvania Tax Exempt Income Fund Class R6 Shares (PPTRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Intl Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Meeder Institutional Prime Money Market Fund (FLPXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Fidelity Extended Market Index Fund (FSMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	MIP CL 2 Managed Income Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Fidelity Emerging Markets Fund Class K (FKEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	PIMCO Real Return Fund Institutional Class Shares (PRRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Hogan Lovells	Washington, District of Columbia	I will continue to participate in this 401(k) plan.	8/2016

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	401(k) - Underlying assets not ascertainable	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Georgetown University	N/A		salary	
3	Allen & Overy	N/A		Partnership Income	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	IRA BDA #2401	No		Cash distributions	\$12,007
4.1	Partners Group Private Equity (Master Fund) LLC Class I	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	Beacon Partners Fund I LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Moderna Inc Common	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.4	Pmf Tei Fund LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	AstraZeneca PLC ADR (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Income Fund of America Class F2 Shares (AMEFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.10	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.11	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.12	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.13	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.14	Grayscale Bitcoin Trust BTC	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.15	Income Fund of America Class F2 Shares (AMEFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Roth IRA #1957	No			
5.1	Income Fund of America Class F2 Shares (AMEFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Roth IRA BDA #2402	No		Cash distributions	\$331
6.1	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Income Fund of America Class F2 Shares (AMEFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Rollover IRA #5947	No			
7.1	Global X Robotics & Artificial Intelligence ETF (BOTZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	Fidelity Government Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.3	First Trust Water ETF (FIW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.4	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.5	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.6	Grayscale Bitcoin Trust BTC (GBTC)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Rollover IRA #1951	No			
8.1	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.4	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.5	Fidelity Government Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.6	iShares Core MSCI Europe ETF (IEUR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.7	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.8	Financial Select Sector SPDR Fund (XLF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.9	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.10	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.11	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.12	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.13	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.14	Technology Select Sector SPDR Fund (XLK)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.15	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank - Checking Account	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Euclid St. Apartment, Washington DC	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
3	Account #1956	No			
3.1	Fidelity Cash Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	US bank - CASH account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5	Account #2348	No			
5.1	Greenbacker Renewable Energy, LLC	See Endnote	\$15,001 - \$50,000		\$1,001 - \$2,500
5.2	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Fidelity Cash Fund	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.7	iShares Core MSCI Europe ETF (IEUR)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.8	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.11	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Health Care Select Sector SPDR Fund (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.15	Technology Select Sector SPDR Fund (XLK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.16	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.17	Flat Rock Core Income Fund (CORFX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
6	Account #1953	No			
6.1	Apple, Inc. (AAPL)	N/A	\$100,001 - \$250,000		\$1,001 - \$2,500
6.2	JLL Income Property Trust REIT (ZIPIMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	Fidelity Cash Fund	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	iShares US Financial Services ETF (IYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	iShares Core MSCI Europe ETF (IEUR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.9	Flat Rock Core Income Fund (CORFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.10	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.11	Financial Select Sector SPDR Fund (XLF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.12	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.13	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.14	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.15	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.16	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.17	JP Morgan Chase Zero Cpn	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.18	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.19	Technology Select Sector SPDR Fund (XLK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.20	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.21	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.22	Horizon Kinetics Inflation Beneficiaries ETF (INFL)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.23	NETLease Corporate Real Estate ETF (NETL)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
6.24	Loomis Sayles Intermediate Municipal Bond Fund Class Y Shares (MIMYX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.25	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
7	Account #1955	No			
7.1	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.2	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.5	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.6	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7	Aflac, Inc. (AFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.8	Arrow Electronics, Inc. (ARW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.9	AutoZone, Inc. (AZO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.10	Brunswick Corp. (BC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.11	Cadence Design Systems, Inc. (CDNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.12	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.13	Deckers Outdoor Corp. (DECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.14	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.15	eBay, Inc. (EBAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.16	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.17	Dolby Laboratories, Inc. (DLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.18	ExlService Holdings, Inc. (EXLS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.19	Federal Signal Corp. (FSS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.20	Graco, Inc. (GGG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.21	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.22	Flowers Foods, Inc. (FLO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.23	HubSpot, Inc. (HUBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.24	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.25	HP, Inc. (HPQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.26	ICON PLC ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.27	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.28	IQVIA Holdings, Inc. (IQV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.29	Keysight Technologies, Inc. (KEYS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.30	KLA Corp. (KLAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.31	Knight-Swift Transportation Holdings, Inc. (KNX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.32	L3Harris Technologies, Inc. (LHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.33	Lowe's Cos., Inc. (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.34	Landstar System, Inc. (LSTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.35	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.36	Monolithic Power Systems, Inc. (MPWR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.37	MSCI, Inc. (MSCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.38	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.39	Mettler-Toledo International, Inc. (MTD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.40	Nasdaq, Inc. (NDAQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.41	MACOM Technology Solutions Holdings, Inc. (MTSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.42	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.43	Omniceil, Inc. (OMCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.44	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.45	O'Reilly Automotive, Inc. (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.46	Old Dominion Freight Line, Inc. (ODFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.47	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.48	Pool Corp. (POOL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.49	Primerica, Inc. (PRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.50	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.51	Saia, Inc. (SAIA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.52	SEAGEN INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.53	Synopsys, Inc. (SNPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.54	The Sherwin-Williams Co. (SHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.55	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.56	STERIS Plc (Ireland) (STE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.57	T. Rowe Price Group, Inc. (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.58	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.59	Tractor Supply Co. (TSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.60	TRANE TECHNOLOGIES PLC COM USD1	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.61	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.62	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.63	Werner Enterprises, Inc. (WERN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.64	Westamerica Bancorporation (WABC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.65	Williams-Sonoma, Inc. (WSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.66	West Pharmaceutical Services, Inc. (WST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.67	Zebra Technologies Corp. (ZBRA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.68	Watsco, Inc. (WSO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.69	REGAL REXNORD CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.70	Fidelity National Financial, Inc. (FNF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.71	Best Buy Co., Inc. (BBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.72	Cintas Corp. (CTAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.73	Mattel, Inc. (MAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.74	Range Resources Corp. (RRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.75	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.76	Teradyne, Inc. (TER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.77	Arista Networks, Inc. (ANET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.78	Marvell Technology Group Ltd. (MRVL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.79	Rush Enterprises, Inc. (RUSHA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.80	Capital One Financial Corp. (COF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.81	ArcBest Corp. (ARCB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.82	Amphenol Corporation (APH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.83	Western Alliance Bancorporation (WAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.84	Motorola Solutions, Inc. (MSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.85	ON Semiconductor Corp. (ON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.86	Darden Restaurants, Inc. (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.87	Sealed Air Corp. (SEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.88	Parker-Hannifin Corp. (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.89	Aon Plc (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.90	Littelfuse, Inc. (LFUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.91	salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.92	Discover Financial Services (DFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.93	Lam Research Corp. (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.94	XPO Logistics, Inc. (XPO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.95	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.96	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.97	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.98	Dover Corp. (DOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.99	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.100	Fidelity Cash Fund FCASH	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Account #1954	No			
8.1	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	Income Fund of America Class F2 Shares (AMEFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Fidelity International Enhanced Index Fund (FIENX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.4	Fidelity Mid Cap Enhanced Index Fund (FMEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.5	NatixisLoomis Sayles Growth Fund Class Y Shares (LSGRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.6	Mirova Global Sustainable Equity Fund Class Y Shares (ESGYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.7	Fidelity Large Cap Core Enhanced Index Fund (FLCEX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	Account #6161	No			
9.1	Dependent Child 529 - Utah Educational Savings Plan - age based moderate portfolio	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Agilent Technologies	Sale	08/18/2021	\$1,001 - \$15,000
2	Amgen	Purchase	08/18/2021	\$1,001 - \$15,000
3	Garmin LTD Com	Sale	08/18/2021	\$1,001 - \$15,000
4	Aaon Inc Exec On Mult Exchange	Sale	08/11/2021	\$1,001 - \$15,000
5	Landstar System Inc	Purchase	08/11/2021	\$1,001 - \$15,000
6	Chemed Corp New	Purchase	08/18/2021	\$1,001 - \$15,000
7	Applied Materials Inc	Sale	08/25/2021	\$1,001 - \$15,000
8	Floor & Décor Holdings	Purchase	08/18/2021	\$1,001 - \$15,000
9	Floor Décor Holdings	Sale	08/25/2021	\$1,001 - \$15,000
10	Paypal Holdings	Sale	08/25/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	Knight-Swift Trans Holdings	Purchase	08/25/2021	\$1,001 - \$15,000
12	Yeti Holdings	Purchase	08/25/2021	\$1,001 - \$15,000
13	Tractor Supply Co	Purchase	08/25/2021	\$1,001 - \$15,000
14	PROGRESSIVE CORP COM	Sale	09/07/2021	\$1,001 - \$15,000
15	WHIRLPOOL CORP	Sale	09/13/2021	\$1,001 - \$15,000
16	YETI HLDGS INC COM	Sale	09/20/2021	\$1,001 - \$15,000
17	TRANSUNION COM	Sale	09/27/2021	\$1,001 - \$15,000
18	FORTUNE BRANDS HOME & SECURITY INC	Sale	09/27/2021	\$1,001 - \$15,000
19	AMPHENOL CORP CLASS A COM USD0.001	Purchase	10/04/2021	\$1,001 - \$15,000
20	ACTIVISION BLIZZARD INC COM	Sale	09/27/2021	\$1,001 - \$15,000
21	LITTELFUSE INC	Purchase	10/04/2021	\$1,001 - \$15,000
22	DUPONT DE NEMOURS INC COM	Purchase	10/04/2021	\$1,001 - \$15,000
23	SALESFORCE INC COM	Purchase	10/04/2021	\$1,001 - \$15,000
24	MCDONALD S CORP	Purchase	10/04/2021	\$1,001 - \$15,000
25	TYLER TECHNOLOGIES INC	Sale	10/04/2021	\$1,001 - \$15,000
26	VISTA OUTDOOR INC	Sale	10/04/2021	\$1,001 - \$15,000
27	CDW CORP COM USD0.01	Sale	10/04/2021	\$1,001 - \$15,000
28	GARMIN LTD COM CHF10.00	Sale	10/04/2021	\$1,001 - \$15,000
29	EPAM SYS INC COM USD0.001	Sale	10/04/2021	\$1,001 - \$15,000
30	BEST BUY CO INC COM USD0.10	Purchase	10/12/2021	\$1,001 - \$15,000
31	CINTAS CORP	Purchase	10/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	SEALED AIR CORP	Purchase	10/12/2021	\$1,001 - \$15,000
33	EVERCORE INC CLASS A	Purchase	10/12/2021	\$1,001 - \$15,000
34	YUM! BRANDS INC	Purchase	10/12/2021	\$1,001 - \$15,000
35	SERVICENOW INC COM USD0.001	Purchase	10/12/2021	\$1,001 - \$15,000
36	CHEMED CORP NEW COM	Sale	10/12/2021	\$1,001 - \$15,000
37	WEST PHARMACEUTICAL SERVICES INC	Sale	10/12/2021	\$1,001 - \$15,000
38	CACI INTERNATIONAL INC	Sale	10/12/2021	\$1,001 - \$15,000
39	TEMPUR SEALY INTERNATIONAL INC	Sale	10/12/2021	\$1,001 - \$15,000
40	APPLE INC	Sale	10/12/2021	\$1,001 - \$15,000
41	BROADRIDGE FINANCIAL SOLUTION INC COM USD0.01	Purchase	10/18/2021	\$1,001 - \$15,000
42	DELL TECHNOLOGIES INC CL C	Purchase	10/18/2021	\$1,001 - \$15,000
43	ON SEMICONDUCTOR CORP COM USD0.01	Purchase	10/18/2021	\$1,001 - \$15,000
44	ALIGN TECHNOLOGY INC COM USD0.0001	Sale	10/18/2021	\$1,001 - \$15,000
45	FIDELITY NATIONAL FINANCIAL FNF GROUP COM USD0.0001	Purchase	10/18/2021	\$1,001 - \$15,000
46	CHIPOTLE MEXICAN GRILL INC	Sale	10/18/2021	\$1,001 - \$15,000
47	AMGEN INC	Sale	10/18/2021	\$1,001 - \$15,000
48	ADVANCED MICRO DEVICES INC	Sale	10/18/2021	\$1,001 - \$15,000
49	WESTERN ALLIANCE BANCORP	Purchase	10/25/2021	\$1,001 - \$15,000
50	DARDEN RESTAURANTS INC	Purchase	10/25/2021	\$1,001 - \$15,000
51	ETSY INC COM	Purchase	10/25/2021	\$1,001 - \$15,000
52	KIMBERLY-CLARK CORP COM	Sale	10/25/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	AGILENT TECHNOLOGIES INC COM USD0.01	Sale	10/25/2021	\$1,001 - \$15,000
54	IDEXX LABORATORIES INC COM USD0.10	Sale	10/25/2021	\$1,001 - \$15,000
55	RUSH ENTERPRISES INC	Purchase	11/01/2021	\$1,001 - \$15,000
56	CAPITAL ONE FINANCIAL CORP COM USD0.01	Purchase	11/01/2021	\$1,001 - \$15,000
57	ADVANCED MICRO DEVICES INC	Purchase	11/01/2021	\$1,001 - \$15,000
58	HCA HEALTHCARE INC COM	Purchase	11/01/2021	\$1,001 - \$15,000
59	BOOZ ALLEN HAMILTON HLDG CORP CL A	Sale	11/01/2021	\$1,001 - \$15,000
60	META PLATFORMS INC CLASS A COMMON STOCK	Sale	11/01/2021	\$1,001 - \$15,000
61	VEEVA SYSTEMS INC	Sale	11/01/2021	\$1,001 - \$15,000
62	ADOBE SYSTEMS INCORPORATED COM	Sale	11/01/2021	\$1,001 - \$15,000
63	ARISTA NETWORKS INC COM USD0.0001	Purchase	11/08/2021	\$1,001 - \$15,000
64	ADOBE SYSTEMS INCORPORATED COM	Purchase	11/08/2021	\$1,001 - \$15,000
65	LULULEMON ATHLETICA INC COM USD0.005	Purchase	11/08/2021	\$1,001 - \$15,000
66	VMWARE INC	Sale	11/08/2021	\$1,001 - \$15,000
67	DELL TECHNOLOGIES INC CL C	Sale	11/08/2021	\$1,001 - \$15,000
68	AGCO CORP COM USD0.01	Sale	11/08/2021	\$1,001 - \$15,000
69	RENT-A-CENTER INC	Sale	11/08/2021	\$1,001 - \$15,000
70	MATTEL INC COM USD1.00	Purchase	11/15/2021	\$1,001 - \$15,000
71	DUPONT DE NEMOURS INC COM	Sale	11/15/2021	\$1,001 - \$15,000
72	RANGE RESOURCES CORP	Purchase	11/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	APPLE INC	Purchase	11/22/2021	\$1,001 - \$15,000
74	WESTERN UNION CO	Sale	11/22/2021	\$1,001 - \$15,000
75	BROADRIDGE FINANCIAL SOLUTION INC COM USD0.01	Sale	11/22/2021	\$1,001 - \$15,000
76	QUALCOMM INC	Purchase	11/29/2021	\$1,001 - \$15,000
77	TERADYNE INC COM USD0.125	Purchase	11/29/2021	\$1,001 - \$15,000
78	ZUORA INC COM CL A	Purchase	11/29/2021	\$1,001 - \$15,000
79	HCA HEALTHCARE INC COM	Sale	11/29/2021	\$1,001 - \$15,000
80	EVERCORE INC CLASS A	Sale	11/29/2021	\$1,001 - \$15,000
81	MANHATTAN ASSOCIATES INC COM	Sale	11/29/2021	\$1,001 - \$15,000
82	BJS WHSL CLUB HLDGS INC COM	Purchase	12/06/2021	\$1,001 - \$15,000
83	ARCBEST CORP COM USD0.01	Purchase	12/06/2021	\$1,001 - \$15,000
84	WEST PHARMACEUTICAL SERVICES INC	Purchase	12/06/2021	\$1,001 - \$15,000
85	MOTOROLA SOLUTIONS INC	Purchase	12/06/2021	\$1,001 - \$15,000
86	XPO LOGISTICS INC COM USD0.001	Purchase	12/06/2021	\$1,001 - \$15,000
87	ETSY INC COM	Sale	12/06/2021	\$1,001 - \$15,000
88	AUTODESK INC	Sale	12/06/2021	\$1,001 - \$15,000
89	FORTINET INC COM USD0.001	Sale	12/06/2021	\$1,001 - \$15,000
90	ARISTA NETWORKS INC COM USD0.0001	Sale	12/06/2021	\$1,001 - \$15,000
91	TELEDYNE TECHNOLOGIES INC	Sale	12/06/2021	\$1,001 - \$15,000
92	DISCOVER FINANCIAL SERVICES	Purchase	12/13/2021	\$1,001 - \$15,000
93	SERVICENOW INC COM USD0.001	Sale	12/13/2021	\$1,001 - \$15,000
94	ARISTA NETWORKS INC COM USD0.0001	Purchase	12/20/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
95	PARKER-HANNIFIN CORP COM	Purchase	12/20/2021	\$1,001 - \$15,000
96	AON PLC SHS CL A COM USD0.01	Purchase	12/20/2021	\$1,001 - \$15,000
97	LULULEMON ATHLETICA INC COM USD0.005	Sale	12/20/2021	\$1,001 - \$15,000
98	ADOBE SYSTEMS INCORPORATED COM	Sale	12/20/2021	\$1,001 - \$15,000
99	ZUORA INC COM CL A	Sale	12/20/2021	\$1,001 - \$15,000
100	TEXAS INSTRUMENTS INC COM USD1.00	Sale	12/21/2021	\$1,001 - \$15,000
101	NEW YORK TIMES CO	Sale	12/21/2021	\$1,001 - \$15,000
102	MARVELL TECHNOLOGY INC COM	Purchase	12/23/2021	\$1,001 - \$15,000
103	LAM RESEARCH CORP COM USD0.001	Purchase	12/23/2021	\$1,001 - \$15,000
104	REGAL REXNORD CORP COM STK USD0.01	Purchase	12/27/2021	\$1,001 - \$15,000
105	BJS WHSL CLUB HLDGS INC COM	Sale	12/27/2021	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage (investment/rental property)	\$100,001 - \$250,000	2010	1.75	25
2	Fidelity	Exercised Line of Credit	\$250,001 - \$500,000	2020	2.75	Open Ended

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	5.1	Greenbacker Renewable Energy Company (GREC) is an independent power producer that generates power, invests in power generation technology and sells power to public and private offtakers.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
